QUARTERLY COMPLIANCE REPORT

STATE OF MAINE
WORKERS' COMPENSATION BOARD

Second Quarter 2012 Report

April 1, 2012 – June 30, 2012

Office of Monitoring, Audit & Enforcement

Paul H. Sighinolfi, Esq.
Executive Director/Chair

27 State House Station
Augusta, Maine 04333-0027
(207) 287-3751

State of Maine
Workers' Compensation Board
Quarterly Compliance Report
Second Quarter 2012

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Executive Summary

On October 9, 2012, the Maine Workers' Compensation Board of Directors approved the Second Quarter 2012 Compliance Report. This report represents the efforts of the Office of Monitoring, Audit and Enforcement and insurers, self-administered employers, and third-party administrators (collectively "insurers").

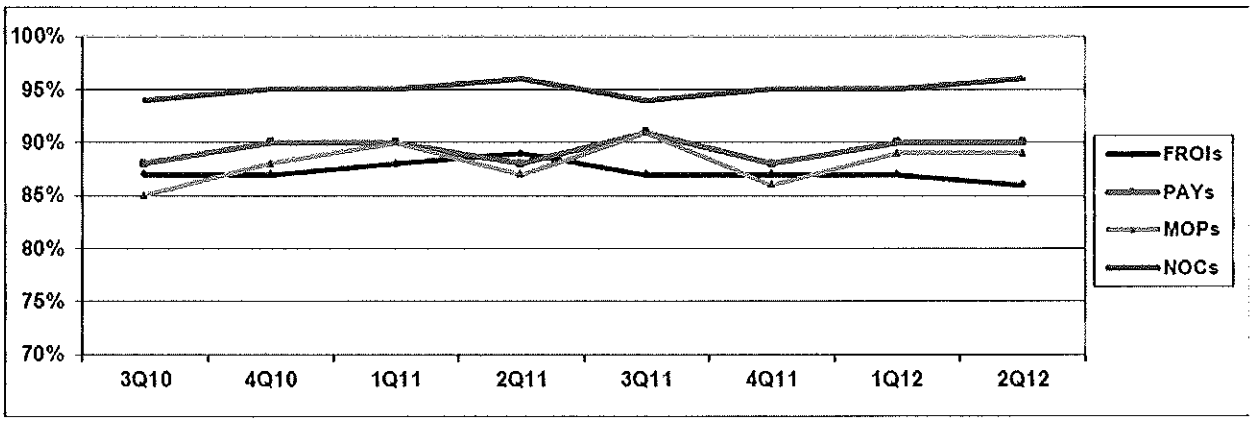
I. COMPLIANCE OVERVIEW

The Reconciliation Report was sent to 109 insurers on July 20, 2012; 75 responded, 30 were not required to respond and 4 did not respond.

The 2Q12 report represents results based upon data received by September 17, 2012. The results are:

Category	Number of Days	Benchmark	3Q10	4Q10	1Q11	2Q11	3Q11	4Q11	1Q12	2Q12
FROIs	7	85%	87%	87%	88%	89%	87%	87%	87%	86%
PAYs	14	87%	88%	90%	90%	88%	91%	88%	90%	90%
MOPs	17	85%	85%	88%	90%	87%	91%	86%	89%	89%
NOCs	14	90%	94%	95%	95%	96%	94%	95%	95%	96%

Compliance Benchmark Tracking (3Q10 – 2Q12)



II. CAVEATS & EXPLANATIONS

A. General

- Question marks ("?") within this report indicate that the insurer did not provide all of the data required to measure compliance in that particular area.

B. Lost Time First Report of Injury (FROI) Filings

- Compliance with this benchmark exists when the FROI is filed (accepted EDI transaction, with or without errors) within 7 days after the employer receives notice or knowledge of an employee injury that has caused the employee to lose a day's work.

C. Initial Indemnity Payments (PAYs)

- Compliance with this benchmark exists when the check is mailed within the later of (i) 14 days after the employer's notice or knowledge of incapacity or (ii) the first day of compensability plus 6 days.
- If an employer continues to pay the employee's salary, payments are deemed timely for purposes of compliance if made consistent with the employer's usual payroll practice.

D. Initial Memorandum of Payment (MOP) Filings

- Compliance with this benchmark exists when the MOP is received within 17 days of the employer's notice or knowledge of incapacity.

E. Initial Indemnity Notice of Controversy (NOC) Filings

- Compliance with this benchmark exists when the NOC is filed (accepted EDI transaction, with or without errors) within 14 days after the employer receives notice or knowledge of the incapacity or death. Measurement excludes filings submitted with full denial reason codes 3A-3H (No Coverage).

III. COMPLETED AUDITS

The Board conducts compliance audits of insurers, self-insurers and third-party administrators to ensure that all obligations under the Workers' Compensation Act are met. The functions of the audit program include, but are not limited to: ensuring that all reporting requirements of the Board are met, auditing the timeliness of benefit payments, auditing the accuracy of indemnity payments, evaluating claims-handling techniques, and determining whether claims are unreasonably contested.

The following had audits completed in the 2Q12:

Auditee (alpha order)	Total Penalties
Great West Insurance	$1,700.00
Maine School Management Association	$3,000.00
NGM Insurance	$2,600.00
Ryder Services	$ 500.00

LOST TIME FIRST REPORT OF INJURY FILINGS

Chart 1 Timeliness Distribution

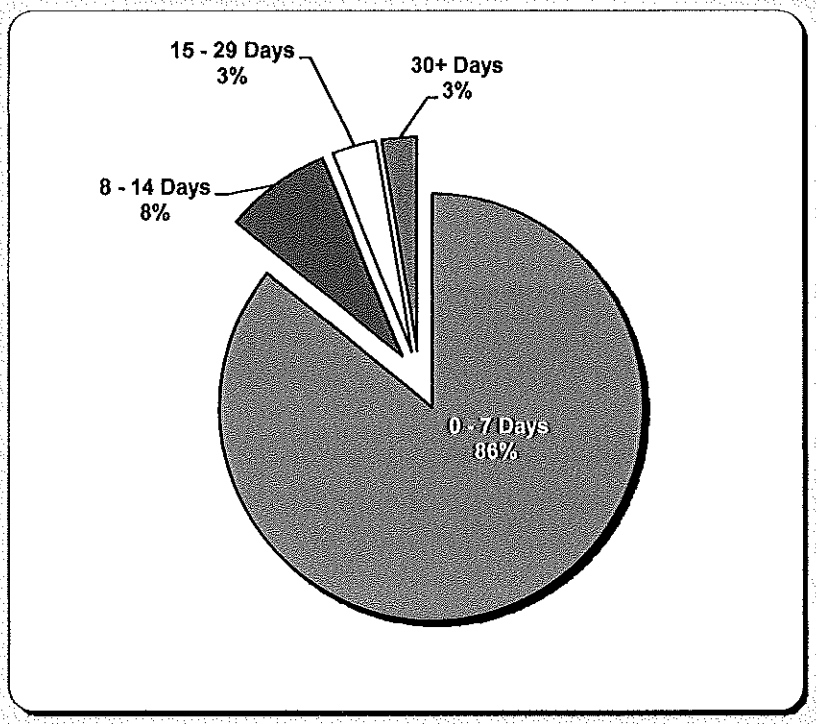


Table 1

Received Within:			
0 - 7	Days	2,643	86%
8 - 14	Days	249	8%
15 - 29	Days	105	3%
30+	Days	83	3%
Total		3,080	100%

Chart 2 Quarterly Compliance

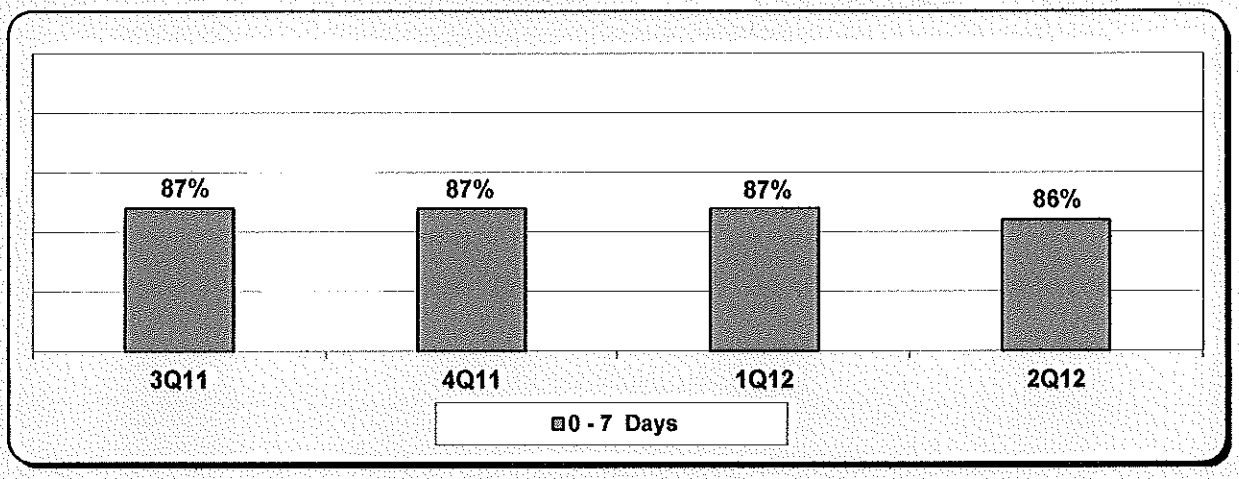
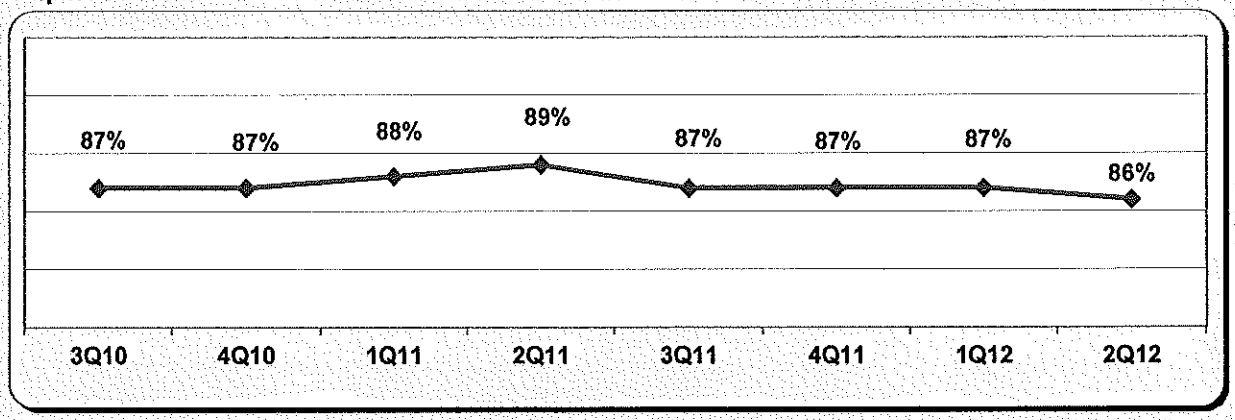


Chart 3 Compliance Trend



INITIAL INDEMNITY PAYMENTS

Chart 4 Timeliness Distribution

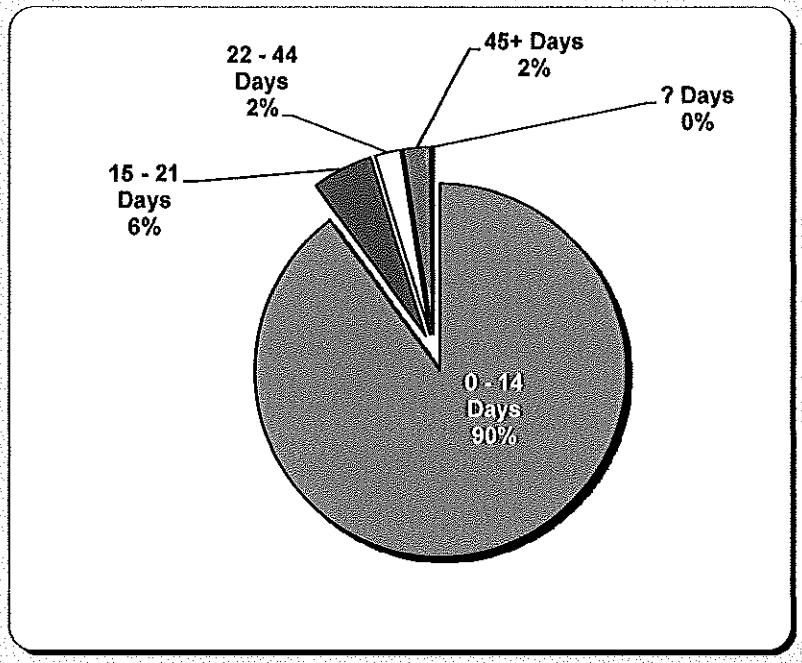


Table 2

Made Within:			
0 - 14	Days	779	90%
15 - 21	Days	46	6%
22 - 44	Days	20	2%
45+	Days	20	2%
?	Days	2	0%
Total		**867**	**100%**

Initial indemnity payments are monitored to ensure that payments are initiated within the time limits established in Section 205. As a result of these efforts, $11,300 was issued to claimants in penalties and there is another $3,950 in penalties awaiting resolution.

Chart 5 Quarterly Compliance

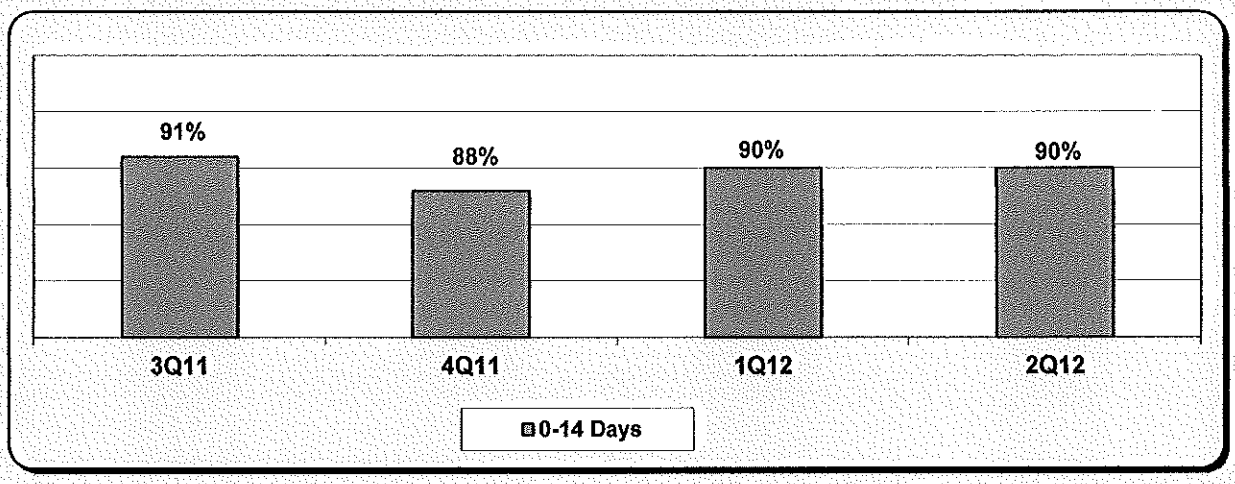
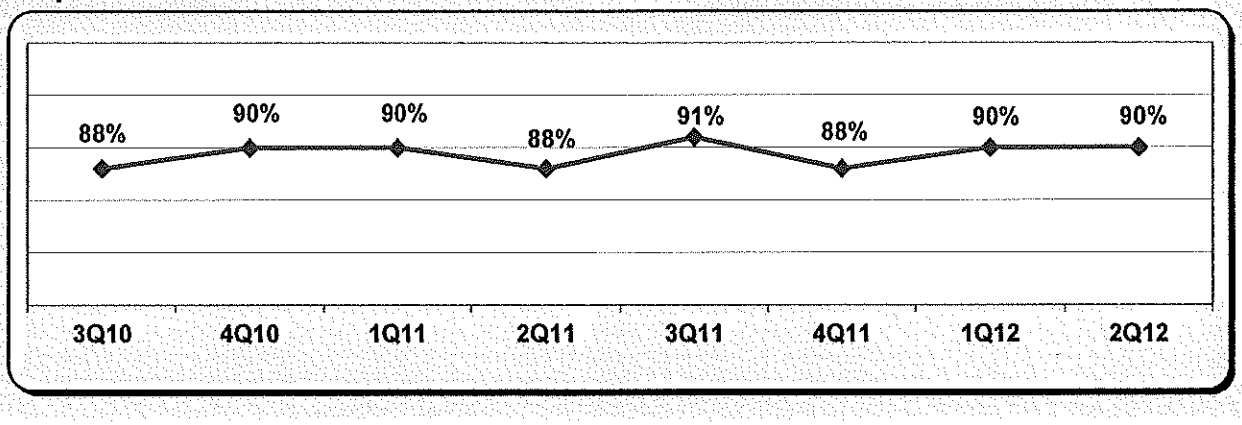


Chart 6 Compliance Trend



INITIAL MEMORANDUM OF PAYMENT FILINGS

Chart 7 Timeliness Distribution

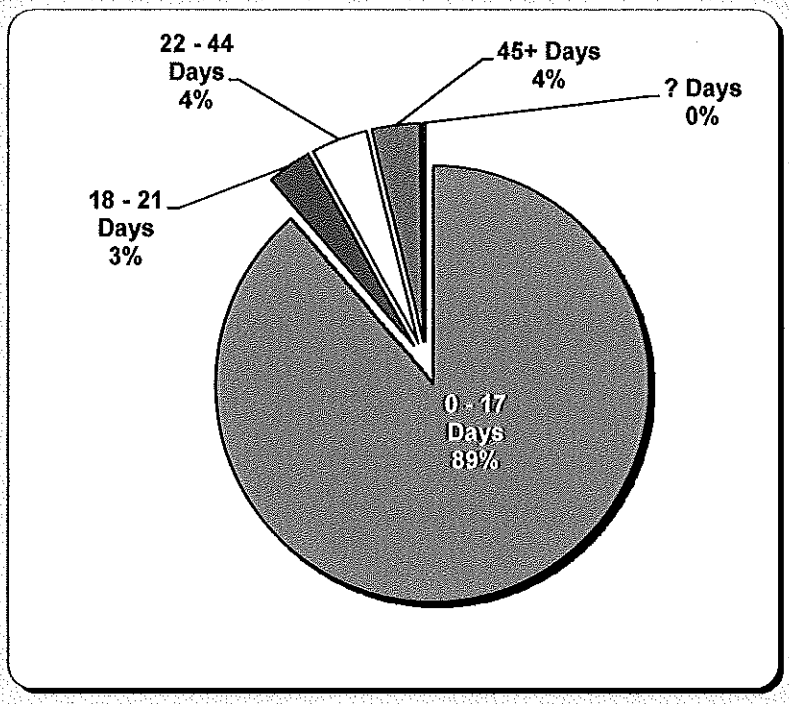


Table 3

Received Within:			
0 - 17	Days	769	89%
18 - 21	Days	29	3%
22 - 44	Days	36	4%
45+	Days	31	4%
?	Days	2	0%
Total		**867**	**100%**

Chart 8 Quarterly Compliance

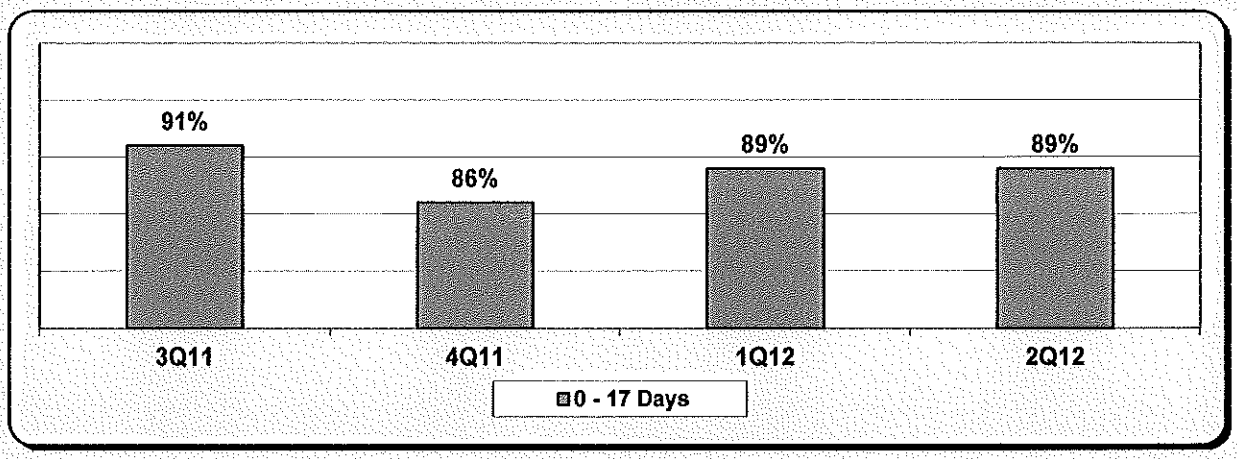
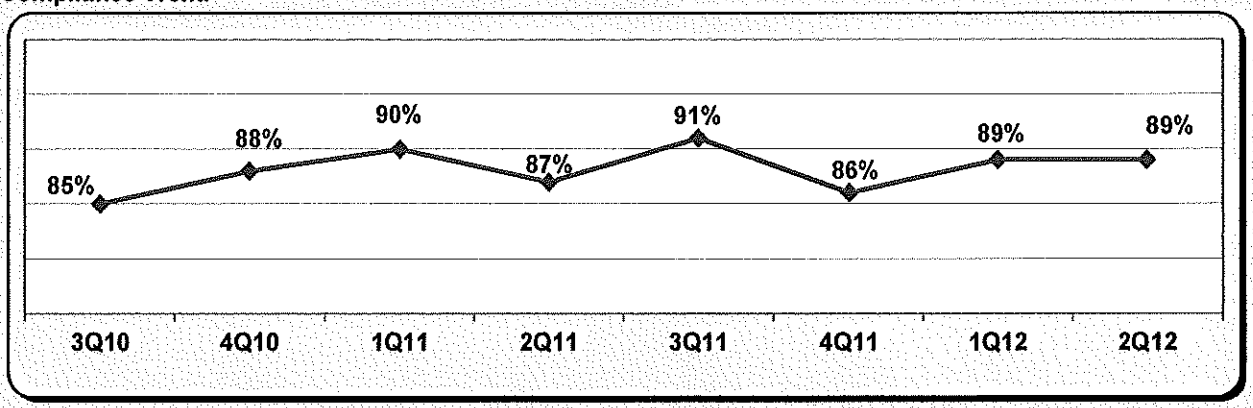


Chart 9 Compliance Trend



INITIAL INDEMNITY NOTICE OF CONTROVERSY FILINGS

Chart 10 Timeliness Distribution

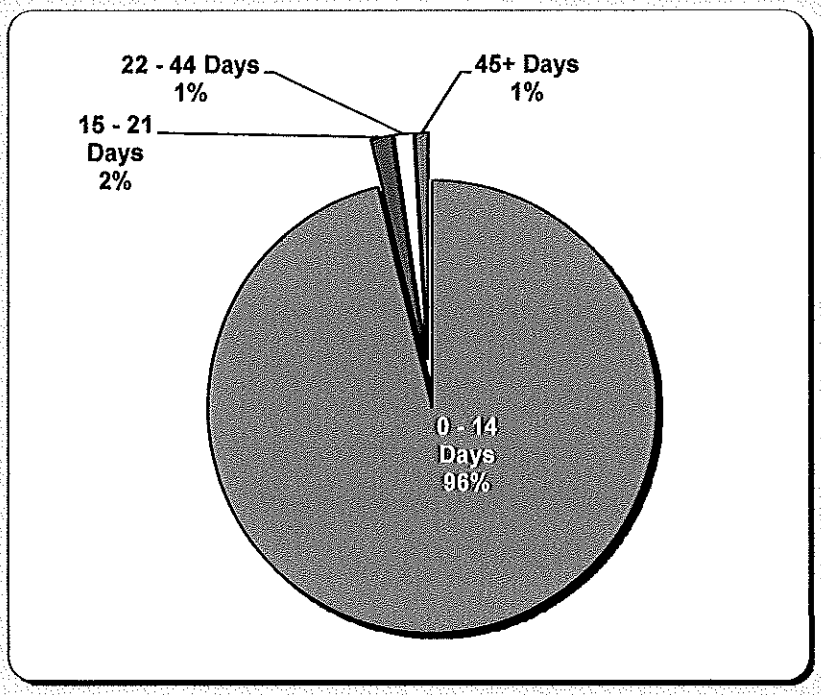


Table 4

Received Within:			
0 - 14	Days	623	96%
15 - 21	Days	10	2%
22 - 44	Days	9	1%
45+	Days	6	1%
Total		648	100%

Chart 11 Quarterly Compliance

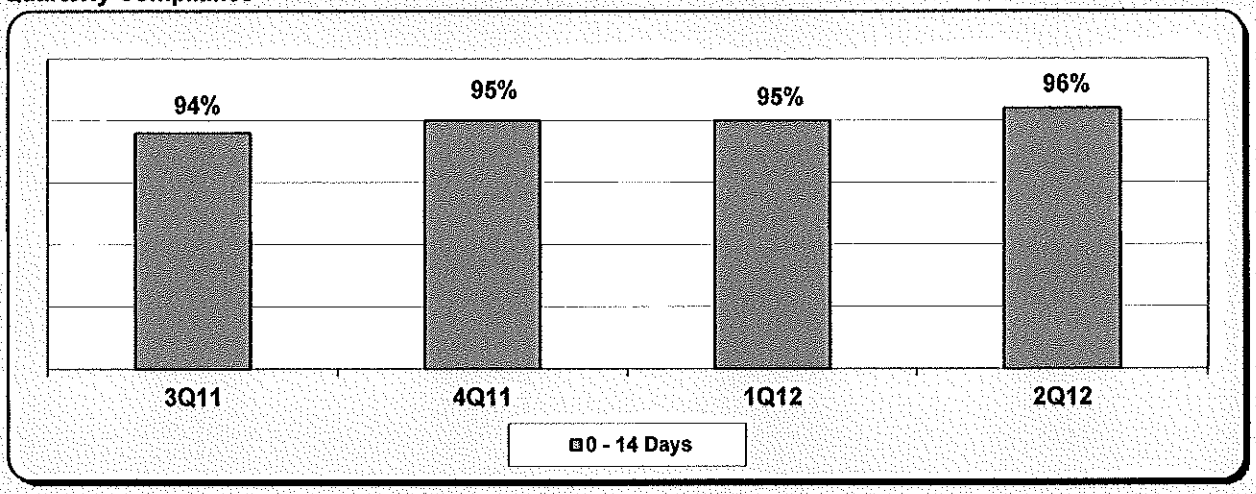
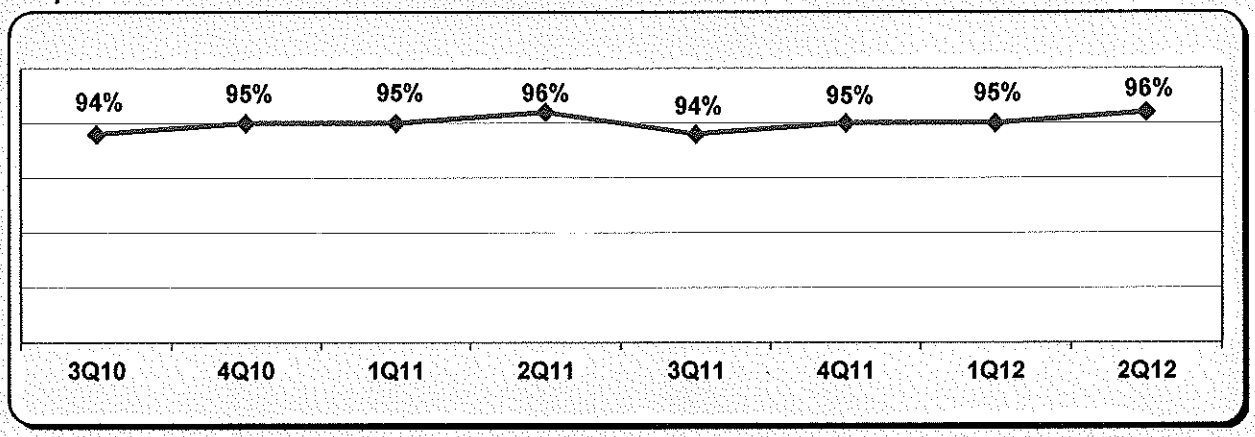


Chart 12 Compliance Trend



WAGE INFORMATION

Wage information (WCB-2 and WCB 2A forms) must be filed within 30 days of an employer's notice or knowledge of a claim for compensation (Title 39-A M.R.S.A. Section 303). This includes both compensated and controverted claims where a claim for compensation has been made.

Chart 13 Wage Statement Timeliness Distribution

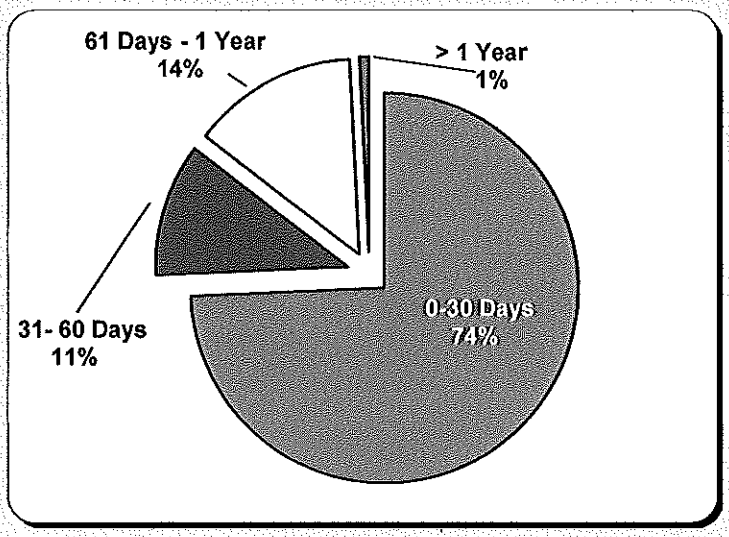


Table 5

Received Within:		
0-30 Days	1,274	74%
31-60 Days	193	11%
61 Days-1 Year	238	14%
> 1 Year	13	1%
Total	**1,718**	**100%**

Wage Statement(s): 1,335 (72%) of the 1,859 Wage Statement(s) that were due this quarter were filed timely, 427 (23%) were filed late, and 97 (5%) remain outstanding.

Chart 14 Schedule of Dependent(s) and Filing Status Statement Timeliness Distribution

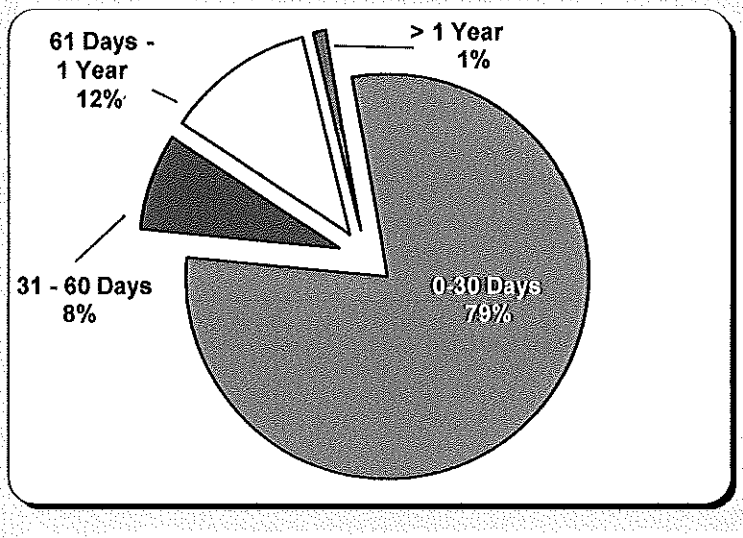


Table 6

Received Within:		
0-30 Days	1,388	79%
31-60 Days	134	8%
61 Days-1 Year	208	12%
> 1 Year	12	1%
Total	**1,742**	**100%**

Schedule of Dependent(s) and Filing Status Statement(s): 1,432 (77%) of the 1,859 Schedule of Dependent(s) and Filing Status Statement(s) due this quarter were filed timely, 322 (17%) were filed late, and 105 (6%) remain outstanding.

Appendix A

Insurance Group Compliance
Lost Time FROI Filings and
Initial Indemnity Payments
Second Quarter 2012
4/1/2012-6/30/2012

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Second Quarter 2012
4/1/2012 - 6/30/2012

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	ACADIA INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA010	**Group Total**	**51**	**40**	**78%**	**20**	**17**	**85%**
	ACE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	ACE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	3	3	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	7	7	100%	No filings	No filings	No filings
CA110	CONSTITUTION STATE SERVICES	7	7	100%	1	1	100%
CA160	ESIS	39	26	67%	16	13	81%
CA190	GALLAGHER BASSETT SERVICES	22	19	86%	7	5	71%
CA204	HELMSMAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT	34	32	94%	8	8	100%
CA315	SEDGWICK (formerly SPECIALTY RISK SERVICES)	4	4	100%	No filings	No filings	No filings
	TPA Total	**116**	**98**	**84%**	**32**	**27**	**84%**
	ACE Group Total	**116**	**98**	**84%**	**32**	**27**	**84%**
	AMTRUST INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA381	AMTRUST INSURANCE	3	1	33%	No filings	No filings	No filings
CA342	TECHNOLOGY INSURANCE CO.	9	3	33%	1	1	100%
	Group Total	**12**	**4**	**33%**	**1**	**1**	**100%**
	ARCH INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	ARCH TPA Administered Claims						
CA040	BROADSPIRE SERVICES	3	3	100%	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	3	1	33%	2	1	50%
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	5	5	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	10	9	90%	1	1	100%
CA168	THE FRANK GATES SERVICE COMPANY	*	*	*	*	*	*
CA140	YORK RISK SERVICES GROUP	*	*	*	*	*	*
	TPA Total	**21**	**18**	**86%**	**4**	**3**	**75%**
	ARCH Group Total	**21**	**18**	**86%**	**4**	**3**	**75%**
	ARGONAUT INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA020	**Total**	**1**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	ARGONAUT TPA Administered Claims						
CA240	TRIDENT INSURANCE SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	ARGONAUT Group Total	**1**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	ARROW MUTUAL INSURANCE COMPANY	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA032	**Group Total**	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**
	BATH IRON WORKS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA036	**Group Total**	**113**	**113**	**100%**	**14**	**14**	**100%**

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Second Quarter 2012
4/1/2012 - 6/30/2012

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	BERKSHIRE HATHAWAY INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA114	**Group Total**	*	*	*	*	*	*
	BROADSPIRE SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA040	**Group Total**	27	26	96%	11	11	100%
	CANNON COCHRAN MANAGEMENT SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA070	**Group Total**	105	93	89%	32	29	91%
	CHARTIS INS GROUP	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA015	**Total**	33	33	100%	16	16	100%
	CHARTIS INS GROUP TPA Administered Claims						
CA040	BROADSPIRE SERVICES	9	8	89%	3	3	100%
CA100	CLAIMS MANAGEMENT (WAL-MART)	44	44	100%	9	9	100%
CA110	CONSTITUTION STATE SERVICES	1	1	100%	No filings	No filings	No filings
CA160	ESIS	23	19	83%	9	9	100%
CA190	GALLAGHER BASSETT SERVICES	47	40	85%	10	8	80%
CA204	HELMSMAN MANAGEMENT SERVICES	6	6	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	34	27	79%	6	5	83%
	TPA Total	164	145	88%	38	35	92%
	CHARTIS INS Group Total	197	178	90%	54	51	94%
	CHESTERFIELD SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA080	**Group Total**	2	2	100%	No filings	No filings	No filings
	CHUBB INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA090	**Group Total**	10	1	10%	5	3	60%
	CHUBB INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	3	3	100%	No filings	No filings	No filings
	TPA Total	3	3	100%	No filings	No filings	No filings
	CHUBB Group Total	13	4	31%	5	3	60%
	CHURCH MUTUAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA080	**Group Total**	2	1	50%	No filings	No filings	No filings
	CIANBRO CORPORATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA085	**Group Total**	3	1	33%	2	2	100%
	CITY OF BANGOR	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA033	**Group Total**	13	13	100%	5	5	100%
	CLAIMS MANAGEMENT (WAL-MART)	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA100	**Group Total**	44	44	100%	9	9	100%
	CNA INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA050	**Group Total**	3	2	67%	No filings	No filings	No filings

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Second Quarter 2012
4/1/2012 - 6/30/2012

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	CONSTITUTION STATE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA110	Group Total	8	8	100%	1	1	100%
	CONTINENTAL INDEMNITY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA115	Group Total	1	1	100%	1	1	100%
	CORVEL CORPORATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA116	Group Total	1	0	0%	No filings	No filings	No filings
	COTTINGHAM AND BUTLER CLAIMS SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA117	Group Total	2	2	100%	No filings	No filings	No filings
	CRUM & FORSTER	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA375	Group Total	*	*	*	*	*	*
	ESIS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA160	Group Total	64	46	72%	26	22	85%
	F.A. RICHARD	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA165	Group Total	1	1	100%	No filings	No filings	No filings
	FEDERATED MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA091	FEDERATED MUTUAL INSURANCE	1	1	100%	No filings	No filings	No filings
CA092	FEDERATED SERVICE INSURANCE	2	0	0%	No filings	No filings	No filings
	Group Total	3	1	33%	No filings	No filings	No filings
	FIREMANS FUND INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA170	Group Total	1	1	100%	1	1	100%
	FUTURECOMP	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA175	Group Total	67	64	96%	17	14	82%
	GALLAGHER BASSETT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA190	Group Total	126	113	90%	34	28	82%
	GREAT DIVIDE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA189	Group Total	*	*	*	*	*	*
	GREAT FALLS INSURANCE CO.	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	*	*	*	*	*	*
	GREAT FALLS TPA Administered Claims						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	12	11	92%	3	2	67%
	TPA Total	12	11	92%	3	2	67%
	GREAT FALLS Group Total	12	11	92%	3	2	67%
	GUARANTEE INSURANCE CO	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA195	Group Total	3	0	0%	1	0	0%

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Second Quarter 2012
4/1/2012 - 6/30/2012

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	GUARD INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA019	AMGUARD INSURANCE COMPANY	4	0	0%	1	1	100%
CA140	EASTGUARD INSURANCE COMPANY	4	3	75%	1	1	100%
CA272	NORGUARD INSURANCE COMPANY	5	3	60%	No filings	No filings	No filings
	Group Total	**13**	**6**	**46%**	**2**	**2**	**100%**
	HANNAFORD BROTHERS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA201	**Group Total**	**83**	**75**	**90%**	**16**	**13**	**81%**
	HANOVER INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA048	CITIZENS INSURANCE CO. OF AMERICA	12	11	92%	2	2	100%
CA202	HANOVER INSURANCE CO.	7	6	86%	5	3	60%
CA228	MASSACHUSETTS BAY INS. CO.	15	12	80%	6	6	100%
	Group Total	**34**	**29**	**85%**	**13**	**11**	**85%**
	HARTFORD INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA188	HARTFORD ACCIDENT & INDEMNITY	1	1	100%	1	1	100%
CA185	HARTFORD CASUALTY INS CO.	5	5	100%	No filings	No filings	No filings
CA203	HARTFORD FIRE INSURANCE CO	6	4	67%	3	3	100%
CA186	HARTFORD INSURANCE OF THE MIDWEST	17	16	94%	6	5	83%
CA187	HARTFORD UNDERWRITERS INSURANCE	2	1	50%	No filings	No filings	No filings
CA288	PROPERTY & CASUALTY INSURANCE COMPANY	4	4	100%	1	1	100%
CA296	SENTINEL INSURANCE CO.	4	4	100%	No filings	No filings	No filings
CA319	TRUMBULL	2	2	100%	No filings	No filings	No filings
CA321	TWIN CITY FIRE INSURANCE CO.	10	7	70%	2	1	50%
	Total	**51**	**44**	**86%**	**13**	**11**	**85%**
	HARTFORD TPA Administered Claims						
CA040	BROADSPIRE SERVICES	2	2	100%	1	1	100%
CA165	F.A. RICHARD	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	5	4	80%	No filings	No filings	No filings
CA280	RISK ENTERPRISE MANAGEMENT	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	6	5	83%	2	2	100%
CA315	SEDGWICK (formerly SPECIALTY RISK SERVICES)	3	1	33%	1	1	100%
	TPA Total	**18**	**14**	**78%**	**4**	**4**	**100%**
	HARTFORD Group Total	**69**	**58**	**84%**	**17**	**15**	**88%**
	HELMSMAN MANAGEMENT SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA204	**Group Total**	**8**	**7**	**88%**	**2**	**1**	**50%**
	LIBERTY MUTUAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA380	EMPLOYERS INSURANCE OF WAUSAU	18	13	72%	6	5	83%
CA210	LIBERTY MUTUAL INSURANCE COMPANY	69	54	78%	33	30	91%
	Group Total	**87**	**67**	**77%**	**39**	**35**	**90%**
	LIBERTY TPA Administered Claims						
CA204	HELMSMAN MANAGEMENT SERVICES	2	1	50%	1	0	0%
	TPA Total	**2**	**1**	**50%**	**1**	**0**	**0%**
	LIBERTY Group Total	**89**	**68**	**76%**	**40**	**35**	**88%**

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Second Quarter 2012
4/1/2012 - 6/30/2012

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	MACY'S CORPORATE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA213	Group Total	1	1	100%	1	1	100%
	MAINE AUTOMOBILE DEALERS ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA220	Group Total	23	23	100%	6	6	100%
	MAINE EMPLOYERS' MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA260	Group Total	911	737	81%	298	277	93%
	MAINE HEALTH CARE ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA234	Group Total	31	29	94%	5	4	80%
	MAINE MOTOR TRANSPORT ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA230	Group Total	44	44	100%	8	7	88%
	MAINE MUNICIPAL ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA225	Group Total	193	181	94%	54	45	83%
	MAINE SCHOOL MANAGEMENT ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA250	Group Total	72	63	88%	16	15	94%
	MEADOWBROOK	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA255	Group Total	7	6	86%	3	2	67%
	NATIONAL INTERSTATE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA267	Group Total	*	*	*	*	*	*
	NGM INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA265	Group Total	2	2	100%	2	0	0%
	OLD REPUBLIC INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	OLD REPUBLIC TPA Administered Claims						
CA040	BROADSPIRE SERVICES	2	2	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	8	8	100%	4	4	100%
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	2	2	100%
CA280	RYDER SERVICES	3	2	67%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	3	3	100%	2	1	50%
CA382	WILLIS OF NORTHERN NEW ENGLAND, INC.	*	*	*	*	*	*
	TPA Total	18	17	94%	9	8	89%
	OLD REPUBLIC Group Total	18	17	94%	9	8	89%
	ONEBEACON INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA270	ONEBEACON INSURANCE	No filings	No filings	No filings	1	1	100%
CA281	ONEBEACON INSURANCE	1	0	0%	No filings	No filings	No filings
	Group Total	1	0	0%	1	1	100%
	PATRIOT INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA274	Group Total	5	4	80%	No filings	No filings	No filings

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INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Second Quarter 2012
4/1/2012 - 6/30/2012

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	PEERLESS INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA162	EXCELSIOR INSURANCE CO.	*	*	*	*	*	*
CA309	NETHERLANDS INSURANCE COMPANY	5	4	80%	4	4	100%
CA275	PEERLESS INSURANCE CO.	50	44	88%	15	14	93%
CA283	PEERLESS INDEMNITY CO.	*	*	*	*	*	*
	Group Total	**55**	**48**	**87%**	**19**	**18**	**95%**
	PENNSYLVANIA MFG. ASSOCIATION INS. CO	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	PENNSYLVANIA MFG. TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	9	9	100%	2	1	50%
CA165	F.A. RICHARD	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	**9**	**9**	**100%**	**2**	**1**	**50%**
	PENNSYLVANIA MFG. Group Total	**9**	**9**	**100%**	**2**	**1**	**50%**
	PROTECTIVE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA277	**Group Total**	**No filings**	**No filings**	**No filings**	**1**	**1**	**100%**
	PUBLIC SERVICE MUTUAL	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA282	**Group Total**	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**
	RISK ENTERPRISES MANAGEMENT	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA280	**Group Total**	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**
	RYDER SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA280	**Group Total**	**3**	**2**	**67%**	**1**	**1**	**100%**
	SAFETY NATIONAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	SAFETY NATIONAL TPA Administered claims						
CA116	CORVEL	1	0	0%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	3	2	67%	No filings	No filings	No filings
CA168	THE FRANK GATES SERVICE COMPANY	2	2	100%	No filings	No filings	No filings
	TPA Total	**8**	**6**	**75%**	**2**	**2**	**100%**
	SAFETY NATIONAL Group Total	**8**	**6**	**75%**	**2**	**2**	**100%**
	SEDGWICK CLAIMS MANAGEMENT SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	135	112	83%	34	26	76%
CA315	SEDGWICK (formerly SPECIALTY RISK SERVICES)	7	5	71%	1	1	100%
	Group Total	**142**	**117**	**82%**	**35**	**27**	**77%**
	SENTRY INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA305	**Group Total**	**4**	**3**	**75%**	**1**	**1**	**100%**

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INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Second Quarter 2012
4/1/2012 - 6/30/2012

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	SPARTA INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	SPARTA TPA Administered claims						
CA060	COTTINGHAM AND BUTLER CLAIMS SERVICES	2	2	100%	No filings	No filings	No filings
	TPA Total	2	2	100%	No filings	No filings	No filings
	SPARTA Group Total	2	2	100%	No filings	No filings	No filings
	STATE OF MAINE WORKERS' COMPENSATION TRUST	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA307	Group Total	127	113	89%	22	22	100%
	SYNERNET	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA320	Group Total	144	133	92%	23	22	96%
	THE FRANK GATES SERVICE COMPANY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA168	Group Total	4	2	50%	2	2	100%
	TOKIO MARINE & NICHIDO FIRE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA311	Group Total	No filings	No filings	No filings	1	1	100%
	TOWER INSURANCE COMPANY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA356	Group Total	7	1	14%	5	2	40%
	TRAVELERS INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA072	CHARTER OAK FIRE INS. CO.	36	30	83%	16	15	94%
CA306	STANDARD FIRE INS. CO.	11	10	91%	5	5	100%
CA303	ST. PAUL FIRE & MARINE INSURANCE	*	*	*	*	*	*
CA284	THE PHOENIX INSURANCE CO	1	0	0%	1	1	100%
CA347	TRAVELERS CASUALTY & SURETY COMPANY	6	4	67%	2	2	100%
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	5	3	60%	2	1	50%
CA349	TRAVELERS COMMERCIAL CASUALTY	1	1	100%	1	1	100%
CA343	TRAVELERS INDEMNITY COMPANY	1	1	100%	No filings	No filings	No filings
CA346	TRAVELERS INDEMNITY CO OF AMERICA	1	1	100%	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	*	*	*	*	*	*
	Total	62	50	81%	27	25	93%
	TRAVELERS TPA Administered Claims						
CA040	BROADSPIRE SERVICES	7	7	100%	5	5	100%
CA190	GALLAGHER BASSETT SERVICES	2	1	50%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	3	3	100%	No filings	No filings	No filings
CA168	THE FRANK GATES SERVICE COMPANY	2	0	0%	2	2	100%
	TPA Total	14	11	79%	7	7	100%
	TRAVELERS Group Total	76	61	80%	34	32	94%
	TRIDENT INSURANCE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA240	Group Total	*	*	*	*	*	*
	UTICA	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA191	Group Total	1	1	100%	No filings	No filings	No filings

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Second Quarter 2012
4/1/2012 - 6/30/2012

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	WILLIS OF NORTHERN NEW ENGLAND	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA001	HRH CLAIMS MANAGEMENT	No filings	No filings	No filings	No filings	No filings	No filings
CA360	UNDERWRITERS SAFETY & CLAIMS	*	*	*	*	*	*
CA382	WILLIS OF NORTHERN NEW ENGLAND, INC.	237	220	93%	49	47	96%
	Group Total	**237**	**220**	**93%**	**49**	**47**	**96%**
	XL SPECIALTY INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA384	**Total**	*	*	*	*	*	*
	XL TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	*	*	*	*	*	*
	TPA Total	**2**	**2**	**100%**	**No filings**	**No filings**	**No filings**
	XL Group Total	**2**	**2**	**100%**	**No filings**	**No filings**	**No filings**
	YORK RISK SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA340	**Group Total**	*	*	*	*	*	*
	ZURICH INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA021	AMERICAN GUARANTEE AND LIABILITY	1	1	100%	No filings	No filings	No filings
CA022	AMERICAN ZURICH	13	13	100%	3	3	100%
CA259	NORTHERN INSURANCE CO. OF NEW YORK	2	0	0%	1	0	0%
CA400	ZURICH AMERICAN INSURANCE CO.	11	8	73%	2	2	100%
	Total	**27**	**22**	**81%**	**6**	**5**	83%
	ZURICH TPA Administered Claims						
CA080	CHESTERFIELD SERVICES	2	2	100%	No filings	No filings	No filings
CA160	ESIS	*	*	*	*	*	*
CA165	F.A. RICHARD	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	21	20	95%	10	9	90%
CA300	SEDGWICK CLAIMS MANAGEMENT	12	11	92%	2	2	100%
	TPA Total	**35**	**33**	**94%**	**12**	**11**	**92%**
	ZURICH Group Total	**62**	**55**	**89%**	**18**	**16**	**89%**

***Indicates no claims activity this quarter**

Appendix B

Insurance Group Compliance
Initial MOP and Initial Indemnity NOC Filings
Second Quarter 2012
4/1/2012-6/30/2012

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Second Quarter 2012
4/1/2012 - 6/30/2012

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	ACADIA INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA010	**Group Total**	20	17	85%	13	11	85%
	ACE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	ACE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	No filings	No filings	No filings	1	1	100%
CA110	CONSTITUTION STATE SERVICES	1	1	100%	No filings	No filings	No filings
CA160	ESIS	16	14	88%	4	4	100%
CA190	GALLAGHER BASSETT SERVICES	7	6	86%	5	5	100%
CA204	HELMSMAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT	8	8	100%	2	2	100%
CA315	SEDGWICK (formerly SPECIALTY RISK SERVICES)	No filings	No filings	No filings	2	2	100%
	TPA Total	32	29	91%	14	14	100%
	ACE Group Total	32	29	91%	14	14	100%
	AMTRUST INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA381	AMTRUST INSURANCE	No filings	No filings	No filings	1	0	0%
CA342	TECHNOLOGY INSURANCE CO.	1	0	0%	1	1	100%
	Group Total	1	0	0%	2	1	50%
	ARCH INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	ARCH TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	2	1	50%	No filings	No filings	No filings
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	1	1	100%	3	3	100%
CA168	THE FRANK GATES SERVICE COMPANY	*	*	*	*	*	*
CA340	YORK RISK SERVICES GROUP	*	*	*	*	*	*
	TPA Total	4	3	75%	6	6	100%
	ARCH Group Total	4	3	75%	6	6	100%
	ARGONAUT INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA020	**Total**	No filings	No filings	No filings	No filings	No filings	No filings
	ARGONAUT TPA Administered Claims						
CA240	TRIDENT INSURANCE SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	ARGONAUT Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	ARROW MUTUAL INSURANCE COMPANY	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA032	**Group Total**	No filings	No filings	No filings	No filings	No filings	No filings
	BATH IRON WORKS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA036	**Group Total**	14	14	100%	14	14	100%

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INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Second Quarter 2012
4/1/2012 - 6/30/2012

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	BERKSHIRE HATHAWAY INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA114	**Group Total**	*	*	*	*	*	*
	BROADSPIRE SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA040	**Group Total**	11	11	100%	3	3	100%
	CANNON COCHRAN MANAGEMENT SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA070	**Group Total**	32	25	78%	30	28	93%
	CHARTIS INS GROUP	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA015	**Total**	16	16	100%	5	5	100%
	CHARTIS INS GROUP TPA Administered Claims						
CA040	BROADSPIRE SERVICES	3	3	100%	1	1	100%
CA100	CLAIMS MANAGEMENT (WAL-MART)	9	9	100%	12	11	92%
CA110	CONSTITUTION STATE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA160	ESIS	9	9	100%	5	3	60%
CA190	GALLAGHER BASSETT SERVICES	10	9	90%	12	12	100%
CA204	HELMSMAN MANAGEMENT SERVICES	1	1	100%	4	4	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	6	4	67%	13	13	100%
	TPA Total	38	35	92%	47	44	94%
	CHARTIS INS Group Total	54	51	94%	52	49	94%
	CHESTERFIELD SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA080	**Group Total**	No filings	No filings	No filings	1	1	100%
	CHUBB INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA090	**Group Total**	5	2	40%	No filings	No filings	No filings
	CHUBB TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	No filings	No filings	No filings	No filings	No filings	No filings
	CHUBB Group Total	5	2	40%	No filings	No filings	No filings
	CHURCH MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA084	**Group Total**	No filings	No filings	No filings	No filings	No filings	No Filings
	CIANBRO CORPORATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA085	**Group Total**	2	1	50%	1	1	100%
	CITY OF BANGOR	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA033	**Group Total**	5	5	100%	3	3	100%
	CLAIMS MANAGEMENT (WAL-MART)	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA100	**Group Total**	9	9	100%	12	11	92%
	CNA INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA050	**Group Total**	No filings	No filings	No filings	1	1	100%

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	CONSTITUTION STATE SERVICE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA110	Group Total	1	1	100%	No filings	No filings	No filings
	CONTINENTAL INDEMNITY	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA115	Group Total	1	1	100%	No filings	No filings	No filings
	CORVEL CORPORATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA116	Group Total	No filings	No filings	No filings	1	1	100%
	COTTINGHAM AND BUTLER CLAIMS SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA117	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	CRUM & FORSTER	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA375	Group Total	*	*	*	*	*	*
	ESIS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA160	Group Total	26	24	92%	9	7	78%
	F.A. RICHARD	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA165	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	FEDERATED MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA091	FEDERATED MUTUAL INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA092	FEDERATED SERVICE INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	FIREMANS FUND INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA170	Group Total	1	1	100%	No filings	No filings	No filings
	FUTURECOMP	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA175	Group Total	17	15	88%	12	12	100%
	GALLAGHER BASSETT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA190	Group Total	34	30	88%	25	25	100%
	GREAT DIVIDE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA189	Group Total	*	*	*	*	*	*
	GREAT FALLS INSURANCE CO.	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	*	*	*	*	*	*
	GREAT FALLS TPA Administered Claims						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	3	2	67%	3	3	100%
	TPA Total	3	2	67%	3	3	100%
	GREAT FALLS Group Total	3	2	67%	3	3	100%
	GUARANTEE INSURANCE CO.	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA195	Group Total	1	0	0%	1	0	0%

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	GUARD INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA019	AMGUARD INSURANCE COMPANY	1	0	0%	1	0	0%
CA140	EASTGUARD INSURANCE COMPANY	1	1	100%	1	1	100%
CA272	NORGUARD INSURANCE COMPANY	No filings	No filings	No filings	1	0	0%
	Group Total	**2**	**1**	**50%**	**3**	**1**	**33%**
	HANNAFORD BROTHERS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA201	**Group Total**	**16**	**12**	**75%**	**16**	**15**	**94%**
	HANOVER INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA048	CITIZENS INSURANCE CO. OF AMERICA	2	2	100%	1	1	100%
CA202	HANOVER INSURANCE COMPANY	5	4	80%	No filings	No filings	No filings
CA228	MASSACHUSETTS BAY INS. CO.	6	6	100%	No filings	No filings	No filings
	Group Total	**13**	**12**	**92%**	**1**	**1**	**100%**
	HARTFORD INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA188	HARTFORD ACCIDENT & INDEMNITY	1	1	100%	1	1	100%
CA185	HARTFORD CASUALTY INS CO.	No filings	No filings	No filings	2	2	100%
CA203	HARTFORD FIRE INSURANCE CO.	3	2	67%	2	1	50%
CA186	HARTFORD INSURANCE OF THE MIDWEST	6	5	83%	1	1	100%
CA187	HARTFORD UNDERWRITERS INSURANCE	No filings	No filings	No filings	3	3	100%
CA288	PROPERTY & CASUALTY INSURANCE COMPANY	1	1	100%	No filings	No filings	No filings
CA296	SENTINEL INSURANCE CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA319	TRUMBULL INSURANCE COMPANY	No filings	No filings	No filings	1	1	100%
CA321	TWIN CITY FIRE INSURANCE CO.	2	2	100%	5	5	100%
	Total	**13**	**11**	**85%**	**15**	**14**	**93%**
	HARTFORD TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	1	1	100%
CA165	F.A. RICHARD	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA280	RISK ENTERPRISE MANAGEMENT	No filings	No filings	No filings	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	2	1	50%	1	1	100%
CA315	SEDGWICK (formerly SPECIALTY RISK SERVICES)	1	1	100%	No filings	No filings	No filings
	TPA Total	**4**	**3**	**75%**	**3**	**3**	**100%**
	HARTFORD Group Total	**17**	**14**	**82%**	**18**	**17**	**94%**
	HELMSMAN MANAGEMENT SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA204	**Group Total**	**2**	**1**	**50%**	**5**	**5**	**100%**
	LIBERTY MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA380	EMPLOYERS INSURANCE OF WAUSAU	6	6	100%	6	5	83%
CA210	LIBERTY MUTUAL INSURANCE COMPANY	33	31	94%	18	16	89%
	Total	**39**	**37**	**95%**	**24**	**21**	**88%**
	LIBERTY TPA Administered Claims						
CA204	HELMSMAN MANAGEMENT SERVICES	1	0	0%	1	1	100%
	TPA Total	**1**	**0**	**0%**	**1**	**1**	**100%**
	LIBERTY Group Total	**40**	**37**	**93%**	**25**	**22**	**88%**

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	MACY'S CORPORATE SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA213	Group Total	1	1	100%	No filings	No filings	No filings
	MAINE AUTOMOBILE DEALERS ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA220	Group Total	6	6	100%	6	6	100%
	MAINE EMPLOYERS' MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA260	Group Total	298	273	92%	177	173	98%
	MAINE HEALTH CARE ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA234	Group Total	5	4	80%	6	6	100%
	MAINE MOTOR TRANSPORT ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA230	Group Total	8	7	88%	15	15	100%
	MAINE MUNICIPAL ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA225	Group Total	54	49	91%	45	45	100%
	MAINE SCHOOL MANAGEMENT ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA250	Group Total	16	15	94%	19	19	100%
	MEADOWBROOK	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA255	Group Total	3	2	67%	No filings	No filings	No filings
	NATIONAL INTERSTATE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA267	Group Total	*	*	*	*	*	*
	NGM INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA265	Group Total	2	0	0%	No filings	No filings	No filings
	OLD REPUBLIC INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	OLD REPUBLIC TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	4	3	75%	No filings	No filings	No filings
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	No filings	No filings	No filings
CA280	RYDER SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	2	1	50%	1	1	100%
CA382	WILLIS OF NORTHERN NEW ENGLAND, INC.	*	*	*	*	*	*
	TPA Total	9	7	78%	1	1	100%
	OLD REPUBLIC Group Total	9	7	78%	1	1	100%
	ONEBEACON INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA270	ONEBEACON INSURANCE	1	1	100%	No filings	No filings	No filings
CA281	ONEBEACON INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
	Group Total	1	1	100%	No filings	No filings	No filings
	PATRIOT INSURANCE CO.	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA274	Group Total	No filings	No filings	No filings	1	1	100%

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	PEERLESS INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA162	EXCELSIOR INSURANCE CO.	*	*	*	*	*	*
CA309	NETHERLANDS INSURANCE COMPANY	4	2	50%	No filings	No filings	No filings
CA275	PEERLESS INSURANCE CO.	15	13	87%	5	5	100%
CA283	PEERLESS INDEMNITY CO.	*	*	*	*	*	*
	Group Total	**19**	**15**	**79%**	**5**	**5**	**100%**
	PENNSYLVANIA MFG. ASSOCIATION INS. CO	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	PENNSYLVANIA MFG. TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	2	1	50%	2	2	100%
CA165	F.A. RICHARD	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	**2**	**1**	**50%**	**2**	**2**	**100%**
	PENNSYLVANIA MFG. Group Total	**2**	**1**	**50%**	**2**	**2**	**100%**
	PROTECTIVE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA277	**Group Total**	**1**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	PUBLIC SERVICE MUTUAL	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA282	**Group Total**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	RISK ENTERPRISE MANAGEMENT	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA280	**Group Total**	**No filings**	**No filings**	**No filings**	**1**	**1**	**100%**
	RYDER SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA280	**Group Total**	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**
	SAFETY NATIONAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	SAFETY NATIONAL TPA Administered claims						
CA116	CORVEL	No filings	No filings	No filings	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA168	THE FRANK GATES SERVICE COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	**2**	**2**	**100%**	**1**	**1**	**100%**
	SAFETY NATIONAL Group Total	**2**	**2**	**100%**	**1**	**1**	**100%**
	SEDGWICK CLAIMS MANAGEMENT SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	34	23	68%	36	36	100%
CA315	SEDGWICK (formerly SPECIALTY RISK SERVICES)	1	1	100%	2	2	100%
	Group Total	**35**	**24**	**69%**	**38**	**38**	**100%**
	SENTRY INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA305	**Group Total**	**1**	**1**	**100%**	**2**	**0**	**0%**

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	SPARTA INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	SPARTA TPA Administered claims						
CA060	COTTINGHAM AND BUTLER CLAIMS SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	No filings	No filings	No filings	No filings	No filings	No filings
	SPARTA Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	STATE OF MAINE WORKERS' COMPENSATION TRUST	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA307	Group Total	22	21	95%	28	28	100%
	SYNERNET	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA320	Group Total	23	22	96%	32	32	100%
	THE FRANK GATES SERVICE COMPANY	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA168	Group Total	2	1	50%	No filings	No filings	No filings
	TOKIO MARINE & NICHIDO FIRE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA311	Group Total	1	0	0%	No filings	No Filings	No Filings
	TOWER INSURANCE COMPANY	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA356	Group Total	5	2	40%	No Filings	No Filings	No Filings
	TRAVELERS INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA072	CHARTER OAK FIRE INS. CO.	16	16	100%	1	1	100%
CA306	STANDARD FIRE INS. CO.	5	5	100%	2	2	100%
CA303	ST. PAUL FIRE & MARINE INSURANCE	*	*	*	*	*	*
CA284	THE PHOENIX INSURANCE CO.	1	1	100%	No filings	No filings	No filings
CA347	TRAVELERS CASUALTY & SURETY COMPANY	2	2	100%	No filings	No filings	No filings
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	2	1	50%	2	1	50%
CA349	TRAVELERS COMMERCIAL CASUALTY	1	1	100%	No filings	No filings	No filings
CA343	TRAVELERS INDEMNITY COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
CA346	TRAVELERS INDEMNITY CO OF AMERICA	No filings	No filings	No filings	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	*	*	*	*	*	*
	Total	27	26	96%	5	4	80%
	TRAVELERS TPA Administered Claims						
CA040	BROADSPIRE SERVICES	5	5	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	No filings	No filings	No filings	1	1	100%
CA168	THE FRANK GATES SERVICE COMPANY	2	1	50%	No filings	No filings	No filings
	TPA Total	7	6	86%	2	2	100%
	TRAVELERS Group Total	34	32	94%	7	6	86%
	TRIDENT INSURANCE SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA240	Group Total	*	*	*	*	*	*
	UTICA	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA191	Group Total	No filings	No filings	No filings	No filings	No filings	No filings

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	WILLIS OF NORTHERN NEW ENGLAND	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA001	HRH CLAIMS MANAGEMENT	No filings	No filings	No filings	No filings	No filings	No filings
CA360	UNDERWRITERS SAFETY & CLAIMS	*	*	*	*	*	*
CA382	WILLIS OF NORTHERN NEW ENGLAND, INC.	49	47	96%	64	62	97%
	Group Total	**49**	**47**	**96%**	**64**	**62**	**97%**
	XL SPECIALTY INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA384	**Total**	*	*	*	*	*	*
	XL TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	*	*	*	*	*	*
	TPA Total	**No filings**	**No filings**	**No filings**	**1**	**1**	**100%**
	XL Group Total	**No filings**	**No filings**	**No filings**	**1**	**1**	**100%**
	YORK RISK SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA340	**Group Total**	*	*	*	*	*	*
	ZURICH INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA021	AMERICAN GUARANTEE AND LIABILITY	No filings	No filings	No filings	No filings	No filings	No filings
CA022	AMERICAN ZURICH	3	3	100%	5	5	100%
CA259	NORTHERN INSURANCE CO. OF NEW YORK	1	0	0%	No filings	No filings	No filings
CA400	ZURICH AMERICAN INSURANCE CO.	2	2	100%	2	2	100%
	Total	**6**	**5**	**83%**	**7**	**7**	**100%**
	ZURICH TPA Administered Claims						
CA080	CHESTERFIELD SERVICES	No filings	No filings	No filings	1	1	100%
CA160	ESIS	*	*	*	*	*	*
CA165	F.A. RICHARD	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	10	9	90%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	2	2	100%	7	7	100%
	TPA Total	**12**	**11**	**92%**	**10**	**10**	**100%**
	ZURICH Group Total	**18**	**16**	**89%**	**17**	**17**	**100%**

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