QUARTERLY COMPLIANCE REPORT

STATE OF MAINE
WORKERS' COMPENSATION BOARD

First Quarter 2011 Report

January 1, 2011 – March 31, 2011

Office of Monitoring, Audit & Enforcement

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State of Maine
Workers' Compensation Board
Quarterly Compliance Report
First Quarter 2011

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Executive Summary

On August 9, 2011, the Maine Workers' Compensation Board unanimously approved the First Quarter 2011 Compliance Report. This report represents the efforts of the Office of Monitoring, Audit and Enforcement and insurers, self-administered employers, and third-party administrators (collectively "claim administrators").

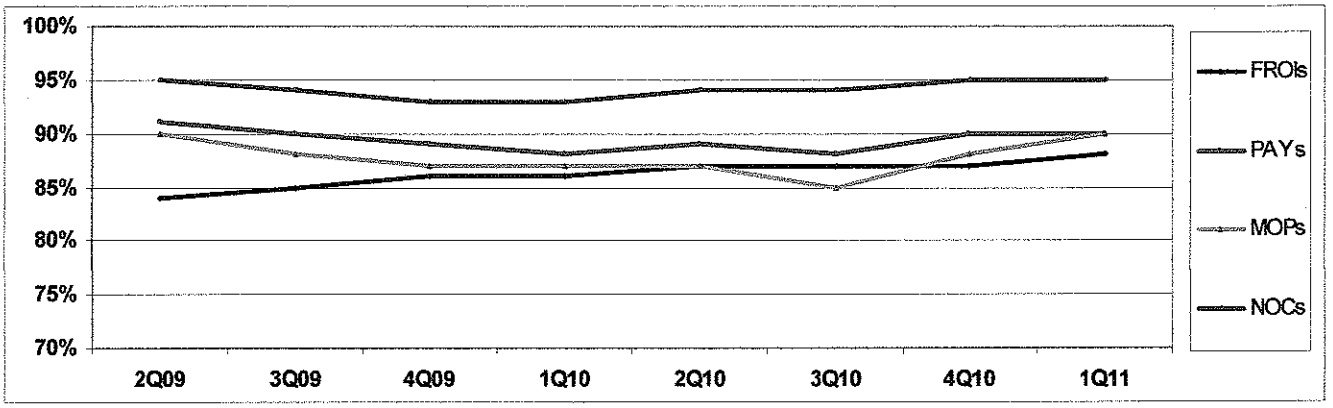
I. COMPLIANCE OVERVIEW

The Reconciliation Report was sent to 94 claim administrators on April 22, 2011; 64 responded, 21 were not required to respond and 9 did not respond.

The 1Q11 report represents results based upon data received by June 20, 2011. The results are:

Category	Number of Days	Bench-mark	2Q09	3Q09	4Q09	1Q10	2Q10	3Q10	4Q10	1Q11
FROIs	7	85%	84%	85%	86%	86%	87%	87%	87%	88%
PAYs	14	87%	91%	90%	89%	88%	89%	88%	90%	90%
MOPs	17	85%	90%	88%	87%	87%	87%	85%	88%	90%
NOCs	14	90%	95%	94%	93%	93%	94%	94%	95%	95%

Compliance Benchmark Tracking (2Q09 – 1Q11)



II. CORRECTIVE ACTION PLANS (CAPs)

CAPs are implemented for claim administrators with chronic poor compliance. Elements of the CAPs are reviewed and updated each quarter to track compliance changes and ensure that the elements of the plan are being met.

The following had CAPs in place for the 1Q11:

Claim Administrator (alpha order)	Market Share by Premiums Written
AIG	3%
Gallagher Bassett Services	Not Applicable - TPA
Liberty Mutual	9%
Sentry	.32%
Zurich	1%

III. CAVEATS & EXPLANATIONS

A. General

- Question marks ("?") within this report indicate that the claim administrator did not provide all of the data required to measure compliance in that particular area.

B. Lost Time First Report of Injury (FROI) Filings

- Compliance with this benchmark exists when the FROI is filed (accepted EDI transaction, with or without errors) within 7 days after the employer receives notice or knowledge of an employee injury that has caused the employee to lose a day's work.

C. Initial Indemnity Payments (PAYs)

- Compliance with this benchmark exists when the check is mailed within the later of (i) 14 days after the employer's notice or knowledge of incapacity or (ii) the first day of compensability plus 6 days.
- If an employer continues to pay the employee's salary, payments are deemed timely for purposes of compliance if made consistent with the employer's usual payroll practice.

D. Initial Memorandum of Payment (MOP) Filings

- Compliance with this benchmark exists when the MOP is received within 17 days of the employer's notice or knowledge of incapacity.

E. Initial Indemnity Notice of Controversy (NOC) Filings

- Compliance with this benchmark exists when the NOC is filed (accepted EDI transaction, with or without errors) within 14 days after the employer receives notice or knowledge of the incapacity or death. Measurement excludes filings submitted with full denial reason codes 3A-3H (No Coverage).

IV. COMPLETED AUDITS

The Board conducts compliance audits of insurers, self-insurers and third-party administrators to ensure that all obligations under the Workers' Compensation Act are met. The functions of the audit program include, but are not limited to: ensuring that all reporting requirements of the Board are met, auditing the timeliness of benefit payments, auditing the accuracy of indemnity payments, evaluating claims-handling techniques, and determining whether claims are unreasonably contested.

The following had audits completed in the 1Q11:

Claim Administrator (alpha order)	Total Penalties
GAB Robins	$105.00
Guard Insurance	$4,300.00
Hannaford Brothers	$6,245.00
State of Maine	$5,900.00
XL Specialty	$11,100.00

LOST TIME FIRST REPORT OF INJURY FILINGS

Chart 1 Timeliness Distribution

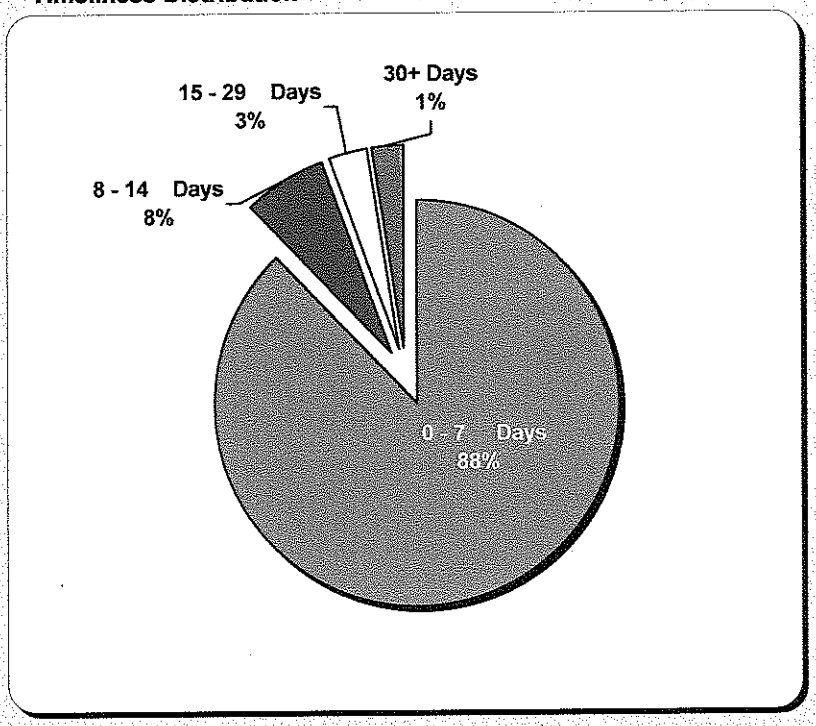


Table 1

Received Within:			
0 - 7	Days	2,996	88%
8 - 14	Days	234	8%
15 - 29	Days	98	3%
30+	Days	89	1%
Total		**3,417**	**100%**

Chart 2 Quarterly Compliance

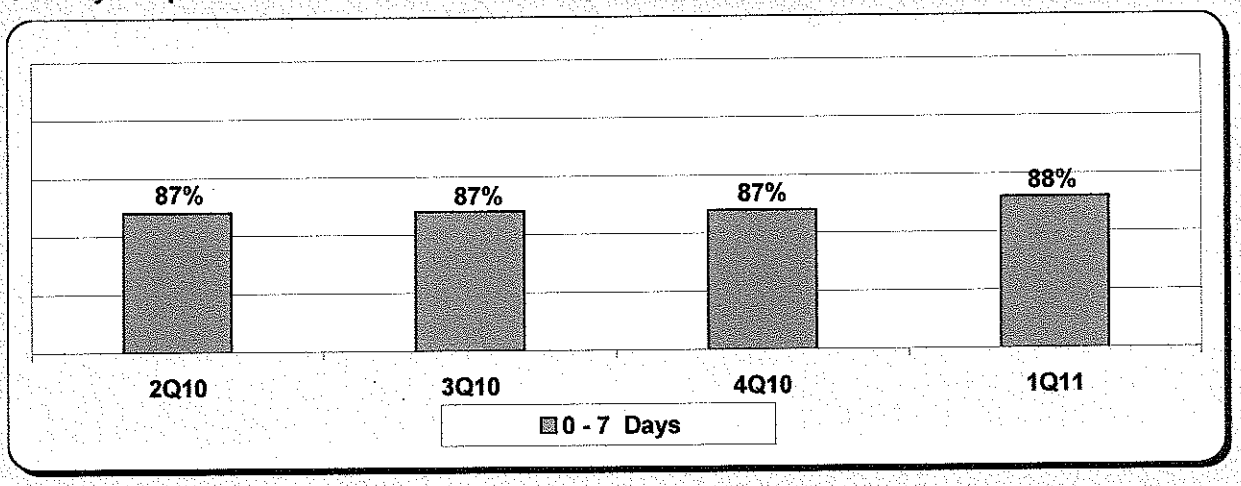
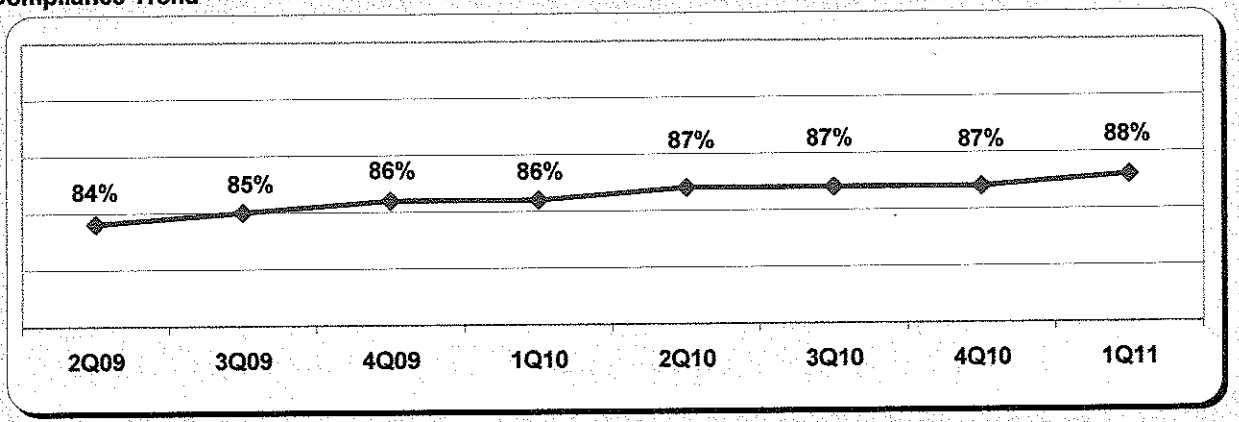


Chart 3 Compliance Trend



INITIAL INDEMNITY PAYMENTS

Chart 4 Timeliness Distribution

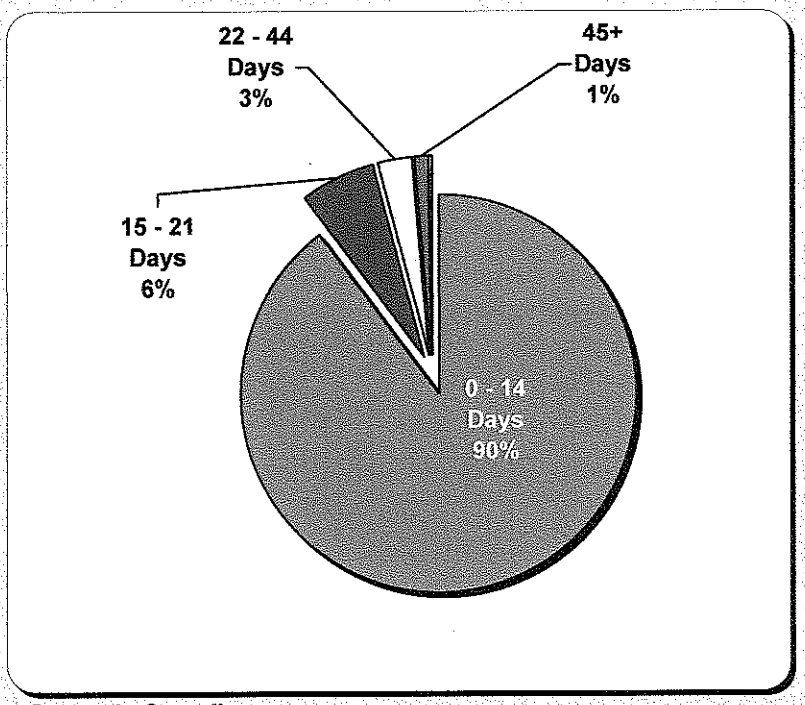


Table 2

Made Within:			
0 - 14	Days	936	90%
15 - 21	Days	64	6%
22 - 44	Days	28	3%
45+	Days	12	1%
?	Days	3	0%
Total		**1,043**	**100%**

Chart 5 Quarterly Compliance

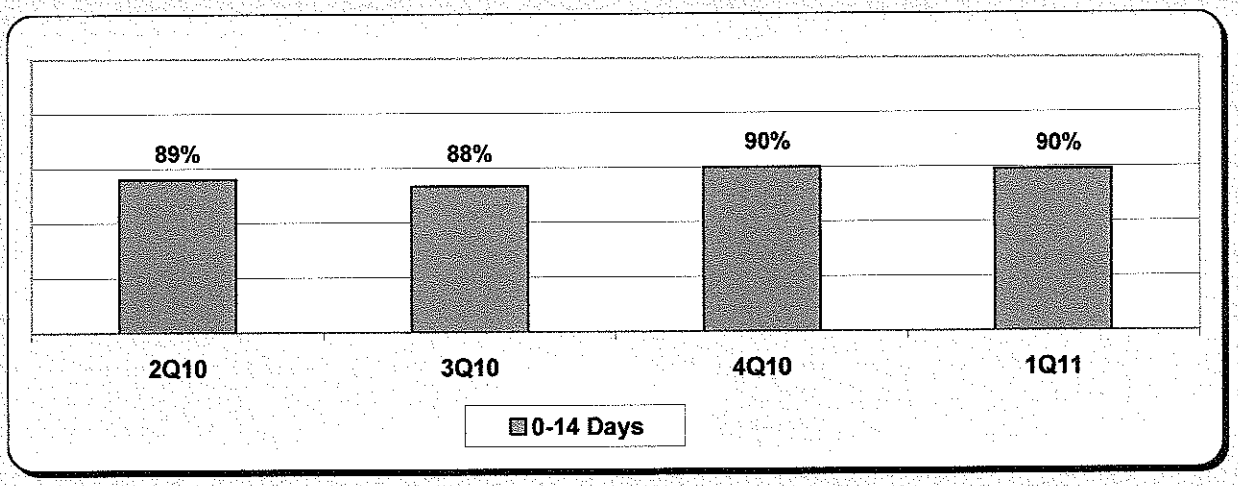
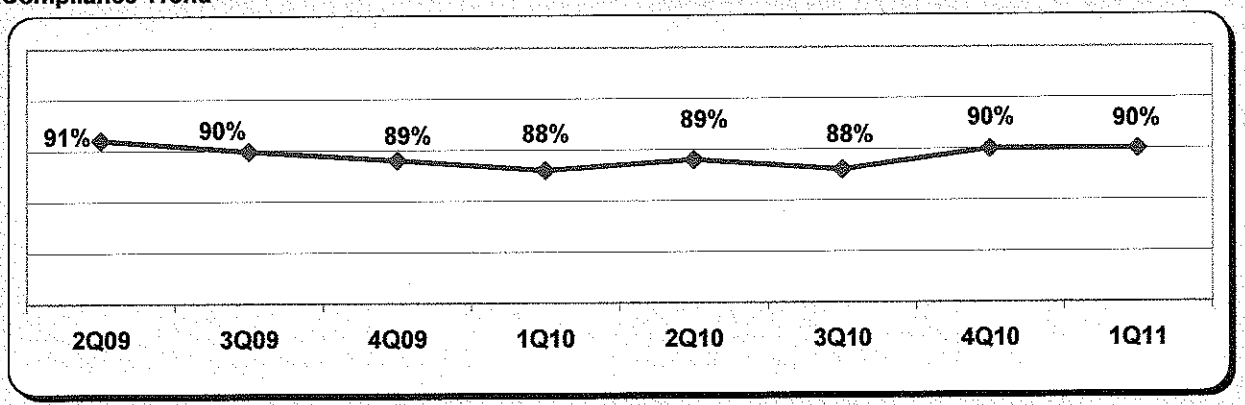


Chart 6 Compliance Trend



INITIAL MEMORANDUM OF PAYMENT FILINGS

Chart 7 Timeliness Distribution

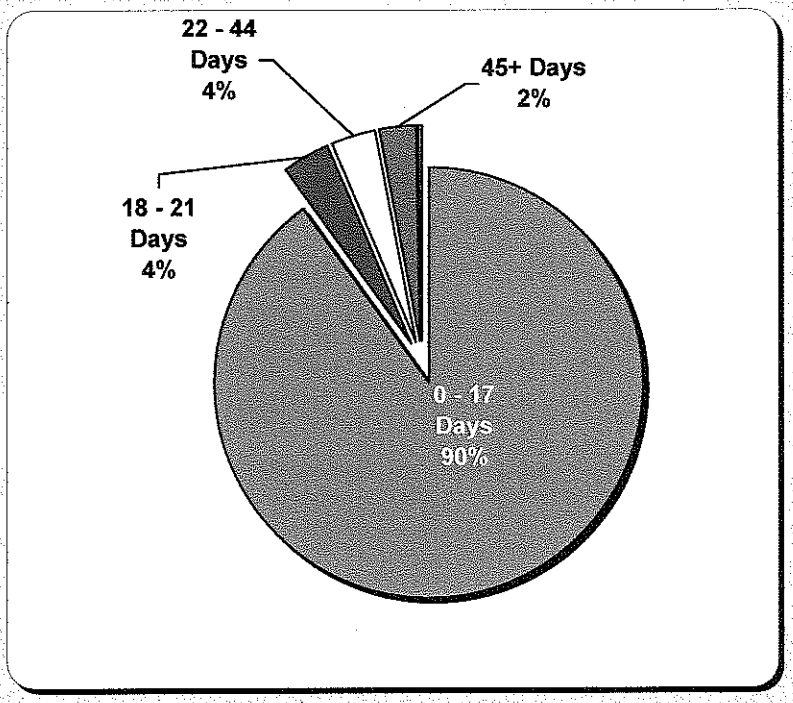


Table 3

Received Within:			
0 - 17	Days	940	90%
18 - 21	Days	36	4%
22 - 44	Days	36	4%
45+	Days	28	2%
?	Days	3	0%
Total		**1,043**	**100%**

Chart 8 Quarterly Compliance

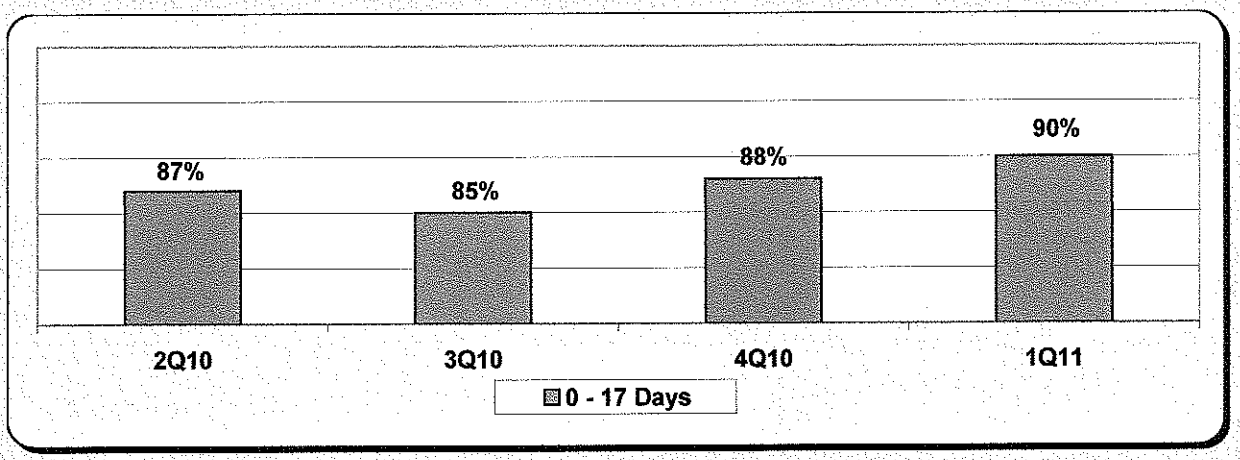
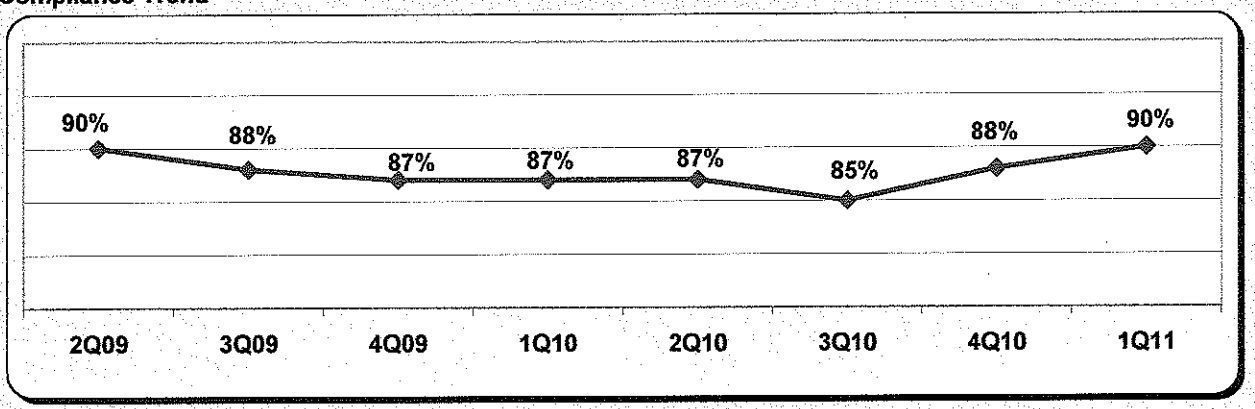


Chart 9 Compliance Trend



INITIAL INDEMNITY NOTICE OF CONTROVERSY FILINGS

Chart 10 Timeliness Distribution

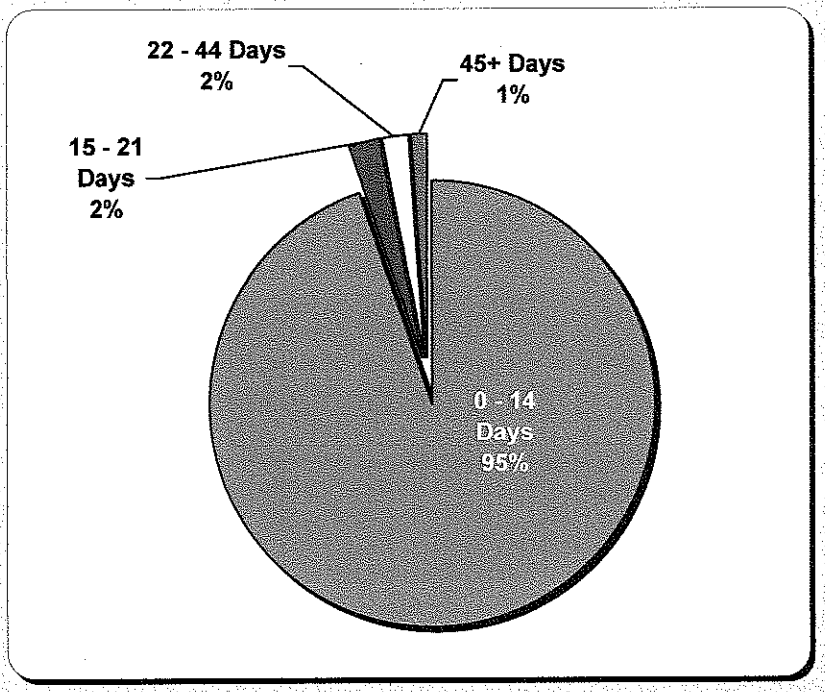


Table 4

Received Within:			
0 - 14	Days	537	95%
15 - 21	Days	13	2%
22 - 44	Days	11	2%
45+	Days	6	1%
Total		**567**	**100%**

Chart 11 Quarterly Compliance

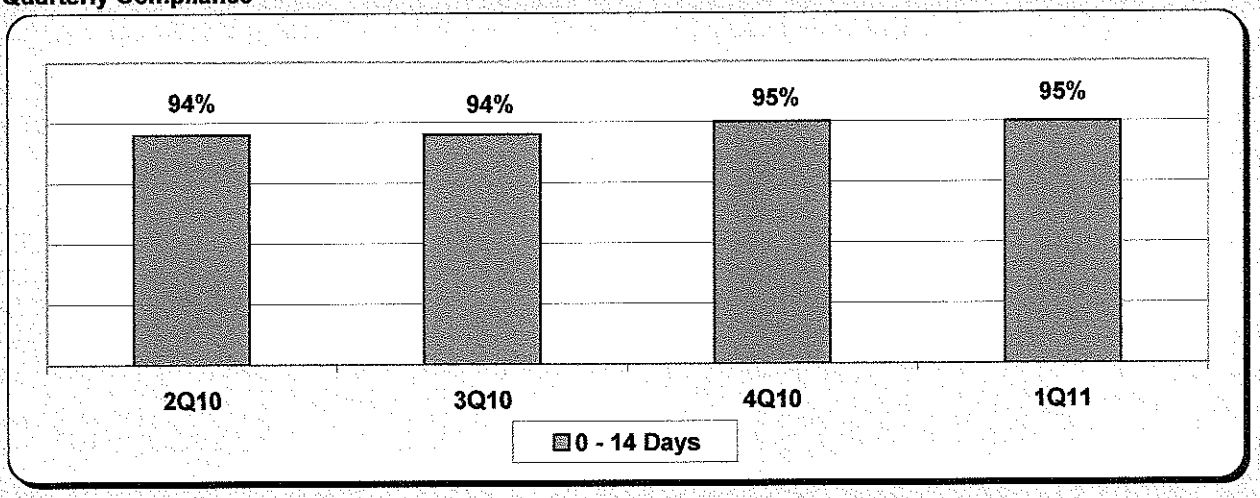
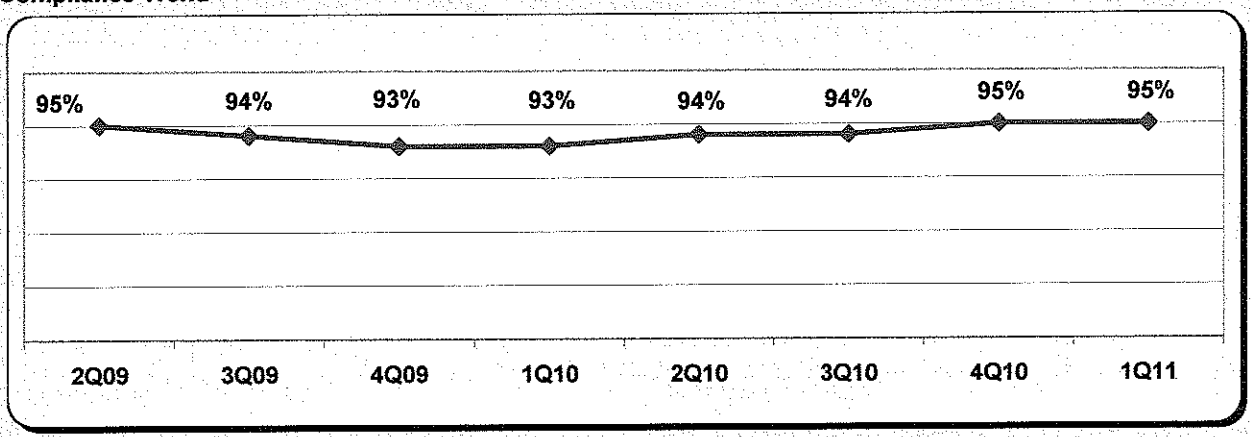


Chart 12 Compliance Trend



WAGE INFORMATION

Wage information (WCB-2 and WCB 2A forms) must be filed within 30 days of an employer's notice or knowledge of a claim for compensation (Title 39-A M.R.S.A. Section 303). This includes both compensated and controverted claims where a claim for compensation has been made.

Chart 13 Wage Statement Timeliness Distribution

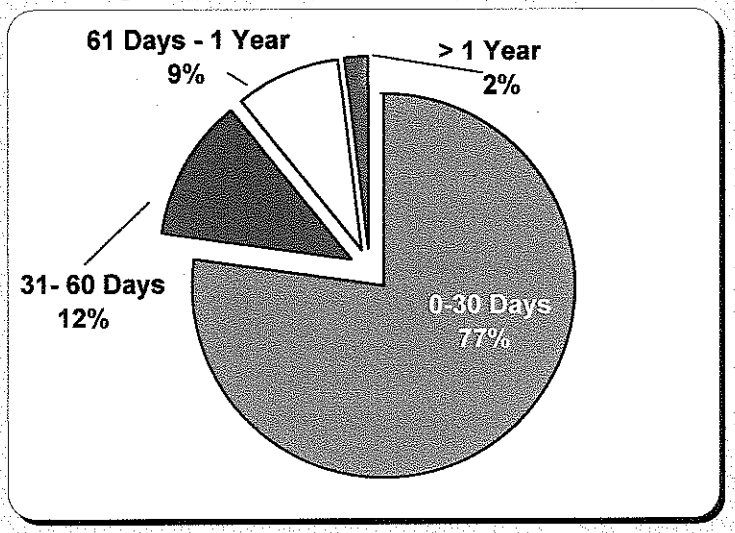


Table 5

Received Within:		
0-30 Days	1,588	77%
31-60 Days	252	12%
61 Days-1 Year	174	9%
> 1 Year	38	2%
Total	**2,052**	**100%**

Wage Statement(s): 1,467 (79%) of the 1,863 Wage Statement(s) that were due this quarter were filed timely, 287 (15%) were filed late, and 109 (6%) remain outstanding.

Chart 14 Schedule of Dependent(s) and Filing Status Statement Timeliness Distribution

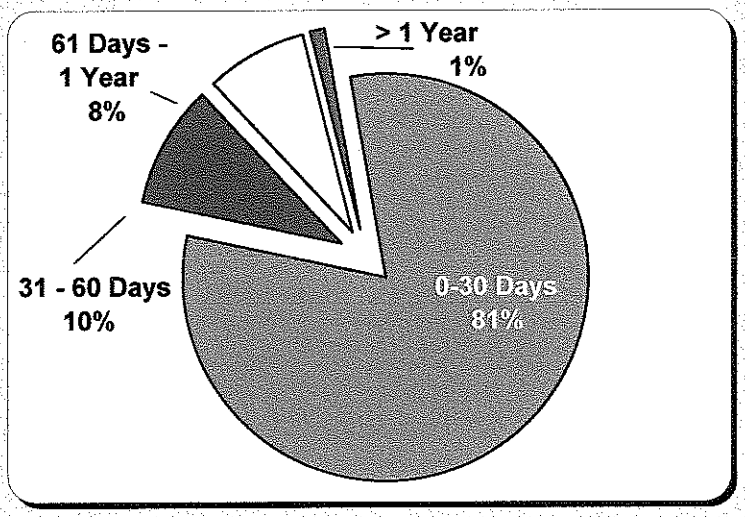


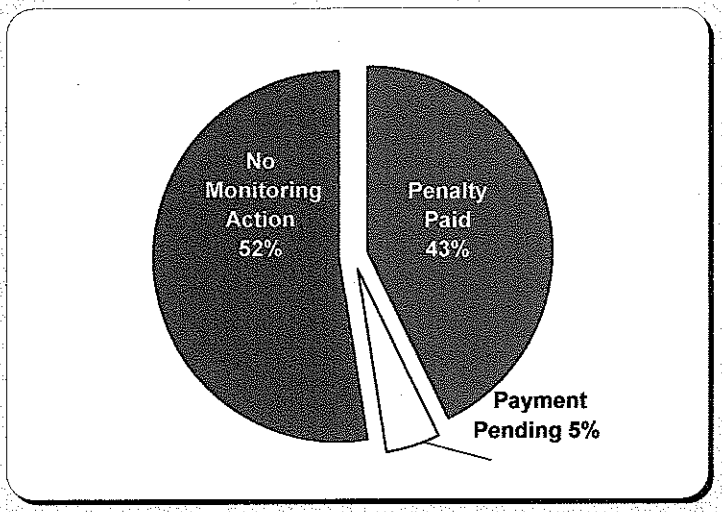
Table 6

Received Within:		
0-30 Days	1,569	81%
31-60 Days	187	10%
61 Days-1 Year	155	8%
> 1 Year	21	1%
Total	**1,932**	**100%**

Schedule of Dependent(s) and Filing Status Statement(s): 1,500 (81%) of the 1,863 Schedule of Dependent(s) and Filing Status Statement(s) due this quarter were filed timely, 226 (12%) were filed late, and 137 (7%) remain outstanding.

INITIAL INDEMNITY PAYMENTS > 44 DAYS

Chart 15 Penalty Distribution



Title 39-A M.R.S.A. Section 205(3) states $50 per day must be added and paid to the worker for each day over 30 days in which the benefit is not paid. This process tracks compliance with this requirement.

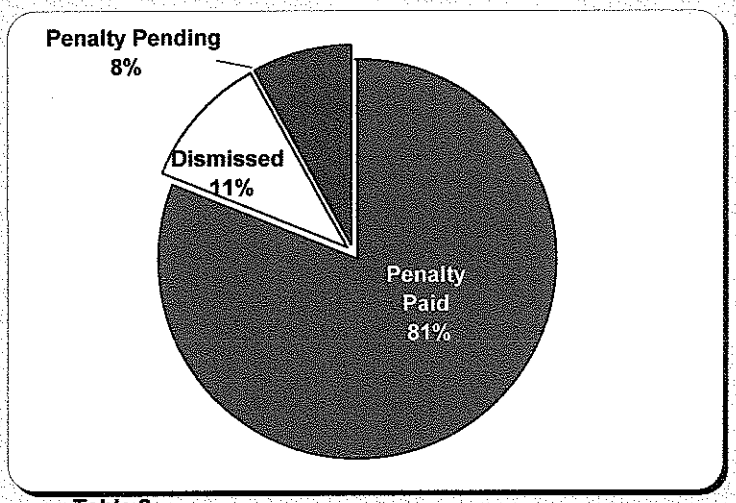
Table 7

Penalty Distribution:			
Penalty Paid		9	43%
Payment Pending		1	5%
No Monitoring Action		11	52%
	Total	**21**	**100%**

$10,250 issued to claimants in penalties.
$ 850 in penalties awaiting resolution.

LATE FILED COVERAGE NOTICES

Chart 16 Complaint Distribution



Board Rules & Regulations Chapter 3 Section 5 states that the Notice of Workers' Compensation Insurance must be filed within 14 days of the effective date in order to be compliant. This process tracks compliance with this requirement.

Table 8

Complaint Distribution:		
Penalty Paid	331	81%
Dismissed	44	11%
Penalty Pending	33	8%
Total	**408**	**100%**

$33,100 collected in penalties.
$ 3,300 in penalties pending.

Appendix A

Insurance Group Compliance
Lost Time FROI Filings and
Initial Indemnity Payments
First Quarter 2011
1/1/2011-3/31/2011

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
First Quarter 2011
1/1/2011 - 3/31/2011

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	ACADIA INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA010	**Group Total**	**53**	**46**	**87%**	**16**	**15**	**94%**
	ACE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	ACE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	6	6	100%	1	1	100%
CA060	CAMBRIDGE INTEGRATED SERVICES	1	0	0%	1	0	0%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	3	3	100%	No filings	No filings	No filings
CA110	CONSTITUTION STATE SERVICES	2	2	100%	2	2	100%
CA160	ESIS	44	30	68%	23	19	83%
CA190	GALLAGHER BASSETT SERVICES	11	9	82%	5	4	80%
CA204	HELMSMAN MANAGEMENT SERVICES	1	1	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	34	31	91%	7	7	100%
CA315	SPECIALTY RISK SERVICES	3	2	67%	1	1	100%
	TPA Total	**105**	**84**	**80%**	**41**	**35**	**85%**
	ACE Group Total	**105**	**84**	**80%**	**41**	**35**	**85%**
	ARCH INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	ARCH TPA Administered Claims						
CA160	ESIS	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	7	4	57%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	14	12	86%	3	3	100%
CA315	SPECIALTY RISK SERVICES	4	2	50%	1	1	100%
CA168	THE FRANK GATES SERVICE COMPANY	1	1	100%	2	1	33%
	TPA Total	**27**	**20**	**74%**	**7**	**6**	**86%**
	ARCH Group Total	**27**	**20**	**74%**	**7**	**6**	**86%**
	ARGONAUT INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA020	**Total**	*	*	*	*	*	*
	ARGONAUT TPA Administered Claims						
CA240	TRIDENT INSURANCE SERVICES	7	7	100%	2	2	100%
	TPA Total	**7**	**7**	**100%**	**2**	**2**	**100%**
	ARGONAUT Group Total	**7**	**7**	**100%**	**2**	**2**	**100%**
	ARROWOOD INDEMNITY	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA031	**Group Total**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	BATH IRON WORKS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA036	**Group Total**	**109**	**109**	**100%**	**11**	**11**	**100%**
	BERKLEY RISK ADMINISTRATORS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA038	**Group Total**	**2**	**2**	**100%**	**1**	**1**	**100%**

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
First Quarter 2011
1/1/2011 - 3/31/2011

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	BROADSPIRE SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA040	**Group Total**	**34**	**29**	**85%**	**11**	**11**	**100%**
	CAMBRIDGE INTEGRATED SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA060	**Group Total**	**1**	**0**	**0%**	**1**	**0**	**0%**
	CANNON COCHRAN MANAGEMENT SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA070	**Group Total**	**159**	**134**	**84%**	**44**	**31**	**70%**
	CHARTIS INSURANCE (Formerly AIG)	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA015	**Total**	**43**	**41**	**95%**	**13**	**13**	**100%**
	CHARTIS TPA Administered Claims						
CA040	BROADSPIRE SERVICES	16	14	88%	4	4	100%
CA100	CLAIMS MANAGEMENT (WAL-MART)	52	47	90%	12	12	100%
CA110	CONSTITUTION STATE SERVICES	3	2	67%	2	2	100%
CA160	ESIS	24	19	79%	14	14	100%
CA180	GAB ROBINS	1	0	0%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	31	28	90%	6	5	83%
CA204	HELMSMAN MANAGEMENT SERVICES	5	3	60%	2	0	0%
CA300	SEDGWICK CLAIMS MANAGEMENT	39	36	92%	12	12	100%
CA315	SPECIALTY RISK SERVICES	5	3	60%	3	3	100%
	TPA Total	**176**	**152**	**86%**	**55**	**52**	**95%**
	CHARTIS Group Total	**219**	**193**	**88%**	**68**	**65**	**96%**
	CHESTERFIELD SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA080	**Group Total**	**4**	**3**	**75%**	**2**	**2**	**100%**
	CHUBB INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA090	**Group Total**	**5**	**2**	**40%**	**2**	**1**	**50%**
	CHUBB TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	*
	TPA Total	**1**	**1**	*	**No filings**	**No filings**	*
	CHUBB Group Total	**6**	**3**	**50%**	**2**	**1**	**50%**
	CHURCH MUTUAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA080	**Group Total**	**1**	**0**	**0%**	**1**	**0**	**0%**
	CIANBRO CORPORATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA085	**Group Total**	**3**	**1**	**33%**	**1**	**1**	**100%**
	CITY OF BANGOR	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA033	**Group Total**	**8**	**8**	**100%**	**6**	**6**	**100%**
	CLAIMS MANAGEMENT (WAL-MART)	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA100	**Group Total**	**52**	**47**	**90%**	**12**	**12**	**100%**

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
First Quarter 2011
1/1/2011 - 3/31/2011

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	CNA INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA050	**Group Total**	11	8	73%	4	4	100%
	CONSTITUTION STATE SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA110	**Group Total**	7	5	71%	5	4	80%
	COTTINGHAM AND BUTLER CLAIMS SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA117	**Group Total**	8	7	88%	6	5	83%
	CRUM & FORSTER	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA375	**Group Total**	1	1	100%	No filings	No filings	No filings
	ESIS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA160	**Group Total**	83	57	69%	43	36	84%
	F.A. RICHARD	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA165	**Group Total**	No filings	No filings	No filings	No filings	No filings	No filings
	FIREMANS FUND INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA170	**Group Total**	2	1	50%	1	0	0%
	FUTURECOMP	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA175	**Group Total**	70	63	90%	13	12	92%
	GAB ROBINS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA180	**Group Total**	1	0	0%	No filings	No filings	No filings
	GALLAGHER BASSETT SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA190	**Group Total**	93	72	77%	29	23	79%
	GRAPHIC ARTS MUTUAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA191	**Group Total**	2	2	100%	1	1	100%
	GUARD INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA019	AMGUARD INSURANCE COMPANY	8	5	63%	2	2	100%
CA140	EASTGUARD INSURANCE COMPANY	16	11	69%	3	2	67%
CA205	INTERGUARD, LTD.	No filings	No filings	No filings	No filings	No filings	No filings
CA272	NORGUARD INSURANCE COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
	Group Total	24	16	67%	5	4	80%
	HANNAFORD BROTHERS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA201	**Group Total**	74	67	91%	16	16	100%

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INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
First Quarter 2011
1/1/2011 - 3/31/2011

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	HANOVER INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA048	CITIZENS INSURANCE CO. OF AMERICA	13	11	85%	4	4	100%
CA202	HANOVER INSURANCE COMPANY	4	4	100%	2	2	100%
CA228	MASSACHUSETTS BAY INS. CO.	10	8	80%	4	3	75%
	Group Total	**27**	**23**	**85%**	**10**	**9**	**90%**
	HARTFORD INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA203	**Total**	**54**	**47**	**87%**	**22**	**20**	**91%**
	HARTFORD TPA Administered Claims						
CA040	BROADSPIRE SERVICES	3	2	67%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	0	0%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	4	3	75%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	7	4	57%	2	2	100%
CA315	SPECIALTY RISK SERVICES	10	8	80%	4	2	50%
	TPA Total	**25**	**17**	**68%**	**7**	**5**	**71%**
	HARTFORD Group Total	**79**	**64**	**81%**	**29**	**25**	**86%**
	HELMSMAN MANAGEMENT SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA204	**Group Total**	**7**	**5**	**71%**	**3**	**1**	**33%**
	LIBERTY MUTUAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA380	EMPLOYERS INSURANCE OF WAUSAU	44	38	86%	16	14	88%
CA210	LIBERTY MUTUAL INSURANCE COMPANY	101	91	90%	35	32	91%
	Group Total	**145**	**129**	**89%**	**51**	**46**	**90%**
	MACY'S CORPORATE SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA213	**Group Total**	**5**	**2**	**40%**	**4**	**3**	**75%**
	MAINE AUTOMOBILE DEALERS ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA220	**Group Total**	**34**	**28**	**82%**	**16**	**11**	**69%**
	MAINE EMPLOYERS' MUTUAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA260	**Group Total**	**956**	**847**	**89%**	**300**	**282**	**94%**
	MAINE HEALTH CARE ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA234	**Group Total**	**46**	**41**	**89%**	**5**	**4**	**80%**
	MAINE MOTOR TRANSPORT ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA230	**Group Total**	**45**	**42**	**93%**	**13**	**13**	**100%**
	MAINE MUNICIPAL ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA225	**Group Total**	**228**	**212**	**93%**	**72**	**64**	**89%**
	MAINE SCHOOL MANAGEMENT ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA250	**Group Total**	**97**	**88**	**91%**	**19**	**17**	**89%**

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INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
First Quarter 2011
1/1/2011 - 3/31/2011

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	MEADOWBROOK	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA255	**Group Total**	**3**	**2**	**67%**	**2**	**2**	**100%**
	NATIONAL INTERSTATE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA267	**Group Total**	**2**	**2**	**100%**	**2**	**2**	**100%**
	NGM INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA265	**Group Total**	**5**	**3**	**60%**	**3**	**2**	**67%**
	OLD REPUBLIC INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	OLD REPUBLIC TPA Administered Claims						
CA038	BERKLEY ADMIN. OF CONNECTICUT	2	2	100%	1	1	100%
CA040	BROADSPIRE SERVICES	1	0	0%	1	1	100%
CA110	CONSTITUTION STATE SERVICES	1	0	0%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	6	2	33%	3	2	67%
CA280	RYDER SERVICES	1	0	0%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	14	11	79%	6	6	100%
	TPA Total	**25**	**15**	**60%**	**11**	**10**	**91%**
	OLD REPUBLIC Group Total	**25**	**15**	**60%**	**11**	**10**	**91%**
	PATRIOT INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA274	**Group Total**	**3**	**3**	**100%**	**1**	**1**	**100%**
	PEERLESS INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA162	EXCELSIOR INSURANCE CO.	14	11	79%	12	10	83%
CA309	NETHERLANDS INSURANCE COMPANY	45	34	76%	19	16	84%
CA275	PEERLESS INSURANCE CO.	41	29	71%	17	15	88%
CA283	PEERLESS INDEMNITY CO.	4	4	100%	No filings	No filings	No filings
	Group Total	**104**	**78**	**75%**	**48**	**41**	**85%**
	PROTECTIVE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA277	**Group Total**	**1**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	RISK ENTERPRISE MANAGEMENT	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA280	**Group Total**	**13**	**10**	**77%**	**4**	**3**	**75%**
	RYDER SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA280	**Group Total**	**1**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	SAFETY NATIONAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	SAFETY NATIONAL TPA Administered claims						
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
CA315	SPECIALTY RISK SERVICES	1	0	100%	No filings	No filings	No filings
	TPA Total	**2**	**1**	**50%**	**No filings**	**No filings**	**100%**
	SAFETY NATIONAL Group Total	**2**	**1**	**50%**	**No filings**	**No filings**	**No filings**

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INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
First Quarter 2011
1/1/2011 - 3/31/2011

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	SEDGWICK CLAIMS MANAGEMENT	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA300	**Group Total**	138	121	88%	40	40	100%
	SENTRY INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA305	**Group Total**	5	3	60%	3	1	33%
	SPARTA INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	SPARTA TPA Administered claims						
CA060	COTTINGHAM AND BUTLER CLAIMS SERVICES	8	7	88%	6	5	83%
	TPA Total	8	7	88%	6	5	83%
	SPARTA Group Total	8	7	88%	6	5	83%
	SPECIALTY RISK SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA315	**Group Total**	41	31	76%	18	16	89%
	STATE OF MAINE WORKERS' COMPENSATION TRUST	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA307	**Group Total**	139	135	97%	38	35	92%
	SYNERNET	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA320	**Group Total**	152	144	95%	28	26	93%
	THE FRANK GATES SERVICE COMPANY	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA168	**Group Total**	2	2	100%	3	1	33%
	TOWER INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA356	**Group Total**	1	0	0%	No filings	No filings	No filings
	TRAVELERS INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA072	CHARTER OAK FIRE INS. CO.	45	37	82%	19	17	89%
CA306	STANDARD FIRE INS. CO.	12	12	100%	7	6	86%
CA347	TRAVELERS CASUALTY & SURETY COMPANY	1	1	100%	No filings	No filings	No filings
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	14	6	43%	3	3	100%
CA343	TRAVELERS INDEMNITY COMPANY	1	1	100%	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	3	2	67%	3	3	100%
	Total	76	59	78%	32	29	91%
	TRAVELERS TPA Administered Claims						
CA040	BROADSPIRE SERVICES	6	6	100%	4	4	100%
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	3	3	100%	1	1	100%
CA168	THE FRANK GATES SERVICE COMPANY	1	1	100%	No filings	No filings	No filings
	TPA Total	11	11	100%	5	5	100%
	TRAVELERS Group Total	87	70	80%	37	34	92%

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INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
First Quarter 2011
1/1/2011 - 3/31/2011

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	TRIDENT INSURANCE SERVICES (Formerly MASSAMON	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA240	**Group Total**	7	7	**100%**	**2**	**2**	**100%**
	WILLIS OF NORTHERN NEW ENGLAND	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA001	HRH CLAIMS MANAGEMENT	5	5	100%	3	3	100%
CA382	WILLIS OF NORTHERN NEW ENGLAND, INC.	204	187	92%	53	49	92%
	Group Total	**209**	**192**	**92%**	**56**	**52**	**93%**
	XL SPECIALTY INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA384	**Total**			*			*
	XL TPA Administered Claims						
CA180	GAB ROBINS	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	XL Group Total	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	ZURICH INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA022	AMERICAN ZURICH	12	12	100%	3	3	100%
CA259	NORTHERN INSURANCE CO. OF NEW YORK	2	1	50%	No filings	No filings	No filings
CA400	ZURICH AMERICAN INSURANCE CO.	6	6	100%	1	1	100%
	Total	**20**	**19**	**95%**	**4**	**4**	**100%**
	ZURICH TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA080	CHESTERFIELD SERVICES	4	3	75%	2	2	100%
CA160	ESIS	7	6	86%	2	2	100%
CA190	GALLAGHER BASSETT SERVICES	22	16	73%	13	10	77%
CA300	SEDGWICK CLAIMS MANAGEMENT	12	12	100%	4	4	100%
CA315	SPECIALTY RISK SERVICES	4	2	50%	No filings	No filings	No filings
	TPA Total	**50**	**40**	**80%**	**21**	**18**	**86%**
	ZURICH Group Total	**70**	**59**	**84%**	**25**	**22**	**88%**

***Indicates no claims activity this quarter**

Appendix B

Insurance Group Compliance
Initial MOP and Initial Indemnity NOC Filings
First Quarter 2011
1/1/2011-3/31/2011

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
First Quarter 2011
1/1/2011 - 3/31/2011

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	ACADIA INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA010	**Group Total**	**16**	**16**	**100%**	**3**	**3**	**100%**
	ACE INSURANCE	**MOPs Filed**	**Timely MOPs**		**NOCs filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	ACE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA060	CAMBRIDGE INTEGRATED SERVICES	1	0	0%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	No filings	No filings	No filings	2	2	100%
CA110	CONSTITUTION STATE SERVICES	2	1	50%	No filings	No filings	No filings
CA160	ESIS	23	20	87%	2	2	100%
CA190	GALLAGHER BASSETT SERVICES	5	5	100%	3	3	100%
CA204	HELMSMAN MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	7	6	86%	7	7	100%
CA315	SPECIALTY RISK SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	**41**	**35**	**85%**	**14**	**14**	**100%**
	ACE Group Total	**41**	**35**	**85%**	**14**	**14**	**100%**
	ARCH INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	ARCH TPA Administered Claims						
CA160	ESIS	No filings	No filings	No filings	No filings	No filings	filings
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	4	3	75%
CA300	SEDGWICK CLAIMS MANAGEMENT	3	3	100%	No filings	No filings	No filings
CA315	SPECIALTY RISK SERVICES	1	1	100%	No filings	No filings	No filings
CA168	THE FRANK GATES SERVICE COMPANY	3	1	33%	No filings	No filings	No filings
	TPA Total	**8**	**6**	**75%**	**4**	**3**	**75%**
	ARCH Group Total	**8**	**6**	**75%**	**4**	**3**	**75%**
	ARGONAUT INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA020	**Total**	*	*	*	*	*	*
	ARGONAUT TPA Administered Claims						
CA240	TRIDENT INSURANCE SERVICES	2	2	100%	1	1	100%
	TPA Total	**2**	**2**	**100%**	**1**	**1**	**No filings**
	ARGONAUT Group Total	**2**	**2**	**100%**	**1**	**1**	**No filings**
	ARROWOOD INDEMNITY	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA031	**Group Total**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	BATH IRON WORKS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA036	**Group Total**	**11**	**11**	**100%**	**11**	**11**	**100%**
	BERKLEY RISK ADMINISTRATORS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA038	**Group Total**	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**

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INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
First Quarter 2011
1/1/2011 - 3/31/2011

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	BROADSPIRE SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA040	**Group Total**	**11**	**11**	**100%**	**4**	**4**	**100%**
	CAMBRIDGE INTEGRATED SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA060	**Group Total**	**1**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	CANNON COCHRAN MANAGEMENT SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA070	**Group Total**	**44**	**32**	**73%**	**38**	**33**	**87%**
	CHARTIS INSURANCE (Formerly AIG)	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA015	**Total**	**13**	**13**	**100%**	**8**	**8**	**100%**
	CHARTIS TPA Administered Claims						
CA040	BROADSPIRE SERVICES	4	4	100%	2	2	100%
CA100	CLAIMS MANAGEMENT (WAL-MART)	12	12	100%	9	9	100%
CA110	CONSTITUTION STATE SERVICES	2	1	50%	No filings	No filings	No filings
CA160	ESIS	14	14	100%	No filings	No filings	No filings
CA180	GAB ROBINS	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	6	5	83%	9	9	100%
CA204	HELMSMAN MANAGEMENT SERVICES	2	0	0%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	12	12	100%	3	3	100%
CA315	SPECIALTY RISK SERVICES	3	2	67%	No filings	No filings	No filings
	TPA Total	**55**	**50**	**91%**	**24**	**24**	**100%**
	CHARTIS Group Total	**68**	**63**	**93%**	**32**	**32**	**100%**
	CHESTERFIELD SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA080	**Group Total**	**2**	**2**	**100%**	**No filings**	**No filings**	**No filings**
	CHUBB INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA090	**Group Total**	**2**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	CHUBB TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	CHUBB Group Total	**2**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	CHURCH MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA084	**Group Total**	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**
	CIANBRO CORPORATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA085	**Group Total**	**1**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	CITY OF BANGOR	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA033	**Group Total**	**6**	**6**	**100%**	**1**	**1**	**100%**
	CLAIMS MANAGEMENT (WAL-MART)	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA100	**Group Total**	**12**	**12**	**100%**	**9**	**9**	**100%**

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INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	CNA INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA050	**Group Total**	4	4	100%	1	1	100%
	CONSTITUTION STATE SERVICE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA110	**Group Total**	5	2	40%	No filings	No filings	No filings
	COTTINGHAM AND BUTLER CLAIMS SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA117	**Group Total**	6	5	83%	1	1	100%
	CRUM & FORSTER	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA375	**Group Total**	No filings	No filings	No filings	No filings	No filings	No filings
	ESIS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA160	**Group Total**	43	38	88%	2	2	100%
	F.A. RICHARD	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA165	**Group Total**	No filings	No filings	No filings	No filings	No filings	No filings
	FIREMANS FUND INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA170	**Group Total**	1	0	0%	No filings	No filings	No filings
	FUTURECOMP	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA175	**Group Total**	13	12	92%	12	10	83%
	GAB ROBINS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA180	**Group Total**	No filings	No filings	No filings	No filings	No filings	No filings
	GALLAGHER BASSETT SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA190	**Group Total**	29	23	79%	23	22	96%
	GRAPHIC ARTS MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA191	**Group Total**	1	1	100%	No filings	No filings	No filings
	GUARD INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA019	AMGUARD INSURANCE COMPANY	2	2	100%	1	0	0%
CA140	EASTGUARD INSURANCE COMPANY	3	3	100%	3	1	33%
CA205	INTERGUARD, LTD.	No filings	No filings	No filings	1	1	100%
CA272	NORGUARD INSURANCE COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
	Group Total	5	5	100%	5	2	40%
	HANNAFORD BROTHERS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA201	**Group Total**	16	15	94%	14	14	100%

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	HANOVER INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA048	CITIZENS INSURANCE CO. OF AMERICA	4	4	100%	1	1	100%
CA202	HANOVER INSURANCE COMPANY	2	2	100%	No filings	No filings	No filings
CA228	MASSACHUSETTS BAY INS. CO.	4	4	100%	2	2	100%
	Group Total	**10**	**10**	**100%**	**3**	**3**	**100%**
	HARTFORD INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA203	**Total**	**22**	**21**	**95%**	**6**	**6**	**100%**
	HARTFORD TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	2	2	100%	No filings	No filings	No filings
CA315	SPECIALTY RISK SERVICES	4	2	50%	3	3	100%
	TPA Total	**7**	**5**	**71%**	**5**	**5**	**100%**
	HARTFORD Group Total	**29**	**26**	**90%**	**11**	**11**	**100%**
	HELMSMAN MANAGEMENT SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA204	**Group Total**	**3**	**1**	**33%**	**1**	**1**	**100%**
	LIBERTY MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA380	EMPLOYERS INSURANCE OF WAUSAU	16	15	94%	7	6	86%
CA210	LIBERTY MUTUAL INSURANCE COMPANY	35	32	91%	20	20	100%
	Group Total	**51**	**47**	**92%**	**27**	**26**	**96%**
	MACY'S CORPORATE SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA213	**Group Total**	**4**	**4**	**100%**	**No filings**	**No filings**	**No filings**
	MAINE AUTOMOBILE DEALERS ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA220	**Group Total**	**16**	**14**	**88%**	**2**	**2**	**100%**
	MAINE EMPLOYERS' MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA260	**Group Total**	**300**	**279**	**93%**	**163**	**157**	**96%**
	MAINE HEALTH CARE ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA234	**Group Total**	**5**	**5**	**100%**	**9**	**8**	**89%**
	MAINE MOTOR TRANSPORT ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA230	**Group Total**	**13**	**13**	**100%**	**7**	**7**	**100%**
	MAINE MUNICIPAL ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA225	**Group Total**	**72**	**65**	**90%**	**45**	**42**	**93%**
	MAINE SCHOOL MANAGEMENT ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA250	**Group Total**	**19**	**18**	**95%**	**13**	**13**	**100%**

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	MEADOWBROOK	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA255	**Group Total**	**2**	**1**	**50%**	**No filings**	**No filings**	**No filings**
	NATIONAL INTERSTATE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA267	**Group Total**	**2**	**2**	**100%**	**No filings**	**No filings**	**No filings**
	NGM INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA265	**Group Total**	**3**	**2**	**67%**	**No filings**	**No filings**	**No filings**
	OLD REPUBLIC INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	OLD REPUBLIC TPA Administered Claims						
CA038	BERKLEY ADMIN. OF CONNECTICUT	1	1	100%	No filings	No filings	No filings
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA110	CONSTITUTION STATE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	3	2	67%	2	2	100%
CA280	RYDER SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	6	6	100%	5	4	80%
	TPA Total	**11**	**10**	**91%**	7	6	**86%**
	OLD REPUBLIC Group Total	**11**	**10**	**91%**	7	6	**86%**
	PATRIOT INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA274	**Group Total**	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**
	PEERLESS INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA162	EXCELSIOR INSURANCE CO.	12	11	92%	1	1	100%
CA309	NETHERLANDS INSURANCE COMPANY	19	16	84%	5	5	100%
CA275	PEERLESS INSURANCE CO.	17	15	88%	6	5	83%
CA283	PEERLESS INDEMNITY CO.	No filings	No filings	No filings	No filings	No filings	No filings
	Group Total	**48**	**42**	**88%**	**12**	**11**	**92%**
	PROTECTIVE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA277	**Group Total**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	RYDER SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA280	**Group Total**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	RISK ENTERPRISE MANAGEMENT	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA280	**Group Total**	**4**	**3**	**75%**	**3**	**3**	**100%**
	SAFETY NATIONAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	SAFETY NATIONAL TPA Administered claims						
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA315	SPECIALTY RISK SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	SAFETY NATIONAL Group Total	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	SEDGWICK CLAIMS MANAGEMENT	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA300	**Group Total**	40	38	95%	19	17	89%
	SENTRY INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA305	**Group Total**	3	1	33%	1	1	100%
	SPARTA INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	SPARTA TPA Administered claims						
CA060	COTTINGHAM AND BUTLER CLAIMS SERVICES	6	5	83%	1	1	100%
	TPA Total	6	5	83%	1	1	100%
	SPARTA Group Total	6	5	83%	1	1	100%
	SPECIALTY RISK SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA315	**Group Total**	18	14	78%	7	5	71%
	STATE OF MAINE WORKERS' COMPENSATION TRUST	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA307	**Group Total**	38	36	95%	30	29	97%
	SYNERNET	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA320	**Group Total**	28	26	93%	26	26	100%
	THE FRANK GATES SERVICE COMPANY	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA168	**Group Total**	3	1	33%	No filings	No filings	No filings
	TOWER INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA356	**Group Total**	No filings	No filings	No filings	No filings	No filings	No filings
	TRAVELERS INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA072	CHARTER OAK FIRE INS. CO.	19	17	89%	12	12	100%
CA306	STANDARD FIRE INS. CO	7	6	86%	2	2	100%
CA347	TRAVELERS CASUALTY & SURETY COMPANY	No filings	No filings	No filings	1	1	100%
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	3	3	100%	2	2	100%
CA343	TRAVELERS INDEMNITY COMPANY	No filings	No filings	No filings	1	1	100%
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	3	3	100%	No filings	No filings	No filings
	Total	32	29	91%	18	18	100%
	TRAVELERS TPA Administered Claims						
CA040	BROADSPIRE SERVICES	4	4	100%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	1	1	100%	1	1	100%
CA168	THE FRANK GATES SERVICE COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	5	5	100%	3	3	100%
	TRAVELERS Group Total	37	34	92%	21	21	100%

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	TRIDENT INSURANCE SERVICES (Formerly MASSAMON	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA240	Group Total	2	2	100%	1	1	100%
	WILLIS OF NORTHERN NEW ENGLAND	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA001	HRH CLAIMS MANAGEMENT	3	2	67%	2	2	100%
CA382	WILLIS OF NORTHERN NEW ENGLAND, INC.	53	49	92%	34	33	97%
	Group Total	56	51	91%	36	35	97%
	XL SPECIALTY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA384	Total	*	*	*	*	*	*
	XL TPA Administered Claims						
CA180	GAB ROBINS	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	No filings	No filings	No filings	No filings	No filings	No filings
	XL Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	ZURICH INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA022	AMERICAN ZURICH	3	3	100%	3	3	100%
CA259	NORTHERN INSURANCE CO. OF NEW YORK	No filings	No filings	No filings	1	1	100%
CA400	ZURICH AMERICAN INSURANCE CO.	1	1	100%	1	1	100%
	Total	4	4	100%	5	5	100%
	ZURICH TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA080	CHESTERFIELD SERVICES	2	2	100%	No filings	No filings	No filings
CA160	ESIS	2	2	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	13	9	69%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	4	4	100%	No filings	No filings	No filings
CA315	SPECIALTY RISK SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	21	17	81%	2	2	100%
	ZURICH Group Total	25	21	84%	7	7	100%

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