QUARTERLY COMPLIANCE REPORT

STATE OF MAINE
WORKERS' COMPENSATION BOARD

First Quarter 2012 Report

January 1, 2012 – March 31, 2012

Office of Monitoring, Audit & Enforcement

Paul H. Sighinolfi, Esq.
Executive Director/Chair

27 State House Station
Augusta, Maine 04333-0027
(207) 287-3751

State of Maine
Workers' Compensation Board
Quarterly Compliance Report
First Quarter 2012

Table of Contents

1.	Executive Summary	Pages 1-2
2.	Lost Time First Report of Injury Filings	Page 3
3.	Initial Indemnity Payments	Page 4
4.	Initial Memorandum of Payment Filings	Page 5
5.	Initial Indemnity Notice of Controversy Filings	Page 6
6.	Wage Information	Page 7

Table of Charts

1.	Lost Time First Report of Injury Filings Timeliness Distribution	Page 3
2.	Lost Time First Report of Injury Filings Quarterly Compliance	Page 3
3.	Lost Time First Report of Injury Filings Compliance Trend	Page 3
4.	Initial Indemnity Payments Timeliness Distribution	Page 4
5.	Initial Indemnity Payments Quarterly Compliance	Page 4
6.	Initial Indemnity Payments Compliance Trend	Page 4
7.	Initial Memorandum of Payment Filings Timeliness Distribution	Page 5
8.	Initial Memorandum of Payment Filings Quarterly Compliance	Page 5
9.	Initial Memorandum of Payment Filings Compliance Trend	Page 5
10.	Initial Indemnity Notice of Controversy Filings Timeliness Distribution	Page 6
11.	Initial Indemnity Notice of Controversy Filings Quarterly Compliance	Page 6
12.	Initial Indemnity Notice of Controversy Filings Compliance Trend	Page 6
13.	Wage Information Wage Statement Timeliness Distribution	Page 7
14.	Wage Information Schedule of Dependent(s) and Filing Status Statement Timeliness Distribution	Page 7

Appendices

A.	Insurance Group Compliance Lost Time FROI Filings and Initial Indemnity Payments	Pages A1-A7
B.	Insurance Group Compliance Initial MOP and Initial Indemnity NOC Filings	Pages B1-B7

Executive Summary

On July 10, 2012, the Maine Workers' Compensation Board approved the First Quarter 2012 Compliance Report. This report represents the efforts of the Office of Monitoring, Audit and Enforcement and insurers, self-administered employers, and third-party administrators (collectively "claim administrators").

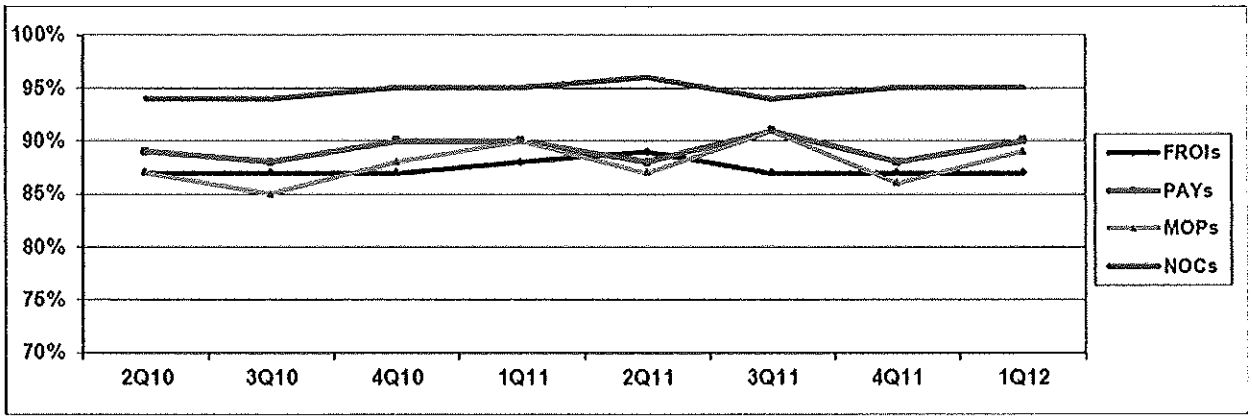
I. COMPLIANCE OVERVIEW

The Reconciliation Report was sent to 97 insurers on April 23, 2012; 64 responded, 27 were not required to respond and 6 did not respond.

The 1Q12 report represents results based upon data received by May 21, 2012. The results are:

Category	Number of Days	Benchmark	2Q10	3Q10	4Q10	1Q11	2Q11	3Q11	4Q11	1Q12
FROIs	7	85%	87%	87%	87%	88%	89%	87%	87%	87%
PAYs	14	87%	89%	88%	90%	90%	88%	91%	88%	90%
MOPs	17	85%	87%	85%	88%	90%	87%	91%	86%	89%
NOCs	14	90%	94%	94%	95%	95%	96%	94%	95%	95%

Compliance Benchmark Tracking (2Q10 – 1Q12)



II. CAVEATS & EXPLANATIONS

A. General

- Question marks ("?") within this report indicate that the insurer did not provide all of the data required to measure compliance in that particular area.

B. Lost Time First Report of Injury (FROI) Filings

- Compliance with this benchmark exists when the FROI is filed (accepted EDI transaction, with or without errors) within 7 days after the employer receives notice or knowledge of an employee injury that has caused the employee to lose a day's work.

C. Initial Indemnity Payments (PAYs)

- Compliance with this benchmark exists when the check is mailed within the later of (i) 14 days after the employer's notice or knowledge of incapacity or (ii) the first day of compensability plus 6 days.
- If an employer continues to pay the employee's salary, payments are deemed timely for purposes of compliance if made consistent with the employer's usual payroll practice.

D. Initial Memorandum of Payment (MOP) Filings

- Compliance with this benchmark exists when the MOP is received within 17 days of the employer's notice or knowledge of incapacity.

E. Initial Indemnity Notice of Controversy (NOC) Filings

- Compliance with this benchmark exists when the NOC is filed (accepted EDI transaction, with or without errors) within 14 days after the employer receives notice or knowledge of the incapacity or death. Measurement excludes filings submitted with full denial reason codes 3A-3H (No Coverage).

III. COMPLETED AUDITS

The Board conducts compliance audits of insurers, self-insurers and third-party administrators to ensure that all obligations under the Workers' Compensation Act are met. The functions of the audit program include, but are not limited to: ensuring that all reporting requirements of the Board are met, auditing the timeliness of benefit payments, auditing the accuracy of indemnity payments, evaluating claims-handling techniques, and determining whether claims are unreasonably contested.

The following had audits completed in the 1Q12:

Auditee (alpha order)	Total Penalties
AAIC	$100.00
Church Mutual Insurance	$2,500.00
Old Republic Insurance	$3,925.00
Patriot Insurance	$3,000.00
Transguard Insurance	$3,100.00

LOST TIME FIRST REPORT OF INJURY FILINGS

Chart 1 Timeliness Distribution

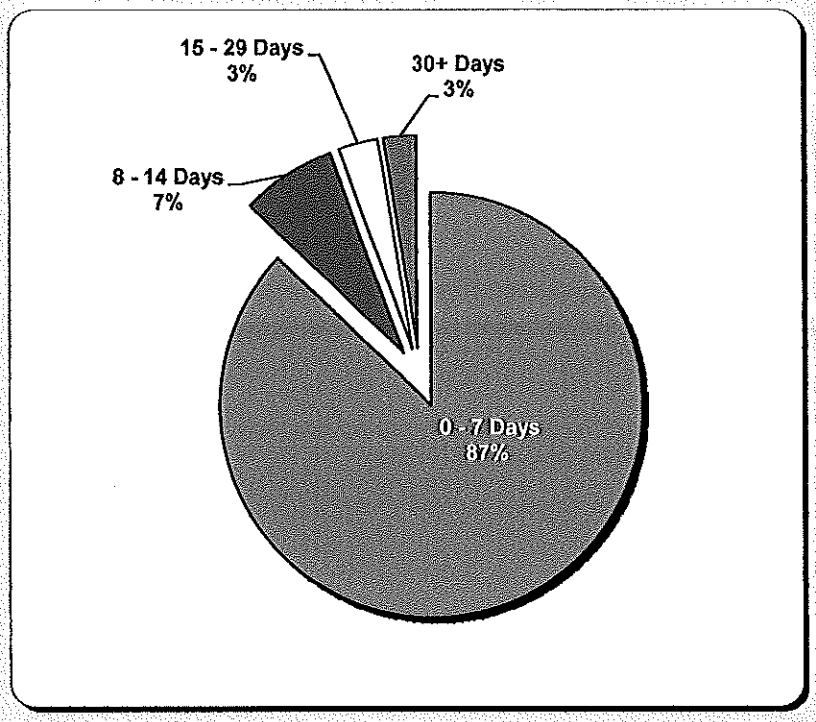


Table 1

Received Within:			
0 - 7	Days	2,971	87%
8 - 14	Days	248	7%
15 - 29	Days	103	3%
30+	Days	87	3%
Total		**3,409**	**100%**

Chart 2 Quarterly Compliance

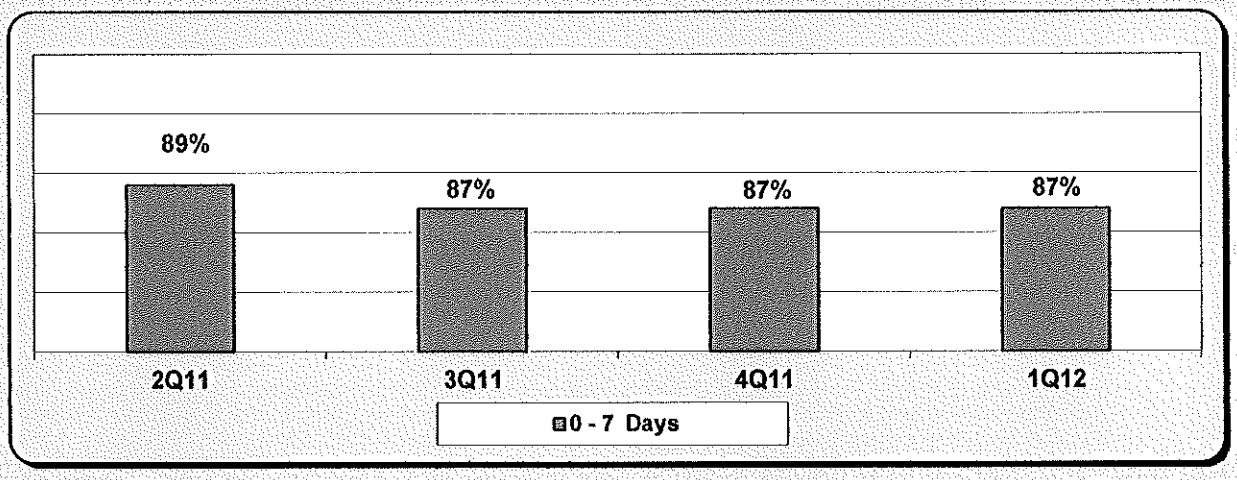
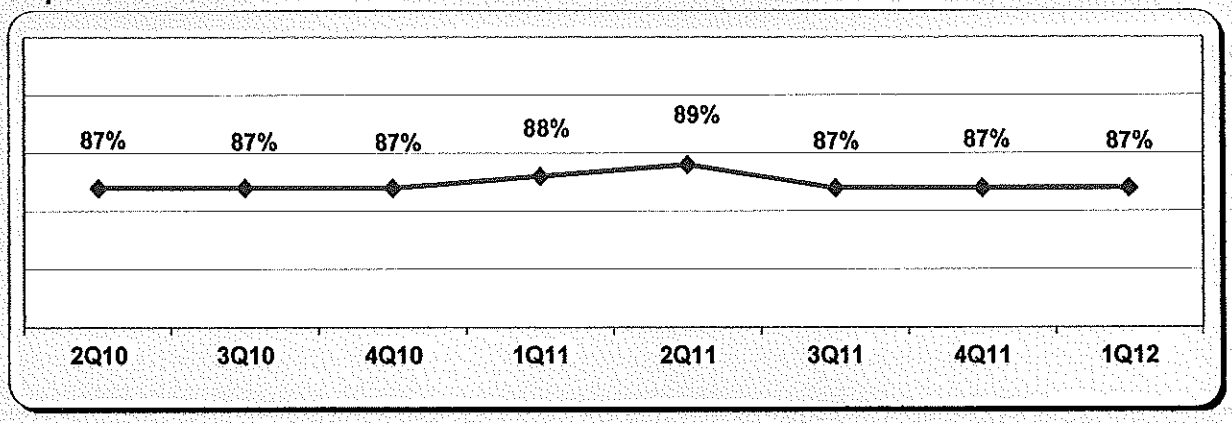


Chart 3 Compliance Trend



INITIAL INDEMNITY PAYMENTS

Chart 4 Timeliness Distribution

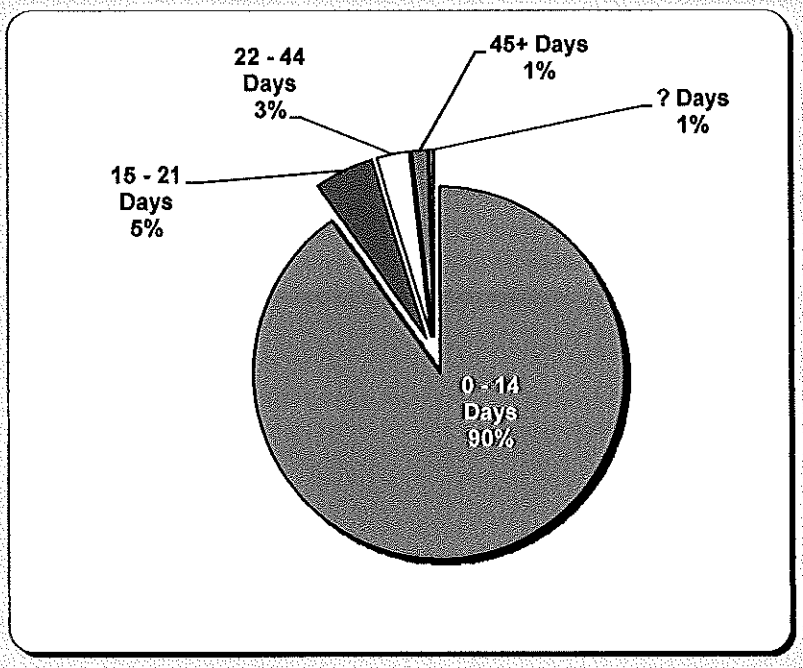


Table 2

Made Within:			
0 - 14	Days	913	90%
15 - 21	Days	52	5%
22 - 44	Days	29	3%
45+	Days	14	1%
?	Days	4	1%
Total		**1,012**	**100%**

Initial indemnity payments are monitored to ensure that payments are initiated within the time limits established in Section 205. As a result of these efforts, $14,350 was issued to claimants in penalties and there is another $2,000 in penalties awaiting resolution.

Chart 5 Quarterly Compliance

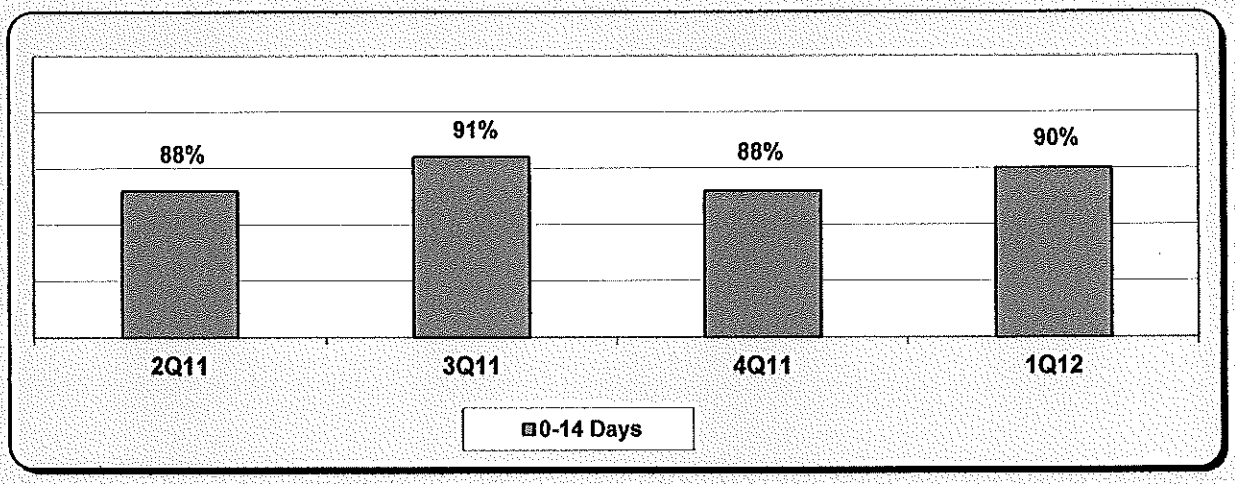
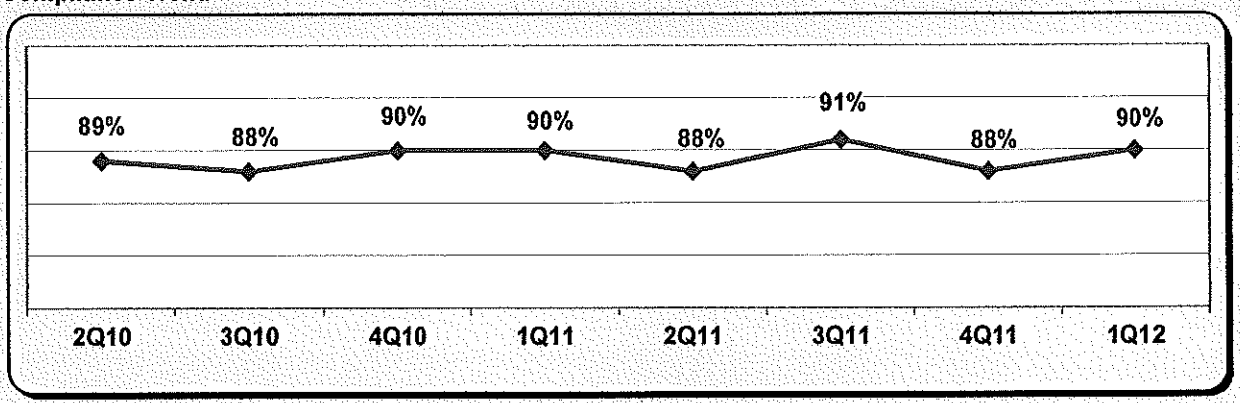


Chart 6 Compliance Trend



INITIAL MEMORANDUM OF PAYMENT FILINGS

Chart 7 Timeliness Distribution

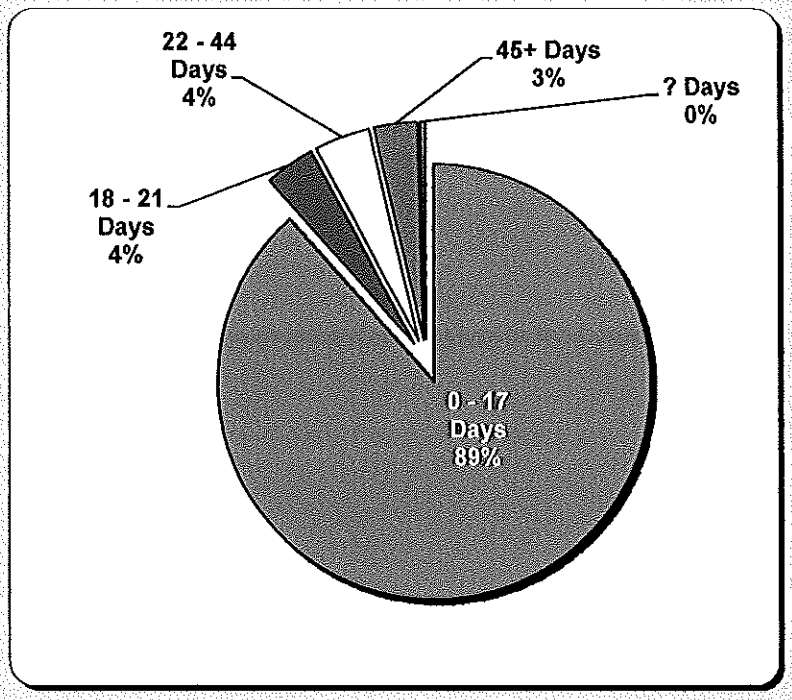


Table 3

Received Within:			
0 - 17	Days	895	89%
18 - 21	Days	38	4%
22 - 44	Days	42	4%
45+	Days	33	3%
?	Days	4	0%
Total		1,012	100%

Chart 8 Quarterly Compliance

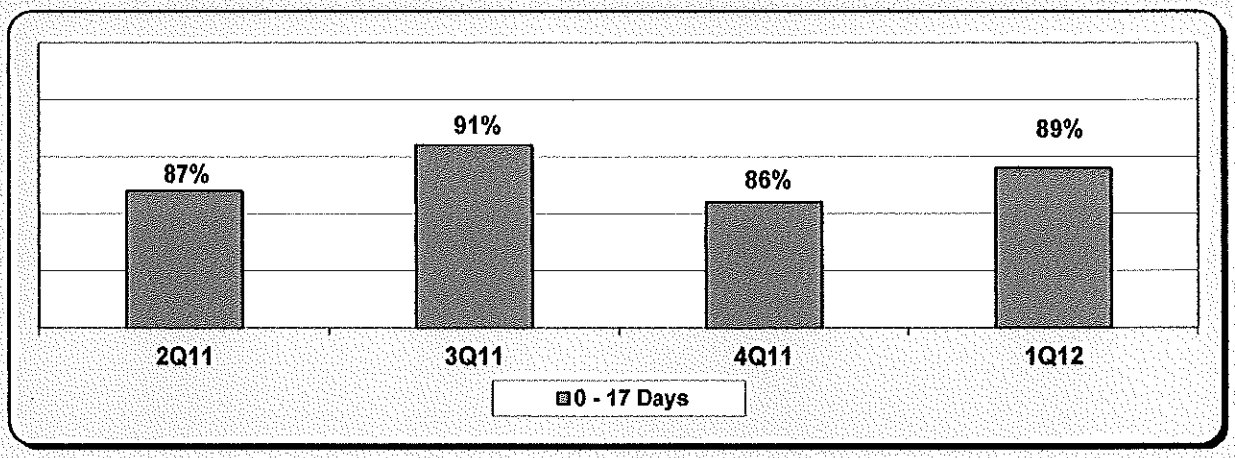
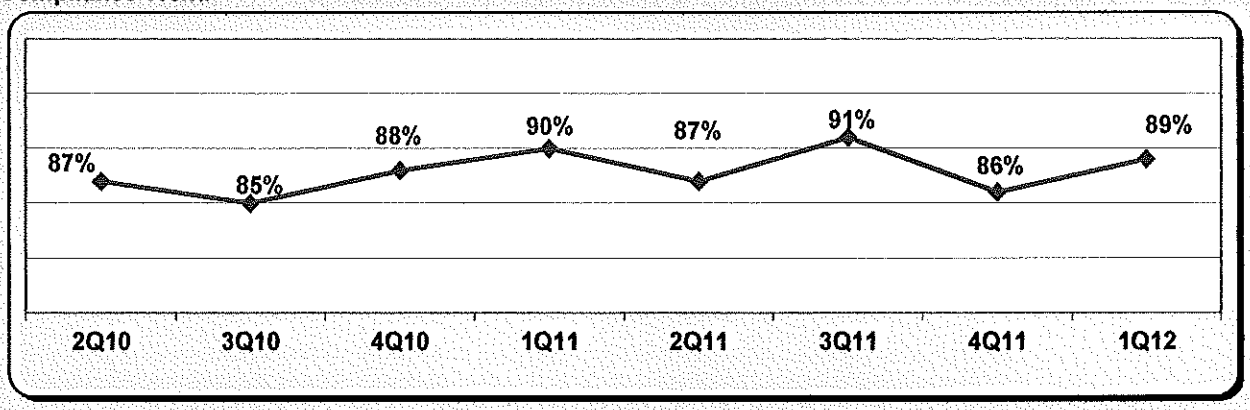


Chart 9 Compliance Trend



INITIAL INDEMNITY NOTICE OF CONTROVERSY FILINGS

Chart 10 Timeliness Distribution

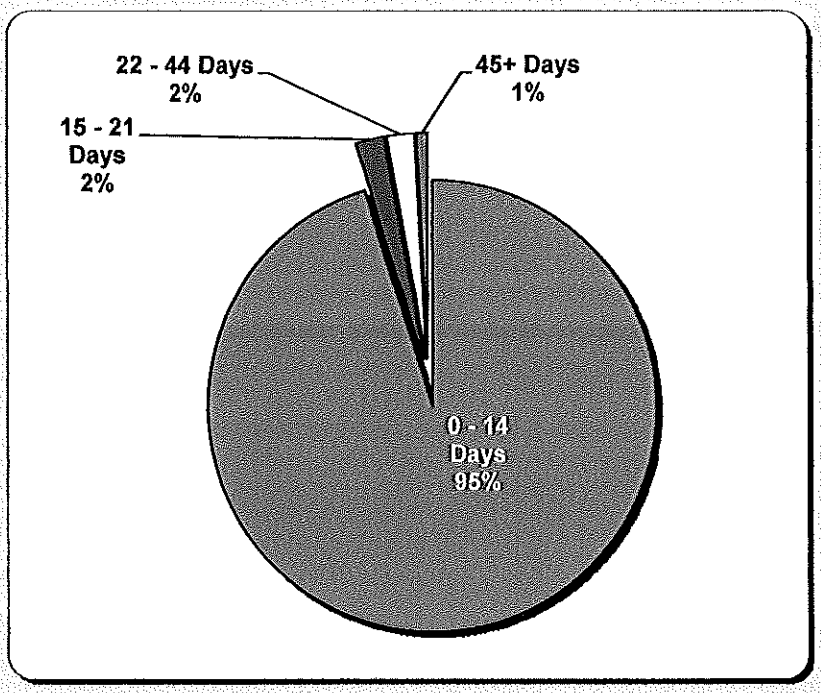


Table 4

Received Within:			
0 - 14	Days	595	95%
15 - 21	Days	13	2%
22 - 44	Days	12	2%
45+	Days	5	1%
Total		625	100%

Chart 11 Quarterly Compliance

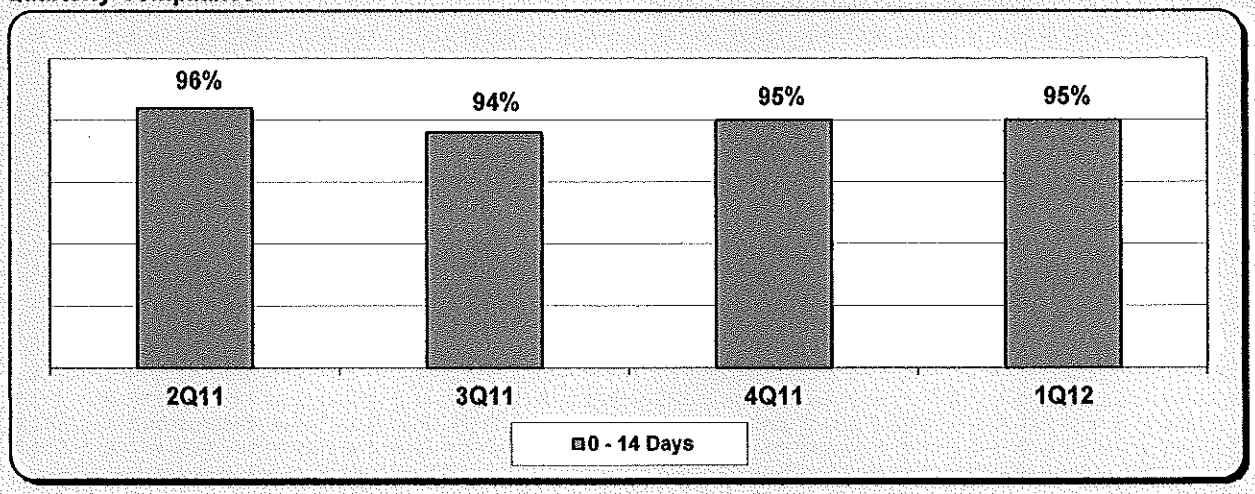
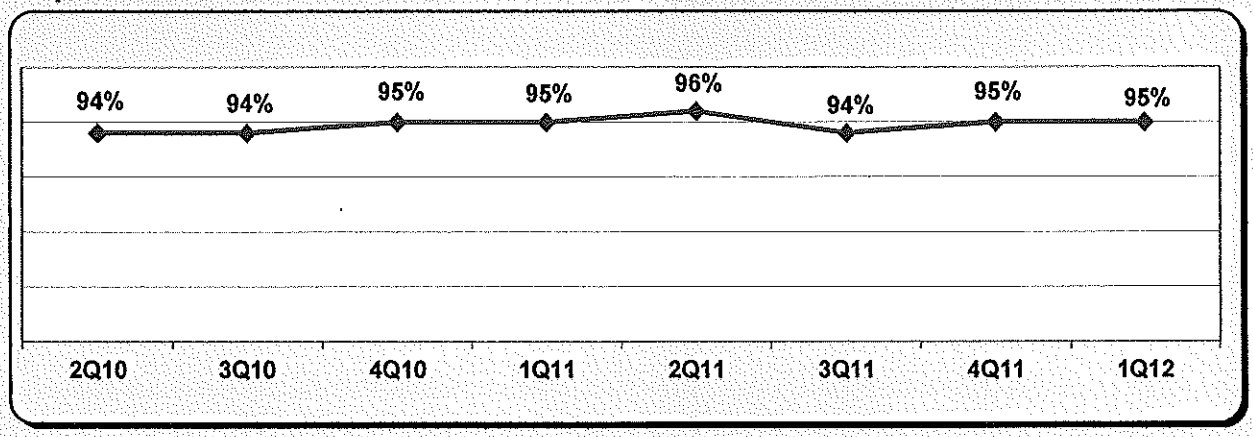


Chart 12 Compliance Trend



WAGE INFORMATION

Wage information (WCB-2 and WCB 2A forms) must be filed within 30 days of an employer's notice or knowledge of a claim for compensation (Title 39-A M.R.S.A. Section 303). This includes both compensated and controverted claims where a claim for compensation has been made.

Chart 13 Wage Statement Timeliness Distribution

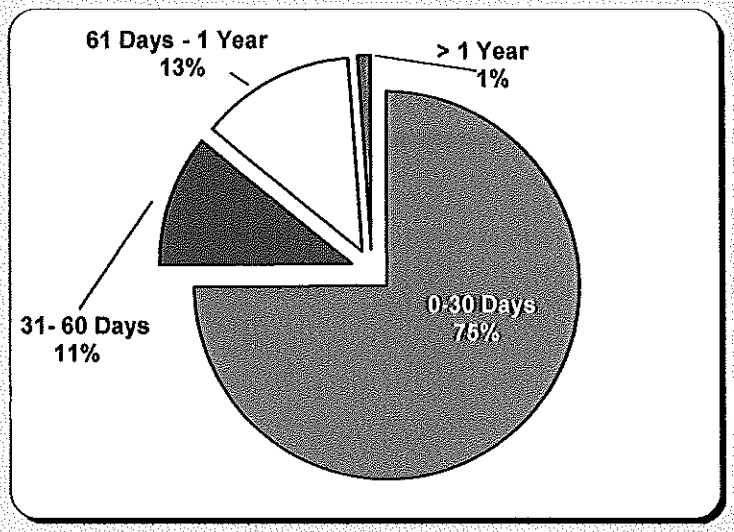


Table 5

Received Within:		
0-30 Days	1,499	75%
31-60 Days	220	11%
61 Days-1 Year	261	13%
> 1 Year	22	1%
Total	**2,002**	**100%**

Wage Statement(s): 1,534 (76%) of the 2,011 Wage Statement(s) that were due this quarter were filed timely, 367 (18%) were filed late, and 110 (6%) remain outstanding.

Chart 14 Schedule of Dependent(s) and Filing Status Statement Timeliness Distribution

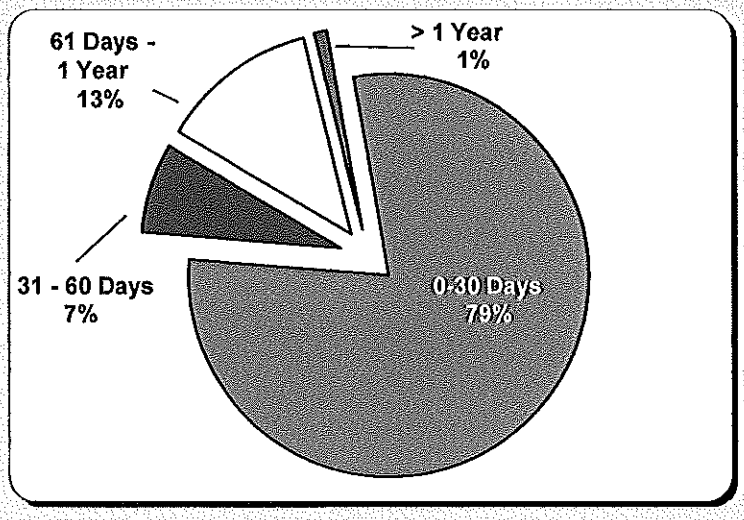


Table 6

Received Within:		
0-30 Days	1,537	79%
31-60 Days	140	7%
61 Days-1 Year	248	13%
> 1 Year	26	1%
Total	**1,951**	**100%**

Schedule of Dependent(s) and Filing Status Statement(s): 1,610 (80%) of the 2,011 Schedule of Dependent(s) and Filing Status Statement(s) due this quarter were filed timely, 299 (15%) were filed late, and 102 (5%) remain outstanding.

Appendix A

Insurance Group Compliance
Lost Time FROI Filings and
Initial Indemnity Payments
First Quarter 2012
1/1/2012-3/31/2012

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
First Quarter 2012
1/1/2012 - 3/31/2012

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	ACADIA INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA010	**Group Total**	55	51	93%	26	22	85%
	ACE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	ACE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA110	CONSTITUTION STATE SERVICES	3	2	67%	2	2	100%
CA160	ESIS	54	40	74%	20	14	70%
CA190	GALLAGHER BASSETT SERVICES	17	17	100%	6	6	100%
CA204	HELMSMAN MANAGEMENT SERVICES	8	8	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	52	42	81%	16	10	63%
	TPA Total	135	110	81%	45	33	73%
	ACE Group Total	135	110	81%	45	33	73%
	AMTRUST INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA342	**Group Total**	10	3	30%	3	2	67%
	ARCH INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	ARCH TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	2	2	100%	2	2	100%
CA160	ESIS	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	5	4	80%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	22	14	64%	4	1	25%
CA168	THE FRANK GATES SERVICE COMPANY	No filings	No filings	No filings	1	0	0%
CA140	YORK RISK SERVICES GROUP	1	0	0%	1	1	100%
	TPA Total	31	21	68%	10	6	60%
	ARCH Group Total	31	21	68%	10	6	60%
	ARGONAUT INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA020	**Total**	No filings	No filings	No filings	No filings	No filings	No filings
	ARGONAUT TPA Administered Claims						
CA240	TRIDENT INSURANCE SERVICES	3	3	100%	1	1	100%
	TPA Total	3	3	100%	1	1	100%
	ARGONAUT Group Total	3	3	100%	1	1	100%
	BATH IRON WORKS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA036	**Group Total**	136	135	99%	14	14	100%
	BERKSHIRE HATHAWAY INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA114	**Group Total**	1	1	100%	1	1	100%

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
First Quarter 2012
1/1/2012 - 3/31/2012

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	BROADSPIRE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA040	Group Total	35	32	91%	11	10	91%
	CANNON COCHRAN MANAGEMENT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA070	Group Total	122	110	90%	28	26	93%
	CHARTIS INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA015	Total	36	34	94%	10	10	100%
	CHARTIS TPA Administered Claims						
CA040	BROADSPIRE SERVICES	13	12	92%	4	4	100%
CA100	CLAIMS MANAGEMENT (WAL-MART)	41	40	98%	8	8	100%
CA110	CONSTITUTION STATE SERVICES	3	3	100%	1	1	100%
CA160	ESIS	22	17	77%	12	10	83%
CA190	GALLAGHER BASSETT SERVICES	34	31	91%	8	8	100%
CA204	HELMSMAN MANAGEMENT SERVICES	10	8	80%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	34	27	79%	9	8	89%
	TPA Total	157	138	88%	44	41	93%
	CHARTIS Group Total	193	172	89%	54	51	94%
	CHESTERFIELD SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA080	Group Total	3	3	100%	1	1	100%
	CHUBB INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA090	Group Total	9	5	56%	3	3	100%
	CHUBB INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	1	1	100%
	TPA Total	2	2	100%	1	1	100%
	CHUBB Group Total	11	7	64%	4	4	100%
	CHURCH MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA080	Group Total	No filings	No filings	No filings	1	0	0%
	CIANBRO CORPORATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA085	Group Total	1	1	100%	No filings	No filings	No filings
	CITY OF BANGOR	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA033	Group Total	7	7	100%	5	5	100%
	CLAIMS MANAGEMENT (WAL-MART)	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA100	Group Total	41	40	98%	8	8	100%
	CNA INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA050	Group Total	4	4	100%	3	3	100%
	CONSTITUTION STATE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA110	Group Total	7	5	71%	3	3	100%

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
First Quarter 2012
1/1/2012 - 3/31/2012

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	CONTINENTAL INDEMNITY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA115	Group Total	3	3	100%	No filings	No filings	No filings
	COTTINGHAM AND BUTLER CLAIMS SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA117	Group Total	10	9	90%	8	6	75%
	CRUM & FORSTER	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA375	Group Total	1	1	100%	2	0	0%
	ESIS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA160	Group Total	81	62	77%	35	30	86%
	F.A. RICHARD	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA165	Group Total	1	0	0%	No filings	No filings	No filings
	FEDERATED MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA091	FEDERATED MUTUAL INSURANCE	2	2	100%	No filings	No filings	No filings
CA092	FEDERATED SERVICE INSURANCE	2	1	50%	No filings	No filings	No filings
	Group Total	4	3	75%	No filings	No filings	No filings
	FIREMANS FUND INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA170	Group Total	1	0	0%	1	0	0%
	FUTURECOMP	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA175	Group Total	56	53	95%	16	15	94%
	GALLAGHER BASSETT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA190	Group Total	107	94	88%	28	28	100%
	GREAT DIVIDE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA189	Group Total	1	0	0%	1	1	100%
	GUARD INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA019	AMGUARD INSURANCE COMPANY	9	3	33%	3	2	67%
CA140	EASTGUARD INSURANCE COMPANY	11	7	64%	4	3	75%
CA272	NORGUARD INSURANCE COMPANY	2	1	50%	1	0	0%
	Group Total	22	11	50%	8	5	63%
	HANNAFORD BROTHERS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA201	Group Total	76	68	89%	18	16	89%
	HANOVER INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA048	CITIZENS INSURANCE CO. OF AMERICA	6	4	67%	No filings	No filings	No filings
CA202	HANOVER INSURANCE COMPANY	6	5	83%	1	1	100%
CA228	MASSACHUSETTS BAY INS. CO.	5	5	100%	1	1	100%
	Group Total	17	14	82%	2	2	100%

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
First Quarter 2012
1/1/2012 - 3/31/2012

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	HARTFORD INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA188	HARTFORD ACCIDENT & INDEMNITY	5	3	60%	1	1	100%
CA185	HARTFORD CASUALTY INS CO.	5	4	80%	1	1	100%
CA203	HARTFORD FINANCIAL	2	2	100%	No filings	No filings	No filings
CA186	HARTFORD INSURANCE OF THE MIDWEST	20	19	95%	5	5	100%
CA187	HARTFORD UNDERWRITERS INSURANCE	6	6	100%	1	1	100%
CA319	TRUMBULL	1	1	100%	No filings	No filings	No filings
CA321	TWIN CITY FIRE INSURANCE CO.	10	8	80%	2	2	100%
	Total	**49**	**43**	**88%**	**10**	**10**	**100%**
	HARTFORD TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA165	F.A. RICHARD	1	0	0%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	2	1	50%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	10	7	70%	4	4	100%
	TPA Total	**14**	**9**	**64%**	**5**	**5**	**100%**
	HARTFORD Group Total	**63**	**52**	**83%**	**15**	**15**	**100%**
	HELMSMAN MANAGEMENT SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA204	**Group Total**	**21**	**18**	**86%**	**4**	**4**	**100%**
	LIBERTY MUTUAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA380	EMPLOYERS INSURANCE OF WAUSAU	18	16	89%	5	5	100%
CA210	LIBERTY MUTUAL INSURANCE COMPANY	76	66	87%	27	26	96%
	Group Total	**94**	**82**	**87%**	**32**	**31**	**97%**
	MACY'S CORPORATE SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA213	**Group Total**	**2**	**2**	**100%**	**1**	**1**	**100%**
	MAINE AUTOMOBILE DEALERS ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA220	**Group Total**	**34**	**34**	**100%**	**10**	**9**	**90%**
	MAINE EMPLOYERS' MUTUAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA260	**Group Total**	**968**	**798**	**82%**	**310**	**288**	**93%**
	MAINE HEALTH CARE ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA234	**Group Total**	**41**	**39**	**95%**	**5**	**4**	**80%**
	MAINE MOTOR TRANSPORT ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA230	**Group Total**	**51**	**46**	**90%**	**11**	**9**	**82%**
	MAINE MUNICIPAL ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA225	**Group Total**	**241**	**228**	**95%**	**76**	**67**	**88%**
	MAINE SCHOOL MANAGEMENT ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA250	**Group Total**	**105**	**100**	**95%**	**38**	**37**	**97%**

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
First Quarter 2012
1/1/2012 - 3/31/2012

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	MEADOWBROOK	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA255	Group Total	3	3	100%	1	1	100%
	NATIONAL INTERSTATE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA267	Group Total	3	3	100%	2	2	100%
	NGM INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA265	Group Total	5	0	0%	2	1	50%
	OLD REPUBLIC INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	OLD REPUBLIC TPA Administered Claims						
CA040	BROADSPIRE SERVICES	5	4	80%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	3	0	0%	1	1	100%
CA160	ESIS	1	1	100%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	3	2	67%	1	1	100%
CA280	RYDER SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	3	3	100%	No filings	No filings	No filings
CA382	WILLIS OF NORTHERN NEW ENGLAND, INC.	1	1	100%	1	1	100%
	TPA Total	17	12	71%	4	4	100%
	OLD REPUBLIC Group Total	17	12	71%	4	4	100%
	PEERLESS INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA162	EXCELSIOR INSURANCE CO.	1	1	100%	No filings	No filings	No filings
CA309	NETHERLANDS INSURANCE COMPANY	5	5	100%	2	2	100%
CA275	PEERLESS INSURANCE CO.	62	55	89%	26	23	88%
CA283	PEERLESS INDEMNITY CO.	2	2	100%	1	1	100%
	Group Total	70	63	90%	29	26	90%
	PROTECTIVE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA277	Group Total	1	0	0%	1	1	100%
	PUBLIC SERVICE MUTUAL	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA282	Group Total	1	0	0%	No filings	No filings	No filings

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
First Quarter 2012
1/1/2012 - 3/31/2012

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	RISK ENTERPRISES MANAGEMENT	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA280	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	RYDER SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA280	Group Total	1	1	100%	No filings	No filings	No filings
	SAFETY NATIONAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	SAFETY NATIONAL TPA Administered claims						
CA190	GALLAGHER BASSETT SERVICES	3	2	67%	1	1	100%
CA168	THE FRANK GATES SERVICE COMPANY	1	0	0%	1	0	0%
	TPA Total	4	2	50%	2	1	50%
	SAFETY NATIONAL Group Total	4	2	50%	2	1	50%
	SEDGWICK CLAIMS MANAGEMENT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	177	134	76%	58	42	72%
CA315	SEDGWICK (formerly SPECIALTY RISK SERVICES)	6	4	67%	1	1	100%
	Group Total	183	138	75%	59	43	73%
	SENTRY INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA305	Group Total	9	7	78%	7	7	100%
	SPARTA INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	SPARTA TPA Administered claims						
CA060	COTTINGHAM AND BUTLER CLAIMS SERVICES	10	9	90%	7	5	71%
	TPA Total	10	9	90%	7	5	71%
	SPARTA Group Total	10	9	90%	7	5	71%
	STATE OF MAINE WORKERS' COMPENSATION TRUST	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA307	Group Total	110	105	95%	28	25	89%
	SYNERNET	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA320	Group Total	155	144	93%	37	32	86%
	THE FRANK GATES SERVICE COMPANY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA168	Group Total	2	0	0%	3	0	0%

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
First Quarter 2012
1/1/2012 - 3/31/2012

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	TRAVELERS INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA072	CHARTER OAK FIRE INS. CO.	48	39	81%	21	20	95%
CA306	STANDARD FIRE INS. CO.	23	19	83%	9	8	89%
CA303	ST. PAUL FIRE & MARINE INSURANCE	1	0	0%	1	1	100%
CA284	THE PHOENIX INSURANCE CO	1	1	100%	1	1	100%
CA347	TRAVELERS CASUALTY & SURETY COMPANY	4	4	100%	3	3	100%
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	12	10	83%	3	3	100%
CA349	TRAVELERS COMMERCIAL CASUALTY	3	2	67%	1	1	100%
CA343	TRAVELERS INDEMNITY COMPANY	1	1	100%	No filings	No filings	No filings
CA346	TRAVELERS INDEMNITY CO OF AMERICA	1	1	100%	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	6	5	83%	3	3	100%
	Total	**100**	**82**	**82%**	**42**	**40**	**95%**
	TRAVELERS TPA Administered Claims						
CA040	BROADSPIRE SERVICES	6	6	100%	3	3	100%
CA190	GALLAGHER BASSETT SERVICES	3	2	67%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	5	2	40%	No filings	No filings	No filings
CA168	THE FRANK GATES SERVICE COMPANY	1	0	0%	No filings	No filings	No filings
	TPA Total	**15**	**10**	**67%**	**3**	**3**	**100%**
	TRAVELERS Group Total	**115**	**92**	**80%**	**45**	**43**	**96%**
	TRIDENT INSURANCE SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA240	**Group Total**	**3**	**3**	**100%**	**1**	**1**	**100%**
	WILLIS OF NORTHERN NEW ENGLAND	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA001	HRH CLAIMS MANAGEMENT	8	7	88%	4	4	100%
CA360	UNDERWRITERS SAFETY & CLAIMS	1	1	100%	1	1	100%
CA382	WILLIS OF NORTHERN NEW ENGLAND, INC.	274	251	92%	50	49	98%
	Group Total	**283**	**259**	**92%**	**55**	**54**	**98%**
	XL SPECIALTY INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA384	**Total**	*	*	*	*	*	*
	XL TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	1	0	0%	No filings	No filings	No filings
	TPA Total	**2**	**1**	**50%**	**1**	**1**	**100%**
	XL Group Total	**2**	**1**	**50%**	**1**	**1**	**100%**
	YORK RISK SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA340	**Group Total**	**1**	**0**	**0%**	**1**	**1**	**100%**
	ZURICH INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA021	AMERICAN GUARANTEE AND LIABILITY	1	1	100%	1	1	100%
CA022	AMERICAN ZURICH	9	9	100%	1	0	0%
CA259	NORTHERN INSURANCE CO. OF NEW YORK	3	3	100%	1	1	100%
CA400	ZURICH AMERICAN INSURANCE CO.	11	11	100%	5	4	80%
	Total	**24**	**24**	**100%**	**8**	**6**	**75%**
	ZURICH TPA Administered Claims						
CA080	CHESTERFIELD SERVICES	3	3	100%	1	1	100%
CA160	ESIS	1	1	100%	1	1	100%
CA165	F.A. RICHARD	1	0	0%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	23	19	83%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	15	13	87%	9	9	100%
	TPA Total	**43**	**36**	**84%**	**13**	**13**	**100%**
	ZURICH Group Total	**67**	**60**	**90%**	**21**	**19**	**90%**

***Indicates no claims activity this quarter**

Appendix B

Insurance Group Compliance
Initial MOP and Initial Indemnity NOC Filings
First Quarter 2012
1/1/2012-3/31/2012

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
First Quarter 2012
1/1/2012 - 3/31/2012

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	ACADIA INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA010	**Group Total**	26	24	92%	7	7	100%
	ACE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	ACE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	1	1	100%
CA110	CONSTITUTION STATE SERVICES	2	2	100%	1	1	100%
CA160	ESIS	20	15	75%	7	6	86%
CA190	GALLAGHER BASSETT SERVICES	6	6	100%	5	5	100%
CA204	HELMSMAN MANAGEMENT SERVICES	1	1	100%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	16	10	63%	13	13	100%
	TPA Total	45	34	76%	29	28	97%
	ACE Group Total	45	34	76%	29	28	97%
	AMTRUST INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA342	**Group Total**	3	2	67%	No filings	No filings	No filings
	ARCH INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	ARCH TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	2	2	100%	No filings	No filings	No filings
CA160	ESIS	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	4	1	25%	No filings	No filings	No filings
CA168	THE FRANK GATES SERVICE COMPANY	1	0	0%	No filings	No filings	No filings
CA340	YORK RISK SERVICES GROUP	1	0	0%	No filings	No filings	No filings
	TPA Total	10	5	50%	2	2	100%
	ARCH Group Total	10	5	50%	2	2	100%
	ARGONAUT INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA020	**Total**	No filings	No filings	No filings	No filings	No filings	No filings
	ARGONAUT TPA Administered Claims						
CA240	TRIDENT INSURANCE SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	1	1	100%	No filings	No filings	No filings
	ARGONAUT Group Total	1	1	100%	No filings	No filings	No filings
	BATH IRON WORKS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA036	**Group Total**	14	14	100%	11	11	100%
	BERKSHIRE HATHAWAY INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA114	**Group Total**	1	1	100%	No filings	No filings	No filings

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
First Quarter 2012
1/1/2012 - 3/31/2012

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	BROADSPIRE SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA040	**Group Total**	11	10	91%	10	10	100%
	CANNON COCHRAN MANAGEMENT SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA070	**Group Total**	28	18	64%	40	36	90%
	CHARTIS INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA015	**Total**	10	10	100%	5	5	100%
	CHARTIS TPA Administered Claims						
CA040	BROADSPIRE SERVICES	4	4	100%	6	6	100%
CA100	CLAIMS MANAGEMENT (WAL-MART)	8	7	88%	9	9	100%
CA110	CONSTITUTION STATE SERVICES	1	1	100%	1	1	100%
CA160	ESIS	12	10	83%	3	1	33%
CA190	GALLAGHER BASSETT SERVICES	8	8	100%	8	7	88%
CA204	HELMSMAN MANAGEMENT SERVICES	2	2	100%	3	2	67%
CA300	SEDGWICK CLAIMS MANAGEMENT	9	8	89%	11	11	100%
	TPA Total	44	40	91%	41	37	90%
	CHARTIS Group Total	54	50	93%	46	42	91%
	CHESTERFIELD SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA080	**Group Total**	1	1	100%	No filings	No filings	No filings
	CHUBB INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA090	**Group Total**	3	2	67%	No filings	No filings	No filings
	CHUBB TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	1	1	100%	No filings	No filings	No filings
	CHUBB Group Total	4	3	75%	No filings	No filings	No filings
	CHURCH MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA084	**Group Total**	1	1	100%	No filings	No filings	No Filings
	CIANBRO CORPORATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA085	**Group Total**	No filings	No filings	No filings	1	1	100%
	CITY OF BANGOR	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA033	**Group Total**	5	5	100%	No filings	No filings	No filings
	CLAIMS MANAGEMENT (WAL-MART)	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA100	**Group Total**	8	7	88%	9	9	100%
	CNA INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA050	**Group Total**	3	3	100%	No filings	No filings	No filings
	CONSTITUTION STATE SERVICE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA110	**Group Total**	3	3	100%	2	2	100%

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
First Quarter 2012
1/1/2012 - 3/31/2012

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	CONTINENTAL INDEMNITY	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA375	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	COTTINGHAM AND BUTLER CLAIMS SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA117	Group Total	8	7	88%	1	1	100%
	CRUM & FORSTER	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA375	Group Total	2	1	50%	No filings	No filings	No filings
	ESIS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA160	Group Total	35	32	91%	10	7	70%
	F.A. RICHARD	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA165	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	FEDERATED MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA091	FEDERATED MUTUAL INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA092	FEDERATED SERVICE INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	FIREMANS FUND INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA170	Group Total	1	0	0%	No filings	No filings	No filings
	FUTURECOMP	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA175	Group Total	16	16	100%	3	3	100%
	GALLAGHER BASSETT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA190	Group Total	28	28	100%	25	24	96%
	GREAT DIVIDE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA189	Group Total	1	1	100%	No filings	No filings	No filings
	GUARD INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA019	AMGUARD INSURANCE COMPANY	3	2	67%	No filings	No filings	No filings
CA140	EASTGUARD INSURANCE COMPANY	4	3	75%	1	1	100%
CA272	NORGUARD INSURANCE COMPANY	1	0	0%	No filings	No filings	No filings
	Group Total	8	5	63%	1	1	100%
	HANNAFORD BROTHERS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA201	Group Total	18	16	89%	11	11	100%
	HANOVER INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA048	CITIZENS INSURANCE CO. OF AMERICA	No filings	No filings	No filings	2	2	100%
CA202	HANOVER INSURANCE COMPANY	1	1	100%	No filings	No filings	No filings
CA228	MASSACHUSETTS BAY INS. CO.	1	1	100%	No filings	No filings	No filings
	Group Total	2	2	100%	2	2	100%

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
First Quarter 2012
1/1/2012 - 3/31/2012

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	HARTFORD INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA188	HARTFORD ACCIDENT & INDEMNITY	1	1	100%	No filings	No filings	No filings
CA185	HARTFORD CASUALTY INS CO.	1	1	100%	2	2	100%
CA203	HARTFORD FINANCIAL	No filings	No filings	No filings	No filings	No filings	No filings
CA186	HARTFORD INSURANCE OF THE MIDWEST	5	5	100%	5	5	100%
CA187	HARTFORD UNDERWRITERS INSURANCE	1	1	100%	2	2	100%
CA319	TRUMBULL INSURANCE COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
CA321	TWIN CITY FIRE INSURANCE CO.	2	2	100%	2	2	100%
	Total	**10**	**10**	**100%**	11	11	**100%**
	HARTFORD TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA165	F.A. RICHARD	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	4	3	75%	3	2	67%
	TPA Total	**5**	**4**	**80%**	**4**	**3**	**75%**
	HARTFORD Group Total	**15**	**14**	**93%**	15	14	**93%**
	HELMSMAN MANAGEMENT SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA204	**Group Total**	**4**	**4**	**100%**	**6**	**5**	**83%**
	LIBERTY MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA380	EMPLOYERS INSURANCE OF WAUSAU	5	5	100%	3	3	100%
CA210	LIBERTY MUTUAL INSURANCE COMPANY	27	25	93%	14	13	93%
	Group Total	**32**	**30**	**94%**	17	16	**94%**
	MACY'S CORPORATE SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA213	**Group Total**	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**
	MAINE AUTOMOBILE DEALERS ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA220	**Group Total**	**10**	**10**	**100%**	**3**	**3**	**100%**
	MAINE EMPLOYERS' MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA260	**Group Total**	**310**	**288**	**93%**	**164**	**151**	**92%**
	MAINE HEALTH CARE ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA234	**Group Total**	**5**	**4**	**80%**	**10**	**10**	**100%**
	MAINE MOTOR TRANSPORT ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA230	**Group Total**	**11**	**11**	**100%**	**3**	**3**	**100%**
	MAINE MUNICIPAL ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA225	**Group Total**	**76**	**68**	**89%**	**49**	**49**	**100%**
	MAINE SCHOOL MANAGEMENT ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA250	**Group Total**	**38**	**37**	**97%**	**10**	**10**	**100%**

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
First Quarter 2012
1/1/2012 - 3/31/2012

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	MEADOWBROOK	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA255	Group Total	1	0	0%	No filings	No filings	No filings
	NATIONAL INTERSTATE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA267	Group Total	2	2	100%	No filings	No filings	No filings
	NGM INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA265	Group Total	2	0	0%	No filings	No filings	No filings
	OLD REPUBLIC INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	OLD REPUBLIC TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	0	0%	2	1	50%
CA160	ESIS	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	1	1	100%
CA280	RYDER SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	No filings	No filings	No filings	No filings	No filings	No filings
CA382	WILLIS OF NORTHERN NEW ENGLAND, INC.	1	1	100%	No filings	No filings	No filings
	TPA Total	4	3	75%	4	3	75%
	OLD REPUBLIC Group Total	4	3	75%	4	3	75%
	PEERLESS INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA162	EXCELSIOR INSURANCE CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA309	NETHERLANDS INSURANCE COMPANY	2	2	100%	No filings	No filings	No filings
CA275	PEERLESS INSURANCE CO.	26	23	88%	5	5	100%
CA283	PEERLESS INDEMNITY CO.	1	1	100%	1	1	100%
	Group Total	29	26	90%	6	6	100%
	PROTECTIVE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA277	Group Total	1	0	0%	No filings	No filings	No filings
	PUBLIC SERVICE MUTUAL	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA282	Group Total	No filings	No filings	No filings	No filings	No filings	No filings

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
First Quarter 2012
1/1/2012 - 3/31/2012

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	RISK ENTERPRISE MANAGEMENT	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA280	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	RYDER SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA280	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	SAFETY NATIONAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	SAFETY NATIONAL TPA Administered claims						
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	1	1	100%
CA168	THE FRANK GATES SERVICE COMPANY	1	0	0%	No filings	No filings	No filings
	TPA Total	2	1	50%	1	1	100%
	SAFETY NATIONAL Group Total	2	1	50%	1	1	100%
	SEDGWICK CLAIMS MANAGEMENT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	58	40	69%	37	35	95%
CA315	SEDGWICK (formerly SPECIALTY RISK SERVICES)	1	0	0%	4	4	100%
	Group Total	59	40	68%	41	39	95%
	SENTRY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA305	Group Total	7	6	86%	1	1	100%
	SPARTA INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	SPARTA TPA Administered claims						
CA060	COTTINGHAM AND BUTLER CLAIMS SERVICES	7	6	86%	1	1	100%
	TPA Total	7	6	86%	1	1	100%
	SPARTA Group Total	7	6	86%	1	1	100%
	STATE OF MAINE WORKERS' COMPENSATION TRUST	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA307	Group Total	28	21	75%	25	25	100%
	SYNERNET	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA320	Group Total	37	29	78%	38	36	95%
	THE FRANK GATES SERVICE COMPANY	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA168	Group Total	3	0	0%	No filings	No filings	No filings

*Indicates no claims activity this quarter

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
First Quarter 2012
1/1/2012 - 3/31/2012

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	TRAVELERS INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA072	CHARTER OAK FIRE INS. CO.	21	19	90%	4	4	100%
CA306	STANDARD FIRE INS. CO.	9	9	100%	3	2	67%
CA303	ST. PAUL FIRE & MARINE INSURANCE	1	1	100%	No filings	No filings	No filings
CA284	THE PHOENIX INSURANCE CO.	1	1	100%	No filings	No filings	No filings
CA347	TRAVELERS CASUALTY & SURETY COMPANY	3	3	100%	No filings	No filings	No filings
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	3	3	100%	No filings	No filings	No filings
CA349	TRAVELERS COMMERCIAL CASUALTY	1	1	100%	No filings	No filings	No filings
CA343	TRAVELERS INDEMNITY COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
CA346	TRAVELERS INDEMNITY CO OF AMERICA	No filings	No filings	No filings	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	3	3	100%	1	1	100%
	Total	**42**	**40**	**95%**	**8**	**7**	**88%**
	TRAVELERS TPA Administered Claims						
CA040	BROADSPIRE SERVICES	3	3	100%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	1	0	0%
CA300	SEDGWICK CLAIMS MANAGEMENT	No filings	No filings	No filings	3	2	67%
CA168	THE FRANK GATES SERVICE COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	**3**	**3**	**100%**	**5**	**3**	**60%**
	TRAVELERS Group Total	**45**	**43**	**96%**	**13**	**10**	**77%**

	TRIDENT INSURANCE SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA240	**Group Total**	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**

	WILLIS OF NORTHERN NEW ENGLAND	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA001	HRH CLAIMS MANAGEMENT	4	2	50%	1	0	0%
CA360	UNDERWRITERS SAFETY & CLAIMS	1	1	100%	No filings	No filings	No filings
CA382	WILLIS OF NORTHERN NEW ENGLAND, INC.	50	48	96%	86	85	99%
	Group Total	**55**	**51**	**93%**	**87**	**85**	**98%**

	XL SPECIALTY INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA384	**Total**	*	*	*	*	*	*
	XL TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**
	XL Group Total	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**

	YORK RISK SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA340	**Group Total**	**1**	**0**	**0%**	**No filings**	**No filings**	**No filings**

	ZURICH INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA021	AMERICAN GUARANTEE AND LIABILITY	1	1	100%	1	1	100%
CA022	AMERICAN ZURICH	1	1	100%	4	4	100%
CA259	NORTHERN INSURANCE CO. OF NEW YORK	1	1	100%	No filings	No filings	No filings
CA400	ZURICH AMERICAN INSURANCE CO.	5	4	80%	3	3	100%
	Total	**7**	**6**	**86%**	**7**	**7**	**100%**
	ZURICH TPA Administered Claims						
CA080	CHESTERFIELD SERVICES	1	1	100%	No filings	No filings	No filings
CA160	ESIS	1	1	100%	No filings	No filings	No filings
CA165	F.A. RICHARD	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	2	1	50%
CA300	SEDGWICK CLAIMS MANAGEMENT	9	9	100%	3	3	100%
	TPA Total	**13**	**13**	**100%**	**5**	**4**	**80%**
	ZURICH Group Total	**20**	**19**	**95%**	**12**	**11**	**92%**

***Indicates no claims activity this quarter**