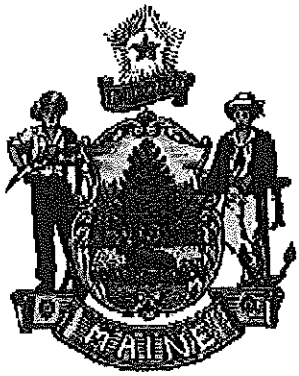
QUARTERLY COMPLIANCE REPORT

STATE OF MAINE
WORKERS' COMPENSATION BOARD



Fourth Quarter 2012 Report

October 1, 2012 – December 31, 2012

Office of Monitoring, Audit & Enforcement

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State of Maine
Workers' Compensation Board
Quarterly Compliance Report
Fourth Quarter 2012

Table of Contents

1.	Executive Summary	Pages 1-2
2.	Lost Time First Report of Injury Filings	Page 3
3.	Initial Indemnity Payments	Page 4
4.	Initial Memorandum of Payment Filings	Page 5
5.	Initial Indemnity Notice of Controversy Filings	Page 6
6.	Wage Information	Page 7

Table of Charts

1.	Lost Time First Report of Injury Filings Timeliness Distribution	Page 3
2.	Lost Time First Report of Injury Filings Quarterly Compliance	Page 3
3.	Lost Time First Report of Injury Filings Compliance Trend	Page 3
4.	Initial Indemnity Payments Timeliness Distribution	Page 4
5.	Initial Indemnity Payments Quarterly Compliance	Page 4
6.	Initial Indemnity Payments Compliance Trend	Page 4
7.	Initial Memorandum of Payment Filings Timeliness Distribution	Page 5
8.	Initial Memorandum of Payment Filings Quarterly Compliance	Page 5
9.	Initial Memorandum of Payment Filings Compliance Trend	Page 5
10.	Initial Indemnity Notice of Controversy Filings Timeliness Distribution	Page 6
11.	Initial Indemnity Notice of Controversy Filings Quarterly Compliance	Page 6
12.	Initial Indemnity Notice of Controversy Filings Compliance Trend	Page 6
13.	Wage Information Wage Statement Timeliness Distribution	Page 7
14.	Wage Information Schedule of Dependent(s) and Filing Status Statement Timeliness Distribution	Page 7

Appendices

A.	Insurance Group Compliance Lost Time FROI Filings and Initial Indemnity Payments	Pages A1-A9
B.	Insurance Group Compliance Initial MOP and Initial Indemnity NOC Filings	Pages B1-B9

Executive Summary

On April 9, 2013, the Maine Workers' Compensation Board of Directors approved the Fourth Quarter 2012 Compliance Report. This report represents the efforts of the Office of Monitoring, Audit and Enforcement and insurers, self-insurers, and third-party administrators (collectively "insurers").

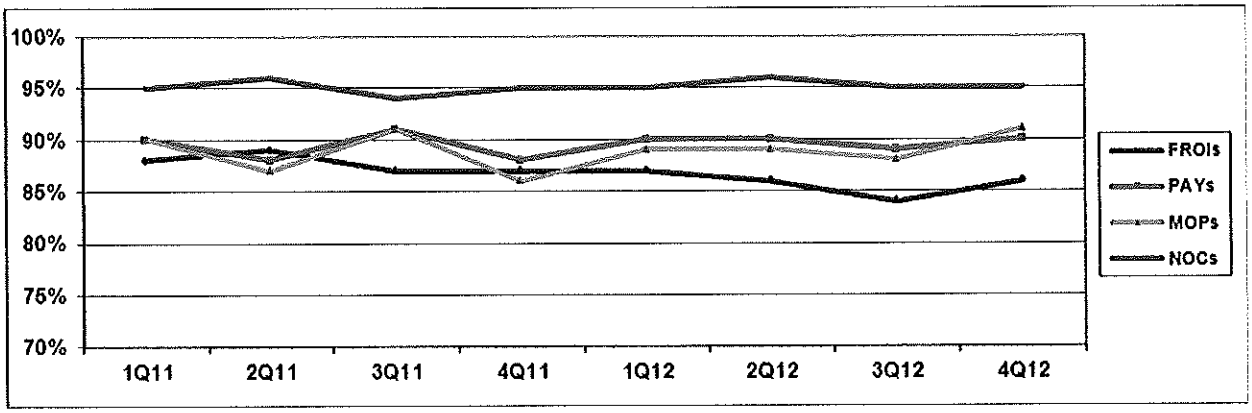
I. COMPLIANCE OVERVIEW

The Reconciliation Report was sent to 94 insurers on January 18, 2013; 57 responded, 36 were not required to respond and 1 did not respond.

The 4Q12 report represents results based upon data received by February 15, 2013. The results are:

Category	Number of Days	Benchmark	1Q11	2Q11	3Q11	4Q11	1Q12	2Q12	3Q12	4Q12
FROIs	7	85%	88%	89%	87%	87%	87%	86%	84%	86%
PAYs	14	87%	90%	88%	91%	88%	90%	90%	89%	90%
MOPs	17	85%	90%	87%	91%	86%	89%	89%	88%	91%
NOCs	14	90%	95%	96%	94%	95%	95%	96%	95%	95%

Compliance Benchmark Tracking (1Q11 – 4Q12)



II. CAVEATS & EXPLANATIONS

A. General

- Question marks ("?") within this report indicate that the insurer did not provide all of the data required to measure compliance in that particular area.

B. Lost Time First Report of Injury (FROI) Filings

- Compliance with this benchmark exists when the FROI is filed (accepted EDI transaction, with or without errors) within 7 days after the employer receives notice or knowledge of an employee injury that has caused the employee to lose a day's work.

C. Initial Indemnity Payments (PAYs)

- Compliance with this benchmark exists when the check is mailed within the later of (i) 14 days after the employer's notice or knowledge of incapacity or (ii) the first day of compensability plus 6 days.
- If an employer continues to pay the employee's salary, payments are deemed timely for purposes of compliance if made consistent with the employer's usual payroll practice.

D. Initial Memorandum of Payment (MOP) Filings

- Compliance with this benchmark exists when the MOP is received within 17 days of the employer's notice or knowledge of incapacity.

E. Initial Indemnity Notice of Controversy (NOC) Filings

- Compliance with this benchmark exists when the NOC is filed (accepted EDI transaction, with or without errors) within 14 days after the employer receives notice or knowledge of the incapacity or death. Measurement excludes filings submitted with full denial reason codes 3A-3H (No Coverage).

III. COMPLETED AUDITS

The Board conducts compliance audits of insurers to ensure that all obligations under the Workers' Compensation Act are met. The functions of the audit program include, but are not limited to: ensuring that all reporting requirements of the Board are met, auditing the timeliness of benefit payments, auditing the accuracy of indemnity payments, evaluating claims-handling techniques, and determining whether claims are unreasonably contested.

The following had audits completed in the 4Q12:

Auditee (alpha order)	Total Penalties
Bath Iron Works	**$0.00**
CNA Insurance	**$0.00**
Hanover Insurance	**$1,500.00**
Utica Insurance	**$2,000.00**

LOST TIME FIRST REPORT OF INJURY FILINGS

Chart 1 Timeliness Distribution

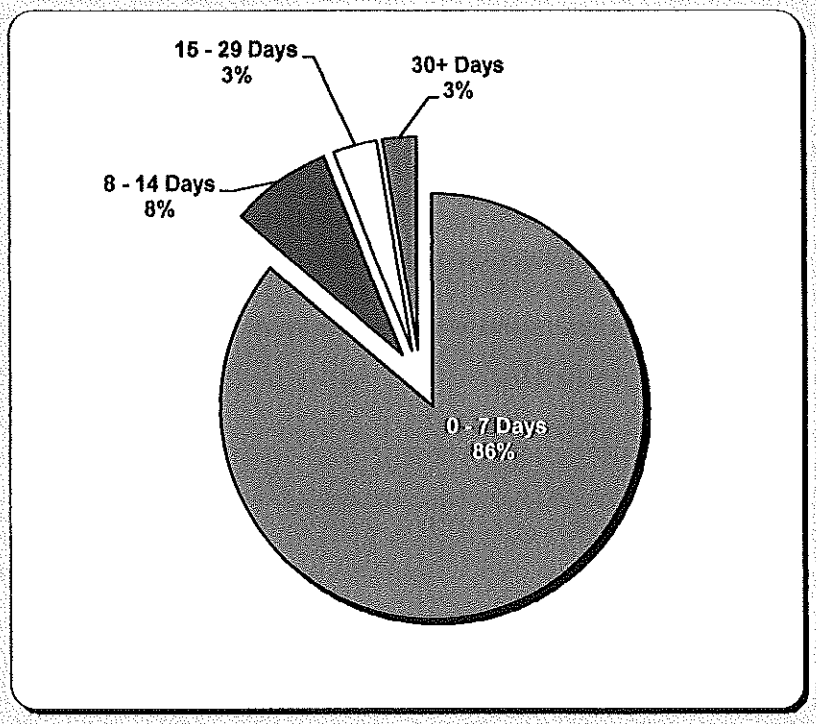


Table 1

Received Within:			
0 - 7	Days	2,651	86%
8 - 14	Days	240	8%
15 - 29	Days	103	3%
30+	Days	79	3%
Total		3,073	100%

Chart 2 Quarterly Compliance

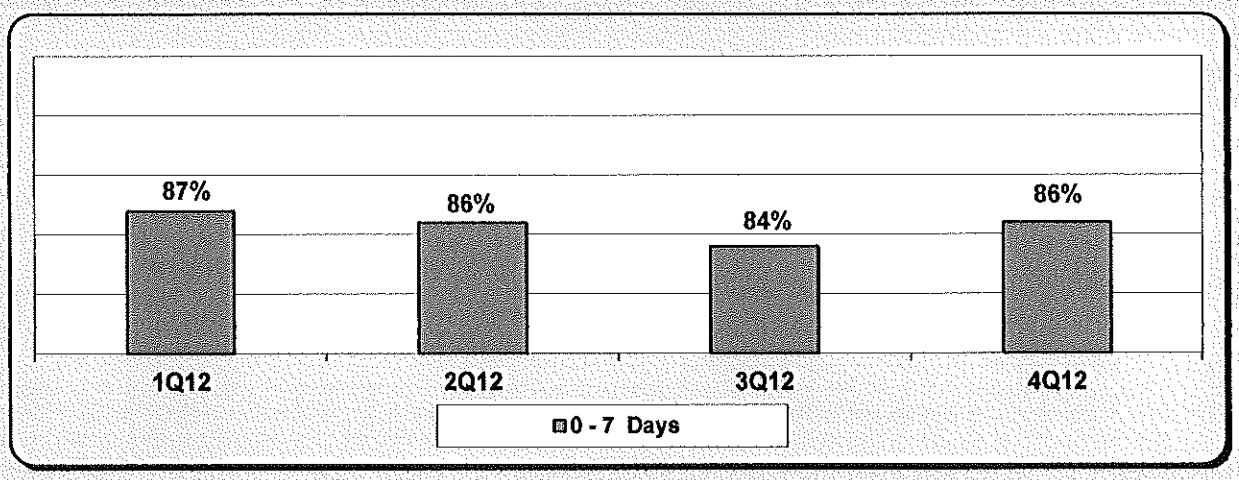
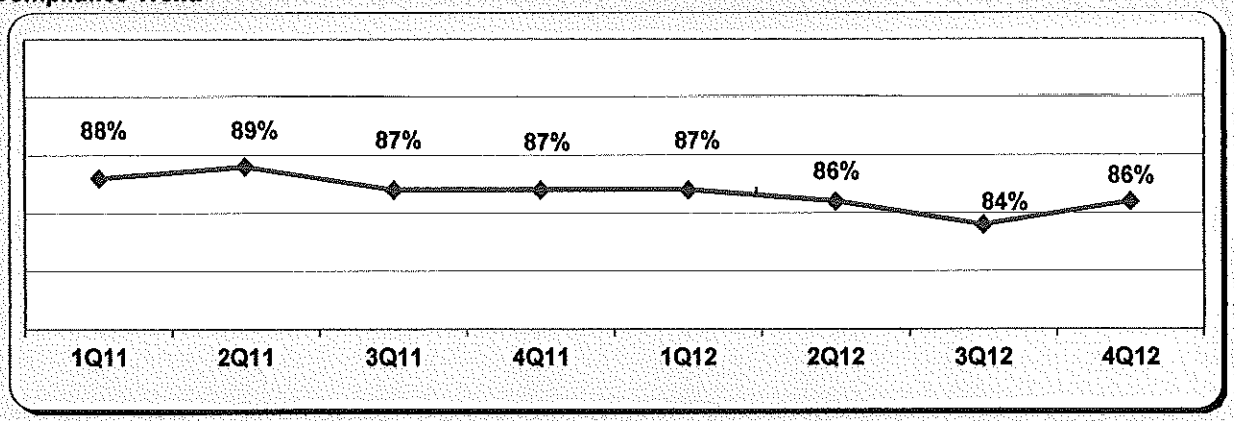


Chart 3 Compliance Trend



INITIAL INDEMNITY PAYMENTS

Chart 4 Timeliness Distribution

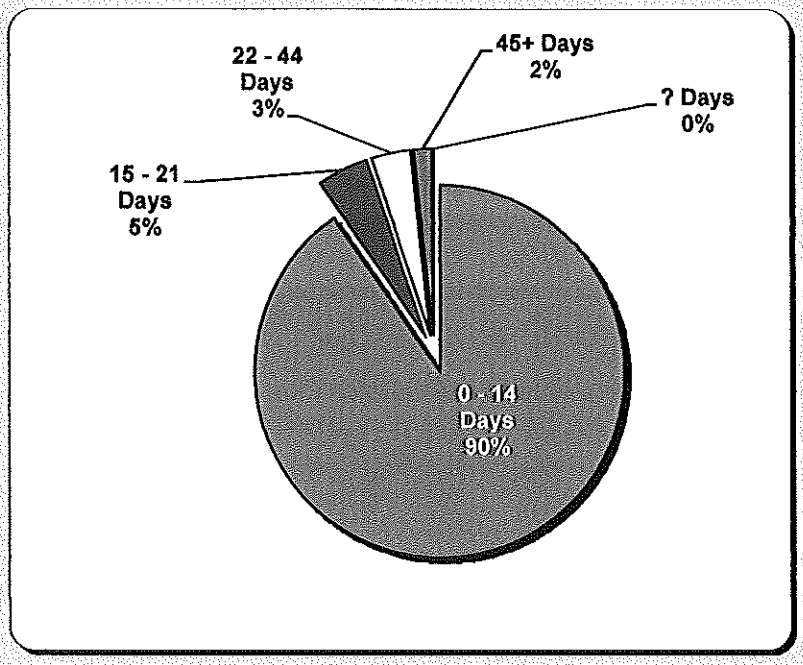


Table 2

Made Within:			
0 - 14	Days	807	90%
15 - 21	Days	40	5%
22 - 44	Days	31	3%
45+	Days	14	2%
?	Days	1	0%
Total		**893**	**100%**

Initial indemnity payments are monitored to ensure that payments are initiated within the time limits established in Section 205. As a result of these efforts, $11,400 was issued to claimants in penalties and there is another $2,100 in penalties awaiting resolution.

Chart 5 Quarterly Compliance

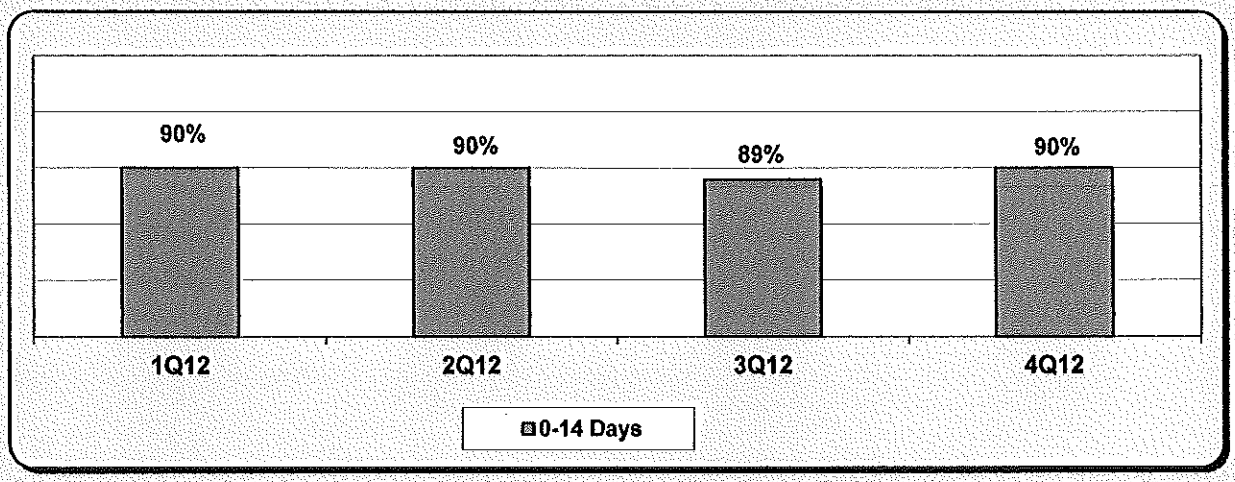
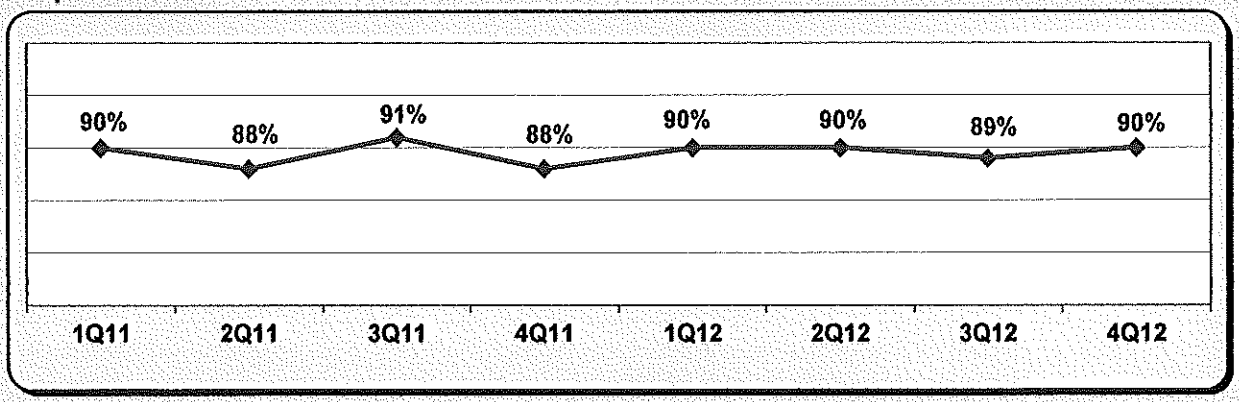


Chart 6 Compliance Trend



INITIAL MEMORANDUM OF PAYMENT FILINGS

Chart 7 Timeliness Distribution

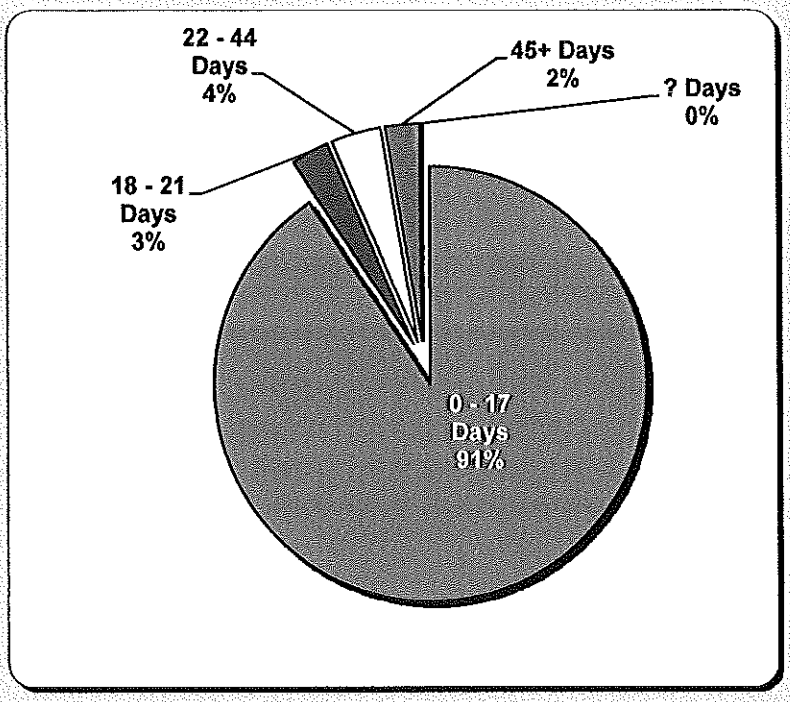


Table 3

Received Within:			
0 - 17	Days	810	91%
18 - 21	Days	26	3%
22 - 44	Days	33	4%
45+	Days	23	2%
?	Days	1	0%
Total		893	100%

Chart 8 Quarterly Compliance

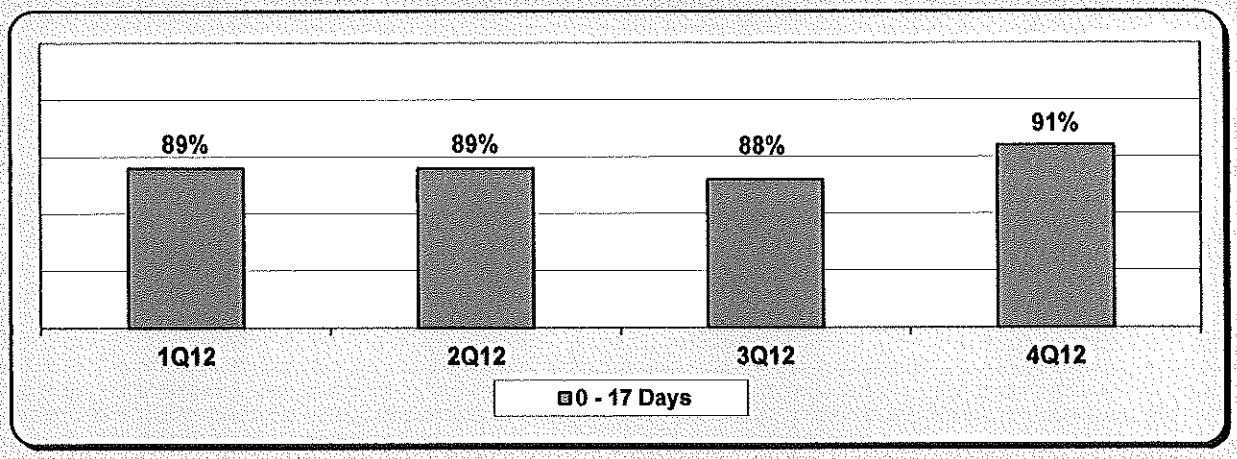
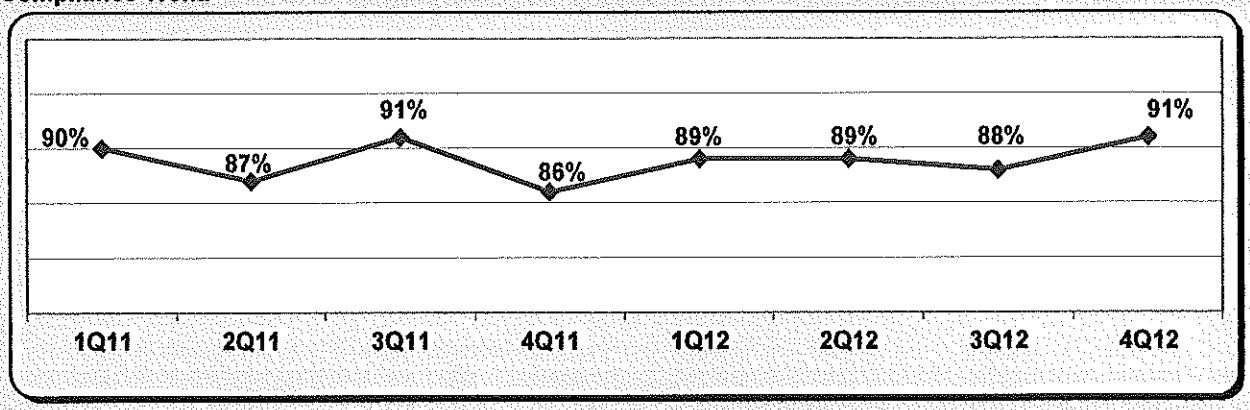


Chart 9 Compliance Trend



INITIAL INDEMNITY NOTICE OF CONTROVERSY FILINGS

Chart 10 Timeliness Distribution

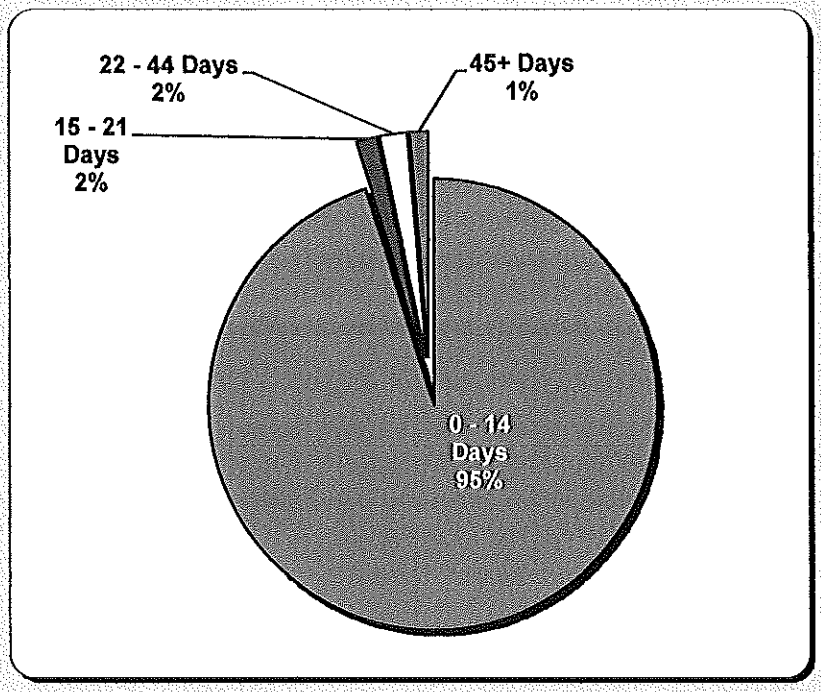


Table 4

Received Within:			
0 - 14	Days	575	95%
15 - 21	Days	9	2%
22 - 44	Days	12	2%
45+	Days	8	1%
Total		**604**	**100%**

Chart 11 Quarterly Compliance

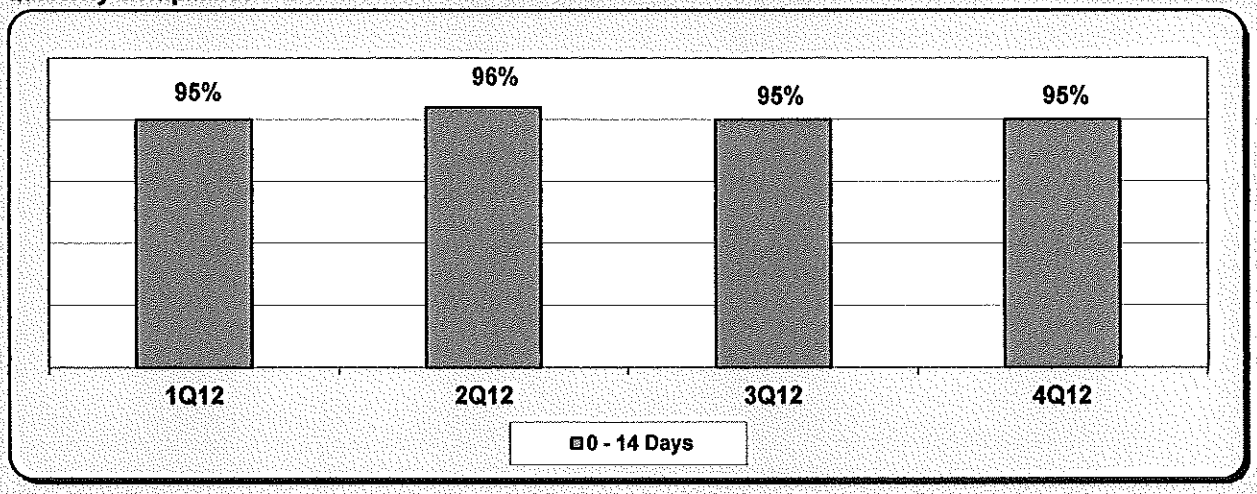
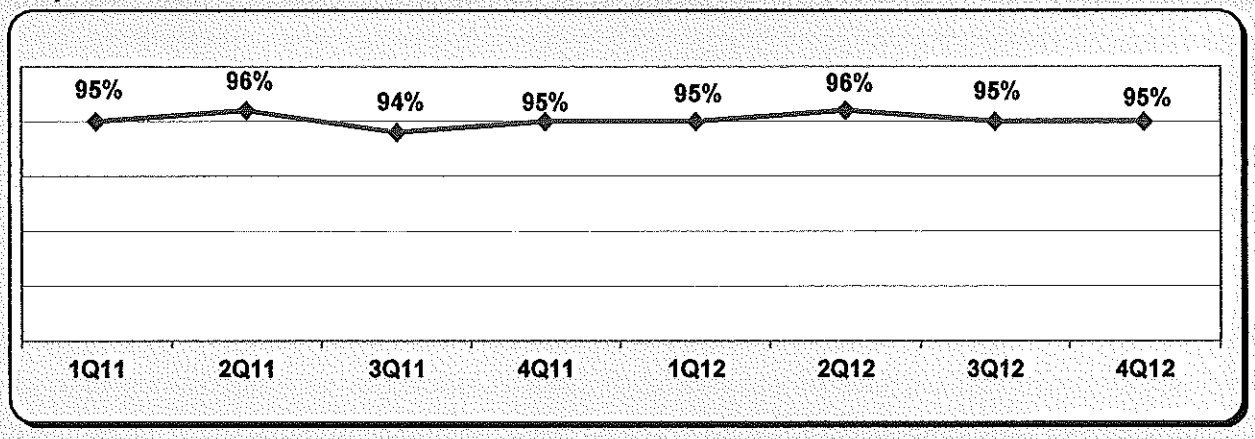


Chart 12 Compliance Trend



WAGE INFORMATION

Wage information (WCB-2 and WCB 2A forms) must be filed within 30 days of an employer's notice or knowledge of a claim for compensation (Title 39-A M.R.S.A. Section 303). This includes both compensated and controverted claims where a claim for compensation has been made.

Chart 13 Wage Statement Timeliness Distribution

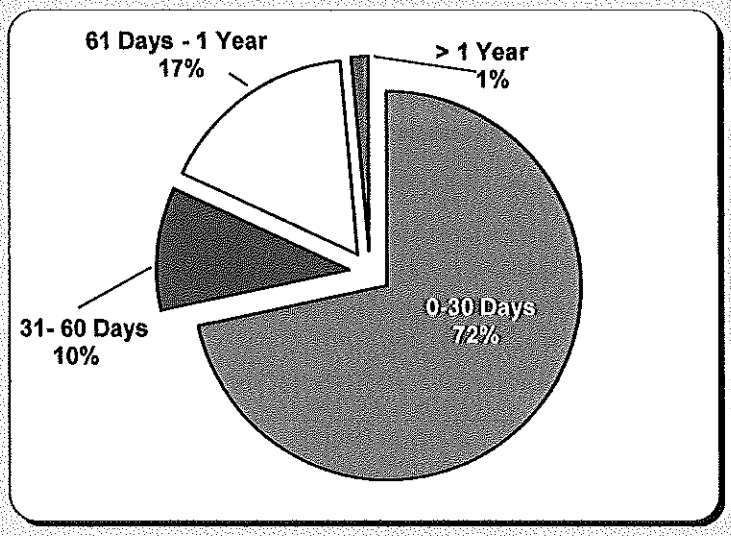


Table 5

Received Within:		
0-30 Days	1,331	72%
31-60 Days	189	10%
61 Days-1 Year	310	17%
> 1 Year	27	1%
Total	**1,857**	**100%**

Wage Statement(s): 1,364 (74%) of the 1,844 Wage Statement(s) that were due this quarter were filed timely, 331 (18%) were filed late, and 149 (8%) remain outstanding.

Chart 14 Schedule of Dependent(s) and Filing Status Statement Timeliness Distribution

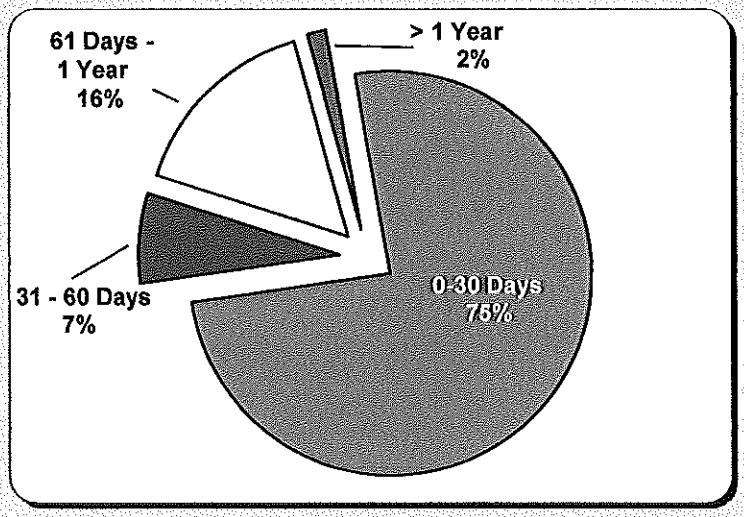


Table 6

Received Within:		
0-30 Days	1,380	75%
31-60 Days	131	7%
61 Days-1 Year	289	16%
> 1 Year	28	2%
Total	**1,828**	**100%**

Schedule of Dependent(s) and Filing Status Statement(s): 1,421 (77%) of the 1,844 Schedule of Dependent(s) and Filing Status Statement(s) due this quarter were filed timely, 254 (14%) were filed late, and 169 (9%) remain outstanding.

Appendix A

Insurance Group Compliance
Lost Time FROI Filings and
Initial Indemnity Payments
Fourth Quarter 2012
10/1/2012-12/31/2012

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Fourth Quarter 2012
10/1/2012 - 12/31/2012

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	ACADIA INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA010	**Group Total**	**56**	**49**	**88%**	**15**	**15**	**100%**
	ACCIDENT FUND INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	ACCIDENT TPA Administered Claims						
CA040	BROADSPIRE SERVICES	2	1	50%	1	1	100%
	TPA Total	**2**	**1**	**50%**	**1**	**1**	**100%**
	ACCIDENT Group Total	**2**	**1**	**50%**	**1**	**1**	**100%**
	ACE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	ACE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	3	3	100%	No filings	No filings	No filings
CA110	CONSTITUTION STATE SERVICES	4	4	100%	1	1	100%
CA160	ESIS	35	19	54%	14	9	64%
CA190	GALLAGHER BASSETT SERVICES	19	18	95%	5	4	80%
CA204	HELMSMAN MANAGEMENT SERVICES	5	4	80%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	37	32	86%	7	6	86%
CA315	SEDGWICK (formerly SPECIALTY RISK SERVICES)	*	*	*	*	*	*
	TPA Total	**103**	**80**	**78%**	**28**	**21**	**75%**
	ACE Group Total	**103**	**80**	**78%**	**28**	**21**	**75%**
	AMTRUST INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA381	AMTRUST INSURANCE	5	2	40%	1	1	100%
CA342	TECHNOLOGY INSURANCE CO.	13	1	8%	3	0	0%
	Group Total	**18**	**3**	**17%**	**4**	**1**	**25%**
	ARCH INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*			*
	ARCH TPA Administered Claims						
CA040	BROADSPIRE SERVICES	3	1	33%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	7	7	100%	5	4	80%
CA300	SEDGWICK CLAIMS MANAGEMENT	12	12	100%	2	2	100%
CA168	THE FRANK GATES SERVICE COMPANY	*	*	*	*	*	*
CA140	YORK RISK SERVICES GROUP	No filings	No filings	No filings	1	0	0%
	TPA Total	**22**	**20**	**91%**	**8**	**6**	**75%**
	ARCH Group Total	**22**	**20**	**91%**	**8**	**6**	**75%**
	ARGONAUT INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA020	**Total**	**2**	**2**	**100%**	**1**	**1**	**100%**
	ARGONAUT TPA Administered Claims						
CA240	TRIDENT INSURANCE SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	ARGONAUT Group Total	**2**	**2**	**100%**	**1**	**1**	**100%**

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Fourth Quarter 2012
10/1/2012 - 12/31/2012

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	ARROW MUTUAL INSURANCE COMPANY	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA032	**Group Total**	**No filings**	**No filings**	**No filings**	**1**	**0**	**0%**
	BATH IRON WORKS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA036	**Group Total**	**102**	**102**	**100%**	**16**	**16**	**100%**
	BERKSHIRE HATHAWAY INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA114	**Group Total**	**1**	**1**	**100%**	**1**	**1**	**100%**
	BROADSPIRE SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA040	**Group Total**	**32**	**23**	**72%**	**11**	**11**	**100%**
	CANNON COCHRAN MANAGEMENT SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA070	**Group Total**	**114**	**106**	**93%**	**35**	**34**	**97%**
	CHARTIS INS GROUP	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA015	**Total**	**21**	**18**	**86%**	**11**	**10**	**91%**
	CHARTIS INS GROUP TPA Administered Claims						
CA040	BROADSPIRE SERVICES	20	15	75%	5	5	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	1	1	100%
CA100	CLAIMS MANAGEMENT (WAL-MART)	47	47	100%	8	8	100%
CA110	CONSTITUTION STATE SERVICES	*	*	*	*	*	*
CA160	ESIS	21	19	90%	11	10	91%
CA190	GALLAGHER BASSETT SERVICES	22	20	91%	8	8	100%
CA204	HELMSMAN MANAGEMENT SERVICES	5	3	60%	1	1	100%
CA280	RISK ENTERPRISE MANAGEMENT	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT	29	24	83%	6	6	100%
	TPA Total	**145**	**129**	**89%**	**40**	**39**	**98%**
	CHARTIS INS Group Total	**166**	**147**	**89%**	**51**	**49**	**96%**
	CHESTERFIELD SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA080	**Group Total**	**3**	**3**	**100%**	**No filings**	**No filings**	**No filings**
	CHUBB INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA090	**Group Total**	**5**	**2**	**40%**	**2**	**1**	**50%**
	CHUBB INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	5	2	40%	3	2	67%
	TPA Total	**5**	**2**	**40%**	**3**	**2**	**67%**
	CHUBB Group Total	**10**	**4**	**40%**	**5**	**3**	**60%**
	CHURCH MUTUAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA084	**Group Total**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	CIANBRO CORPORATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA085	**Group Total**	**3**	**1**	**33%**	**2**	**2**	**100%**

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Fourth Quarter 2012
10/1/2012 - 12/31/2012

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	CITY OF BANGOR	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA033	Group Total	16	15	94%	11	11	100%
	CLAIMS MANAGEMENT (WAL-MART)	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA100	Group Total	47	47	100%	8	8	100%
	CNA INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA050	Group Total	5	4	80%	2	2	100%
	CONSTITUTION STATE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA110	Group Total	4	4	100%	1	1	100%
	CONTINENTAL INDEMNITY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA115	Group Total	2	2	100%	2	2	100%
	CORVEL CORPORATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA116	Group Total	*	*	*	*	*	*
	COTTINGHAM AND BUTLER CLAIMS SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA117	Group Total	5	5	100%	3	2	67%
	CRUM & FORSTER	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA089	Group Total	No filings	No filings	No filings	1	0	0%
	ELECTRIC INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA150	Group Total	*	*	*	*	*	*
	ELECTRIC INSURANCE TPA Administered Claims						
CA300	SEDGWICK CLAIMS MANAGEMENT	2	2	100%	1	1	100%
	TPA Total	2	2	100%	1	1	100%
	ELECTRIC INSURANCE Group Total	2	2	100%	1	1	100%
	ESIS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA160	Group Total	61	40	66%	25	19	76%
	EVEREST INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	*	*	*	*	*	*
	EVEREST INSURANCE TPA Administered Claims						
CA315	SEDGWICK (formerly SPECIALTY RISK SERVICES)	1	1	100%	No filings	No filings	No filings
	TPA Total	1	1	100%	No filings	No filings	No filings
	EVEREST INSURANCE Group Total	1	1	100%	No filings	No filings	No filings
	F.A. RICHARD	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA165	Group Total	1	0	0%	No filings	No filings	No filings
	FEDERATED MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA091	FEDERATED MUTUAL INSURANCE	1	1	100%	No filings	No filings	No filings
CA092	FEDERATED SERVICE INSURANCE	*	*	*	*	*	*
	Group Total	1	1	100%	No filings	No filings	No filings

*Indicates no claims activity this quarter

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Fourth Quarter 2012
10/1/2012 - 12/31/2012

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	FIREMANS FUND INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA150	Group Total	1	1	100%	No filings	No filings	No filings
	FUTURECOMP	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA175	Group Total	54	51	94%	14	10	71%
	GALLAGHER BASSETT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA190	Group Total	104	89	86%	41	32	78%
	GREAT AMERICAN INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA193	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	GREAT DIVIDE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA189	Group Total	*	*	*	*	*	*
	GREAT FALLS INSURANCE CO.	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	*	*	*	*	*	*
	GREAT FALLS TPA Administered Claims						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	27	25	93%	7	6	86%
	TPA Total	27	25	93%	7	6	86%
	GREAT FALLS Group Total	27	25	93%	7	6	86%
	GUARANTEE INSURANCE CO	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA195	Group Total	4	2	50%	1	1	100%
	GUARD INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA019	AMGUARD INSURANCE COMPANY	15	10	67%	4	3	75%
CA140	EASTGUARD INSURANCE COMPANY	10	9	90%	2	2	100%
CA272	NORGUARD INSURANCE COMPANY	8	7	88%	6	6	100%
	Group Total	33	26	79%	12	11	92%
	HANNAFORD BROTHERS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA201	Group Total	66	64	97%	17	17	100%
	HANOVER INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA048	CITIZENS INSURANCE CO. OF AMERICA	3	3	100%	No filings	No filings	No filings
CA202	HANOVER INSURANCE CO.	9	9	100%	2	1	50%
CA228	MASSACHUSETTS BAY INS. CO.	4	2	50%	1	1	100%
	Group Total	16	14	88%	3	2	67%

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10/1/2012 - 12/31/2012

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	HARTFORD INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA188	HARTFORD ACCIDENT & INDEMNITY	4	3	75%	2	2	100%
CA185	HARTFORD CASUALTY INS CO.	1	1	100%	No filings	No filings	No filings
CA203	HARTFORD FIRE INSURANCE CO	5	5	100%	3	2	67%
CA186	HARTFORD INSURANCE OF THE MIDWEST	19	18	95%	7	7	100%
CA187	HARTFORD UNDERWRITERS INSURANCE	5	5	100%	2	2	100%
CA288	PROPERTY & CASUALTY INSURANCE COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
CA296	SENTINEL INSURANCE CO.	1	1	100%	1	1	100%
CA319	TRUMBULL INSURANCE	5	5	100%	No filings	No filings	No filings
CA321	TWIN CITY FIRE INSURANCE CO.	20	17	85%	3	3	100%
	Total	**60**	**55**	**92%**	**18**	**17**	**94%**
	HARTFORD TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	1	1	100%
CA165	F.A. RICHARD	1	0	0%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	No filings	No filings	No filings
CA280	RISK ENTERPRISE MANAGEMENT	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT	5	3	60%	1	1	100%
CA315	SEDGWICK (formerly SPECIALTY RISK SERVICES)	*	*	*	*	*	*
	TPA Total	**9**	**6**	**67%**	**2**	**2**	**100%**
	HARTFORD Group Total	**69**	**61**	**88%**	**20**	**19**	**95%**

	HELMSMAN MANAGEMENT SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA204	**Group Total**	**10**	**7**	**70%**	**2**	**2**	**100%**

	LIBERTY MUTUAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA380	EMPLOYERS INSURANCE OF WAUSAU	16	11	69%	5	4	80%
CA210	LIBERTY MUTUAL INSURANCE COMPANY	52	44	85%	20	17	85%
	Group Total	**68**	**55**	**81%**	**25**	**21**	**84%**
	LIBERTY TPA Administered Claims						
CA204	HELMSMAN MANAGEMENT SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	LIBERTY Group Total	**68**	**55**	**81%**	**25**	**21**	**84%**

	MACY'S CORPORATE SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA213	**Group Total**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**

	MAINE AUTOMOBILE DEALERS ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA220	**Group Total**	**32**	**29**	**91%**	**10**	**9**	**90%**

	MAINE EMPLOYERS' MUTUAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA260	**Group Total**	**948**	**784**	**83%**	**289**	**274**	**95%**

	MAINE HEALTH CARE ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA234	**Group Total**	**36**	**35**	**97%**	**8**	**7**	**88%**

	MAINE MOTOR TRANSPORT ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA230	**Group Total**	**48**	**43**	**90%**	**12**	**12**	**100%**

	MAINE MUNICIPAL ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA225	**Group Total**	**220**	**208**	**95%**	**55**	**49**	**89%**

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INSURANCE GROUP COMPLIANCE
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Fourth Quarter 2012
10/1/2012 - 12/31/2012

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	MAINE SCHOOL MANAGEMENT ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA250	**Group Total**	67	64	96%	23	21	91%
	MEADOWBROOK	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA255	**Group Total**	14	6	43%	4	3	75%
	NATIONAL INTERSTATE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA267	**Group Total**	*	*	*	*	*	*
	NATIONWIDE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA289	**Group Total**	1	1	100%	No filings	No filings	No filings
	NGM INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA265	**Group Total**	3	2	67%	No filings	No filings	No filings
	OLD REPUBLIC INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	OLD REPUBLIC TPA Administered Claims						
CA040	BROADSPIRE SERVICES	2	2	100%	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	5	5	100%	2	2	100%
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	3	3	100%	No filings	No filings	No filings
CA280	RYDER SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT	8	7	88%	2	2	100%
CA382	WILLIS OF NORTHERN NEW ENGLAND, INC.	*	*	*	*	*	*
	TPA Total	18	17	94%	5	5	100%
	OLD REPUBLIC Group Total	18	17	94%	5	5	100%
	ONEBEACON INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA270	ONEBEACON INSURANCE	*	*	*	*	*	*
CA281	ONEBEACON INSURANCE	*	*	*	*	*	*
	Total	*	*	*	*	*	*
	ONEBEACON TPA Administered Claims						
CA168	THE FRANK GATES SERVICE COMPANY	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	ONEBEACON Group Total	*	*	*	*	*	*
	PATRIOT INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA274	**Group Total**	2	2	100%	1	1	100%
	PEERLESS INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA162	EXCELSIOR INSURANCE CO.	1	0	0%	1	0	0%
CA309	NETHERLANDS INSURANCE COMPANY	3	2	67%	1	0	0%
CA275	PEERLESS INSURANCE CO.	63	52	83%	27	22	81%
CA283	PEERLESS INDEMNITY CO.	No filings	No filings	No filings	No filings	No filings	No filings
	Group Total	67	54	81%	29	22	76%

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	PENNSYLVANIA MFG. ASSOCIATION INS. CO	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	PENNSYLVANIA MFG. TPA Administered Claims						
CA165	F.A. RICHARD	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	8	7	88%	3	2	67%
	TPA Total	8	7	88%	3	2	67%
	PENNSYLVANIA MFG. Group Total	8	7	88%	3	2	67%
	PRAETORIAN INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA276	**Group Total**	*	*	*	*	*	*
	PRAETORIAN INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	13	13	100%	5	5	100%
	TPA Total	14	14	100%	6	6	100%
	PRAETORIAN INSURANCE Group Total	14	14	100%	6	6	100%
	PROTECTIVE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA277	**Group Total**	2	0	0%	No filings	No filings	No filings
	PUBLIC SERVICE MUTUAL	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA282	**Group Total**	1	1	100%	No filings	No filings	No filings
	RISK ENTERPRISES MANAGEMENT	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA280	**Group Total**	*	*	*	*	*	*
	RYDER SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA280	**Group Total**	*	*	*	*	*	*
	SAFETY NATIONAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	SAFETY NATIONAL TPA Administered claims						
CA040	BROADSPIRE SERVICES	1	1	100%	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA116	CORVEL	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	6	6	100%	4	4	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	1	0	0%	2	1	50%
CA168	THE FRANK GATES SERVICE COMPANY	1	1	100%	No filings	No filings	No filings
	TPA Total	10	9	90%	7	6	86%
	SAFETY NATIONAL Group Total	10	9	90%	7	6	86%
	SEABRIGHT INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
58557	**Group Total**	1	1	100%	No filings	No filings	No filings
	SEDGWICK CLAIMS MANAGEMENT SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA300	SEDGWICK CLAIMS MANAGEMENT	147	123	84%	35	31	89%
CA315	SEDGWICK (formerly SPECIALTY RISK SERVICES)	1	1	100%	No filings	No filings	No filings
	Group Total	148	124	84%	35	31	89%

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Lost Time FROI Filings and Initial Indemnity Payments
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10/1/2012 - 12/31/2012

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	SENTRY INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA402	SENTRY CASUALTY COMPANY	*	*	*	*	*	*
CA305	SENTRY INSURANCE A MUTUAL COMPANY	1	1	100%	No filings	No filings	No filings
CA308	SENTRY SELECT INSURANCE COMPANY	1	1	100%	No filings	No filings	No filings
	Group Total	**2**	**2**	**100%**	**No filings**	**No filings**	**No filings**
	SPARTA INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	SPARTA TPA Administered claims						
CA060	COTTINGHAM AND BUTLER CLAIMS SERVICES	4	4	100%	2	2	100%
CA190	GALLAGHER BASSETT SERVICES	1	0	0%	No filings	No filings	No filings
	TPA Total	**5**	**4**	**80%**	**2**	**2**	**100%**
	SPARTA Group Total	**5**	**4**	**80%**	**2**	**2**	**100%**
	STATE OF MAINE WORKERS' COMPENSATION TRUST	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA307	**Group Total**	**151**	**132**	**87%**	**37**	**35**	**95%**
	SYNERNET	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA320	**Group Total**	**97**	**86**	**89%**	**22**	**18**	**82%**
	THE FRANK GATES SERVICE COMPANY	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA168	**Group Total**	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**
	TOKIO MARINE & NICHIDO FIRE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA311	**Group Total**	*	*	*	*	*	*
	TOWER INSURANCE COMPANY	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA356	**Group Total**	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**
	TRAVELERS INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA072	CHARTER OAK FIRE INS. CO.	30	22	73%	11	10	91%
CA164	FARMINGTON CASUALTY CO.	*	*	*	*	*	*
CA306	STANDARD FIRE INS. CO.	12	11	92%	4	3	75%
CA303	ST. PAUL FIRE & MARINE INSURANCE	*	*	*	*	*	*
CA284	THE PHOENIX INSURANCE CO	1	1	100%	No filings	No filings	No filings
CA347	TRAVELERS CASUALTY & SURETY COMPANY	2	1	50%	1	1	100%
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	7	6	86%	2	2	100%
CA349	TRAVELERS COMMERCIAL CASUALTY	2	2	100%	2	2	100%
CA343	TRAVELERS INDEMNITY COMPANY	1	1	100%	No filings	No filings	No filings
CA346	TRAVELERS INDEMNITY CO OF AMERICA	3	3	100%	1	1	100%
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	5	4	80%	2	2	100%
	Total	**63**	**51**	**81%**	**23**	**21**	**91%**
	TRAVELERS TPA Administered Claims						
CA040	BROADSPIRE SERVICES	3	2	67%	2	2	100%
CA190	GALLAGHER BASSETT SERVICES	4	1	25%	4	1	25%
CA300	SEDGWICK CLAIMS MANAGEMENT	2	2	100%	1	1	100%
CA168	THE FRANK GATES SERVICE COMPANY	*	*	*	*	*	*
	TPA Total	**9**	**5**	**56%**	**7**	**4**	**57%**
	TRAVELERS Group Total	**72**	**56**	**78%**	**30**	**25**	**83%**

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	TRIDENT INSURANCE SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA240	**Group Total**	*	*	*	*	*	*
	UNDERWRITERS SAFETY & CLAIMS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA360	**Group Total**	*	*	*	*	*	*
	UTICA	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA191	**Group Total**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	VANLINER INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA379	**Total**	*	*	*	*	*	*
	VANLINER TPA Administered claims						
CA060	COTTINGHAM AND BUTLER CLAIMS SERVICES	1	1	100%	1	0	0%
	TPA Total	**1**	**1**	**100%**	**1**	**0**	**0%**
	VANLINER Group Total	**1**	**1**	**100%**	**1**	**0**	**0%**
	WILLIS OF NORTHERN NEW ENGLAND	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA001	HRH CLAIMS MANAGEMENT	No filings	No filings	No filings	1	1	100%
CA382	WILLIS OF NORTHERN NEW ENGLAND, INC.	231	212	92%	50	46	92%
	Group Total	**231**	**212**	**92%**	**51**	**47**	**92%**
	XL SPECIALTY INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA384	**Total**	*	*	*	*	*	*
	XL TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	12	10	83%	3	3	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	1	1	100%	No filings	No filings	No filings
	TPA Total	**13**	**11**	**85%**	**3**	**3**	**100%**
	XL Group Total	**13**	**11**	**85%**	**3**	**3**	**100%**
	YORK RISK SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA340	**Group Total**	**No filings**	**No filings**	**No filings**	**1**	**0**	**0%**
	ZURICH INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA021	AMERICAN GUARANTEE AND LIABILITY	1	1	100%	1	1	100%
CA022	AMERICAN ZURICH	13	13	100%	3	3	100%
CA227	MARYLAND CASUALTY CO.	*	*	*	*	*	*
CA259	NORTHERN INSURANCE CO. OF NEW YORK	1	0	0%	No filings	No filings	No filings
CA400	ZURICH AMERICAN INSURANCE CO.	6	5	83%	3	2	67%
CA404	ZURICH AMERICAN INSURANCE CO.	1	1	100%	1	1	100%
	Total	**22**	**20**	**91%**	**8**	**7**	**88%**
	ZURICH TPA Administered Claims						
CA080	CHESTERFIELD SERVICES	3	3	100%	No filings	No filings	No filings
CA160	ESIS	2	0	0%	No filings	No filings	No filings
CA165	F.A. RICHARD	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	9	8	89%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	14	14	100%	4	4	100%
	TPA Total	**28**	**25**	**89%**	**6**	**6**	**100%**
	ZURICH Group Total	**50**	**45**	**90%**	**14**	**13**	**93%**

Appendix B

Insurance Group Compliance
Initial MOP and Initial Indemnity NOC Filings
Fourth Quarter 2012
10/1/2012-12/31/2012

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Fourth Quarter 2012
10/1/2012 - 12/31/2012

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	ACADIA INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA010	**Group Total**	**15**	**15**	**100%**	**10**	**8**	**80%**
	ACCIDENT FUND INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	ACCIDENT TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	0	0%	No filings	No filings	No filings
	TPA Total	**1**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	ACCIDENT Group Total	**1**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	ACE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	ACE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	No filings	No filings	No filings	1	1	100%
CA110	CONSTITUTION STATE SERVICES	1	1	100%	2	2	100%
CA160	ESIS	14	10	71%	3	2	67%
CA190	GALLAGHER BASSETT SERVICES	5	4	80%	2	2	100%
CA204	HELMSMAN MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	7	6	86%	1	1	100%
CA315	SEDGWICK (formerly SPECIALTY RISK SERVICES)	*	*	*	*	*	*
	TPA Total	**28**	**22**	**79%**	**9**	**8**	**89%**
	ACE Group Total	**28**	**22**	**79%**	**9**	**8**	**89%**
	AMTRUST INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA381	AMTRUST INSURANCE	1	0	0%	No filings	No filings	No filings
CA342	TECHNOLOGY INSURANCE CO.	3	0	0%	1	0	0%
	Group Total	**4**	**0**	**0%**	**1**	**0**	**0%**
	ARCH INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	ARCH TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	5	4	80%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	2	2	100%	3	3	100%
CA168	THE FRANK GATES SERVICE COMPANY	*	*	*	*	*	*
CA340	YORK RISK SERVICES GROUP	1	0	0%	No filings	No filings	No filings
	TPA Total	**8**	**6**	**75%**	**3**	**3**	**100%**
	ARCH Group Total	**8**	**6**	**75%**	**3**	**3**	**100%**
	ARGONAUT INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA020	**Total**	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**
	ARGONAUT TPA Administered Claims						
CA240	TRIDENT INSURANCE SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	ARGONAUT Group Total	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Fourth Quarter 2012
10/1/2012 - 12/31/2012

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	ARROW MUTUAL INSURANCE COMPANY	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA032	**Group Total**	1	0	0%	No filings	No filings	No filings
	BATH IRON WORKS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA036	**Group Total**	16	16	100%	9	8	89%
	BERKSHIRE HATHAWAY INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA114	**Group Total**	1	0	0%	No filings	No filings	No filings
	BROADSPIRE SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA040	**Group Total**	11	10	91%	9	9	100%
	CANNON COCHRAN MANAGEMENT SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA070	**Group Total**	35	35	100%	34	34	100%
	CHARTIS INS GROUP	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA015	**Total**	11	10	91%	3	3	100%
	CHARTIS INS GROUP TPA Administered Claims						
CA040	BROADSPIRE SERVICES	5	5	100%	8	8	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA100	CLAIMS MANAGEMENT (WAL-MART)	8	8	100%	15	14	93%
CA110	CONSTITUTION STATE SERVICES	*	*	*	*	*	*
CA160	ESIS	11	10	91%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	8	8	100%	3	3	100%
CA204	HELMSMAN MANAGEMENT SERVICES	1	1	100%	3	3	100%
CA280	RISK ENTERPRISE MANAGEMENT	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT	6	6	100%	10	9	90%
	TPA Total	40	39	98%	40	38	95%
	CHARTIS INS Group Total	51	49	96%	43	41	95%
	CHESTERFIELD SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA080	**Group Total**	No filings	No filings	No filings	1	1	100%
	CHUBB INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA090	**Group Total**	2	1	50%	1	0	0%
	CHUBB TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	3	2	67%	2	2	100%
	TPA Total	3	2	67%	2	2	100%
	CHUBB Group Total	5	3	60%	3	2	67%
	CHURCH MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA084	**Group Total**	No filings	No filings	No filings	No filings	No filings	No filings
	CIANBRO CORPORATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA085	**Group Total**	2	2	100%	No filings	No filings	No filings

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Fourth Quarter 2012
10/1/2012 - 12/31/2012

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	CITY OF BANGOR	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA033	Group Total	11	11	100%	1	1	100%
	CLAIMS MANAGEMENT (WAL-MART)	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA100	Group Total	8	8	100%	15	14	93%
	CNA INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA050	Group Total	2	2	100%	2	2	100%
	CONSTITUTION STATE SERVICE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA110	Group Total	1	1	100%	2	2	100%
	CONTINENTAL INDEMNITY	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA115	Group Total	2	2	100%	No filings	No filings	No filings
	CORVEL CORPORATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA116	Group Total	*	*	*	*	*	*
	COTTINGHAM AND BUTLER CLAIMS SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA117	Group Total	3	2	67%	2	2	100%
	CRUM & FORSTER	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA089	Group Total	1	0	0%	No filings	No filings	No filings
	ELECTRIC INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	*	*	*	*	*	*
	ELECTRIC INSURANCE TPA Administered Claims						
CA300	SEDGWICK CLAIMS MANAGEMENT	1	1	100%	No filings	No filings	No filings
	TPA Total	1	1	100%	No filings	No filings	No filings
	ELECTRIC INSURANCE Group Total	1	1	100%	No filings	No filings	No filings
	ESIS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA160	Group Total	25	20	80%	4	3	75%
	EVEREST INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	*	*	*	*	*	*
	EVEREST INSURANCE TPA Administered Claims						
CA315	SEDGWICK (formerly SPECIALTY RISK SERVICES)	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	No filings	No filings	No filings	No filings	No filings	No filings
	EVEREST INSURANCE Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	F.A. RICHARD	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA165	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	FEDERATED MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA091	FEDERATED MUTUAL INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA092	FEDERATED SERVICE INSURANCE	*	*	*	*	*	*
	Group Total	No filings	No filings	No filings	No filings	No filings	No filings

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INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Fourth Quarter 2012
10/1/2012 - 12/31/2012

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	FIREMANS FUND INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA170	**Group Total**	**No filings**	**No filings**	**No filings**	**1**	**0**	**0%**
	FUTURECOMP	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA175	**Group Total**	**14**	**11**	**79%**	**11**	**11**	**100%**
	GALLAGHER BASSETT SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA190	**Group Total**	**41**	**34**	**83%**	**14**	**14**	**100%**
	GREAT AMERICAN INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA193	**Group Total**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	GREAT DIVIDE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA189	**Group Total**	*	*	*	*	*	*
	GREAT FALLS INSURANCE CO.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Group Total	*	*	*	*	*	*
	GREAT FALLS TPA Administered Claims						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	7	7	100%	9	9	100%
	TPA Total	**7**	**7**	**100%**	**9**	**9**	**100%**
	GREAT FALLS Group Total	**7**	**7**	**100%**	**9**	**9**	**100%**
	GUARANTEE INSURANCE CO.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA195	**Group Total**	**1**	**1**	**100%**	**2**	**2**	**100%**
	GUARD INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA019	AMGUARD INSURANCE COMPANY	4	1	25%	2	1	50%
CA140	EASTGUARD INSURANCE COMPANY	2	1	50%	1	1	100%
CA272	NORGUARD INSURANCE COMPANY	6	4	67%	No filings	No filings	No filings
	Group Total	**12**	**6**	**50%**	**3**	**2**	**67%**
	HANNAFORD BROTHERS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA201	**Group Total**	**17**	**17**	**100%**	**8**	**8**	**100%**
	HANOVER INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA048	CITIZENS INSURANCE CO. OF AMERICA	No filings	No filings	No filings	1	1	100%
CA202	HANOVER INSURANCE COMPANY	2	1	50%	1	1	100%
CA228	MASSACHUSETTS BAY INS. CO.	1	1	100%	1	1	100%
	Group Total	**3**	**2**	**67%**	**3**	**3**	**100%**

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INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Fourth Quarter 2012
10/1/2012 - 12/31/2012

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	HARTFORD INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA188	HARTFORD ACCIDENT & INDEMNITY	2	2	100%	No filings	No filings	No filings
CA185	HARTFORD CASUALTY INS CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA203	HARTFORD FIRE INSURANCE CO.	3	3	100%	1	1	100%
CA186	HARTFORD INSURANCE OF THE MIDWEST	7	7	100%	5	5	100%
CA187	HARTFORD UNDERWRITERS INSURANCE	2	2	100%	1	1	100%
CA288	PROPERTY & CASUALTY INSURANCE COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
CA296	SENTINEL INSURANCE CO.	1	1	100%	No filings	No filings	No filings
CA319	TRUMBULL INSURANCE COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
CA321	TWIN CITY FIRE INSURANCE CO.	3	3	100%	5	5	100%
	Total	**18**	**18**	**100%**	**12**	**12**	**100%**
	HARTFORD TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	1	1	100%
CA165	F.A. RICHARD	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA280	RISK ENTERPRISE MANAGEMENT	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT	1	1	100%	1	1	100%
CA315	SEDGWICK (formerly SPECIALTY RISK SERVICES)	*	*	*	*	*	*
	TPA Total	**2**	**2**	**100%**	**2**	**2**	**100%**
	HARTFORD Group Total	**20**	**20**	**100%**	**14**	**14**	**100%**

	HELMSMAN MANAGEMENT SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA204	**Group Total**	**2**	**2**	**100%**	**3**	**3**	**100%**

	LIBERTY MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA380	EMPLOYERS INSURANCE OF WAUSAU	5	3	60%	6	6	100%
CA210	LIBERTY MUTUAL INSURANCE COMPANY	20	17	85%	16	15	94%
	Total	**25**	**20**	**80%**	**22**	**21**	**95%**
	LIBERTY TPA Administered Claims						
CA204	HELMSMAN MANAGEMENT SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	LIBERTY Group Total	**25**	**20**	**80%**	**22**	**21**	**95%**

	MACY'S CORPORATE SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA213	**Group Total**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**

	MAINE AUTOMOBILE DEALERS ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA220	**Group Total**	**10**	**10**	**100%**	**5**	**5**	**100%**

	MAINE EMPLOYERS' MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA260	**Group Total**	**289**	**274**	**95%**	**185**	**173**	**94%**

	MAINE HEALTH CARE ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA234	**Group Total**	**8**	**7**	**88%**	**4**	**4**	**100%**

	MAINE MOTOR TRANSPORT ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA230	**Group Total**	**12**	**12**	**100%**	**6**	**6**	**100%**

	MAINE MUNICIPAL ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA225	**Group Total**	**55**	**49**	**89%**	**54**	**53**	**98%**

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INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Fourth Quarter 2012
10/1/2012 - 12/31/2012

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	MAINE SCHOOL MANAGEMENT ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA250	**Group Total**	23	22	96%	12	11	92%
	MEADOWBROOK	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA255	**Group Total**	4	3	75%	1	1	100%
	NATIONAL INTERSTATE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA267	**Group Total**	*	*	*	*	*	*
	NATIONWIDE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA289	**Group Total**	No filings	No filings	No filings	1	1	100%
	NGM INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA265	**Group Total**	No filings	No filings	No filings	No filings	No filings	No filings
	OLD REPUBLIC INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	OLD REPUBLIC TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	2	2	100%	1	1	100%
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA280	RYDER SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT	2	2	100%	3	3	100%
CA382	WILLIS OF NORTHERN NEW ENGLAND, INC.	*	*	*	*	*	*
	TPA Total	5	5	100%	4	4	100%
	OLD REPUBLIC Group Total	5	5	100%	4	4	100%
	ONEBEACON INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA270	ONEBEACON INSURANCE	*	*	*	*	*	*
CA281	ONEBEACON INSURANCE	*	*	*	*	*	*
	Total	*	*	*	*	*	*
	ONEBEACON TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
CA168	THE FRANK GATES SERVICE COMPANY	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	ONEBEACON Group Total	*	*	*	*	*	*
	PATRIOT INSURANCE CO.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA274	**Group Total**	1	1	100%	No filings	No filings	No filings
	PEERLESS INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA162	EXCELSIOR INSURANCE CO.	1	0	0%	No filings	No filings	No filings
CA309	NETHERLANDS INSURANCE COMPANY	1	0	0%	No filings	No filings	No filings
CA275	PEERLESS INSURANCE CO.	27	21	78%	11	11	100%
CA283	PEERLESS INDEMNITY CO.	No filings	No filings	No filings	No filings	No filings	No filings
	Group Total	29	21	72%	11	11	100%

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Fourth Quarter 2012
10/1/2012 - 12/31/2012

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	PENNSYLVANIA MFG. ASSOCIATION INS. CO	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	PENNSYLVANIA MFG. TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	3	3	100%	1	1	100%
CA165	F.A. RICHARD	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	3	3	100%	1	1	100%
	PENNSYLVANIA MFG. Group Total	3	3	100%	1	1	100%
	PRAETORIAN INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA276	Group Total	*	*	*	*	*	*
	PRAETORIAN INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	5	5	100%	3	3	100%
	TPA Total	6	6	100%	3	3	100%
	PRAETORIAN INSURANCE Group Total	6	6	100%	3	3	100%
	PROTECTIVE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA277	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	PUBLIC SERVICE MUTUAL	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA282	Group Total	No filings	No filings	No filings	1	1	100%
	RISK ENTERPRISE MANAGEMENT	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA280	Group Total	*	*	*	*	*	*
	RYDER SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA280	Group Total	*	*	*	*	*	*
	SAFETY NATIONAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	SAFETY NATIONAL TPA Administered claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA116	CORVEL	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	4	4	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	1	50%	No filings	No filings	No filings
CA168	THE FRANK GATES SERVICE COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	7	6	86%	1	1	100%
	SAFETY NATIONAL Group Total	7	6	86%	1	1	100%
	SEABRIGHT INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
58557	Group Total	No filings	No filings	No filings	1	1	100%
	SEDGWICK CLAIMS MANAGEMENT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	35	31	89%	31	30	97%
CA315	SEDGWICK (formerly SPECIALTY RISK SERVICES)	No filings	No filings	No filings	No filings	No filings	No filings
	Group Total	35	31	89%	31	30	97%

*Indicates no claims activity this quarter

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Fourth Quarter 2012
10/1/2012 - 12/31/2012

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	SENTRY INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA402	SENTRY CASUALTY COMPANY	*	*	*	*	*	*
CA305	SENTRY INSURANCE A MUTUAL CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA308	SENTRY SELECT INSURANCE CO.	No filings	No filings	No filings	No filings	No filings	No filings
	Group Total	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	SPARTA INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	SPARTA TPA Administered claims						
CA060	COTTINGHAM AND BUTLER CLAIMS SERVICES	2	2	100%	2	2	100%
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	**2**	**2**	**100%**	**2**	**2**	**100%**
	SPARTA Group Total	**2**	**2**	**100%**	**2**	**2**	**100%**
	STATE OF MAINE WORKERS' COMPENSATION TRUST	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA307	**Group Total**	**37**	**36**	**97%**	**24**	**24**	**100%**
	SYNERNET	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA320	**Group Total**	**22**	**20**	**91%**	**29**	**27**	**93%**
	THE FRANK GATES SERVICE COMPANY	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA168	**Group Total**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	TOKIO MARINE & NICHIDO FIRE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA311	**Group Total**	*	*	*	*	*	*
	TOWER INSURANCE COMPANY	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA356	**Group Total**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	TRAVELERS INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA072	CHARTER OAK FIRE INS. CO.	11	10	91%	4	4	100%
CA164	FARMINGTON CASUALTY CO.	*	*	*	*	*	*
CA306	STANDARD FIRE INS. CO.	4	4	100%	1	1	100%
CA303	ST. PAUL FIRE & MARINE INSURANCE	*	*	*	*	*	*
CA284	THE PHOENIX INSURANCE CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA347	TRAVELERS CASUALTY & SURETY COMPANY	1	1	100%	1	1	100%
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	2	2	100%	1	1	100%
CA349	TRAVELERS COMMERCIAL CASUALTY	2	2	100%	No filings	No filings	No filings
CA343	TRAVELERS INDEMNITY COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
CA346	TRAVELERS INDEMNITY CO OF AMERICA	1	1	100%	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	2	2	100%	No filings	No filings	No filings
	Total	**23**	**22**	**96%**	**7**	**7**	**100%**
	TRAVELERS TPA Administered Claims						
CA040	BROADSPIRE SERVICES	2	2	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	4	2	50%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	1	1	100%	1	1	100%
CA168	THE FRANK GATES SERVICE COMPANY	*	*	*	*	*	*
	TPA Total	**7**	**5**	**71%**	**1**	**1**	**100%**
	TRAVELERS Group Total	**30**	**27**	**90%**	**8**	**8**	**100%**

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Fourth Quarter 2012
10/1/2012 - 12/31/2012

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	TRIDENT INSURANCE SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA240	**Group Total**	*	*	*	*	*	*
	UNDERWRITERS SAFETY & CLAIMS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA360	**Group Total**	*	*	*	*	*	*
	UTICA	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA191	**Group Total**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	VANLINER INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA379	**Total**	*	*	*	*	*	*
	VANLINER TPA Administered claims						
CA060	COTTINGHAM AND BUTLER CLAIMS SERVICES	1	0	0%	No filings	No filings	No filings
	TPA Total	**1**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	VANLINER Group Total	**1**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	WILLIS OF NORTHERN NEW ENGLAND	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA001	HRH CLAIMS MANAGEMENT	1	1	100%	No filings	No filings	No filings
CA382	WILLIS OF NORTHERN NEW ENGLAND, INC.	50	46	92%	53	52	98%
	Group Total	**51**	**47**	**92%**	**53**	**52**	**98%**
	XL SPECIALTY INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA384	**Total**	*	*	*	*	*	*
	XL TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	3	2	67%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	No filings	No filings	No filings	1	1	100%
	TPA Total	**3**	**2**	**67%**	**1**	**1**	**100%**
	XL Group Total	**3**	**2**	**67%**	**1**	**1**	**100%**
	YORK RISK SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA340	**Group Total**	**1**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	ZURICH INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA021	AMERICAN GUARANTEE AND LIABILITY	1	1	100%	No filings	No filings	No filings
CA022	AMERICAN ZURICH	3	3	100%	3	3	100%
CA227	MARYLAND CASUALTY CO.	*	*	*	*	*	*
CA259	NORTHERN INSURANCE CO. OF NEW YORK	No filings	No filings	No filings	1	0	0%
CA400	ZURICH AMERICAN INSURANCE CO.	3	3	100%	2	2	100%
CA404	ZURICH AMERICAN INSURANCE CO.	1	1	100%	No filings	No filings	No filings
	Total	**8**	**8**	**100%**	**6**	**5**	**83%**
	ZURICH TPA Administered Claims						
CA080	CHESTERFIELD SERVICES	No filings	No filings	No filings	1	1	100%
CA160	ESIS	No filings	No filings	No filings	No filings	No filings	No filings
CA165	F.A. RICHARD	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	4	4	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	4	4	100%	4	4	100%
	TPA Total	**6**	**6**	**100%**	**9**	**9**	**100%**
	ZURICH Group Total	**14**	**14**	**100%**	**15**	**14**	**93%**

***Indicates no claims activity this quarter**