QUARTERLY COMPLIANCE REPORT

STATE OF MAINE
WORKERS' COMPENSATION BOARD

Second Quarter 2013 Report

April 1, 2013 – June 30, 2013

Office of Monitoring, Audit & Enforcement

Paul H. Sighinolfi, Esq.
Executive Director/Chair

27 State House Station
Augusta, Maine 04333-0027
(207) 287-3751

State of Maine
Workers' Compensation Board
Quarterly Compliance Report
Second Quarter 2013

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Executive Summary

On November 12, 2013, the Maine Workers' Compensation Board of Directors approved the Second Quarter 2013 Compliance Report. This report represents the efforts of the Office of Monitoring, Audit and Enforcement and insurers, self-insurers, and third-party administrators (collectively "insurers").

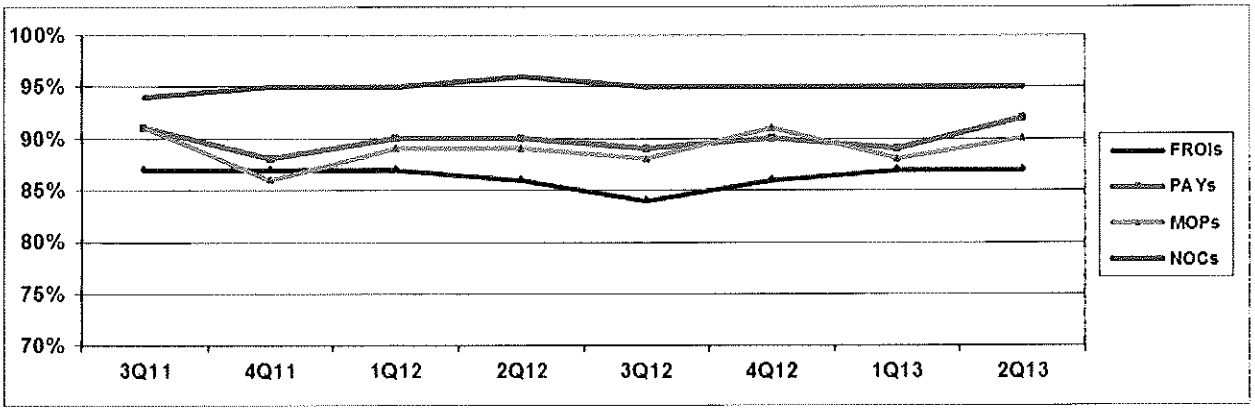
I. COMPLIANCE OVERVIEW

The Reconciliation Report was sent to 96 insurers on August 5, 2013; 64 responded, 29 were not required to respond and 3 did not respond.

The 2Q13 report represents results based upon data received by September 6, 2013. The results are:

Category	Number of Days	Benchmark	3Q11	4Q11	1Q12	2Q12	3Q12	4Q12	1Q13	2Q13
FROIs	7	85%	87%	87%	87%	86%	84%	86%	87%	87%
PAYs	14	87%	91%	88%	90%	90%	89%	90%	89%	92%
MOPs	17	85%	91%	86%	89%	89%	88%	91%	88%	90%
NOCs	14	90%	94%	95%	95%	96%	95%	95%	95%	95%

Compliance Benchmark Tracking (3Q11 – 2Q13)



II. CAVEATS & EXPLANATIONS

A. General

- Question marks ("?") within this report indicate that the insurer did not provide all of the data required to measure compliance in that particular area.

B. Lost Time First Report of Injury (FROI) Filings

- Compliance with this benchmark exists when the FROI is filed (accepted EDI transaction, with or without errors) within 7 days after the employer receives notice or knowledge of an employee injury that has caused the employee to lose a day's work.

C. Initial Indemnity Payments (PAYs)

- Compliance with this benchmark exists when the check is mailed within the later of (i) 14 days after the employer's notice or knowledge of incapacity or (ii) the first day of compensability plus 6 days.
- If an employer continues to pay the employee's salary, payments are deemed timely for purposes of compliance if made consistent with the employer's usual payroll practice.

D. Initial Memorandum of Payment (MOP) Filings

- Compliance with this benchmark exists when the MOP is received within 17 days of the employer's notice or knowledge of incapacity.

E. Initial Indemnity Notice of Controversy (NOC) Filings

- Compliance with this benchmark exists when the NOC is filed (accepted EDI transaction, with or without errors) within 14 days after the employer receives notice or knowledge of the incapacity or death. Measurement excludes filings submitted with full denial reason codes 3A-3H (No Coverage).

III. COMPLETED AUDITS

The Board conducts compliance audits of insurers to ensure that all obligations under the Workers' Compensation Act are met. The functions of the audit program include, but are not limited to: ensuring that all reporting requirements of the Board are met, auditing the timeliness of benefit payments, auditing the accuracy of indemnity payments, evaluating claims-handling techniques, and determining whether claims are unreasonably contested.

The following had audits completed in the 2Q13:

Auditee (alpha order)	Total Penalties
Frank Gates-Avizent	**$14,500.00**

LOST TIME FIRST REPORT OF INJURY FILINGS

Chart 1 Timeliness Distribution

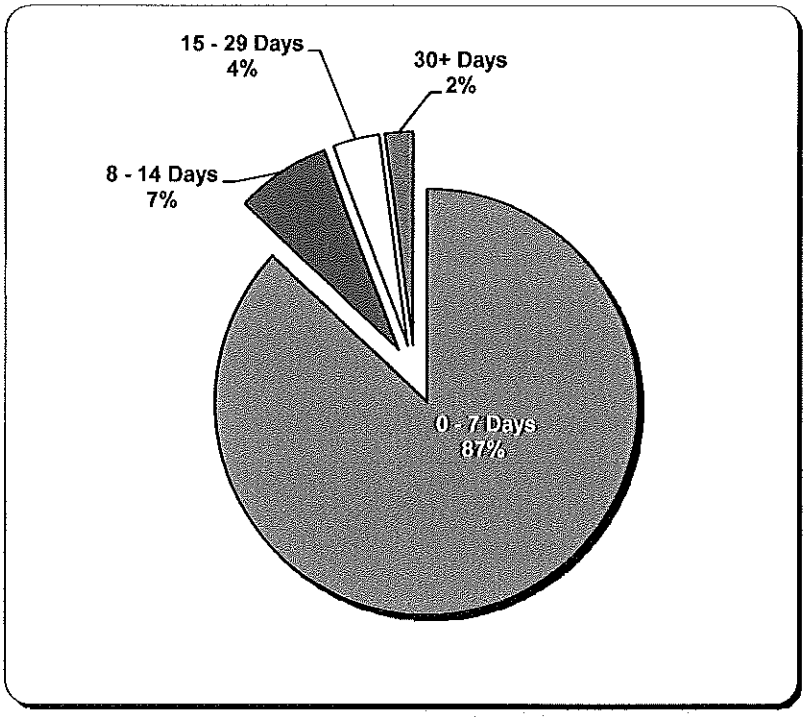


Table 1

Received Within:			
0 - 7	Days	2,670	87%
8 - 14	Days	222	7%
15 - 29	Days	109	4%
30+	Days	67	2%
Total		3,068	100%

Chart 2 Quarterly Compliance

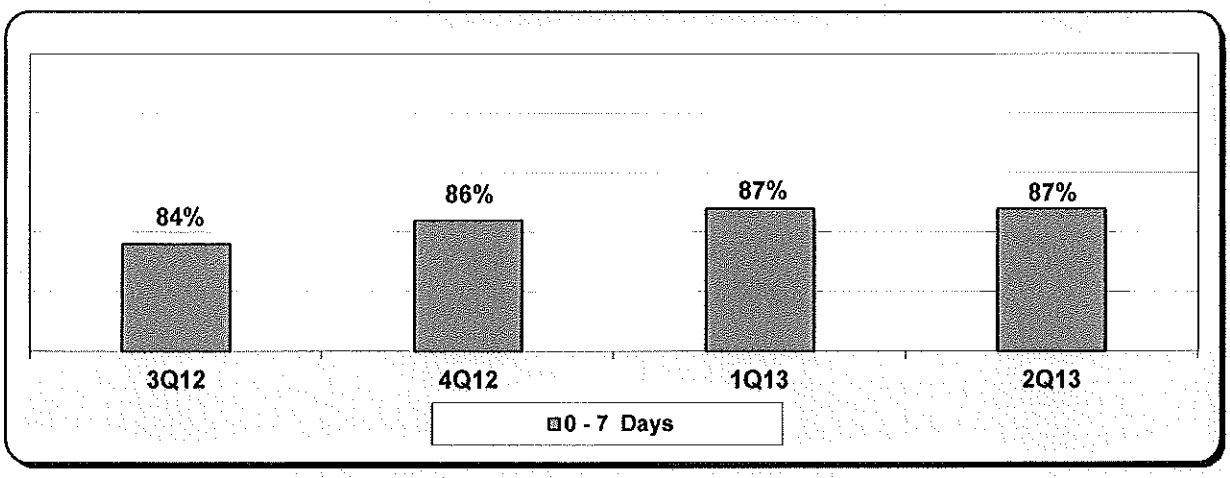
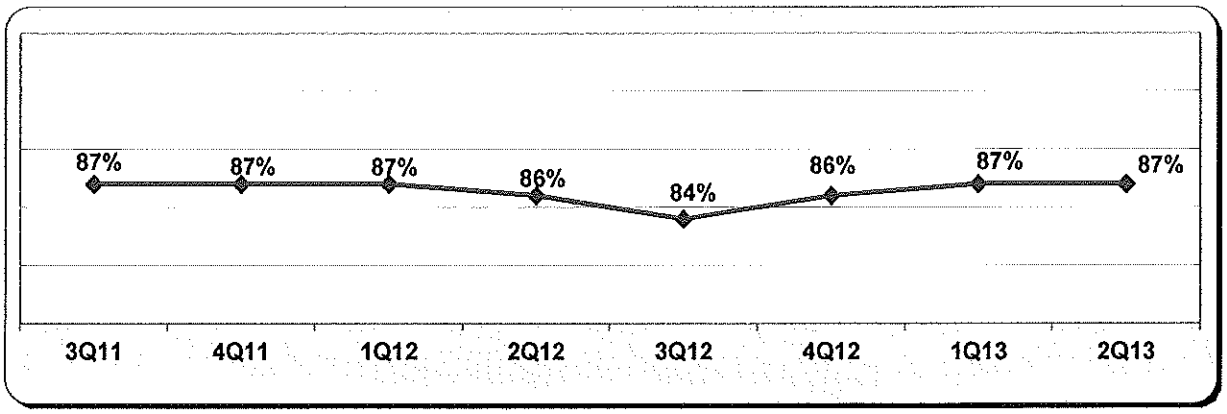


Chart 3 Compliance Trend



INITIAL INDEMNITY PAYMENTS

Chart 4 Timeliness Distribution

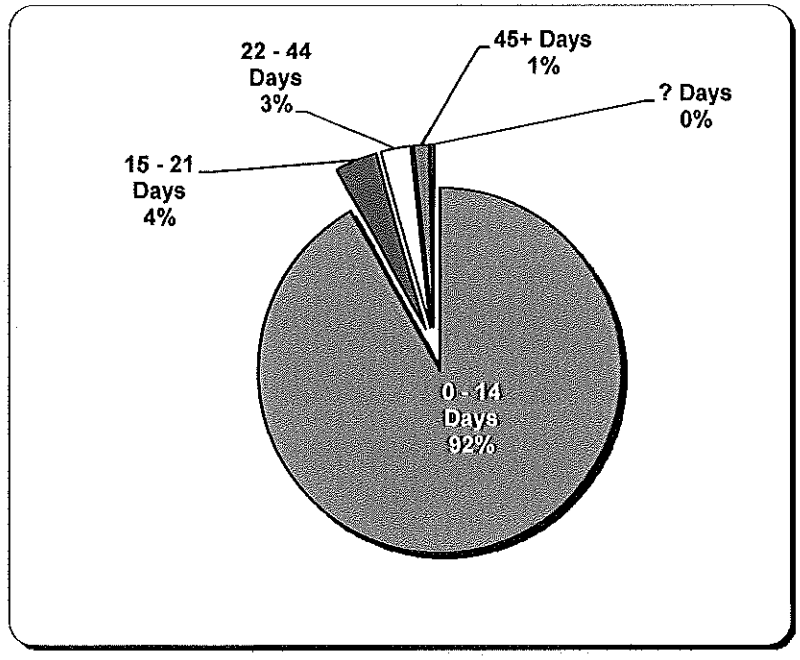


Table 2

Made Within:			
0 - 14	Days	812	92%
15 - 21	Days	33	4%
22 - 44	Days	24	3%
45+	Days	12	1%
?	Days	3	0%
Total		884	100%

Initial indemnity payments are monitored to ensure that payments are initiated within the time limits established in Section 205. As a result of these efforts, $8,800 was issued to claimants in penalties and there is another $3,400 in penalties awaiting resolution.

Chart 5 Quarterly Compliance

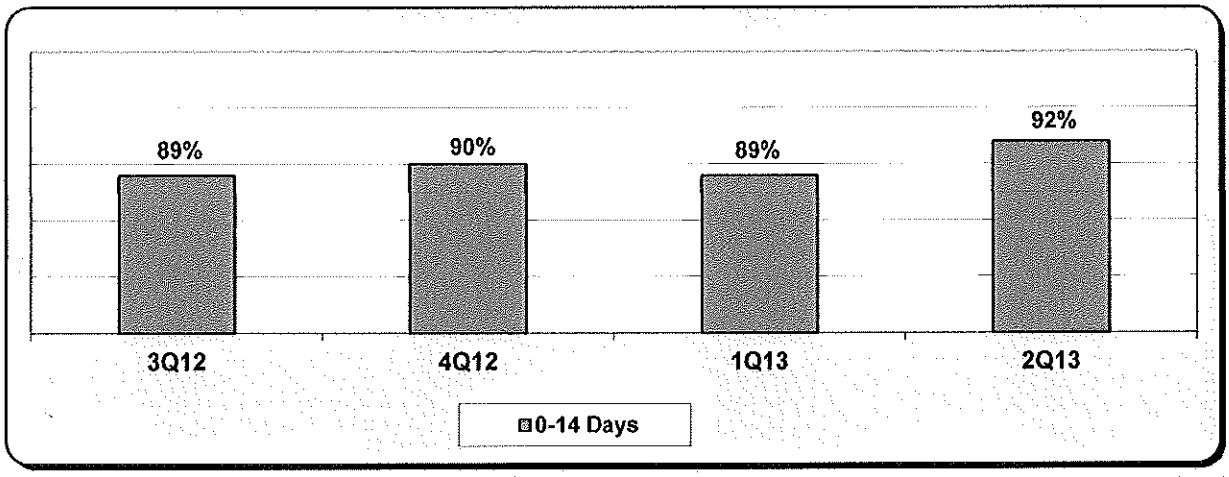
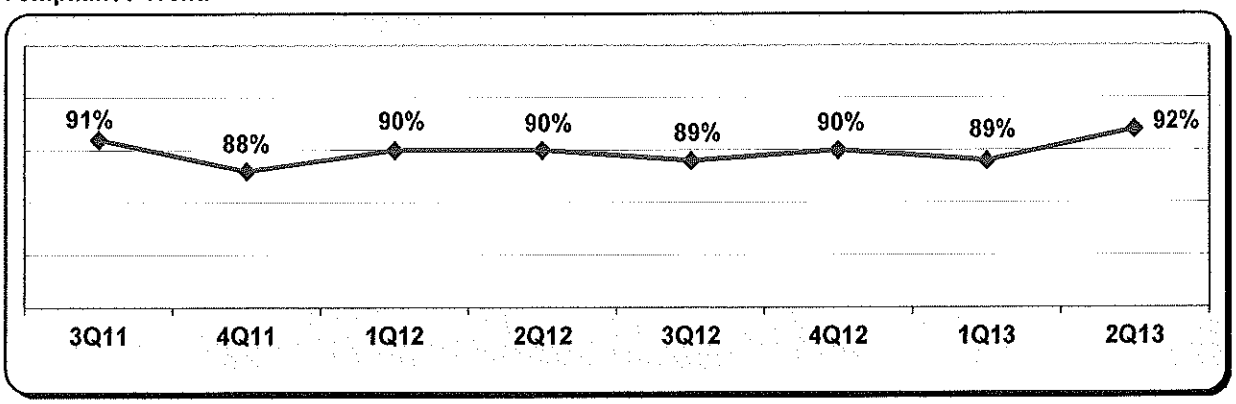


Chart 6 Compliance Trend



INITIAL MEMORANDUM OF PAYMENT FILINGS

Chart 7 Timeliness Distribution

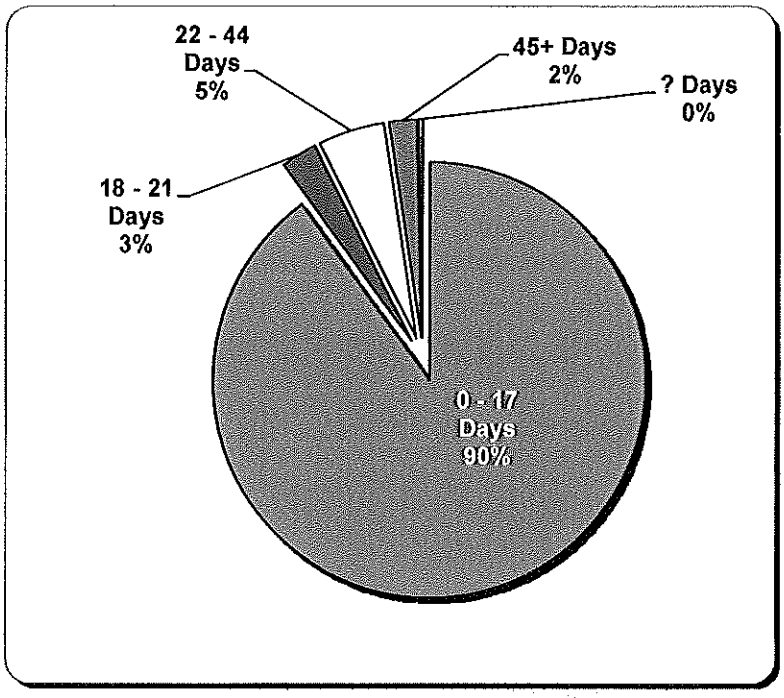


Table 3

Received Within:			
0 - 17	Days	795	90%
18 - 21	Days	24	3%
22 - 44	Days	44	5%
45+	Days	18	2%
?	Days	3	0%
Total		884	100%

Chart 8 Quarterly Compliance

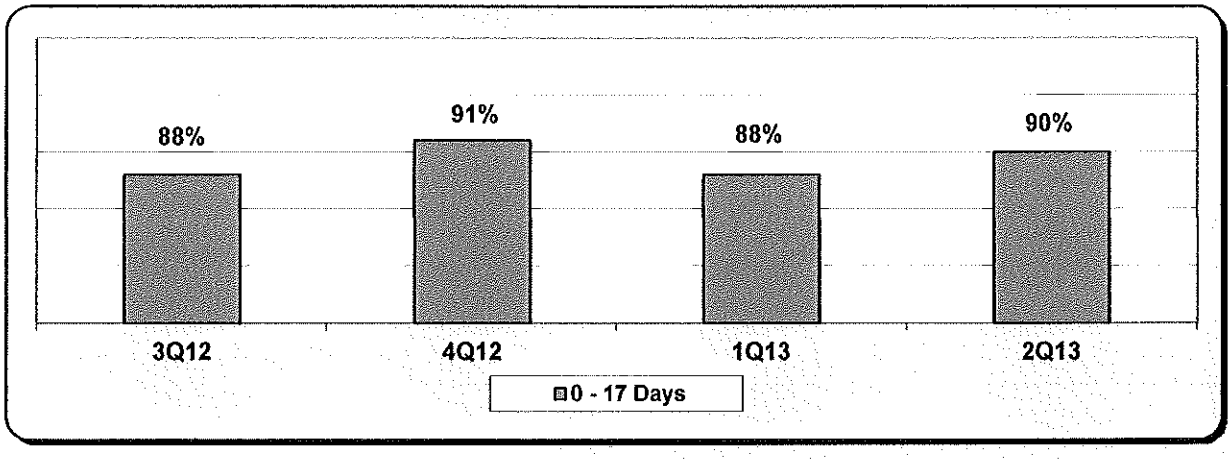
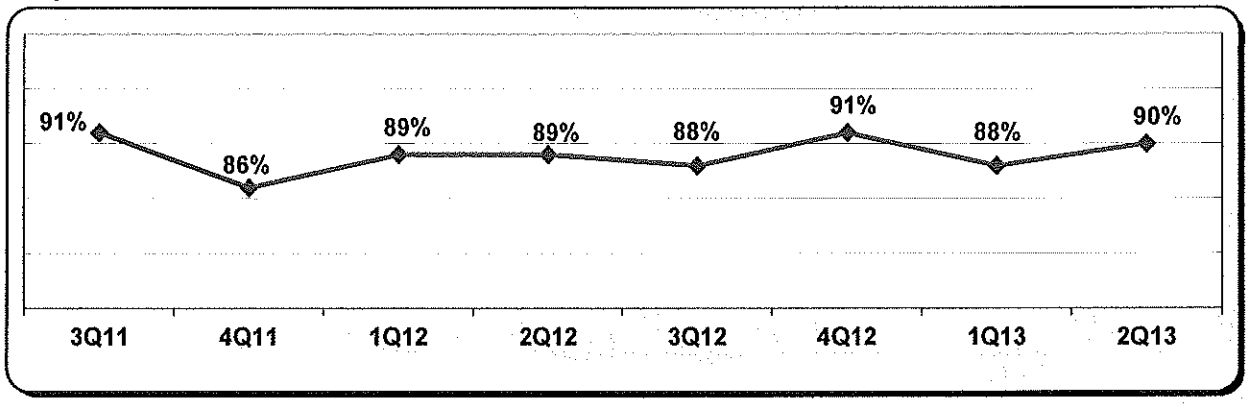


Chart 9 Compliance Trend



INITIAL INDEMNITY NOTICE OF CONTROVERSY FILINGS

Chart 10 Timeliness Distribution

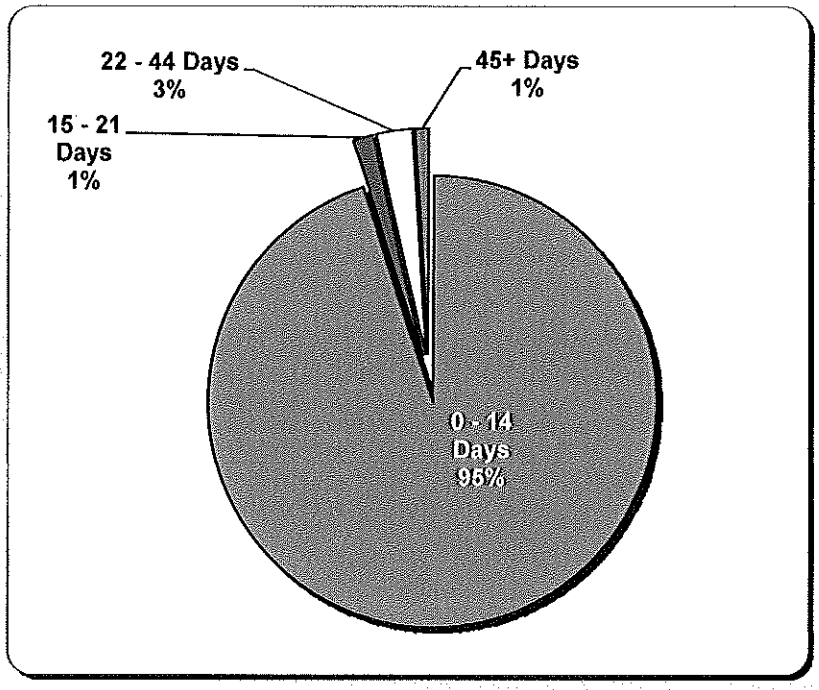


Table 4

Received Within:			
0 - 14	Days	576	95%
15 - 21	Days	9	1%
22 - 44	Days	16	3%
45+	Days	6	1%
Total		607	100%

Chart 11 Quarterly Compliance

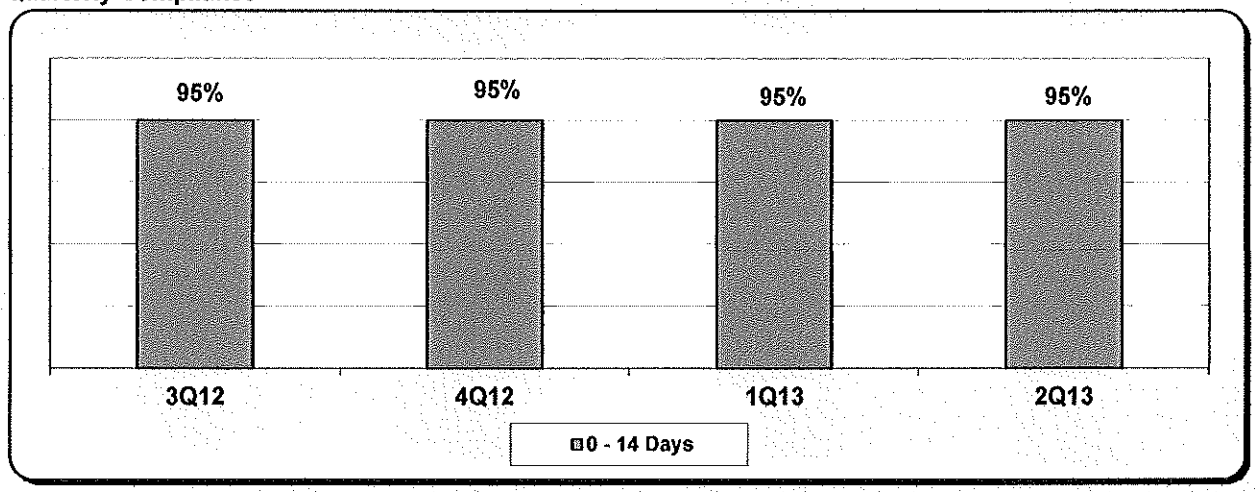
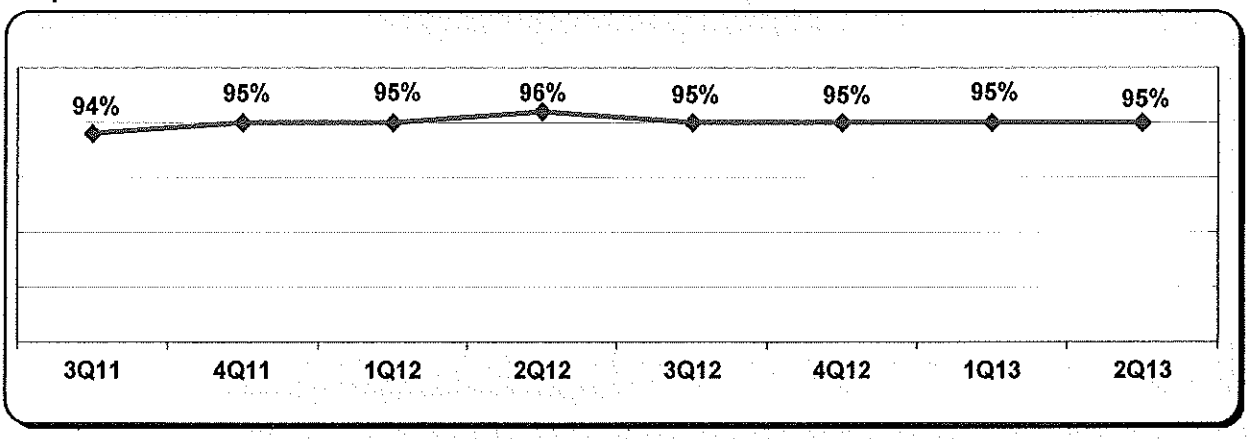


Chart 12 Compliance Trend



WAGE INFORMATION

Wage information (WCB-2 and WCB 2B forms) must be filed within 30 days of an employer's notice or knowledge of a claim for compensation (Title 39-A M.R.S.A. Section 303). This includes both compensated and controverted claims where a claim for compensation has been made.

Chart 13 Wage Statement Timeliness Distribution

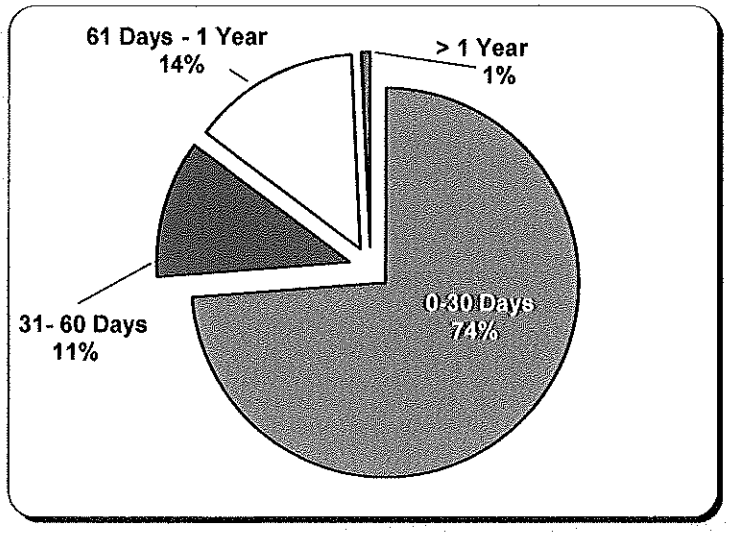


Table 5

Received Within:		
0-30 Days	1,287	74%
31-60 Days	200	11%
61 Days-1 Year	244	14%
> 1 Year	13	1%
Total	**1,744**	**100%**

Wage Statement(s): 1,386 (75%) of the 1,844 Wage Statement(s) that were due this quarter were filed timely, 331 (18%) were filed late, and 127 (7%) remain outstanding.

Chart 14 Fringe Benefit Worksheet Timeliness Distribution

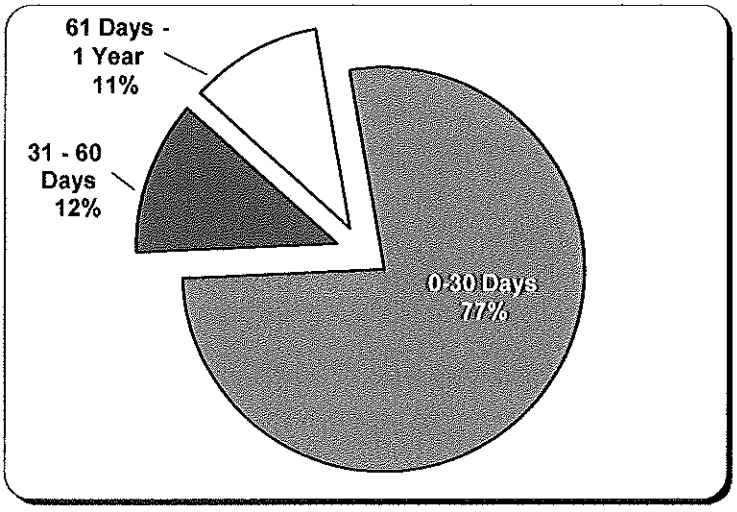


Table 6

Received Within:		
0-30 Days	1,294	77%
31-60 Days	207	12%
61 Days-1 Year	177	11%
Total	**1,678**	**100%**

Fringe Benefit Worksheet(s): 1,254 (68%) of the 1,844 Fringe Benefit Worksheet(s) due this quarter were filed timely, 353 (19%) were filed late, and 237 (13%) remain outstanding.

Appendix A

Insurance Group Compliance
Lost Time FROI Filings and
Initial Indemnity Payments
Second Quarter 2013
4/1/2013-6/30/2013

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Second Quarter 2013
4/1/2013 - 6/30/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	ACADIA INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA010	**Group Total**	**56**	**48**	**86%**	**11**	**11**	**100%**
	ACE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	ACE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA070	CANNON COCHRAN MANAGEMENT SERVICES	9	7	78%	2	2	100%
CA110	CONSTITUTION STATE SERVICES	5	5	100%	2	2	100%
CA160	ESIS	32	29	91%	5	4	80%
CA190	GALLAGHER BASSETT SERVICES	15	13	87%	7	6	86%
CA204	HELMSMAN MANAGEMENT SERVICES	3	3	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	50	44	88%	15	12	80%
	TPA Total	**114**	**101**	**89%**	**31**	**26**	**84%**
	ACE Group Total	**114**	**101**	**89%**	**31**	**26**	**84%**
	AIG DOMESTIC CLAIMS, INC.	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA015	AIG DOMESTIC CLAIMS, INC.	16	14	88%	9	9	100%
CA258	NEW HAMPSHIRE INSURANCE CO.	1	1	100%	1	1	100%
	Total	**17**	**15**	**88%**	**10**	**10**	**100%**
	AIG GROUP TPA Administered Claims						
CA040	BROADSPIRE SERVICES	37	35	95%	8	7	88%
CA100	CLAIMS MANAGEMENT (WAL-MART)	51	51	100%	9	9	100%
CA110	CONSTITUTION STATE SERVICES	*	*	*	*	*	*
CA116	CORVEL CORPORATION	*	*	*	*	*	*
CA160	ESIS	11	10	91%	5	4	80%
CA190	GALLAGHER BASSETT SERVICES	30	26	87%	9	9	100%
CA204	HELMSMAN MANAGEMENT SERVICES	8	8	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	37	34	92%	13	12	92%
	TPA Total	**174**	**164**	**94%**	**45**	**42**	**93%**
	AIG DOMESTIC CLAIMS, INC. Group Total	**191**	**179**	**94%**	**55**	**52**	**95%**
	AMTRUST INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA381	AMTRUST INSURANCE	3	2	67%	No filings	No filings	No filings
CA342	TECHNOLOGY INSURANCE	13	5	38%	2	2	100%
	Group Total	**16**	**7**	**44%**	**2**	**2**	**100%**
	ARCH INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	ARCH TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	3	2	67%	No filings	No filings	No filings
CA204	HELMSMAN MANAGEMENT SERVICES	1	0	0%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	9	8	89%	No filings	No filings	No filings
	TPA Total	**14**	**11**	**79%**	**No filings**	**No filings**	**No filings**
	ARCH Group Total	**14**	**11**	**79%**	**No filings**	**No filings**	**No filings**

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Second Quarter 2013
4/1/2013 - 6/30/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	ARROW MUTUAL INSURANCE CO.	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA032	Group Total	1	1	100%	No filings	No filings	No filings
	BATH IRON WORKS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA036	Group Total	92	92	100%	15	15	100%
	BERKSHIRE HATHAWAY INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA114	Group Total	*	*	*	*	*	*
	BROADSPIRE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA040	Group Total	51	49	96%	14	13	93%
	CANNON COCHRAN MANAGEMENT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA070	Group Total	140	129	92%	38	38	100%
	CHESTERFIELD SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA080	Group Total	2	2	100%	No filings	No filings	No filings
	CHUBB INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA090	Total	4	2	50%	5	4	80%
	CHUBB INSURANCE TPA Administered Claims						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	1	1	100%	1	1	100%
	CHUBB Group Total	5	3	60%	6	5	83%
	CHURCH MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA080	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	CIANBRO CORPORATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA085	Group Total	No filings	No filings	No filings	1	0	0%
	CITY OF BANGOR	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA033	Group Total	11	11	100%	11	11	100%
	CLAIMS MANAGEMENT (WAL-MART)	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA100	Group Total	51	51	100%	9	9	100%
	CNA INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA050	Group Total	6	5	83%	1	1	100%
	CONSTITUTION STATE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA110	Group Total	5	5	100%	3	2	67%
	CONTINENTAL INDEMNITY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA115	Group Total	3	1	33%	No filings	No filings	No filings

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Second Quarter 2013
4/1/2013 - 6/30/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	CORVEL CORPORATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA116	Group Total	7	4	57%	2	1	50%
	COTTINGHAM AND BUTLER CLAIMS SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA117	Group Total	9	5	56%	5	5	100%
	CRUM & FORSTER	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA089	CRUM & FORSTER	1	0	0%	No filings	No filings	No filings
CA375	UNITED STATE FIRE INSURANCE	1	0	0%	1	1	100%
	Group Total	2	0	0%	1	1	100%
	ELECTRIC INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	*	*	*	*	*	*
	ELECTRIC INSURANCE TPA Administered Claims						
CA300	SEDGWICK CLAIMS MANAGEMENT	3	3	100%	2	2	100%
	TPA Total	3	3	100%	2	2	100%
	ELECTRIC Group Total	3	3	100%	2	2	100%
	ESIS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA160	Group Total	48	40	83%	13	9	69%
	F.A. RICHARD	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA165	Group Total	1	1	100%	No filings	No filings	No filings
	FEDERATED MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA091	Group Total	1	0	0%	No filings	No filings	No filings
	FEDERATED SERVICE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA092	Group Total	2	1	50%	No filings	No filings	No filings
	FUTURECOMP	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA175	Group Total	68	65	96%	25	23	92%
	GALLAGHER BASSETT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA190	Group Total	83	69	83%	29	25	86%
	GREAT AMERICAN INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA193	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	GREAT DIVIDE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA189	Group Total	*	*	*	*	*	*

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Second Quarter 2013
4/1/2013 - 6/30/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	GREAT FALLS INSURANCE CO.	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	GREAT FALLS TPA Administered Claims						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	30	27	90%	2	2	100%
	TPA Total	**30**	**27**	**90%**	**2**	**2**	**100%**
	GREAT FALLS Group Total	**30**	**27**	**90%**	**2**	**2**	**100%**
	GUARANTEE INSURANCE CO.	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA195	**Group Total**	**2**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	GUARD INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA019	AMGUARD INSURANCE COMPANY	8	5	63%	1	0	0%
CA140	EASTGUARD INSURANCE COMPANY	6	3	50%	3	3	100%
CA272	NORGUARD INSURANCE COMPANY	7	4	57%	5	5	100%
	Group Total	**21**	**12**	**57%**	**9**	**8**	**89%**
	HANNAFORD BROTHERS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA201	**Group Total**	**66**	**63**	**95%**	**17**	**16**	**94%**
	HANOVER INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA048	CITIZENS INSURANCE CO. OF AMERICA	9	4	44%	3	3	100%
CA202	HANOVER INSURANCE CO.	12	11	92%	3	3	100%
CA228	MASSACHUSETTS BAY INS. CO.	4	3	75%	3	1	33%
	Group Total	**25**	**18**	**72%**	**9**	**7**	**78%**
	HARLEYSVILLE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA197	**Group Total**	**1**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	HARTFORD INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA188	HARTFORD ACCIDENT & INDEMNITY	10	7	70%	1	1	100%
CA185	HARTFORD CASUALTY INS CO.	5	4	80%	2	2	100%
CA203	HARTFORD FIRE INSURANCE CO.	3	1	33%	1	1	100%
CA186	HARTFORD INSURANCE OF THE MIDWEST	7	7	100%	3	3	100%
CA187	HARTFORD UNDERWRITERS INSURANCE	6	5	83%	2	2	100%
CA288	PROPERTY & CASUALTY INSURANCE COMPANY	3	1	33%	1	1	100%
CA296	SENTINEL INSURANCE CO.	2	1	50%	No filings	No filings	No filings
CA319	TRUMBULL INSURANCE	19	19	100%	4	4	100%
CA321	TWIN CITY FIRE INSURANCE CO.	14	13	93%	4	3	75%
	Total	**69**	**58**	**84%**	**18**	**17**	**94%**
	HARTFORD TPA Administered Claims						
CA040	BROADSPIRE SERVICES	2	2	100%	1	1	100%
CA116	CORVEL CORPORATION	3	2	67%	1	0	0%
CA165	F.A. RICHARD	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	3	1	33%	1	1	100%
CA280	RISK ENTERPRISE MANAGEMENT	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	11	11	100%	2	2	100%
	TPA Total	**20**	**17**	**85%**	**5**	**4**	**80%**
	HARTFORD Group Total	**89**	**75**	**84%**	**23**	**21**	**91%**
	HELMSMAN MANAGEMENT SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA204	**Group Total**	**14**	**12**	**86%**	**2**	**1**	**50%**

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Second Quarter 2013
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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	LIBERTY MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA380	EMPLOYERS INSURANCE OF WAUSAU	13	12	92%	6	6	100%
CA210	LIBERTY MUTUAL INSURANCE COMPANY	47	40	85%	20	20	100%
	Group Total	60	52	87%	26	26	100%
	LUMBERMEN'S UNDERWRITING	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA211	Group Total	*	*	*	*	*	*
	MACY'S CORPORATE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA213	Group Total	1	1	100%	No filings	No filings	No filings
	MAINE AUTOMOBILE DEALERS ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA220	Group Total	28	28	100%	13	12	92%
	MAINE EMPLOYERS' MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA260	Group Total	912	750	82%	278	259	93%
	MAINE HEALTH CARE ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA234	Group Total	31	29	94%	4	4	100%
	MAINE MOTOR TRANSPORT ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA230	Group Total	56	51	91%	12	10	83%
	MAINE MUNICIPAL ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA225	Group Total	208	197	95%	53	52	98%
	MAINE SCHOOL MANAGEMENT ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA250	Group Total	84	81	96%	18	16	89%
	MEADOWBROOK	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA255	Group Total	2	1	50%	1	0	0%
	NATIONAL INTERSTATE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA267	Group Total	*	*	*	*	*	*
	NATIONWIDE AGRIBUSINESS INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA291	Group Total	2	1	50%	1	1	100%
	NGM INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA265	Group Total	4	2	50%	4	4	100%
	NORTH RIVER INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA257	Group Total	1	0	0%	1	0	0%

*Indicates no claims activity this quarter

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Second Quarter 2013
4/1/2013 - 6/30/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	OLD REPUBLIC INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	OLD REPUBLIC TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	4	3	75%	2	2	100%
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	3	3	100%	3	3	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	3	3	100%	1	1	100%
	TPA Total	**11**	**10**	**91%**	**6**	**6**	**100%**
	OLD REPUBLIC Group Total	**16**	**13**	**81%**	**8**	**8**	**100%**
	PATRIOT INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA274	**Group Total**	**2**	**1**	**50%**	**1**	**1**	**100%**
	PEERLESS INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA162	EXCELSIOR INSURANCE CO.	*	*	*	*	*	*
CA309	NETHERLANDS INSURANCE COMPANY	1	1	100%	No filings	No filings	No filings
CA275	PEERLESS INSURANCE CO.	39	31	79%	12	12	100%
CA283	PEERLESS INDEMNITY CO.	*	*	*	*	*	*
	Group Total	**40**	**32**	**80%**	**12**	**12**	**100%**
	PENNSYLVANIA MFG. ASSOCIATION INS. CO	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	PENNSYLVANIA MFG. TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	4	2	50%	2	2	100%
CA165	F.A. RICHARD	*	*	*	*	*	*
	TPA Total	**4**	**2**	**50%**	**2**	**2**	**100%**
	PENNSYLVANIA MFG. Group Total	**4**	**2**	**50%**	**2**	**2**	**100%**
	PRAETORIAN INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA276	**Group Total**	*	*	*	*	*	*
	PRAETORIAN INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	4	4	100%	1	1	100%
	TPA Total	**4**	**4**	**100%**	**1**	**1**	**100%**
	PRAETORIAN INSURANCE Group Total	**4**	**4**	**100%**	**1**	**1**	**100%**
	PROTECTIVE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA277	**Group Total**	**2**	**1**	**50%**	**No filings**	**No filings**	**No filings**
	PUBLIC SERVICE MUTUAL	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA282	**Group Total**	**1**	**0**	**0%**	**No filings**	**No filings**	**No filings**

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	RISK ENTERPRISE MANAGEMENT	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA280	**Group Total**	1	1	100%	No filings	No filings	No filings
	SAFETY NATIONAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	SAFETY NATIONAL TPA Administered claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA116	CORVEL	4	2	50%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	7	5	71%	4	2	50%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	4	2	50%	1	1	100%
CA340	YORK RISK SERVICES	2	0	0%	2	1	50%
	TPA Total	**17**	**9**	**53%**	**8**	**5**	**63%**
	SAFETY NATIONAL Group Total	**17**	**9**	**53%**	**8**	**5**	**63%**
	SEDGWICK CLAIMS MANAGEMENT SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA300	**Total**	**142**	**123**	**87%**	**42**	**38**	**90%**
	SENTRY INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA402	SENTRY CASUALTY CO.	1	0	0%	1	1	100%
CA305	SENTRY A MUTUAL CO.	3	2	67%	1	1	100%
CA308	SENTRY SELECT INSURANCE CO.	1	1	100%	No filings	No filings	No filings
	Group Total	**5**	**3**	**60%**	**2**	**2**	**100%**
	SPARTA INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	SPARTA TPA Administered claims						
CA060	COTTINGHAM AND BUTLER CLAIMS SERVICES	7	5	71%	5	5	100%
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
	TPA Total	7	**5**	**71%**	**5**	**5**	**100%**
	SPARTA Group Total	**7**	**5**	**71%**	**5**	**5**	**100%**
	STATE OF MAINE WORKERS' COMPENSATION TRUST	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA307	**Group Total**	**141**	**131**	**93%**	**41**	**37**	**90%**
	SYNERNET	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA320	**Group Total**	**126**	**114**	**90%**	**36**	**30**	**83%**
	TOWER INSURANCE COMPANY	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA356	**Group Total**	**7**	**3**	**43%**	**3**	**3**	**100%**

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
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4/1/2013 - 6/30/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	TRAVELERS INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA072	CHARTER OAK FIRE INS. CO.	42	39	93%	21	20	95%
CA164	FARMINGTON CASUALTY CO.	2	1	50%	1	1	100%
CA306	STANDARD FIRE INS. CO.	5	4	80%	2	2	100%
CA284	THE PHOENIX INS. CO.	4	2	50%	1	1	100%
CA347	TRAVELERS CASUALTY & SURETY COMPANY	3	2	67%	No filings	No filings	No filings
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	10	9	90%	4	4	100%
CA349	TRAVELERS COMMERCIAL CASUALTY	3	2	67%	1	1	100%
CA343	TRAVELERS INDEMNITY CO.	1	1	100%	No filings	No filings	No filings
CA346	TRAVELERS INDEMNITY CO. OF AMERICA	3	2	67%	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	6	4	67%	1	0	0%
	Total	**79**	**66**	**84%**	**31**	**29**	**94%**
	TRAVELERS TPA Administered Claims						
CA040	BROADSPIRE SERVICES	4	4	100%	3	3	100%
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT	3	3	100%	No filings	No filings	No filings
	TPA Total	**7**	**7**	**100%**	**3**	**3**	**100%**
	TRAVELERS Group Total	**86**	**73**	**85%**	**34**	**32**	**94%**

	UNDERWRITERS SAFETY & CLAIMS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA360	**Group Total**	*	*	*	*	*	*

	WILLIS OF NORTHERN NEW ENGLAND	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA382	**Group Total**	**229**	**214**	**93%**	**47**	**43**	**91%**

	XL SPECIALTY INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	XL TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA160	ESIS	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	5	5	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	2	1	50%	No filings	No filings	No filings
	TPA Total	**8**	**7**	**88%**	**1**	**1**	**100%**
	XL Group Total	**8**	**7**	**88%**	**1**	**1**	**100%**

	YORK RISK SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA340	**Group Total**	**2**	**0**	**0%**	**2**	**1**	**50%**

	ZENITH INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Group Total	*	*	*	*	*	*
	ZENITH TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**
	ZENITH Group Total	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Second Quarter 2013
4/1/2013 - 6/30/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	ZURICH INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA021	AMERICAN ZURICH	1	1	100%	No filings	No filings	No filings
CA022	AMERICAN ZURICH	15	14	93%	3	3	100%
CA227	MARYLAND CASUALTY CO.	*	*	*	*	*	*
CA259	NORTHERN INSURANCE CO. OF NEW YORK	*	*	*	*	*	*
CA400	ZURICH AMERICAN INSURANCE CO.	10	6	60%	2	1	50%
CA404	ZURICH AMERICAN INSURANCE CO.	No filings	No filings	No filings	1	0	0%
	Total	**25**	**20**	**80%**	**6**	**4**	67%
	ZURICH TPA Administered Claims						
CA080	CHESTERFIELD SERVICES	2	2	100%	No filings	No filings	No filings
CA060	COTTINGHAM AND BUTLER CLAIMS SERVICES	1	1	100%	No filings	No filings	No filings
CA160	ESIS	*	*	*	*	*	*
CA165	F.A. RICHARD	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	8	7	88%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	5	4	80%	4	4	100%
	TPA Total	**16**	**14**	**88%**	**5**	**5**	**100%**
	ZURICH Group Total	**41**	**34**	**83%**	**11**	**9**	**82%**

Appendix B

Insurance Group Compliance
Initial MOP and Initial Indemnity NOC Filings
Second Quarter 2013
4/1/2013-6/30/2013

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Second Quarter 2013
4/1/2013 - 6/30/2013

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	ACADIA INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA010	**Group Total**	**11**	**11**	**100%**	**9**	**8**	**89%**
	ACE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	ACE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA070	CANNON COCHRAN MANAGEMENT SERVICES	2	0	0%	2	2	100%
CA110	CONSTITUTION STATE SERVICES	2	2	100%	1	1	100%
CA160	ESIS	5	4	80%	5	4	80%
CA190	GALLAGHER BASSETT SERVICES	7	6	86%	3	3	100%
CA204	HELMSMAN MANAGEMENT SERVICES	No filings	No filings	No filings	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	15	13	87%	11	11	100%
	TPA Total	**31**	**25**	**81%**	**23**	**22**	**96%**
	ACE Group Total	**31**	**25**	**81%**	**23**	**22**	**96%**
	AIG DOMESTIC CLAIMS, INC	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA015	AIG DOMESTIC CLAIMS, INC.	9	9	100%	3	3	100%
CA258	NEW HAMPSHIRE INSURANCE CO.	1	1	100%	No filings	No filings	No filings
	Group Total	**10**	**10**	**100%**	**3**	**3**	**100%**
	AIG GROUP TPA Administered Claims						
CA040	BROADSPIRE SERVICES	8	8	100%	6	6	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA100	CLAIMS MANAGEMENT (WAL-MART)	9	9	100%	10	10	100%
CA110	CONSTITUTION STATE SERVICES	*	*	*	*	*	*
CA116	CORVEL CORPORATION	*	*	*	*	*	*
CA160	ESIS	5	4	80%	6	6	100%
CA190	GALLAGHER BASSETT SERVICES	9	9	100%	9	9	100%
CA204	HELMSMAN MANAGEMENT SERVICES	1	1	100%	5	4	80%
CA300	SEDGWICK CLAIMS MANAGEMENT	13	12	92%	5	5	100%
	TPA Total	**45**	**43**	**96%**	**41**	**40**	**98%**
	AIG DOMESTIC CLAIMS, INC. Group Total	**54**	**52**	**96%**	**44**	**43**	**98%**
	AMTRUST INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA381	AMTRUST INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA342	TECHNOLOGY INSURANCE CO.	2	2	100%	No filings	No filings	No filings
	Group Total	**2**	**2**	**100%**	**No filings**	**No filings**	**No filings**
	ARCH INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	ARCH TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	1	1	100%
CA204	HELMSMAN MANAGEMENT SERVICES	No filings	No filings	No filings	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	No filings	No filings	No filings	2	2	100%
	TPA Total	**No filings**	**No filings**	**No filings**	**4**	**4**	**100%**
	ARCH Group Total	**No filings**	**No filings**	**No filings**	**4**	**4**	**100%**

***Indicates no claims activity this quarter**

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Initial MOP and Initial Indemnity NOC Filings
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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	ARROW MUTIAL INSURANCE CO.	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA032	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	BATH IRON WORKS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA036	Group Total	15	15	100%	5	5	100%
	BERKSHIRE HATHAWAY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA114	Group Total	*	*	*	*	*	*
	BROADSPIRE SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA040	Group Total	14	14	100%	6	6	100%
	CANNON COCHRAN MANAGEMENT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA070	Group Total	38	29	76%	29	29	100%
	CHESTERFIELD SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA080	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	CHUBB INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA090	Group Total	5	4	80%	No filings	No filings	No filings
	CHUBB TPA Administered Claims						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	1	1	100%	No filings	No filings	No filings
	CHUBB Group Total	6	5	83%	No filings	No filings	No filings
	CHURCH MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA084	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	CIANBRO CORPORATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA085	Group Total	1	0	0%	No filings	No filings	No filings
	CITY OF BANGOR	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA033	Group Total	11	10	91%	1	1	100%
	CLAIMS MANAGEMENT (WAL-MART)	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA100	Group Total	9	9	100%	10	10	100%
	CNA INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA050	Group Total	1	1	100%	1	0	0%
	CONSTITUTION STATE SERVICE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA110	Group Total	3	2	67%	1	1	100%
	CONTINENTAL INDEMNITY	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA115	Group Total	No filings	No filings	No filings	1	0	0%

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	CORVEL CORPORATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA116	Group Total	2	1	50%	3	3	100%
	COTTINGHAM AND BUTLER CLAIMS SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA117	Group Total	5	5	100%	3	2	67%
	CRUM & FORSTER	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA089	CRUM & FORSTER	No filings	No filings	No filings	1	0	0%
CA375	UNITED STATE FIRE INSURANCE	1	0	0%	No filings	No filings	No filings
	Group Total	1	0	0%	1	0	0%
	ELECTRIC INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	*	*	*	*	*	*
	ELECTRIC INSURANCE TPA Administered Claims						
CA300	SEDGWICK CLAIMS MANAGEMENT	2	2	100%	1	1	100%
	TPA Total	2	2	100%	1	1	100%
	ELECTRIC INSURANCE Group Total	2	2	100%	1	1	100%
	ESIS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA160	Group Total	13	8	62%	12	11	92%
	F.A. RICHARD	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA165	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	FEDERATED MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA091	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	FEDERATED SERVICE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA092	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	FUTURECOMP	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA175	Group Total	25	22	88%	10	10	100%
	GALLAGHER BASSETT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA190	Group Total	29	26	90%	17	17	100%
	GREAT AMERICAN INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA193	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	GREAT DIVIDE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA189	Group Total	*	*	*	*	*	*

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	GREAT FALLS INSURANCE CO.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	GREAT FALLS TPA Administered Claims						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	2	2	100%	7	7	100%
	TPA Total	**2**	**2**	**100%**	**7**	**7**	**100%**
	GREAT FALLS Group Total	**2**	**2**	**100%**	**7**	**7**	**100%**
	GUARANTEE INSURANCE CO.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA195	**Group Total**	**No filings**	**No filings**	**No filings**	**2**	**0**	**0%**
	GUARD INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA019	AMGUARD INSURANCE COMPANY	1	0	0%	2	2	100%
CA140	EASTGUARD INSURANCE COMPANY	3	2	67%	3	3	100%
CA272	NORGUARD INSURANCE COMPANY	5	5	100%	No filings	No filings	No filings
	Group Total	**9**	**7**	**78%**	**5**	**5**	**100%**
	HANNAFORD BROTHERS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA201	**Group Total**	**17**	**15**	**88%**	**7**	**7**	**100%**
	HANOVER INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA048	CITIZENS INSURANCE CO. OF AMERICA	3	3	100%	No filings	No filings	No filings
CA202	HANOVER INSURANCE COMPANY	3	3	100%	2	2	100%
CA228	MASSACHUSETTS BAY INS. CO.	3	1	33%	No filings	No filings	No filings
	Group Total	**9**	**7**	**78%**	**2**	**2**	**100%**
	HARLEYSVILLE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA197	**Group Total**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	HARTFORD INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA188	HARTFORD ACCIDENT & INDEMNITY	1	1	100%	2	2	100%
CA185	HARTFORD CASUALTY INS CO.	2	2	100%	1	1	100%
CA203	HARTFORD FIRE INSURANCE CO.	1	1	100%	2	2	100%
CA186	HARTFORD INSURANCE OF THE MIDWEST	3	3	100%	1	1	100%
CA187	HARTFORD UNDERWRITERS INSURANCE	2	2	100%	1	1	100%
CA288	PROPERTY & CASUALTY INSURANCE COMPANY	1	1	100%	No filings	No filings	No filings
CA296	SENTINEL INSURANCE CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA319	TRUMBULL INSURANCE COMPANY	4	4	100%	2	2	100%
CA321	TWIN CITY FIRE INSURANCE CO.	4	3	75%	2	2	100%
	Total	**18**	**17**	**94%**	**11**	**11**	**100%**
	HARTFORD TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA116	CORVEL CORPORATION	1	0	0%	2	2	100%
CA165	F.A. RICHARD	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
CA280	RISK ENTERPRISE MANAGEMENT	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT	2	2	100%	1	1	100%
	TPA Total	**5**	**4**	**80%**	**3**	**3**	**100%**
	HARTFORD Group Total	**23**	**21**	**91%**	**14**	**14**	**100%**
	HELMSMAN MANAGEMENT SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA204	**Group Total**	**2**	**1**	**50%**	**8**	**7**	**88%**

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	LIBERTY MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA380	EMPLOYERS INSURANCE OF WAUSAU	6	6	100%	3	3	100%
CA210	LIBERTY MUTUAL INSURANCE COMPANY	20	20	100%	17	15	88%
	Group Total	**26**	**26**	**100%**	**20**	**18**	**90%**
	LUMBERMEN'S UNDERWRITING	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA213	**Group Total**	*	*	*	*	*	*
	MACY'S CORPORATE SERVICES	**MOPIs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA213	**Group Total**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	MAINE AUTOMOBILE DEALERS ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA220	**Group Total**	**13**	**10**	**77%**	**3**	**3**	**100%**
	MAINE EMPLOYERS' MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA260	**Group Total**	**278**	**257**	**92%**	**167**	**160**	**96%**
	MAINE HEALTH CARE ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA234	**Group Total**	**4**	**4**	**100%**	**3**	**3**	**100%**
	MAINE MOTOR TRANSPORT ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA230	**Group Total**	**12**	**12**	**100%**	**15**	**15**	**100%**
	MAINE MUNICIPAL ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA225	**Group Total**	**53**	**50**	**94%**	**58**	**57**	**98%**
	MAINE SCHOOL MANAGEMENT ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA250	**Group Total**	**18**	**18**	**100%**	**20**	**19**	**95%**
	MEADOWBROOK	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA255	**Group Total**	**1**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	NATIONAL INTERSTATE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA267	**Group Total**	*	*	*	*	*	*
	NATIONWIDE AGRIBUSINESS INSURANCE	**MOPs Filed**	**TimelyMOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA291	**Group Total**	**1**	**1**	**100%**	**No filings**	**No filings**	**No fililngs**
	NGM INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA265	**Group Total**	**4**	**4**	**100%**	**No filings**	**No filings**	**No filings**
	NORTH RIVER INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA257	**Group Total**	**1**	**0**	**0%**	**No filings**	**No filings**	**No filings**

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	OLD REPUBLIC INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	OLD REPUBLIC TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	2	2	100%	No filings	No filings	No filings
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	3	3	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	1	1	100%	No filings	No filings	No filings
	TPA Total	**6**	**6**	**100%**	**No filings**	**No filings**	**No filings**
	OLD REPUBLIC Group Total	**6**	**6**	**100%**	**No filings**	**No filings**	**No filings**

	PATRIOT INSURANCE CO.	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA274	**Group Total**	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**

	PEERLESS INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA162	EXCELSIOR INSURANCE CO.	*	*	*	*	*	*
CA309	NETHERLANDS INSURANCE COMPANY	No filings	No filings	No filings	1	0	0%
CA275	PEERLESS INSURANCE CO.	12	12	100%	3	3	100%
CA283	PEERLESS INDEMNITY CO.	*	*	*	*	*	*
	Group Total	**12**	**12**	**100%**	**4**	**3**	**75%**

	PENNSYLVANIA MFG. ASSOCIATION INS. CO	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	PENNSYLVANIA MFG. TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	No filings	No filings	No filings
CA165	F.A. RICHARD	*	*	*	*	*	*
	TPA Total	**2**	**2**	**100%**	**No filings**	**No filings**	**No filings**
	PENNSYLVANIA MFG. Group Total	**2**	**2**	**100%**	**No filings**	**No filings**	**No filings**

	PRAETORIAN INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA276	**Group Total**	*	*	*	*	*	*
	PRAETORIAN INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	1	1	100%
	TPA Total	**1**	**1**	**100%**	**1**	**1**	**100%**
	PRAETORIAN INSURANCE Group Total	**1**	**1**	**100%**	**1**	**1**	**100%**

	PROTECTIVE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA277	**Group Total**	**No filings**	**No filings**	**No filings**	**1**	**0**	**0%**

	PUBLIC SERVICE MUTUAL	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA282	**Group Total**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**

***Indicates no claims activity this quarter**

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	RISK ENTERPRISE MANAGEMENT	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA280	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	SAFETY NATIONAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	SAFETY NATIONAL TPA Administered claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA116	CORVEL	1	1	100%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	4	3	75%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	1	1	100%
CA340	YORK RISK SERVICES	2	0	0%	No filings	No filings	No filings
	TPA Total	8	5	63%	2	2	100%
	SAFETY NATIONAL Group Total	8	5	63%	2	2	100%
	SEDGWICK CLAIMS MANAGEMENT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA300	Group Total	42	39	93%	27	24	89%
	SENTRY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA402	SENTRY CASUALTY CO.	1	1	100%	No filings	No filings	No filings
CA305	SENTRY A MUTUAL CO.	1	1	100%	No filings	No filings	No filings
CA308	SENTRY SELECT INSURANCE CO.	No filings	No filings	No filings	No filings	No filings	No filings
	Group Total	2	2	100%	No filings	No filings	No filings
	SPARTA INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	SPARTA TPA Administered claims						
CA060	COTTINGHAM AND BUTLER CLAIMS SERVICES	5	5	100%	3	2	67%
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
	TPA Total	5	5	100%	3	2	67%
	SPARTA Group Total	5	5	100%	3	2	67%
	STATE OF MAINE WORKERS' COMPENSATION TRUST	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA307	Group Total	41	38	93%	34	31	91%
	SYNERNET	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA320	Group Total	36	29	81%	26	26	100%
	TOWER INSURANCE COMPANY	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA356	Group Total	3	3	100%	2	2	100%

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	TRAVELERS INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA072	CHARTER OAK FIRE INS. CO.	21	20	95%	8	7	88%
CA164	FARMINGTON CASUALTY CO.	1	1	100%	1	1	100%
CA306	STANDARD FIRE INS. CO.	2	2	100%	2	2	100%
CA284	THE PHOENIX INS. CO.	1	1	100%	1	1	100%
CA347	TRAVELERS CASUALTY & SURETY COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	4	4	100%	1	1	100%
CA349	TRAVELERS COMMERCIAL CASUALTY	1	1	100%	No filings	No filings	No filings
CA343	TRAVELERS INDEMNITY CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA346	TRAVELERS INDEMNITY CO. OF AMERICA	No filings	No filings	No filings	1	1	100%
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	1	0	0%	No filings	No filings	No filings
	Total	**31**	**29**	**94%**	**14**	**13**	**93%**
	TRAVELERS TPA Administered Claims						
CA040	BROADSPIRE SERVICES	3	3	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	**3**	**3**	**100%**	**No filings**	**No filings**	**No filings**
	TRAVELERS Group Total	**34**	**32**	**94%**	**14**	**13**	**93%**

	UNDERWRITERS SAFETY & CLAIMS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA360	**Group Total**	*	*	*	*	*	*

	WILLIS OF NORTHERN NEW ENGLAND	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA382	**Group Total**	**47**	**41**	**87%**	**57**	**56**	**98%**

	XL SPECIALTY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	XL TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA160	ESIS	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	No filings	No filings	No filings	1	0	0%
	TPA Total	**1**	**1**	**100%**	**2**	**1**	**50%**
	XL Group Total	**1**	**1**	**100%**	**2**	**1**	**50%**

	YORK RISK SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA340	**Group Total**	**2**	**0**	**0%**	**No filings**	**No filings**	**No filings**

	ZENITH INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	ZENITH TPA Administered claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	ZENITH Group Total	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	ZURICH INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA021	AMERICAN ZURICH	No filings	No filings	No filings	No filings	No filings	No filings
CA022	AMERICAN ZURICH	3	3	100%	4	3	75%
CA227	MARYLAND CASUALTY CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA259	NORTHERN INSURANCE CO. OF NEW YORK	*	*	*	*	*	*
CA400	ZURICH AMERICAN INSURANCE CO.	2	1	50%	4	4	100%
CA404	ZURICH AMERICAN INSURANCE CO.	1	0	0%	No filings	No filings	No filings
	Total	**6**	**4**	**67%**	**8**	**7**	**88%**
	ZURICH TPA Administered Claims						
CA080	CHESTERFIELD SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA060	COTTINGHAM AND BUTLER CLAIMS SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA160	ESIS	*	*	*	*	*	*
CA165	F.A. RICHARD	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	4	4	100%	1	1	100%
	TPA Total	**5**	**5**	**100%**	**3**	**3**	**100%**
	ZURICH Group Total	**11**	**9**	**82%**	**11**	**10**	**91%**