2009

ANNUAL COMPLIANCE REPORT

STATE OF MAINE
WORKERS' COMPENSATION BOARD

JANUARY 1, 2009 - DECEMBER 31, 2009

OFFICE OF MONITORING, AUDIT & ENFORCEMENT

Paul R. Dionne
Executive Director/Chair

Steven P. Minkowsky
Deputy Director of Benefits Administration

MAINE WORKERS' COMPENSATION BOARD
2009 ANNUAL COMPLIANCE REPORT

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EXECUTIVE SUMMARY

I. On August 10, 2010, the Maine Workers' Compensation Board unanimously approved the 2009 Annual Compliance Report (**January 1, 2009** through **December 31, 2009**). This report represents the efforts of the Office of Monitoring, Audit and Enforcement (MAE Program) and the workers' compensation community.

II. HISTORY

In 1997, the Maine Legislature, with the support of Governor Angus S. King, Jr., enacted Public Law 1997, Chapter 486 to establish the Office of Monitoring, Audit, and Enforcement with the goals of: (1) providing timely and reliable data to policymakers; (2) monitoring and auditing payments and filings; and (3) identifying those insurers, self-administered employers, and third-party administrators (collectively "insurers") not complying with minimum standards.

With a key component of the monitoring program being the production of Quarterly and Annual Compliance Reports, a pilot project was undertaken in May 1997 to: (1) measure the Board's data collection and reporting capabilities; (2) report on the performance of insurers; and (3) let all interested parties know what to expect from the Compliance Reports. From this pilot, MAE was able to refine its policies, practices and processes. Since 1997, MAE has followed a principal of continuous improvement to ensure that the Compliance Reports maintain high quality standards.

III. COMPLIANCE OVERVIEW

The 2009 quarterly compliance in Table 1 represents static results based upon data received by the deadline for each quarter. This 2009 Annual Compliance Report represents dynamic results based upon data received by March 8, 2010. Tables 2 and 3 show continued improvement in the performance of insurers since the pilot project. This improvement results in improved claims-handling including faster initial indemnity payments.

A. Lost Time First Report Filings

The Board's benchmark for lost time first report filings within 7 days is 85%.

Benchmark Not Met. The Board received 13,355 lost time first reports. This represents 805 fewer reports than in 2008 and continues a long term decline in the number of lost time first reports. Eighty-four percent (84%) of lost time first report filings were within 7 days. Compliance has dropped from the 2008 compliance rate due to programming enhancements that allow the Board to more accurately track the receipt of lost time First Reports.

B. Initial Indemnity Payments

The Board's benchmark for initial indemnity payments within 14 days is 87%.

Benchmark Exceeded. Eighty-nine percent (89%) of initial indemnity payments were within 14 days.

C. Initial Memorandum of Payment (MOP) Filings

The Board's benchmark for initial Memorandum of Payment filings within 17 days is 85%.

Benchmark Exceeded. Eighty-seven percent (87%) of initial MOP filings were within 17 days.

D. Initial Indemnity Notice of Controversy (NOC) Filings

The Board's benchmark for initial indemnity Notice of Controversy filings within 14 days is 90%.

Benchmark Exceeded. Ninety-four percent (94%) of initial indemnity NOC filings were within 14 days, the highest compliance recorded for this indicator to date.

E. Utilization Analysis

Eighteen percent (18%) of all lost time first reports were "denied" and thirty-seven percent (37%) of all claims for compensation were denied.

F. Initial Indemnity Payments > 44 Days

$46,350 was issued to claimants in penalties and $5,050 in penalties are awaiting resolution under Section 205(3). These monies go to injured workers.

G. Late Filed Coverage Notices

$30,800 was collected in penalties and $1,300 in penalties are awaiting resolution under Section 360(1)(B). These monies go to the State General Fund.

IV. CAVEATS & EXPLANATIONS

A. General

- Employer delays in reporting of injuries may lower compliance.
- Question marks ("?") within this report indicate that the insurer did not provide all the data required to measure compliance.

B. Lost Time First Report Filings

- Compliance with the lost time first report filing obligation exists when the lost time first report is filed (accepted Electronic Data Interchange (EDI) transaction, with or without errors) within 7 days of the employer receiving notice or knowledge of an employee injury that has caused the employee to lose a day's work.
- When a medical only first report was received and later converted to a lost time first report, if the date of the employer's notice or knowledge of incapacity minus the received date was less than zero, the filing was considered compliant.

C. Initial Indemnity Payments

- Compliance with the Initial Indemnity Payment obligation exists when the check is mailed within the later of: (a) 14 days after the employer's notice or knowledge of incapacity or (b) the first day of compensability plus 6 days.

D. Initial Memorandum of Payment (MOP) Filings

- Compliance with the Initial Memorandum of Payment filing obligation exists when the MOP is received within 17 days of the employer's notice or knowledge of incapacity.

E. Initial Indemnity Notice of Controversy (NOC) Filings

- Measurement excludes filings submitted with full denial reason codes 3A-3H (No Coverage).
- Compliance with the Initial Indemnity Notice of Controversy filing obligation exists when the NOC is filed (accepted EDI transaction, with or without errors) within 14 days of the employer receiving notice or knowledge of the incapacity or death.

V. CORRECTIVE ACTION PLANS (CAPs)

Corrective Action Plans are implemented for insurers with chronic poor compliance. Elements of the CAPs are reviewed and updated each quarter to track compliance changes and ensure that the elements of the plan are being met.

The following insurers had CAPs in place for all or part of 2009:

Insurer (alpha order)	Market Share by Premiums Written
ACE	1%
AIG (now Chartis)	3%
Berkley Administrators of Connecticut	Not Applicable - TPA
Cambridge Integrated Services	Not Applicable - TPA
Claimetrics	Not Applicable – TPA
CNA	1%
Crawford & Company	Not Applicable – TPA
GAB Robins	Not Applicable – TPA
Gallagher Bassett Services, Inc.	Not Applicable – TPA
Hartford	4%
Liberty Mutual	9%
Meadowbrook	Not Applicable - MGA
Old Republic	< 1%
Sedgwick Claims Management	Not Applicable - TPA
Specialty Risk Services	Not Applicable - TPA
Zurich	1%

This 2009 Annual Compliance Report was prepared by the following MAE staff members:

Name	Position	Annual Report Role
Anne Poulin	Secretary Associate	Administrative Support
Kathleen Schulz	Management Analyst	Research & Compilation
Brad Howard	Management Analyst	Research & Compilation
Kimberlee Barriere	Director, MAE Program	Editor
Steven Minkowsky	Deputy Director of Benefits Administration	Editor

Annual Compliance Summary

Table 1 **2009 Quarterly Compliance Reports**

	Benchmark	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
Lost Time First Report Filings Received within 7 Days	85%	81%	84%	85%	86%
Initial Indemnity Payments Made within 14 Days	87%	87%	91%	90%	89%
Initial Memorandum of Payment Filings Received within 17 Days	85%	86%	90%	88%	87%
Initial Indemnity Notice of Controversy Filings Received within 14 Days	90%	91%	95%	94%	93%

Table 2 **Annual Compliance**

	1997[1]	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009
Lost Time First Report Filings Received within 7 Days	37%	78%	80%	82%	82%	86%	86%	84%	87%	89%	84%
Initial Indemnity Payments Made within 14 Days	59%	80%	83%	85%	86%	85%	87%	87%	87%	89%	89%
Initial Memorandum of Payment Filings Received within 17 Days	57%	75%	77%	81%	82%	83%	84%	84%	85%	88%	87%
Initial Indemnity Notice of Controversy Filings Received within 14 Days[1]						91%	92%	89%[2]	89%	90%	94%

Table 3 **Percentage Change Over Time Since 1997**

	1997[1]	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009
Lost Time First Report Filings Received within 7 Days	0%	113%	117%	122%	124%	133%	134%	130%	136%	141%	127%
Initial Indemnity Payments Made within 14 Days	0%	35%	39%	44%	44%	44%	46%	46%	47%	49%	49%
Initial Memorandum of Payment Filings Received within 17 Days	0%	31%	36%	42%	44%	46%	48%	49%	49%	55%	54%
Initial Indemnity Notice of Controversy Filings Received within 14 Days[2]						0%	1%	-2%	-3%	-1%	2%

[1] The Initial Indemnity Notice of Controversy filing benchmark was changed in 2007 from 17 days to 14 days.

[2] Second quarter 2006 excluded.

High Compliance Performers

Entities large and small have developed the policies, processes and systems necessary to achieve or exceed the benchmark compliance levels relative to forms filing, as well as the initial indemnity payments that put money into injured Maine employees' households. The Board wishes to recognize them for their excellence in these areas.

These high-performing entities run the gamut of size, location and organization type, i.e. insurer, TPA, self-insured self-administered employer.

2009 High Compliance Performers

Benchmark Category:	First Report Filings	Initial Payments	Initial MOP Filings	Initial NOC Filings
Insurers/TPAs				
Broadspire Services, Inc.	86%	96%	96%	96%
Claims Management, Inc. (Wal-Mart)	95%	93%	97%	100%
Maine Employers' Mutual Insurance Co.	85%	92%	91%	94%
Synernet	94%	95%	93%	97%
Willis (Formerly HRH)	94%	87%	88%	100%
Self-Insureds				
Bangor, City of	95%	100%	100%	100%
Bath Iron Works	98%	98%	98%	97%
Hannaford Brothers	90%	97%	94%	91%
Maine Motor Transport Association	93%	93%	91%	98%
Maine Municipal Association	92%	93%	94%	95%
Maine School Management Association	88%	95%	97%	95%
State of Maine Workers' Comp. Division	93%	99%	95%	96%

Board Benchmarks:

85% of Lost Time First Report filings received within 7 days

87% of Initial Indemnity Payments made within 14 days

85% of Initial Memorandum of Payment filings received within 17 days

90% of Initial Indemnity Notice of Controversy filings received within 14 days

Qualifications:

Must have filed more than one MOP in the year.

LOST TIME FIRST REPORT FILINGS

Chart 1 Timeliness Distribution

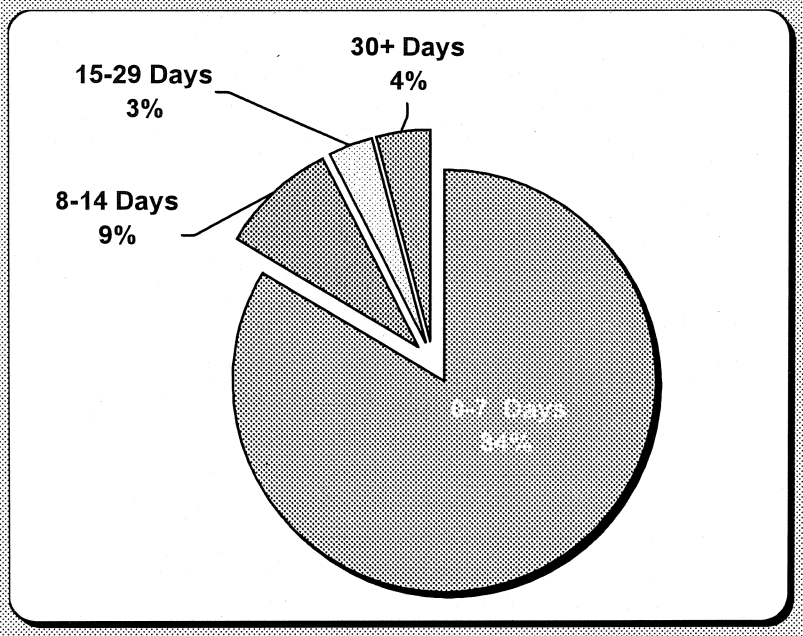


Table 4

Received Within:			
0-7	Days	11,160	84%
8-14	Days	1,179	9%
15-29	Days	461	3%
30+	Days	555	4%
	Total	13,355	100%

Table 5

Above vs. Below Benchmark:		
At / Above	27	23%
Below	88	77%
Total	115	100%

Chart 2 % of Insurers Above vs. Below 85% Benchmark

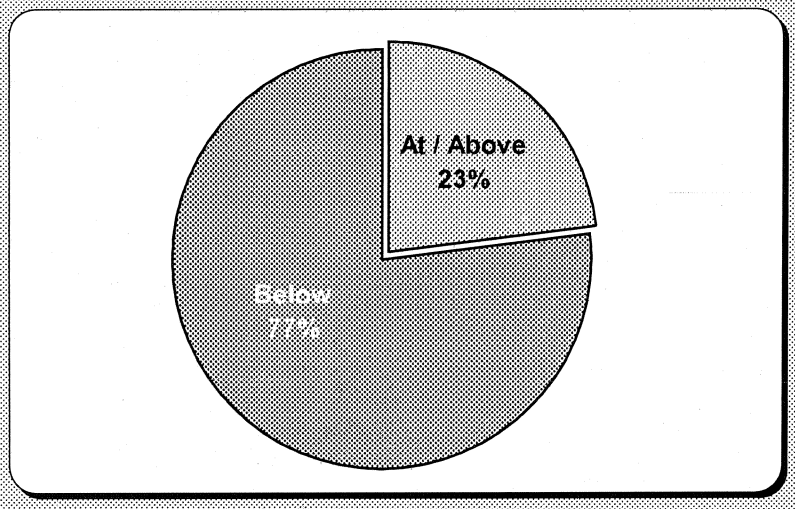
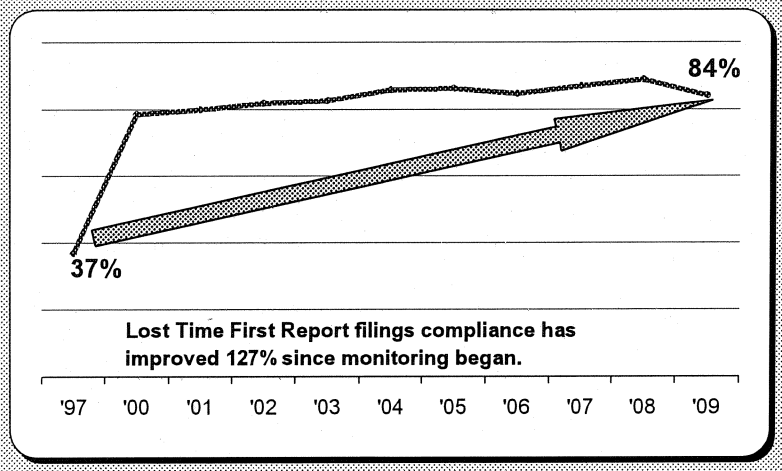


Chart 3 Compliance Trend 1997 - 2009



Summary

The 2009 compliance rate of 84% for lost time first report filings has dropped from the 2008 compliance rate due to programming enhancements that allow the Board to more accurately track the receipt of lost time First Reports.

Increasing familiarity with the Board's Electronic Data Interchange (EDI) system, as well as continued focus on data timeliness and accuracy, will help improve compliance in this area to a compliance rate at or above the benchmark.

However, as can be seen in Chart 2, only 23% of insurers were at or above the benchmark in 2009, indicating a need for broad improvement.

Incorrect or unknown employer UIAN numbers continue to be an issue. Accurate UIAN data ensures that claims received at the Board are linked to the appropriate insurance policy and claim administrator in a timely manner, thus reducing workloads for all parties. Attention will continue to be placed on improving this area.

INITIAL INDEMNITY PAYMENTS

Chart 4 Timeliness Distribution

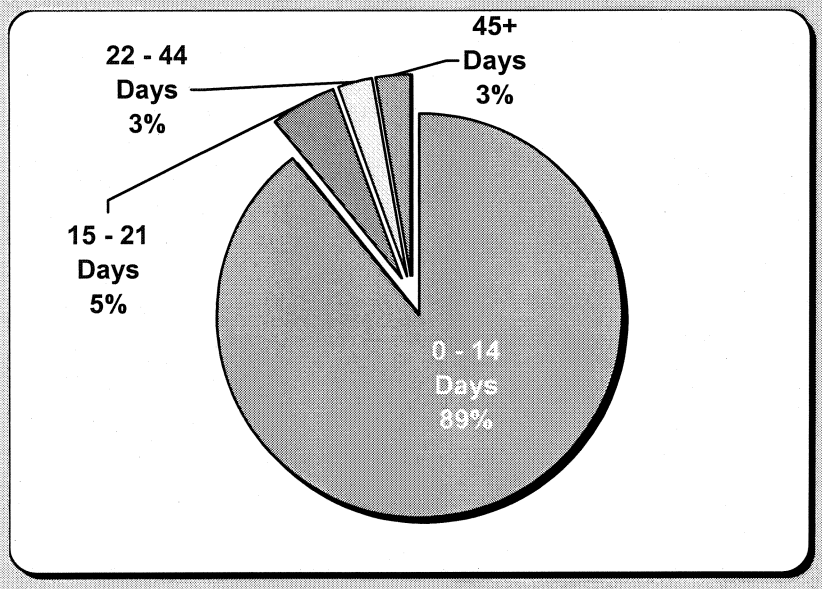


Table 6

Made Within:			
0 - 14	Days	3,625	89%
15 - 21	Days	215	5%
22 - 44	Days	144	3%
45+	Days	104	3%
?	Days	4	0%
	Total	**4,092**	**100%**

Table 7

Above vs. Below Benchmark:		
At / Above	57	57%
Below	43	43%
Total	**100**	**100%**

Chart 5 % of Insurers Above vs. Below 87% Benchmark

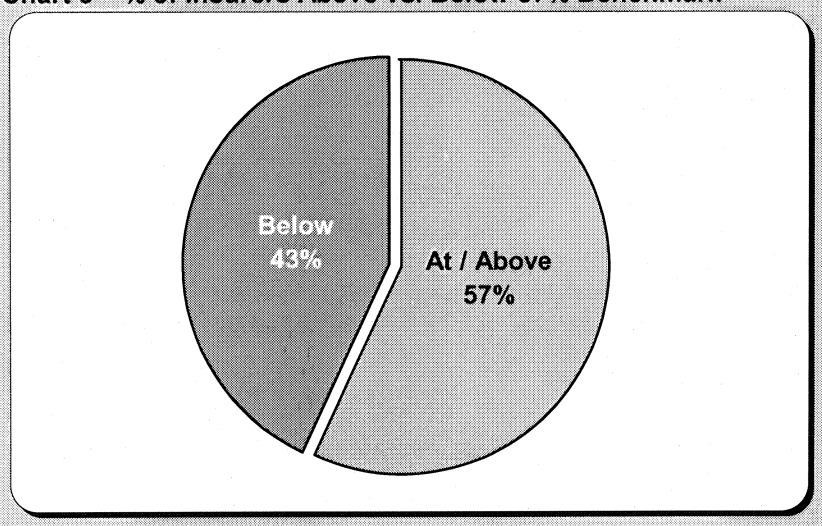
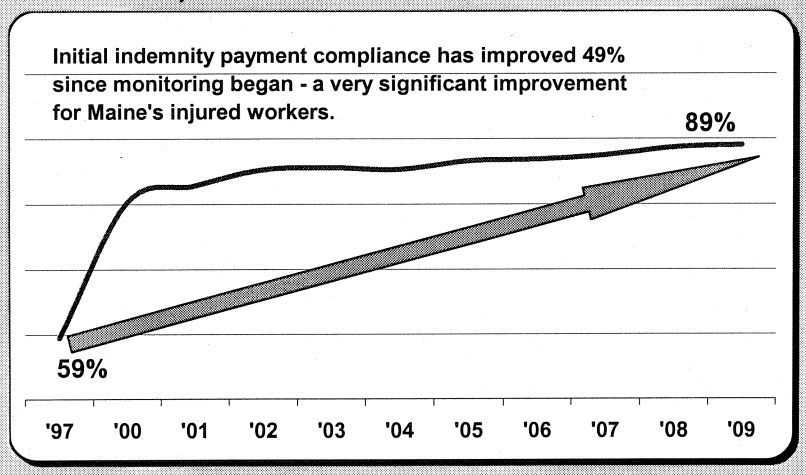


Chart 6 Compliance Trend 1997 - 2009



Summary

Injured workers in the State of Maine continue to benefit from the high (89%) compliance rate of initial indemnity payments.

In fact, the insurance community's compliance has improved by 30 points since monitoring began, from 59% to 89%, resulting in Maine households receiving much-needed money on time.

On a broader level, timely initial indemnity payments are a key factor in controlling workers' compensation claim costs.

As can be seen in Chart 5, much work still remains in this area. Analysis will continue to focus on identifying best practices followed by those with high compliance rates in order to identify solutions that might help those at the other end of the spectrum to improve their results.

INITIAL MEMORANDUM OF PAYMENT FILINGS

Chart 7 Timeliness Distribution

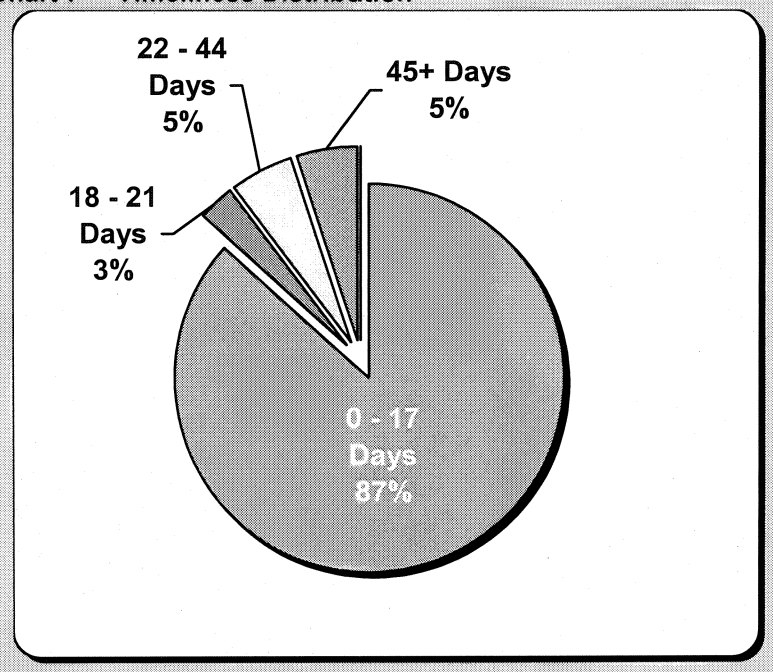


Table 8

Received Within:			
0 - 17	Days	3,574	87%
18 - 21	Days	119	3%
22 -44	Days	216	5%
45+	Days	179	5%
?	Days	4	0%
	Total	**4,092**	**100%**

Table 9

Above vs. Below Benchmark:			
At / Above		44	44%
Below		56	56%
	Total	**100**	**100%**

Chart 8 % of Insurers Above vs. Below 85% Benchmark

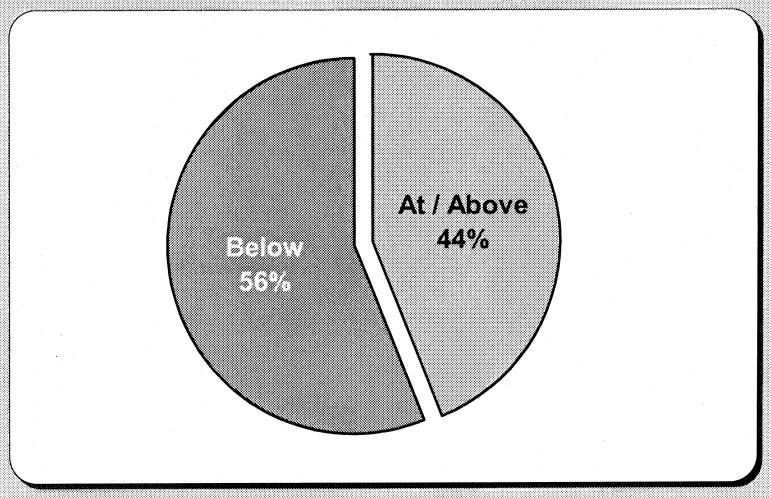
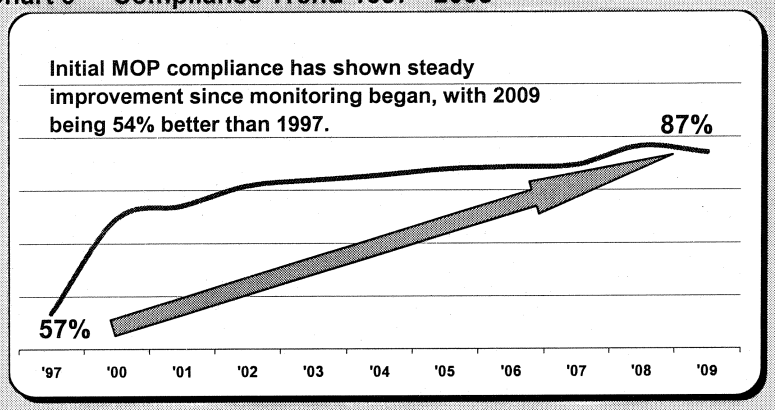


Chart 9 Compliance Trend 1997 - 2009



Summary

While a Memorandum of Payment (MOP) filing does not have the same tangible benefit to the injured employee as the payment itself, it is a key indicator of how well insurers are complying with the administrative requirements of the Act.

Compliance with the administrative requirements is important. Studies by the Workers' Compensation Research Institute indicate that proper claims administration and timely payment of claims reduces both the overall cost of claims and also the time required to process a claim through the dispute resolution system.

As can be seen in Chart 7, the MOP benchmark is being met at an aggregate level, but as Chart 8 indicates, over half of all insurers are below benchmark, a clear opportunity for improvement going forward.

INITIAL INDEMNITY NOTICE OF CONTROVERSY FILINGS

Chart 10 Timeliness Distribution

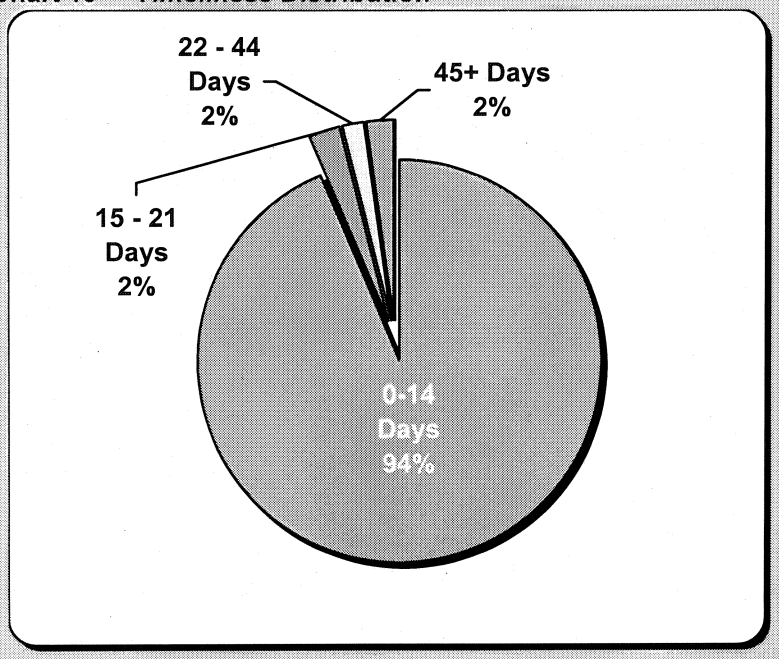


Table 10

Received Within:			
0 - 14	Days	2,216	94%
15 - 21	Days	58	2%
22 - 44	Days	42	2%
45+	Days	48	2%
?	Days	1	0%
	Total	**2,365**	**100%**

Table 11

Above vs. Below Benchmark:		
At / Above	46	61%
Below	29	39%
Total	**75**	**100%**

Chart 11 % of Insurers Above vs. Below 90% Benchmark

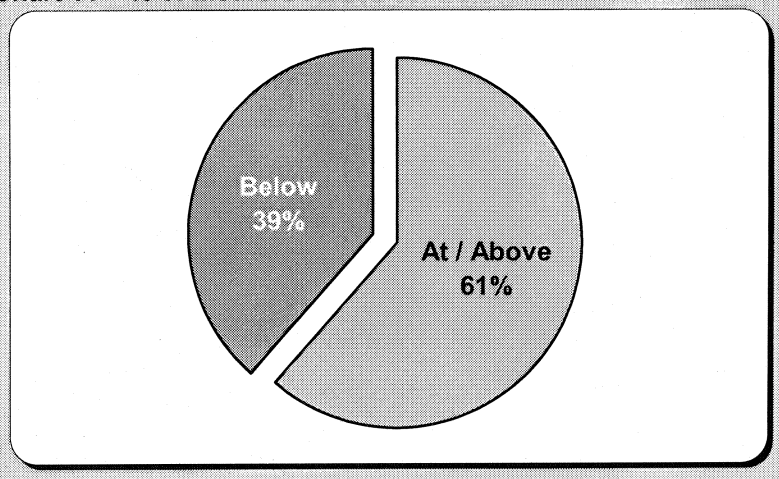
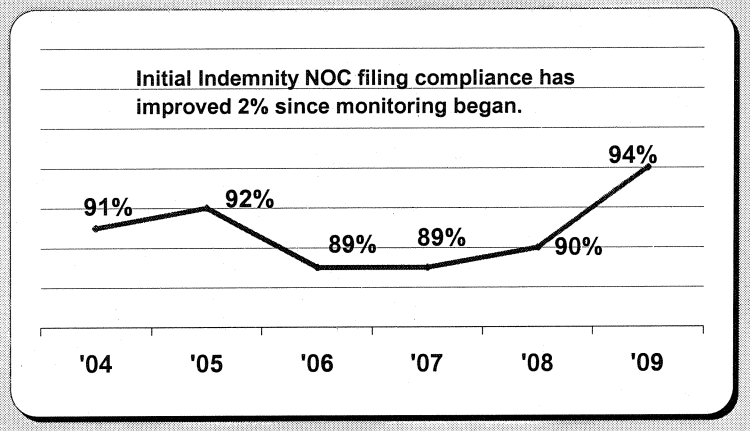


Chart 12 Compliance Trend 2004 - 2009



Summary

The benchmark for the timely filing of the Initial Indemnity Notice of Controversy (NOC) was tightened from 17 to 14 days effective 2007.

In 2009 there were 13% fewer Initial Indemnity NOC filings in the dispute resolution system at the Board compared to 2008. Despite having fewer Initial Indemnity Notice of Controversy filings in the sytem, the compliance continues to increase for this performance indicator.

The Monitoring Division anticipates that NOC compliance will continue to improve as insurers become more familiar with the filing requirements and intricacies of EDI.

UTILIZATION ANALYSIS

Summary

Of the 13,355 lost time first report filings in 2009, 52% resulted in the employee returning to work within the waiting period. Also, 18% of all lost time First Reports and 37% of all claims for compensation were "denied" in 2009. These are decreases from 2008.

The number of initial indemnity NOCs filed by an insurer, contrasted with the number of Initial MOPs filed by the insurer, may be used as one of many claims administration indicators that result in an insurer being referred for audit for possible violations of the Act.

Chart 13 Distribution of Lost Time First Reports

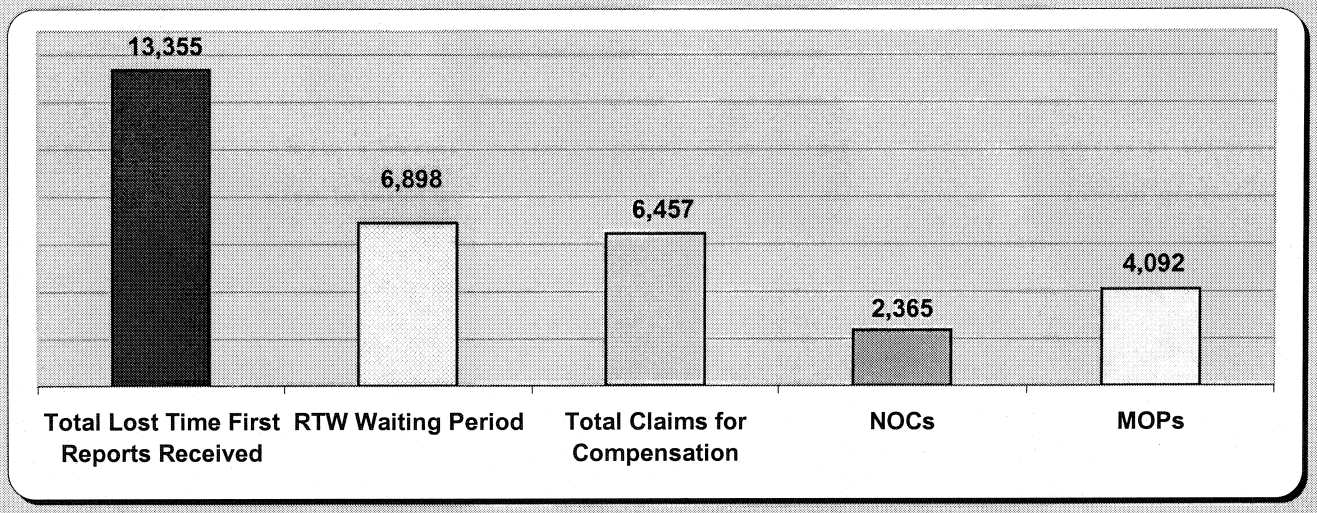


Table 12 **% of Lost Time First Reports Denied**

(Initial Indemnity NOCs / Lost Time First Reports)

2009 =	18%
2008 =	19%
2007 =	20%

Table 13 **% of Claims for Compensation Denied**

(Initial Indemnity NOCs / Claims for Compensation)

2009 =	37%
2008 =	40%
2007 =	40%

Chart 14 Lost Time First Reports Analysis

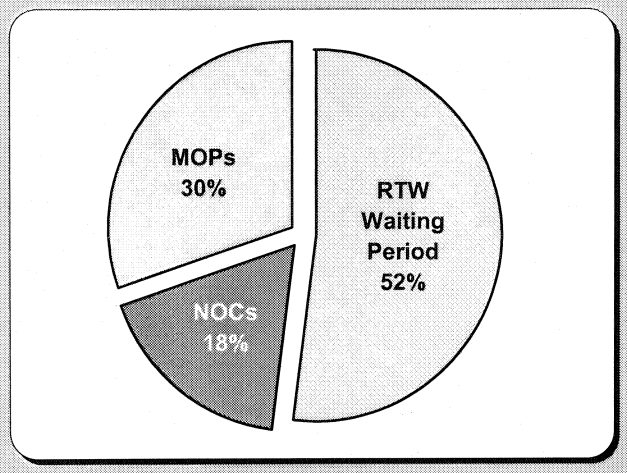
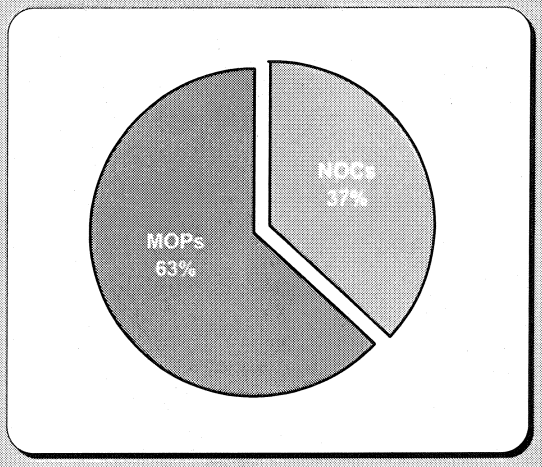
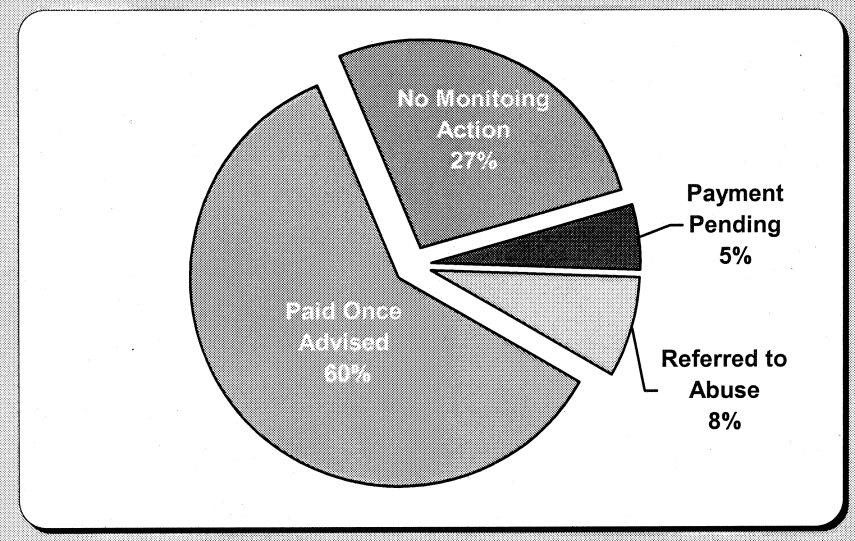


Chart 15 Claims for Compensation Analysis



INITIAL INDEMNITY PAYMENTS > 44 DAYS

Chart 16 Penalty Distribution



Title 39-A M.R.S.A. Section 205(3) states $50 per day must be added and paid to the worker for each day over 30 days in which the benefit is not paid. This process tracks compliance with this requirement.

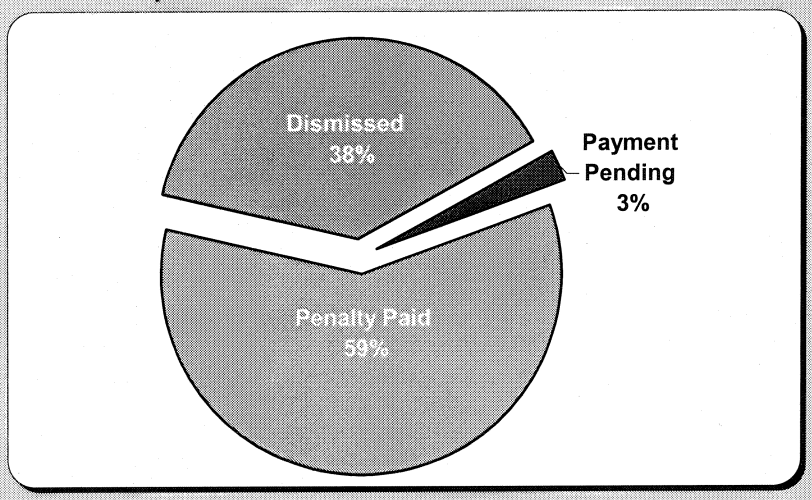
Table 14

Penalty Distribution:		
Paid Once Advised	47	60%
No Monitoring Actior	21	27%
Payment Pending	4	5%
Referred to Abuse	6	8%
Total	**78**	**100%**

$46,350 issued to claimants in penalties.
$ 5,050 in penalties awaiting resolution.

LATE FILED COVERAGE NOTICES

Chart 17 Complaint Distribution



No complaints were mailed in either the 3rd or 4th quarter of 2009 due to Office of Information Technology issues beyond our control.

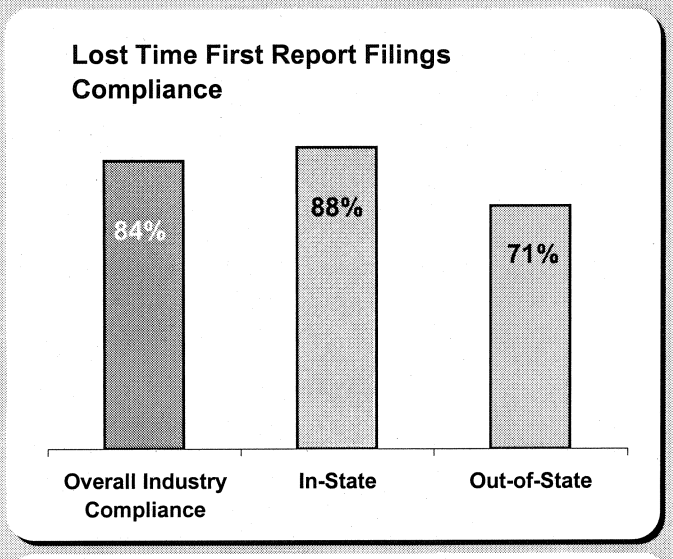
Table 15

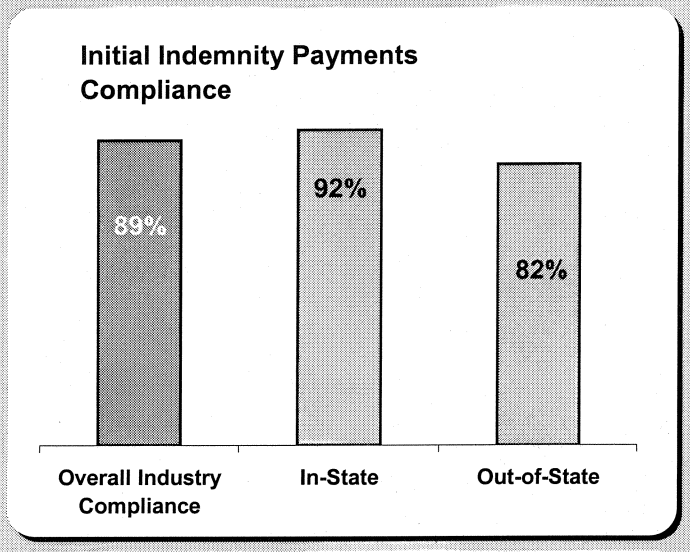
Complaint Distribution:		
Penalty Paid	308	59%
Dismissed	199	38%
Payment Pending	13	3%
Total	**520**	**100%**

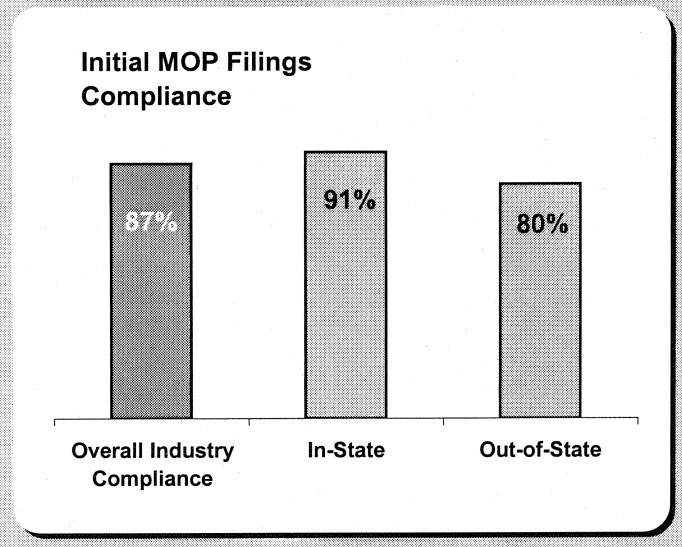
$30,800 issued to claimants in penalties.
$ 1,300 in penalties awaiting resolution.

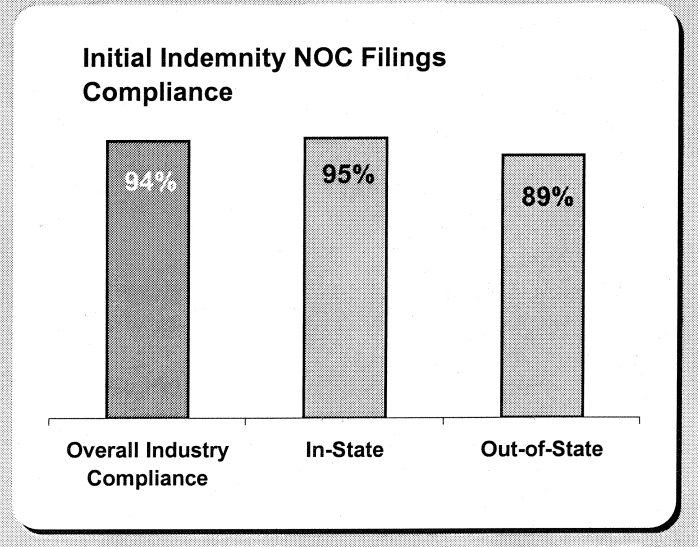
In-State vs. Out-of-State Comparisons

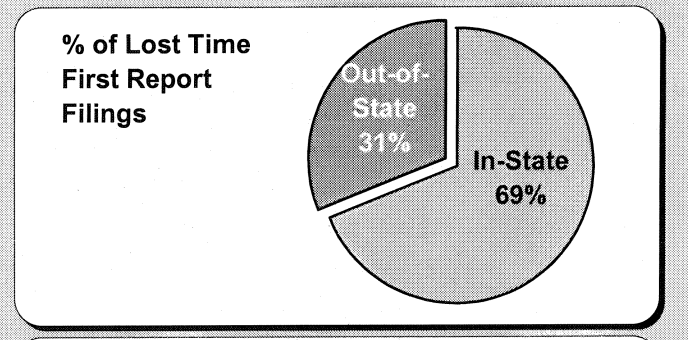
As can be seen in the charts below, In-State insurers significantly out-perform their Out-of-State counterparts in all four benchmarks. In-State insurers also make up roughly two-thirds of the filings.

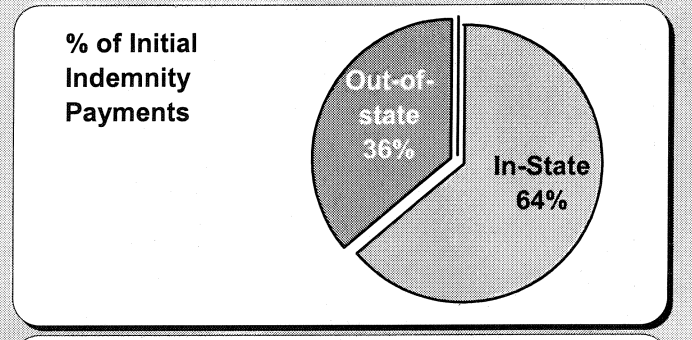


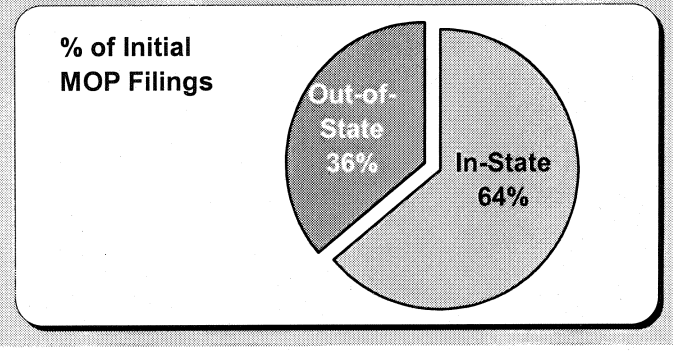


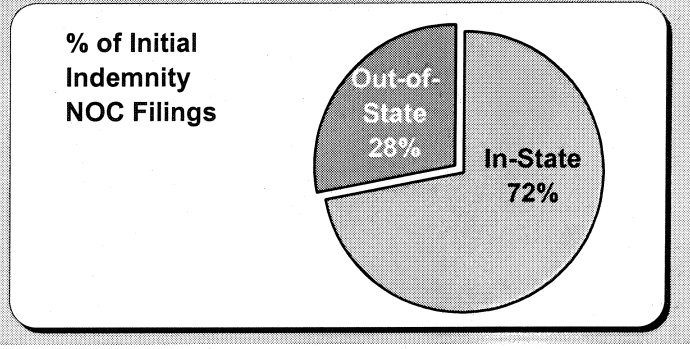




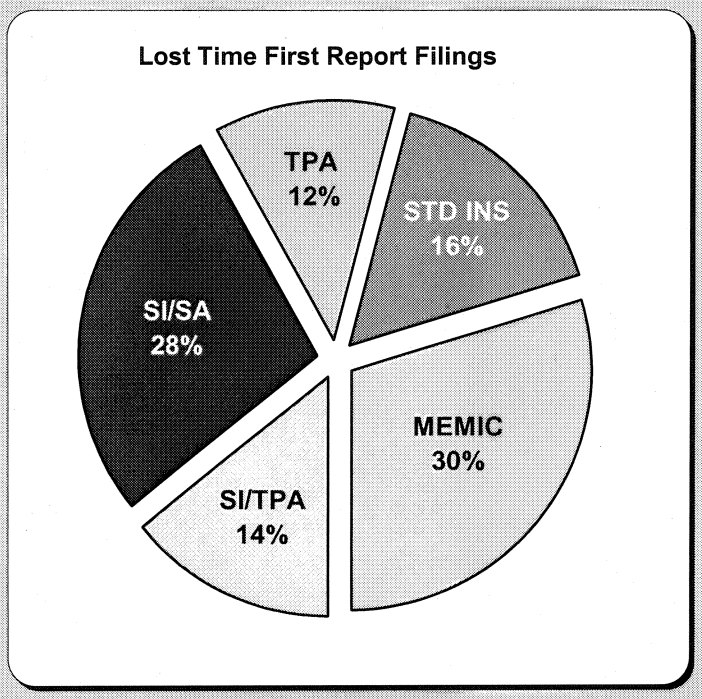


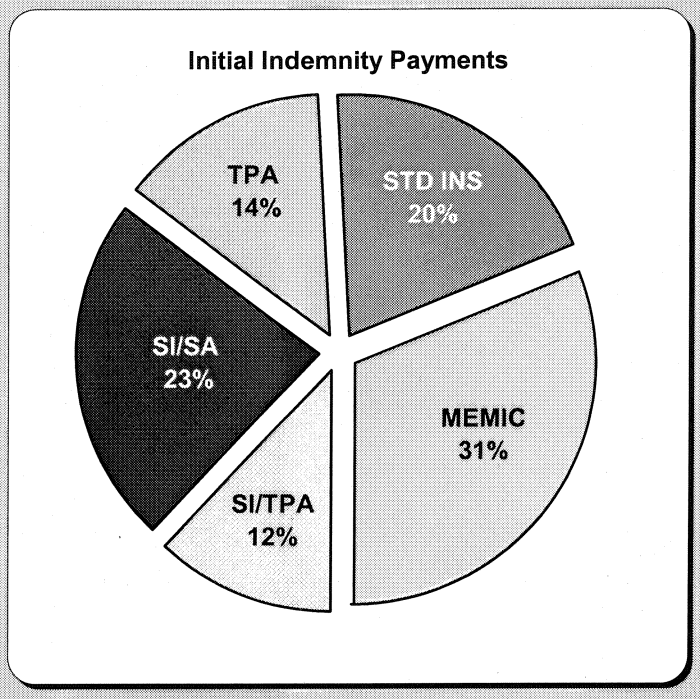




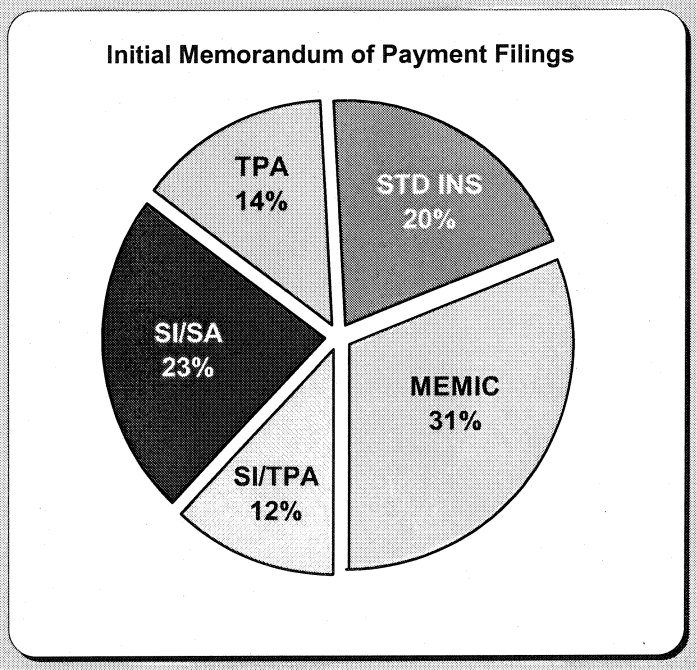


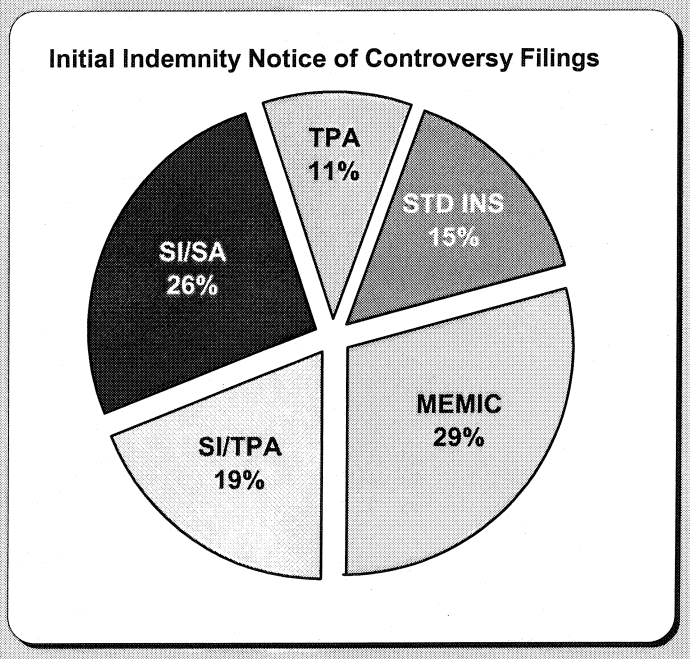
Volume by Type of Insurer





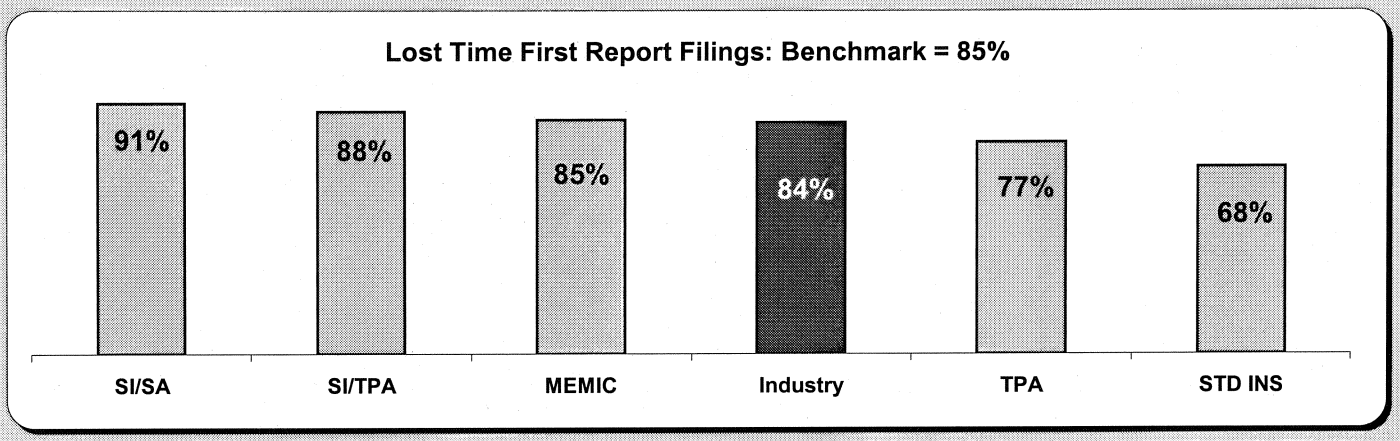
Example: Self-Insured, Self-Administered (SI/SA) employers accounted for 28% of lost time first report filings in 2009.

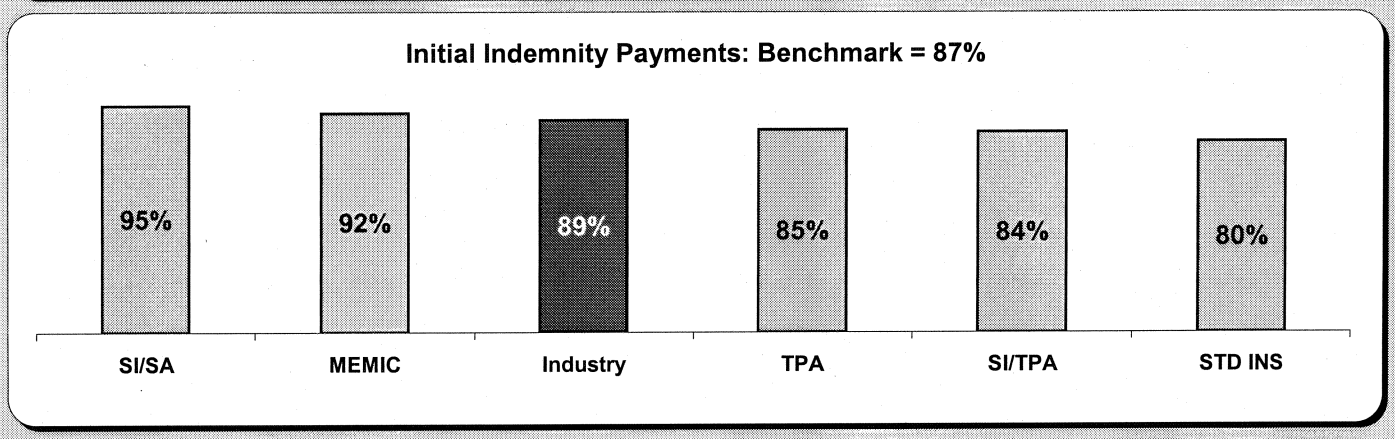


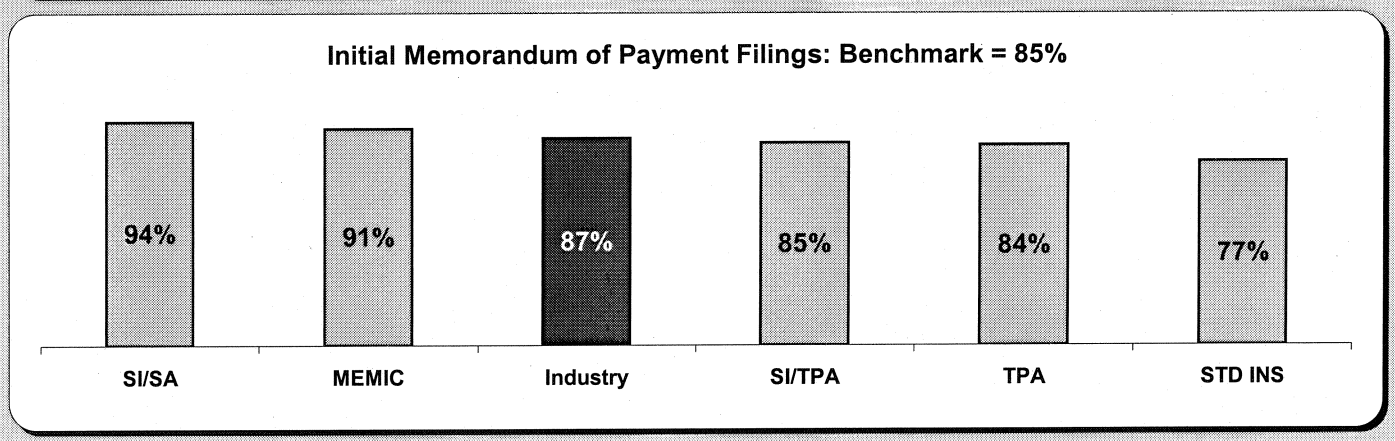


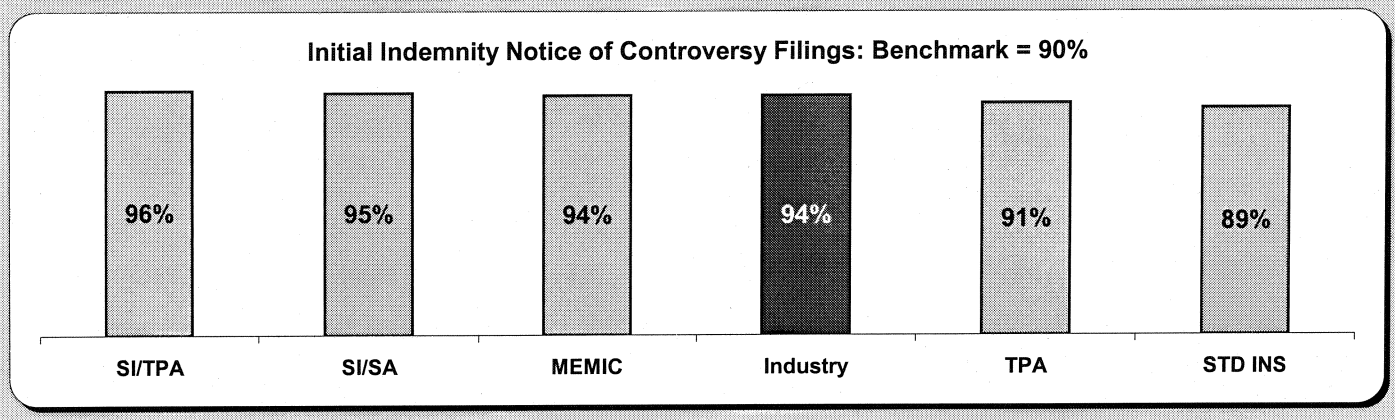
KEY:		
	SI/SA	Self-Insured, Self-Administered Employer
	SI/TPA	Self-Insured, TPA-Administered Employer
	STD INS	Standard Insurer (excluding MEMIC), Self-Administered
	TPA	Standard Insurer (excluding MEMIC), TPA Administered

Compliance by Type of Insurer









KEY:

SI/SA	Self-Insured, Self-Administered Employer
SI/TPA	Self-Insured, TPA-Administered Employer
STD INS	Standard Insurer (excluding MEMIC), Self-Administered
TPA	Standard Insurer (excluding MEMIC), TPA Administered

2009 INSURER COMPLIANCE - Group Level Compliance Percents

INSURANCE GROUP	FROI Compliance Benchmark: 85%	PAY Compliance Benchmark: 87%	MOP Compliance Benchmark: 85%	NOC Compliance Benchmark: 90%
ACADIA	84%	86%	89%	100%
ACE	76%	87%	86%	90%
AIG (now CHARTIS)	82%	88%	88%	95%
AMERICAN INTERSTATE*	0%	100%	100%	No filings
ARCH	60%	78%	89%	100%
ARGONAUT	47%	58%	50%	80%
ARROW MUTUAL	50%	100%	100%	No filings
ARROWOOD INDEMNITY	20%	100%	0%	100%
BANGOR, CITY OF	95%	100%	100%	100%
BATH IRON WORKS	98%	98%	98%	97%
BERKLEY ADMINS. OF CONNECTICUT	69%	77%	77%	0%
BROADSPIRE SERVICES, INC.	86%	96%	96%	96%
CAMBRIDGE INTEGRATED SERVICES	15%	80%	80%	50%
CANNON COCHRAN MGMNT. SVS., INC.	91%	82%	84%	95%
CHESTERFIELD SERVICES, INC.	71%	67%	67%	No filings
CHUBB	21%	67%	33%	100%
CHURCH MUTUAL	50%	100%	100%	No filings
CIANBRO CORPORATION	45%	100%	0%	100%
CLAIMETRICS	33%	50%	50%	No filings
CLAIMS MANAGEMENT, INC. (WAL-MART)	95%	93%	97%	100%
CNA	77%	94%	85%	85%
CONSTITUTION STATE SERVICES CO.	64%	86%	91%	86%
COTTINGHAM & BUTLER*	No filings	No filings	No filings	No filings
CRAWFORD & COMPANY*	No filings	No filings	No filings	No filings
CRUM & FORSTER*	0%	100%	0%	0%
ESIS, INC.	76%	86%	87%	93%
F.A. RICHARD & ASSOCIATES	50%	100%	50%	50%
FIREMAN'S FUND	100%	100%	100%	No filings
FUTURECOMP	84%	86%	87%	91%
GAB ROBINS	75%	82%	64%	71%
GALLAGHER BASSETT SERVICES, INC.	59%	67%	73%	96%
GRAPHIC ARTS MUTUAL*	100%	No filings	No filings	No filings
GREAT WEST CASUALTY*	0%	0%	0%	No filings
GUARD	72%	88%	73%	67%
HANNAFORD BROTHERS	90%	97%	94%	91%
HANOVER	82%	93%	89%	86%
HARLEYSVILLE	0%	0%	0%	No filings
HARTFORD	74%	87%	84%	93%
HELMSMAN MANAGEMENT SERVICES	66%	92%	92%	89%
LIBERTY MUTUAL	69%	83%	83%	90%
MAC RISK MANAGEMENT, INC.	0%	67%	67%	0%
MACY'S CORPORATE SERVICES	33%	100%	100%	No filings
MAINE AUTOMOBILE DEALERS	83%	88%	97%	94%
MAINE EMPLOYERS' MUTUAL INS. CO.	85%	92%	91%	94%
MAINE HEALTHCARE ASSOCIATION	79%	89%	89%	93%
MAINE INS. GUARANTY ASSOC. (MIGA)*	No filings	No filings	No filings	No filings
MAINE MOTOR TRANSPORT ASSOC.	93%	93%	91%	98%
MAINE MUNICIPAL ASSOCIATION	92%	93%	94%	95%
MAINE SCHOOL MANAGEMENT ASSOC.	88%	95%	97%	95%
MASSAMONT (now TRIDENT INS. SVS.)	75%	100%	100%	100%
MEADOWBROOK	44%	83%	83%	0%
MITSUI SUMITOMO INSURANCE*	No filings	No filings	No filings	No filings
NGM	21%	100%	100%	No filings
OLD REPUBLIC	79%	88%	81%	79%
ONEBEACON	37%	30%	20%	100%
PATRIOT	45%	91%	82%	0%

*No compliance chart in report

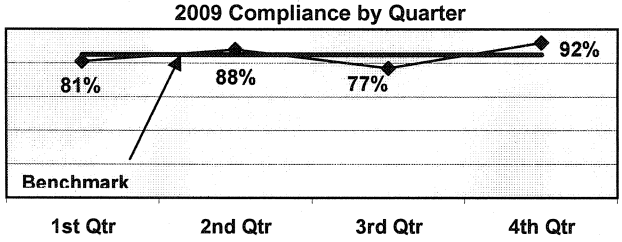
2009 INSURER COMPLIANCE - Group Level Compliance Percents

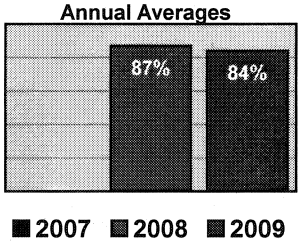
INSURANCE GROUP	FROI Compliance Benchmark: 85%	PAY Compliance Benchmark: 87%	MOP Compliance Benchmark: 85%	NOC Compliance Benchmark: 90%
PEERLESS	47%	63%	63%	67%
PRAETORIAN INS. CO.*	No filings	No filings	No filings	No filings
PROTECTIVE	0%	100%	50%	No filings
PUBLIC SERVICE MUTUAL*	No filings	No filings	No filings	No filings
RISK ENTERPRISES MANAGEMENT	39%	85%	54%	17%
ROMAN CATHOLIC DIOCESE	91%	83%	67%	67%
RYDER	50%	100%	0%	No filings
SAFETY NATIONAL*	0%	0%	0%	No filings
SEDGWICK CLAIMS MANAGEMENT	80%	89%	87%	83%
SELECTIVE	83%	100%	100%	No filings
SENTRY	45%	61%	39%	0%
SPECIALTY RISK SERVICES	79%	83%	79%	100%
STATE OF MAINE WC TRUST	93%	99%	95%	96%
SYNERNET	94%	95%	93%	97%
T.H.E. INSURANCE*	0%	0%	0%	No filings
THE FRANK GATES SERVICE COMPANY	20%	0%	0%	No filings
TOKIO MARINE	40%	33%	0%	No filings
TOWER	20%	100%	100%	No filings
TRAVELERS	69%	86%	85%	94%
UNDERWRITERS SAFETY & CLAIMS*	No filings	No filings	No filings	No filings
UTICA MUTUAL INSURANCE CO.*	0%	No filings	No filings	No filings
VANLINER INSURANCE*	73%	100%	67%	67%
VIRGINIA SURETY (now Continental Ind.)*	0%	No filings	No filings	No filings
WILLIS (formerly HRH)	94%	87%	88%	100%
XL SPECIALTY	30%	40%	40%	100%
YORK CLAIMS SERVICE*	100%	No filings	No filings	No filings
ZURICH	70%	76%	73%	90%

*No compliance chart in report

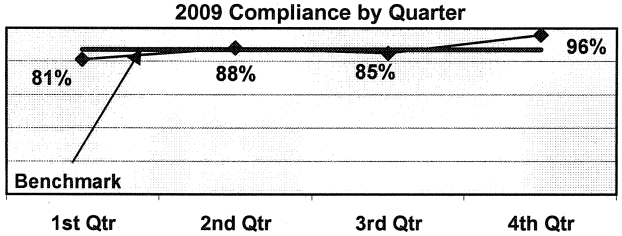
ACADIA

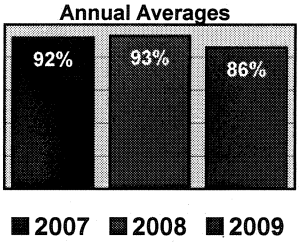
Lost Time First Report Filing Compliance



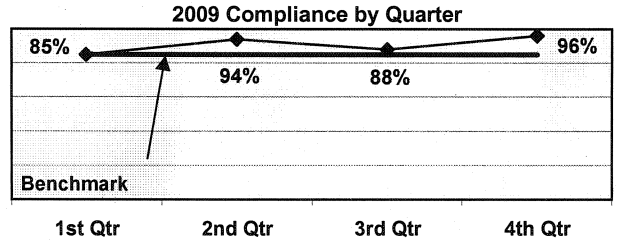


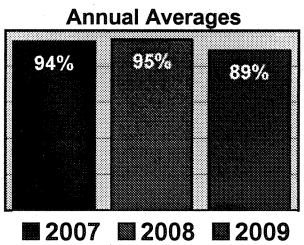
Initial Indemnity Payment Compliance



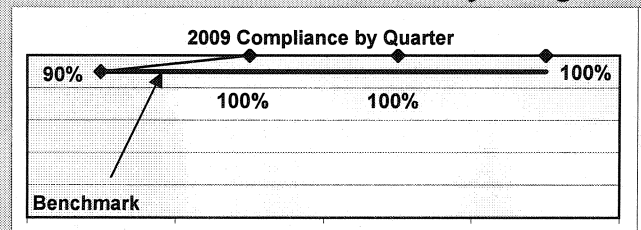


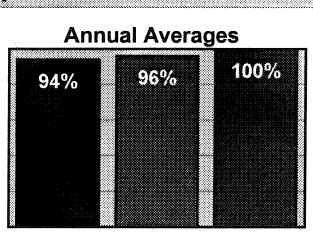
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance



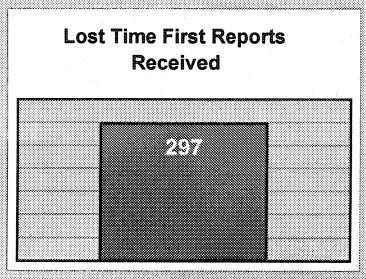


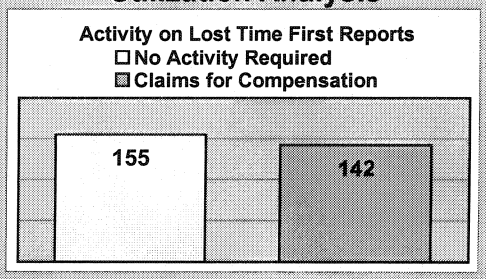
Summary

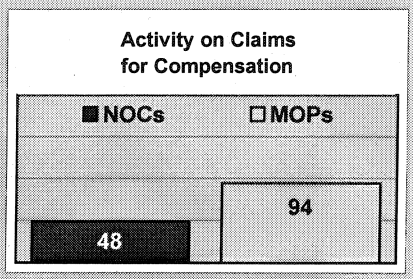
Acadia is an insurer that administered its own claims in 2009 under the following rating companies:

Acadia Insurance Co.
Cadillac Mountain Insurance Co.
Continental Western Ins. Co.
Fireman's Ins. Co. of Wash. DC

Utilization Analysis







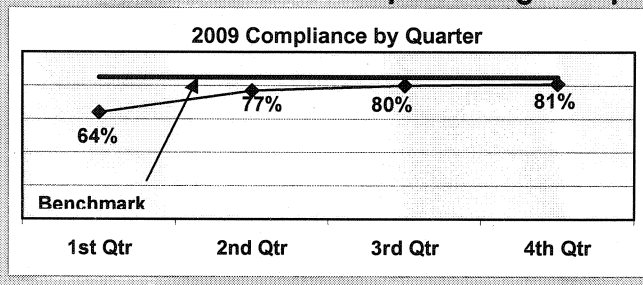
Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)
16%

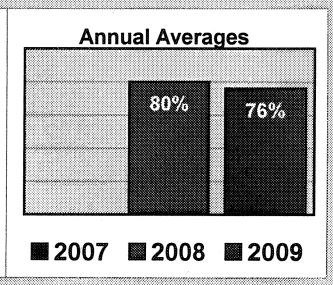
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)
34%

Annual Compliance Report
01/01/2009 - 12/31/2009

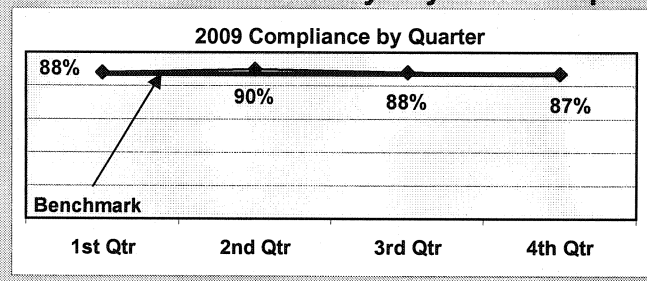
ACE

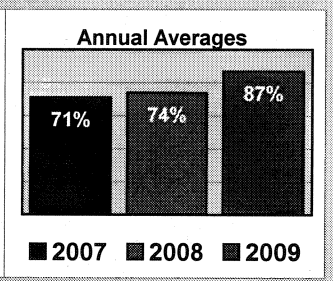
Lost Time First Report Filing Compliance



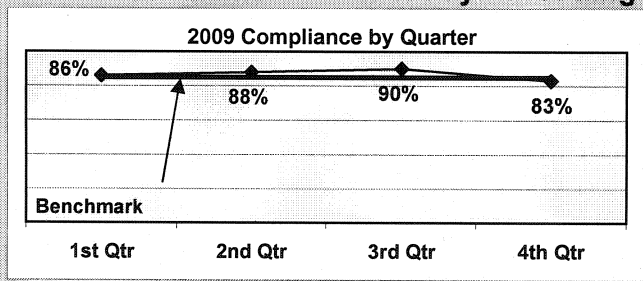


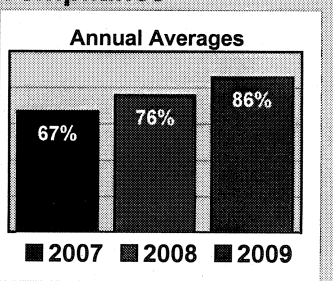
Initial Indemnity Payment Compliance



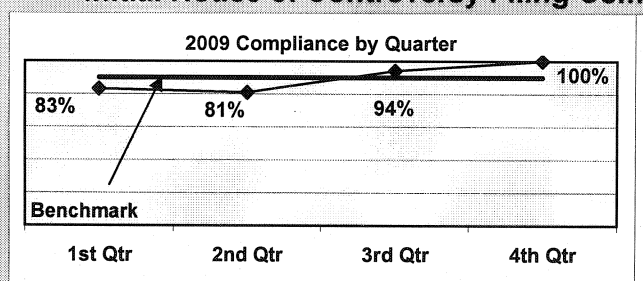


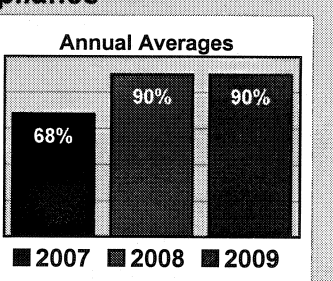
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance





Summary

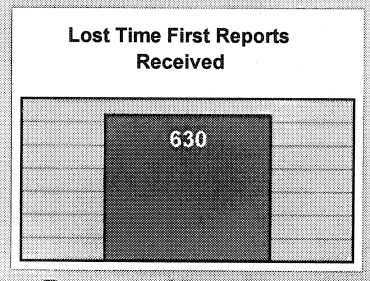
Ace is an insurer that used third parties to administer claims in 2009 under the following rating companies:

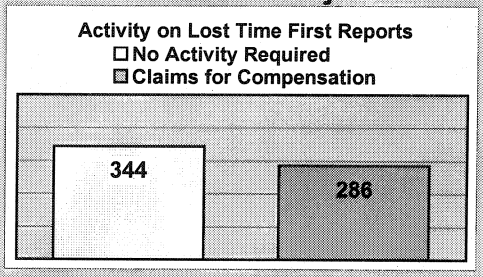
Ace American Insurance Co.
Ace Indemnity Insurance Co.
Ace Fire Underwriters Ins. Co.
Ace Property & Casualty Ins. Co.
Indemnity Ins. Co. of No. America

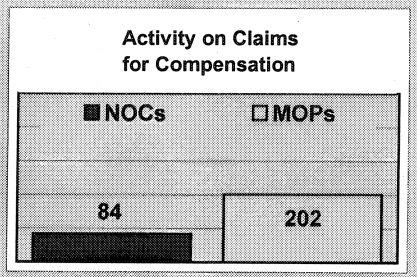
Ace used the following third parties in 2009:

Broadspire Services, Inc.
Cambridge Integrated Services
Cannon Cochran Mgmnt. Svs. Inc.
Constitution State Services Co.
ESIS, Inc.
GAB Robins
Gallagher Bassett Services, Inc.
Helmsman Management Services
MAC Risk Management, Inc.
Risk Enterprises Management
Sedgwick Claims Management
Specialty Risk Services

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

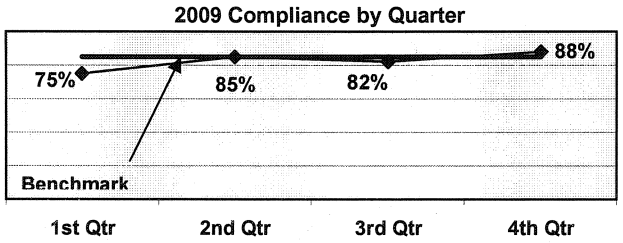
13%

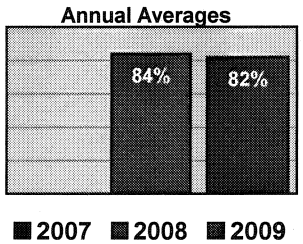
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

29%

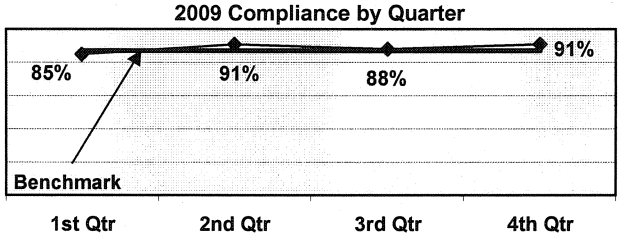
AIG (now CHARTIS)

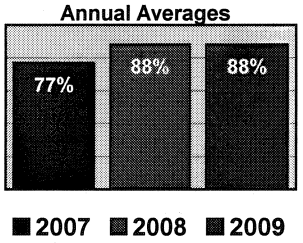
Lost Time First Report Filing Compliance



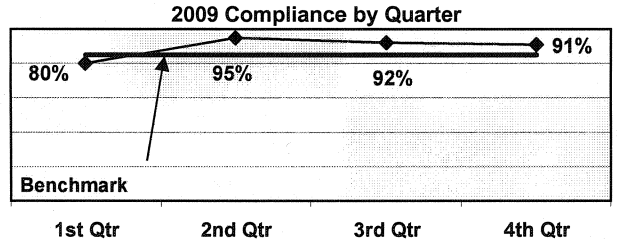


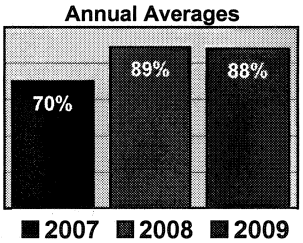
Initial Indemnity Payment Compliance



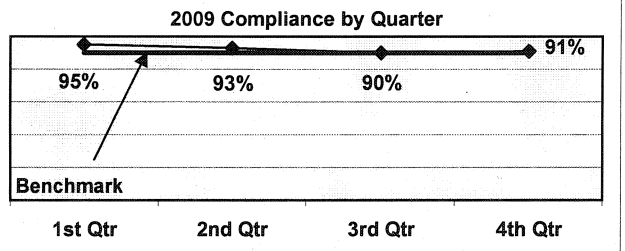


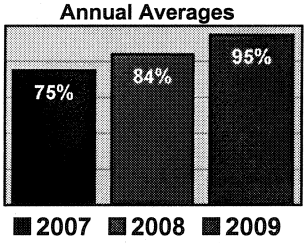
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance





Summary

AIG is an insurer that administered its own claims in 2009 and used third parties to administer claims under the following rating companies:

AIG Casualty Company
AIU Insurance
American Home Assurance Co.
Commerce & Industry Ins. Co.
Illinois National Insurance Co.
Ins. Co. of the State of PA
National Union Fire Ins. Co. of PA
New Hampshire Insurance Co.

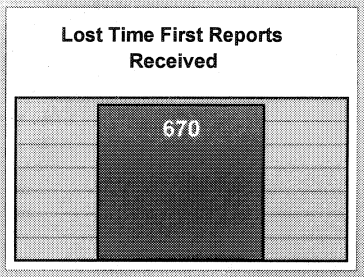
and self-insured employer:

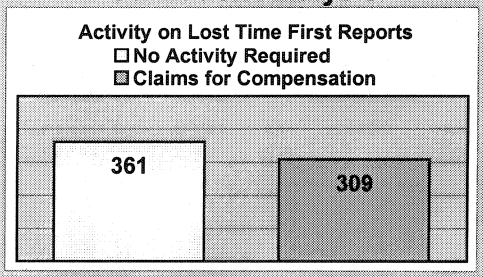
Pratt & Whitney

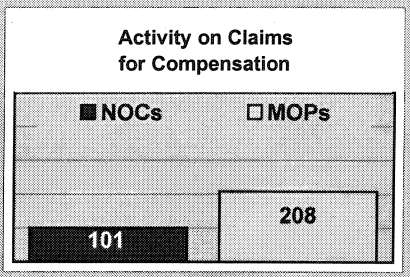
AIG used the following third parties in 2009:

Broadspire Services, Inc.
Claimetrics
Claims Management, Inc.
Constitution State Svs. Co.
ESIS, Inc.
GAB Robins
Gallagher Bassett Services, Inc.
Helmsman Management Services
Sedgwick Claims Management
Specialty Risk Services

Utilization Analysis





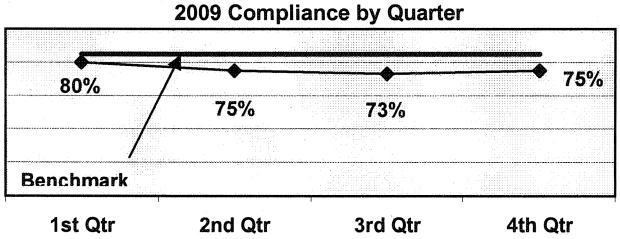


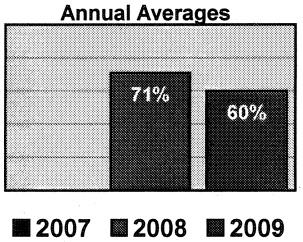
Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)
15%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)
33%

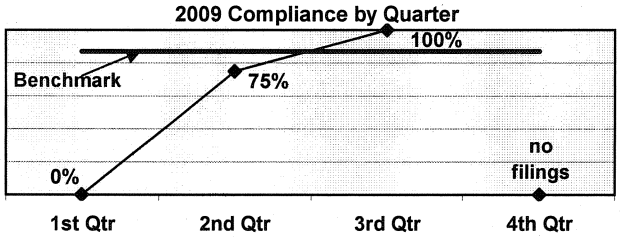
ARCH

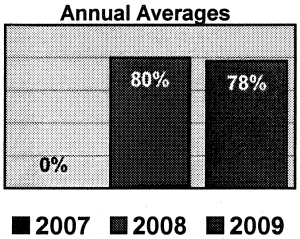
Lost Time First Report Filing Compliance



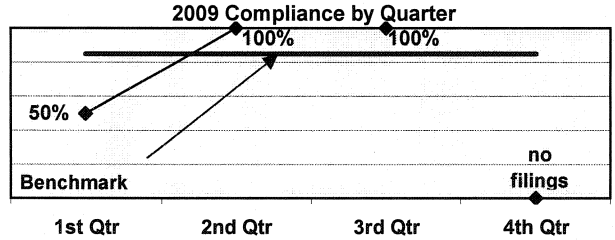


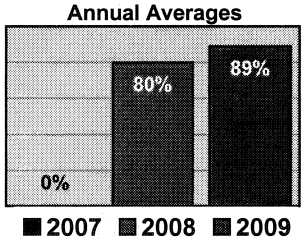
Initial Indemnity Payment Compliance



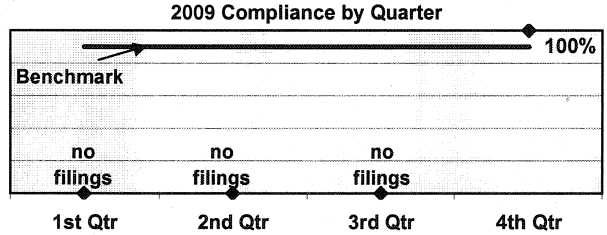


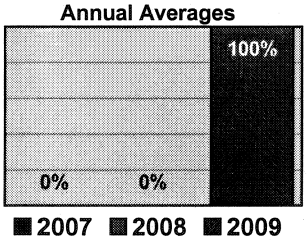
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance





Summary

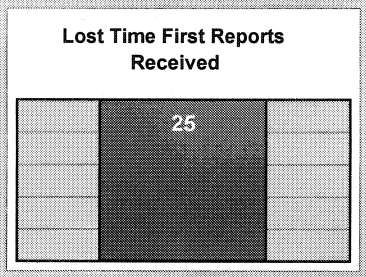
Arch is an insurer that used third parties to administer claims in 2009 under the following rating company:

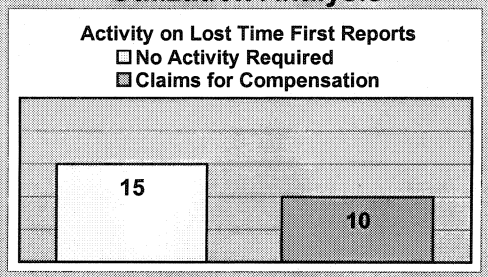
Arch Insurance Company

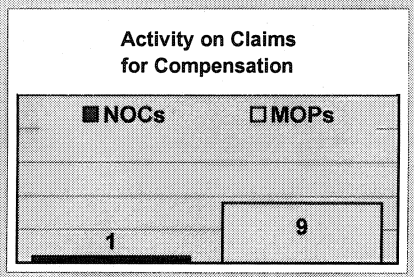
Arch used the following third parties in 2009:

ESIS, Inc.
Gallagher Bassett Services, Inc.
Sedgwick Claims Management
Specialty Risk Services
York Claims Service

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

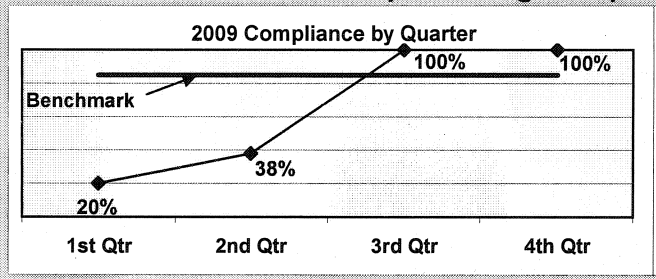
4%

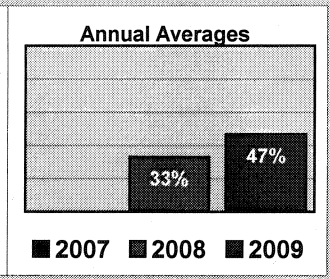
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

10%

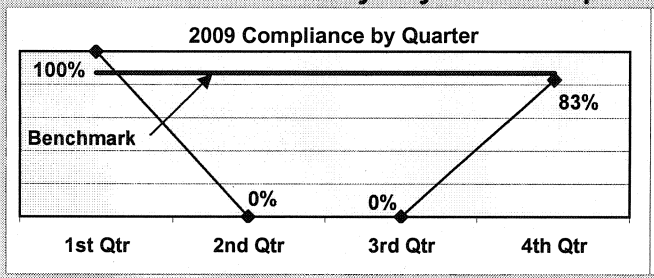
ARGONAUT

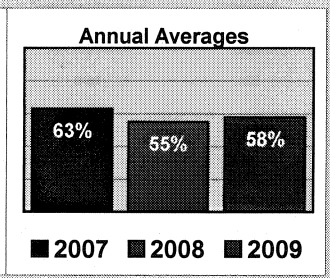
Lost Time First Report Filing Compliance



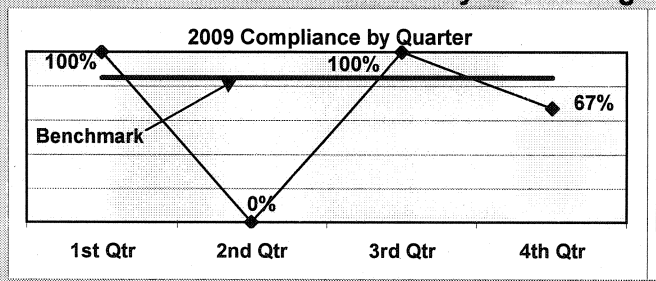


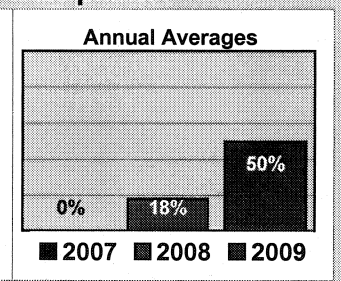
Initial Indemnity Payment Compliance



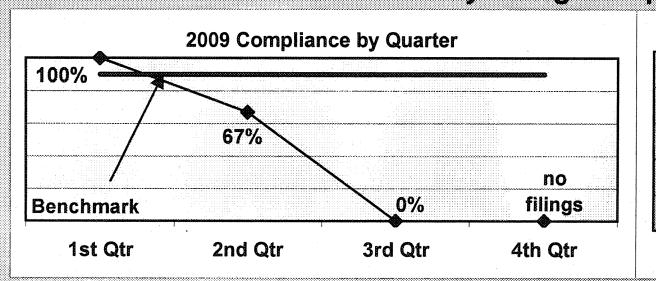


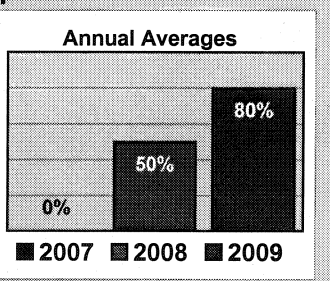
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance





Summary

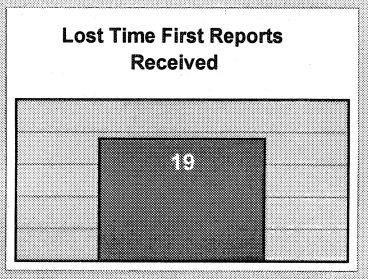
Argonaut is an insurer that administered its own claims in 2009 and used a third party to administer claims under the following rating company:

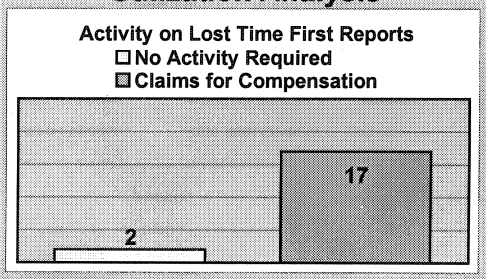
Argonaut Insurance Company

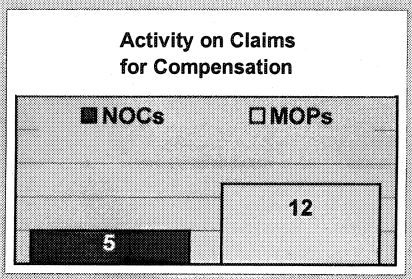
Argonaut used the following third party in 2009:

Trident Insurance Services

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

26%

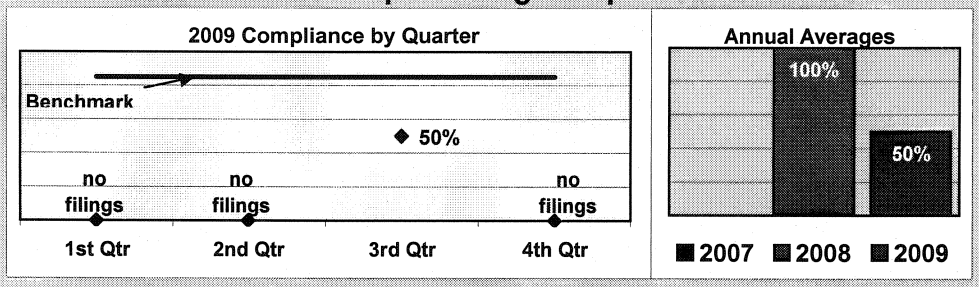
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

29%

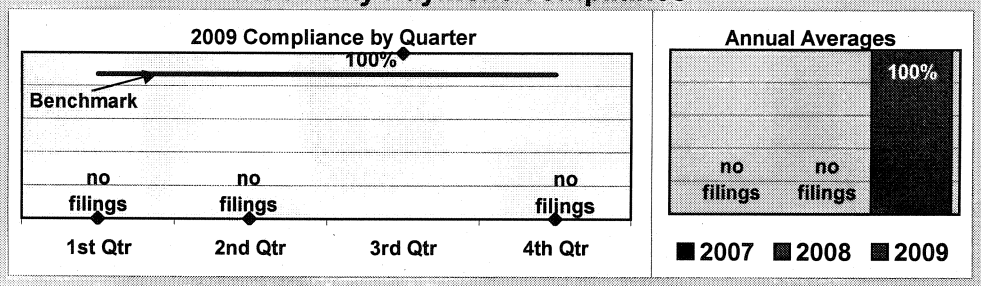
Annual Compliance Report
01/01/2009 - 12/31/2009

ARROW MUTUAL

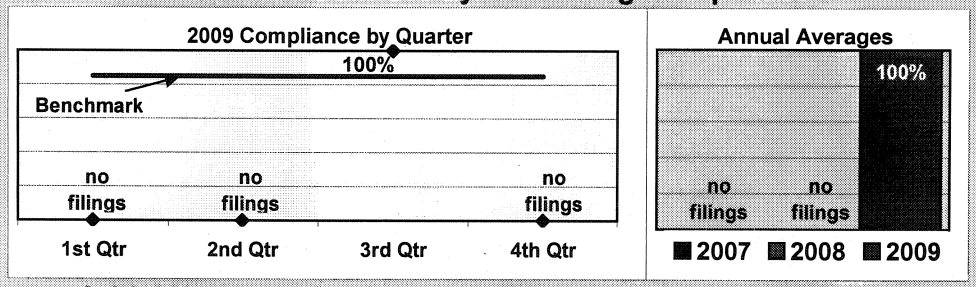
Lost Time First Report Filing Compliance



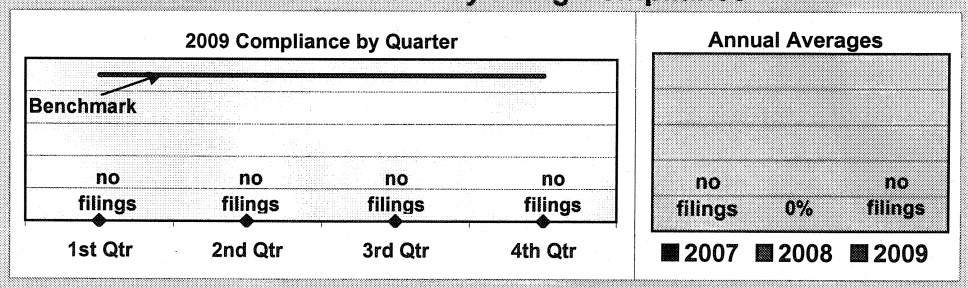
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance

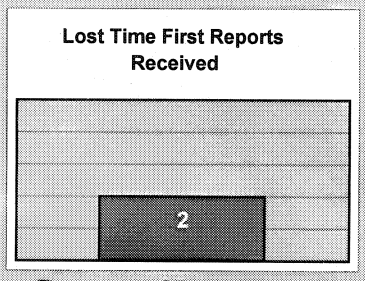


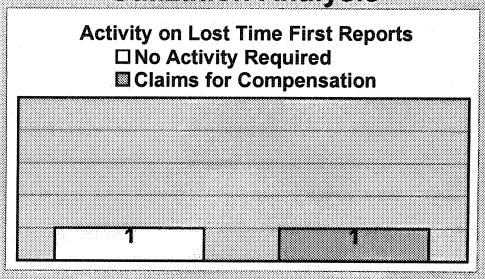
Summary

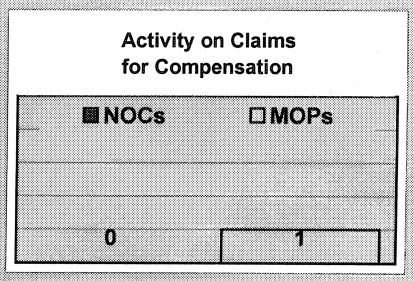
Arrow Mutual is an insurer that administered its own claims in 2009 under the following rating company:

Arrow Mutual Liability Ins. Co.

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

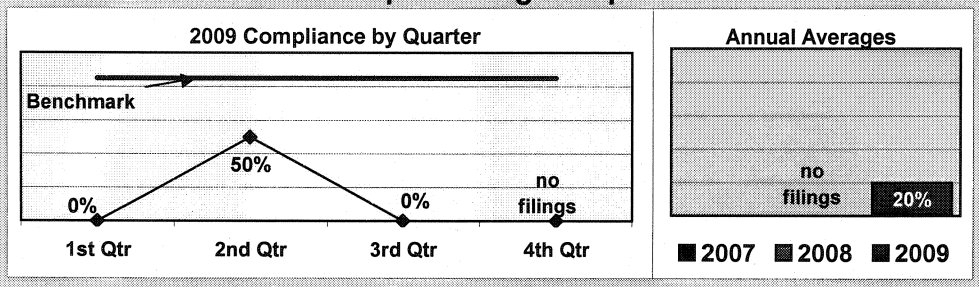
0%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

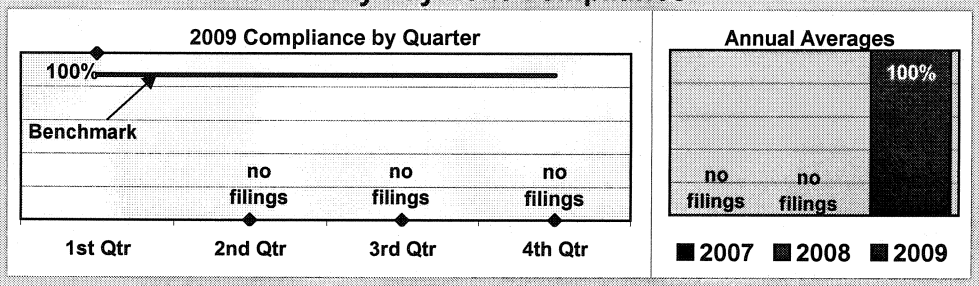
0%

ARROWOOD INDEMNITY (formerly Arrowpoint Capital)

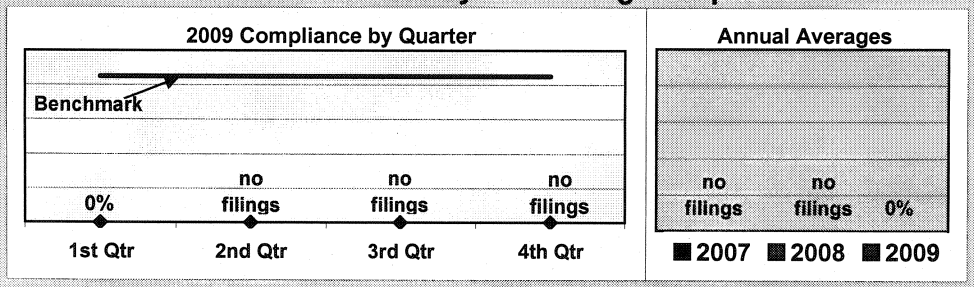
Lost Time First Report Filing Compliance



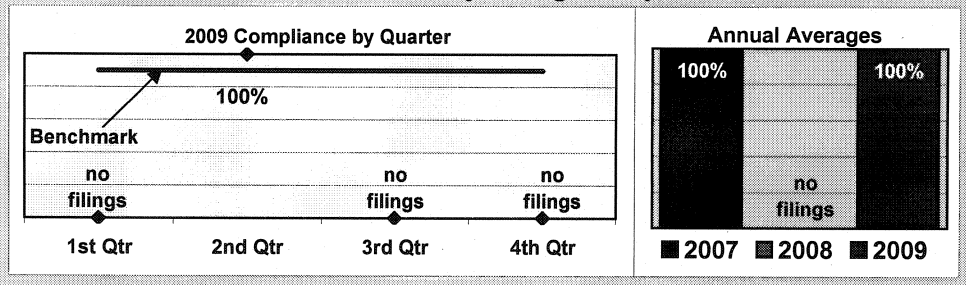
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance

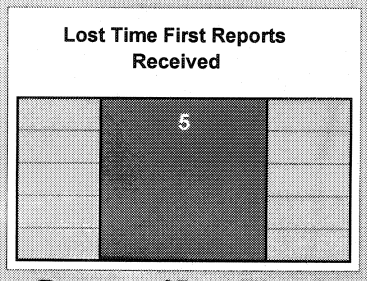


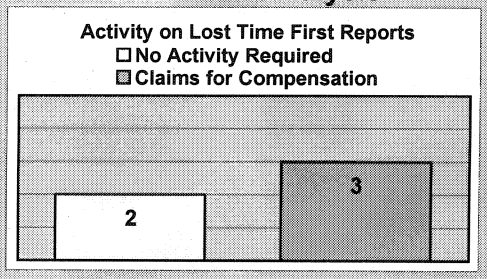
Summary

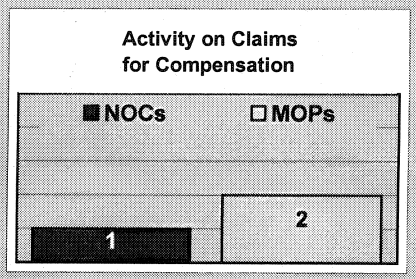
Arrowood Indemnity is an insurer that administered its own claims in 2009 under the following rating companies:

Arrowood Indemnity Company
Arrowpoint Capital Corp.

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

20%

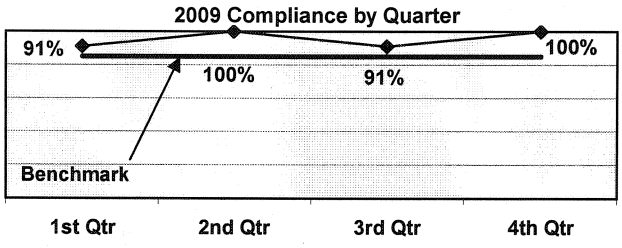
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

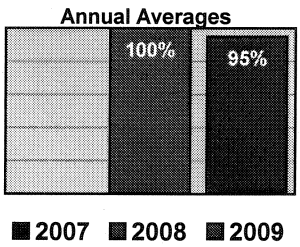
33%

Annual Compliance Report
01/01/2009 - 12/31/2009

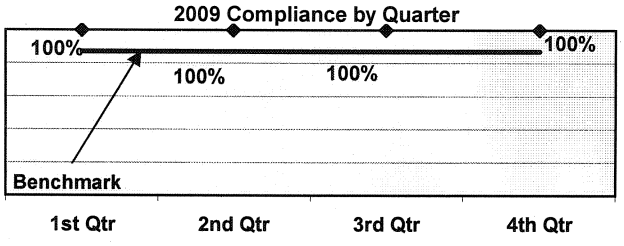
BANGOR, CITY OF

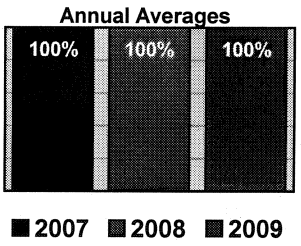
Lost Time First Report Filing Compliance



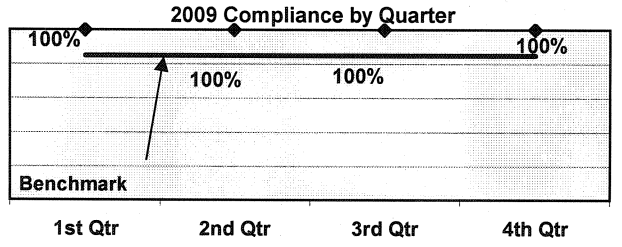


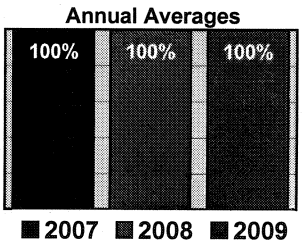
Initial Indemnity Payment Compliance



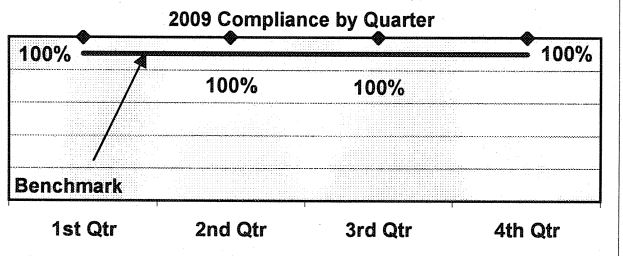


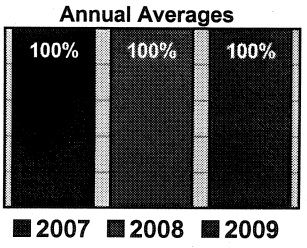
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance





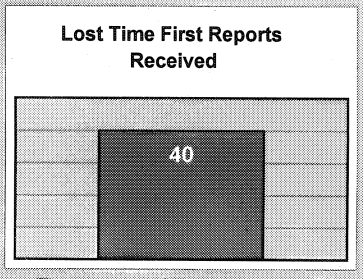
Summary

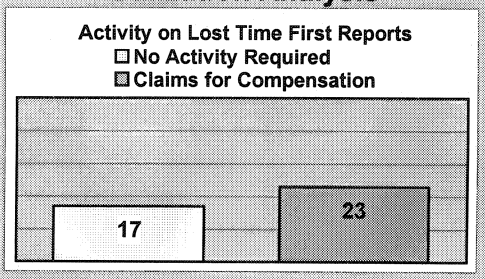
City of Bangor is a self-insured employer that administered its own claims in 2009 under the following name:

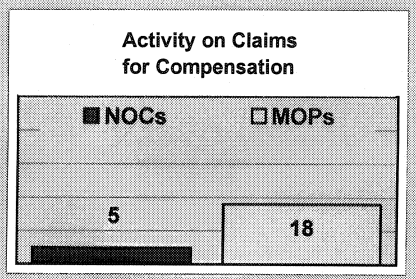
City of Bangor

City of Bangor is a High Compliance Performer for 2009. It has met or exceeded each of the Board's performance benchmarks.

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

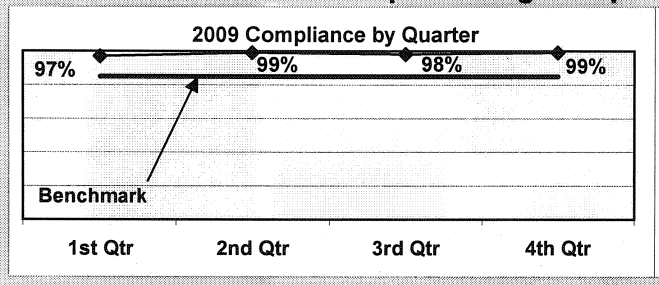
13%

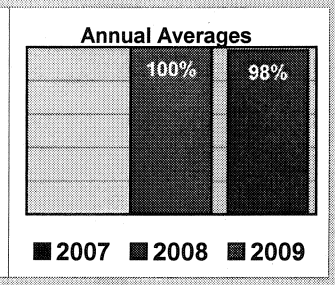
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

22%

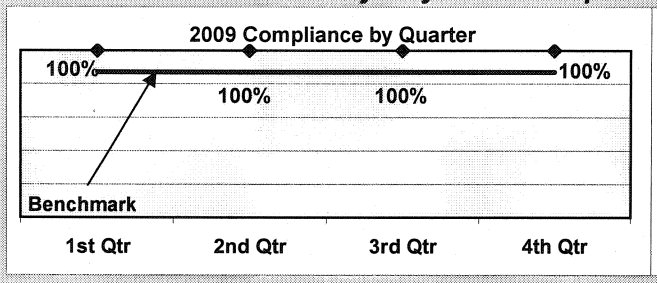
BATH IRON WORKS

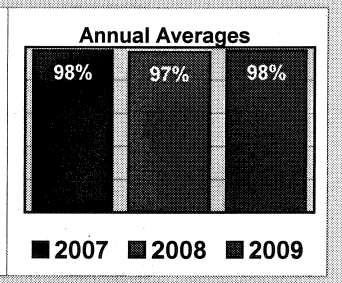
Lost Time First Report Filing Compliance



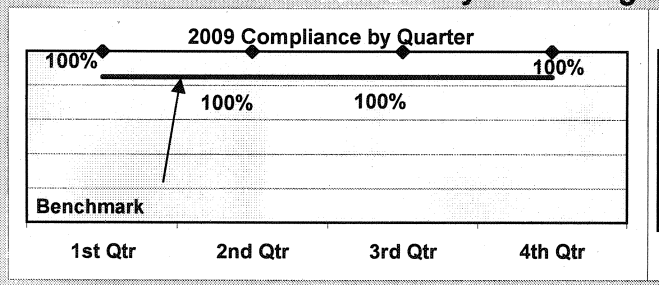


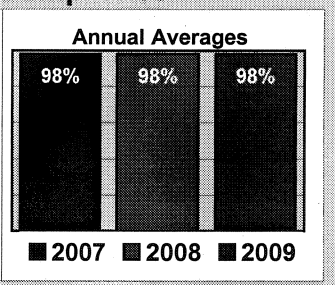
Initial Indemnity Payment Compliance



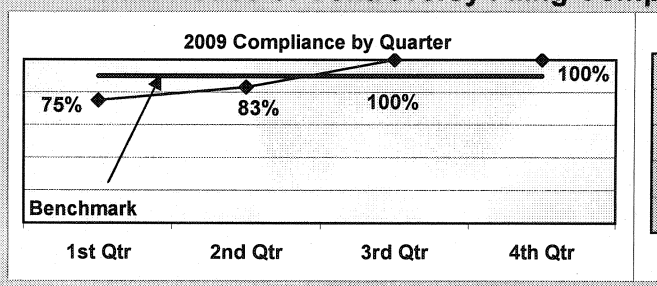


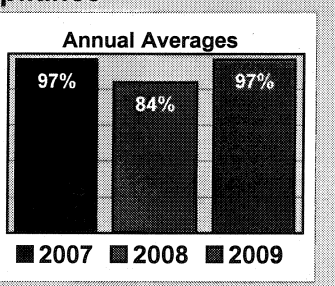
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance





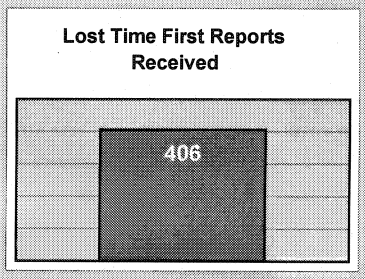
Summary

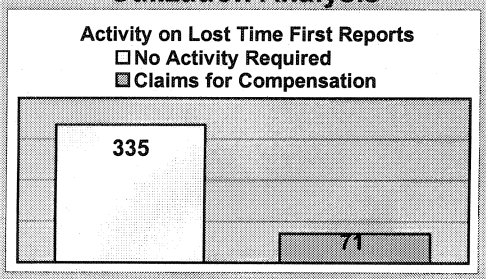
Bath Iron Works is a self-insured employer that administered its own claims in 2009 under the following name:

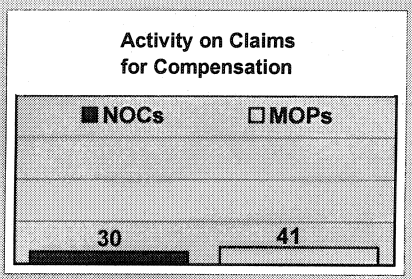
Bath Iron Works

Bath Iron Works is a High Compliance Performer for 2009. It has met or exceeded each of the Board's performance benchmarks.

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

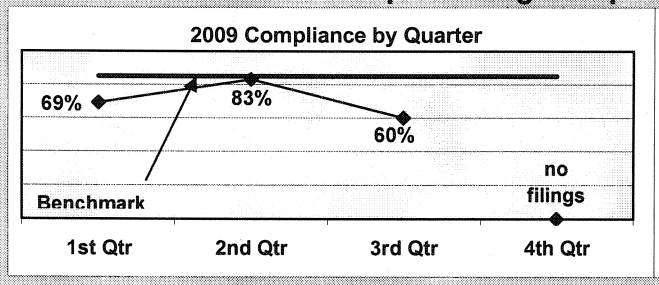
7%

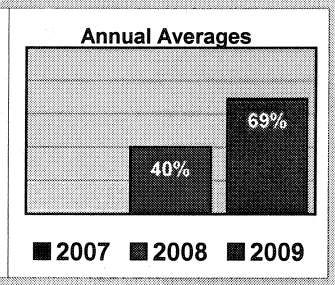
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

42%

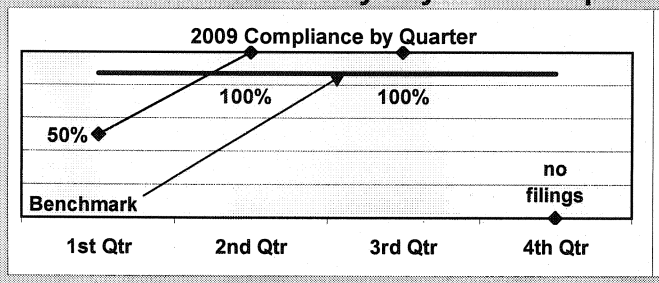
BERKLEY ADMINISTRATORS OF CONNECTICUT

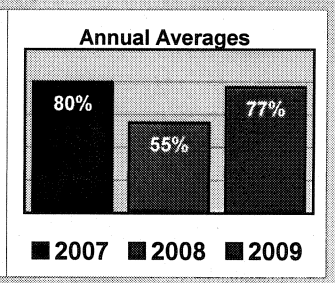
Lost Time First Report Filing Compliance



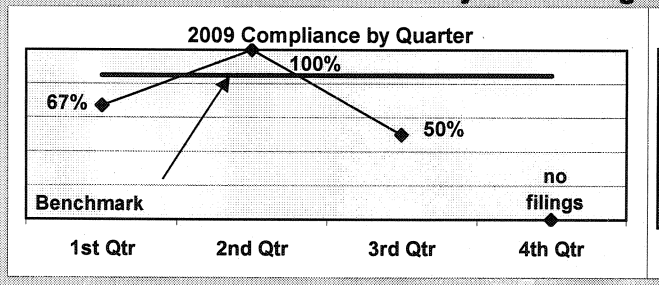


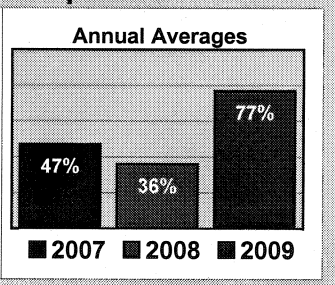
Initial Indemnity Payment Compliance



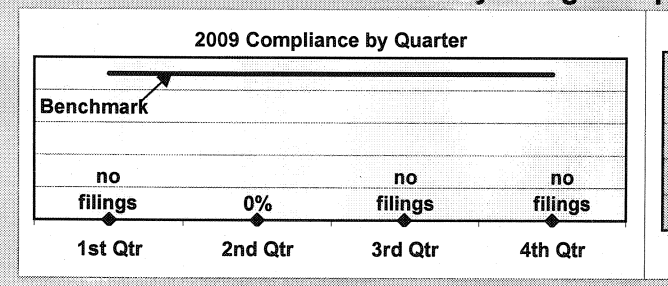


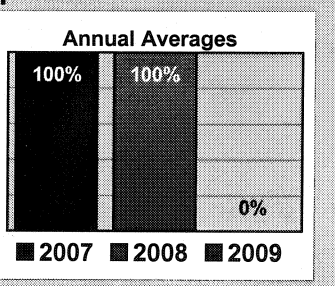
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance



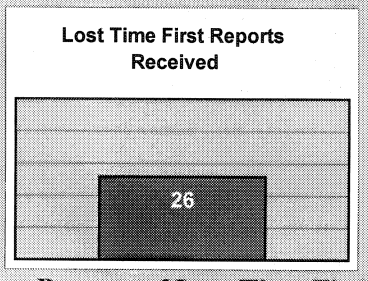


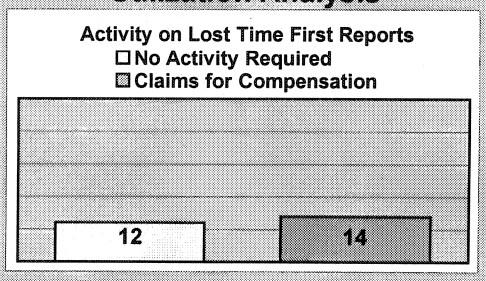
Summary

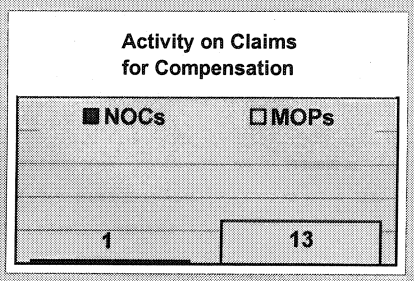
Berkley Administrators of Connecticut is a third party administrator that administered claims in 2009 for the following insurer:

Old Republic Insurance Company

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

4%

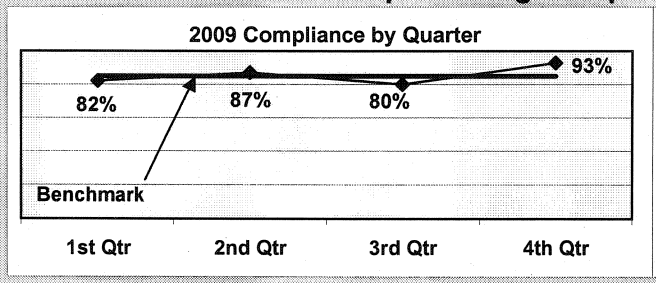
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

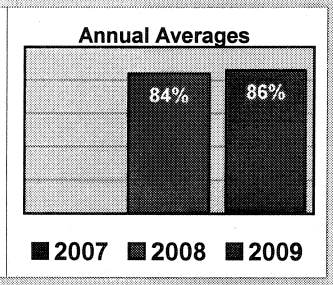
7%

Annual Compliance Report
01/01/2009 - 12/31/2009

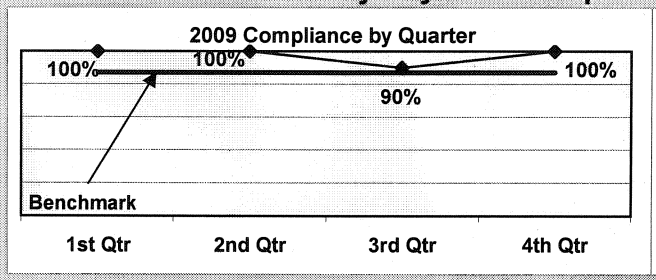
BROADSPIRE SERVICES, INC.

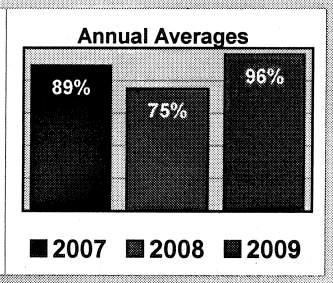
Lost Time First Report Filing Compliance



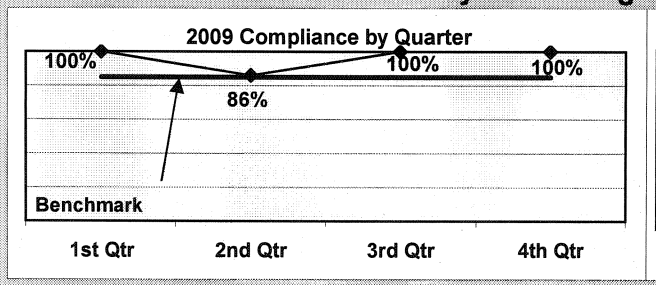


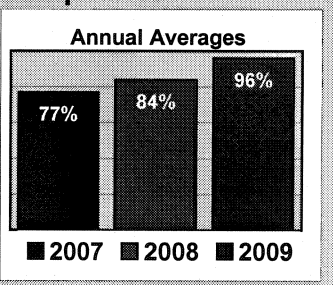
Initial Indemnity Payment Compliance



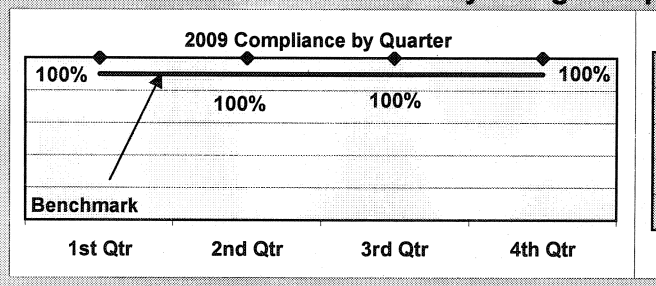


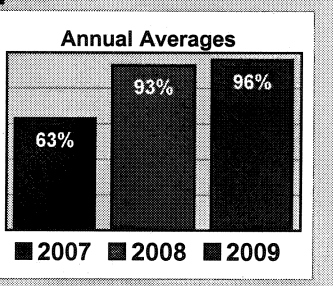
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance





Summary

Broadspire Services, Inc. is a third party administrator that administered claims in 2009 for the following insurers:

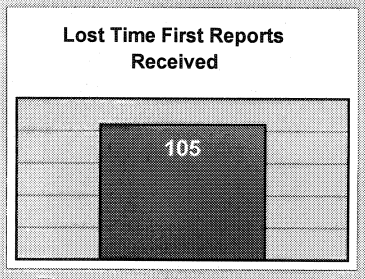
Accident Fund Insurance Co.
Ace American Insurance Co.
American Home Assurance Co.
American Protection Ins. Co.
American Zurich
Great American Alliance Ins.
Hartford Ins. Co. of the Midwest
Indemnity Ins. Co. of No. America
Ins. Co. of the State of PA
New Hampshire Insurance Co.
Old Republic Insurance Company
United States Fidelity & Guaranty

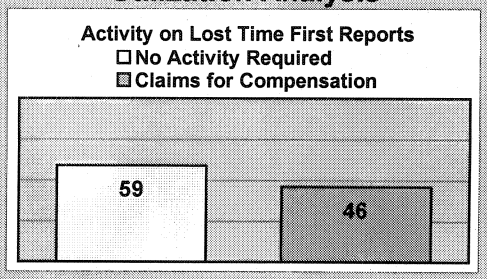
and self-insured employer:

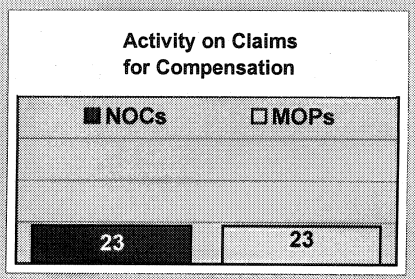
Federal Express Corporation

Broadspire is a High Compliance Performer for 2009. It has met or exceeded each of the Board's performance benchmarks.

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

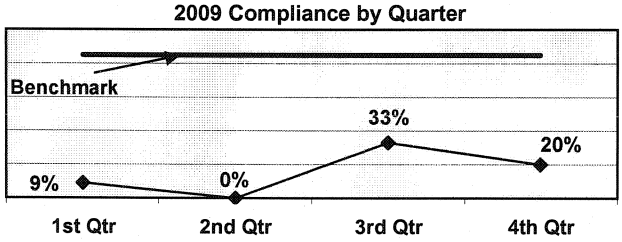
22%

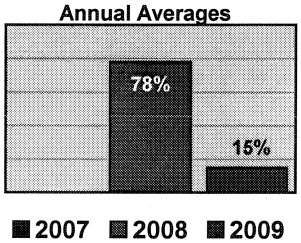
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

50%

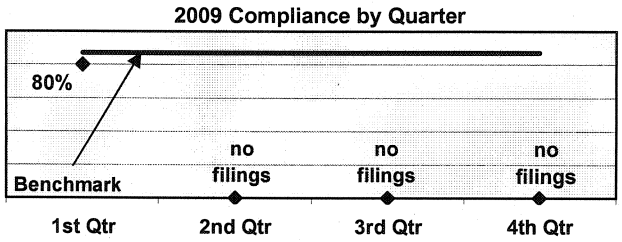
CAMBRIDGE INTEGRATED SERVICES

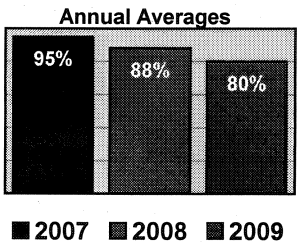
Lost Time First Report Filing Compliance



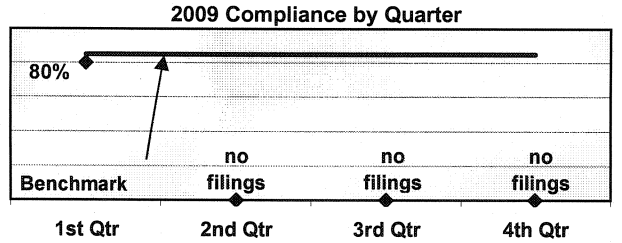


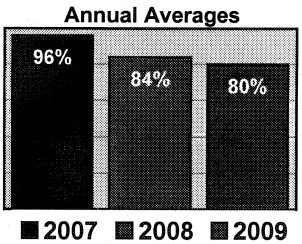
Initial Indemnity Payment Compliance



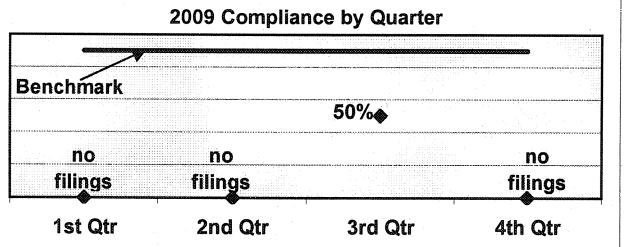


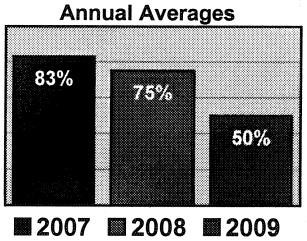
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance





Summary

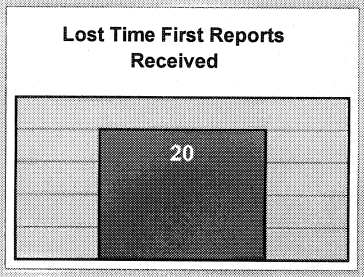
Cambridge Integrated Services is a third party administrator that administered claims in 2009 for the following insurers:

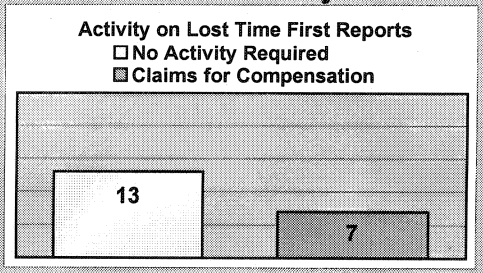
Ace American Insurance Co.
Fidelity & Guaranty Ins. Co.
Lumbermen's Underwriting All.
Old Republic Insurance Company
Safety National Casualty Corp.

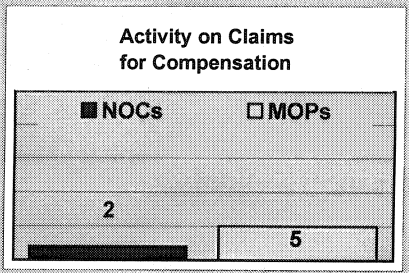
and self-insured employers:

Cooper Wiring Devices, Inc.
Keybank National Association
Tambrands, Inc.

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

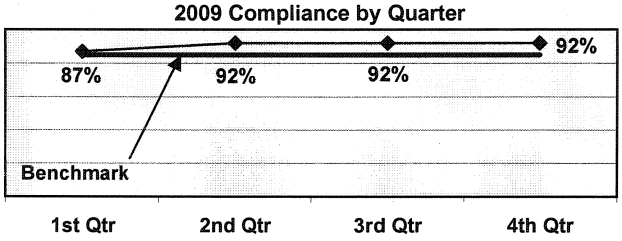
10%

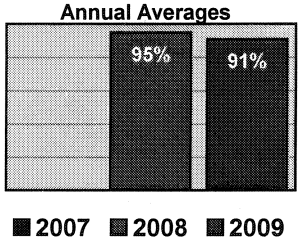
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

29%

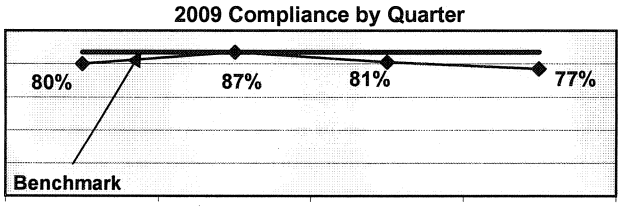
CANNON COCHRAN MANAGEMENT SERVICES, INC.

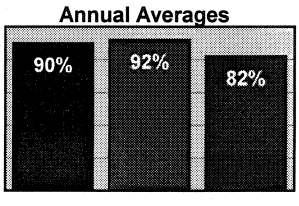
Lost Time First Report Filing Compliance



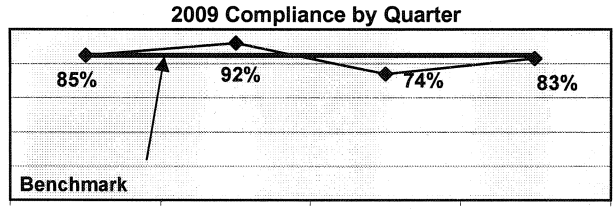


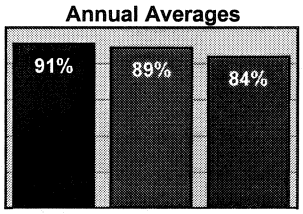
Initial Indemnity Payment Compliance



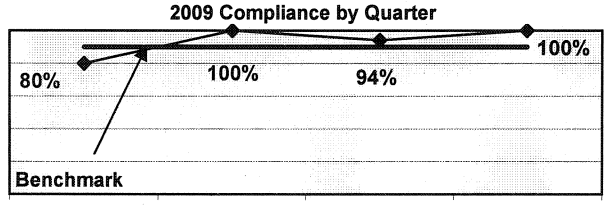


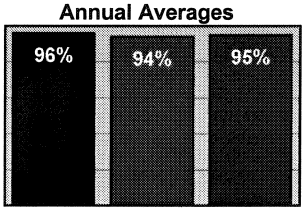
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance





Summary

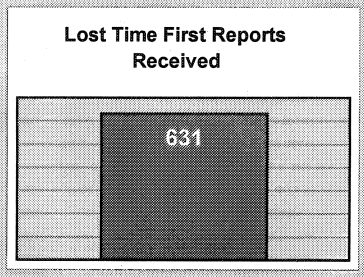
Cannon Cochran Management Services, Inc. is a third party administrator that administered claims in 2009 for the following insurers:

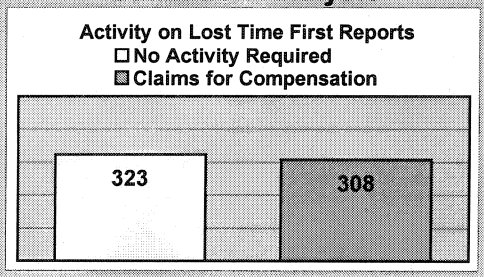
Ace American Insurance Co.
American Zurich
Hartford Ins. Co. of the Midwest

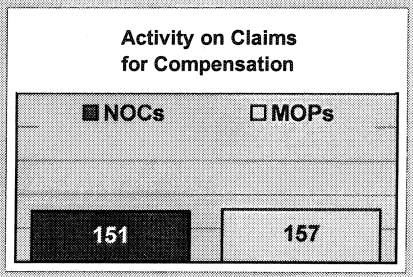
and self-insured employers:

City of Lewiston
Greater Portland V
LePage Bakeries
Lewiston School Department
Louisiana Pacific Corp.
Maine McDonalds Operators
Maine Turnpike Authority
Mainegeneral Health
Parker Hannifin Corp.
S. D. Warren
University of Maine System

Utilization Analysis





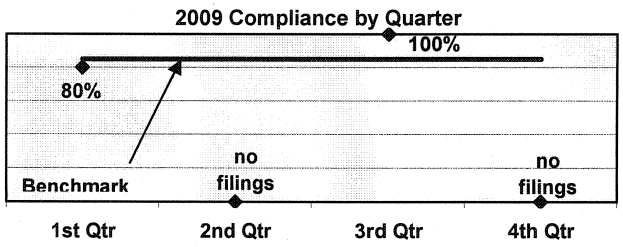


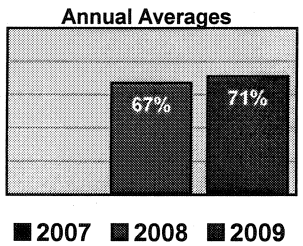
Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)
24%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)
49%

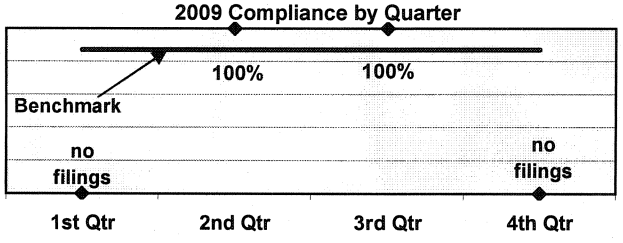
CHESTERFIELD SERVICES, INC.

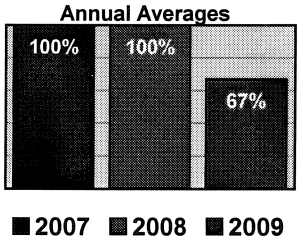
Lost Time First Report Filing Compliance



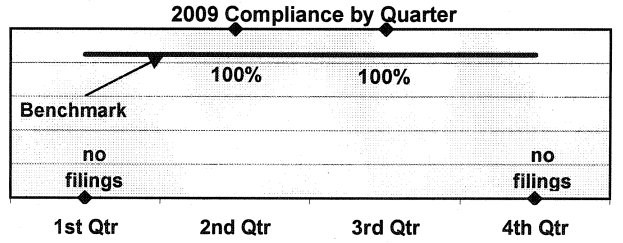


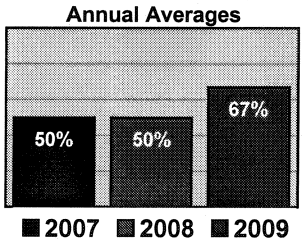
Initial Indemnity Payment Compliance



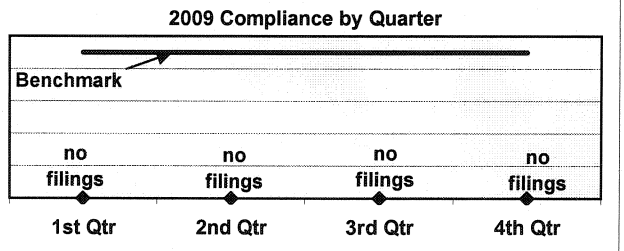


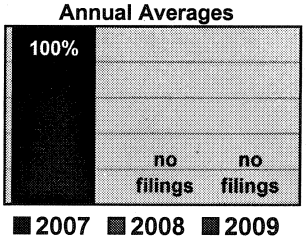
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance



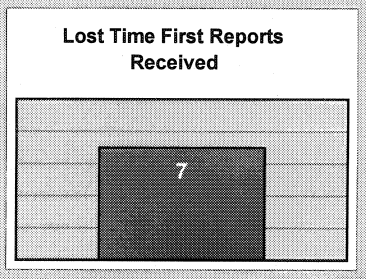


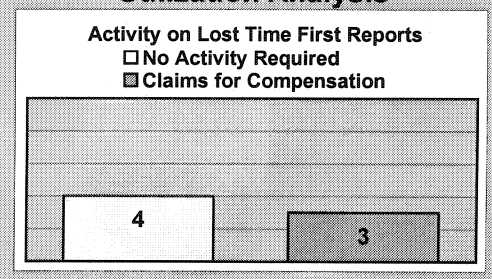
Summary

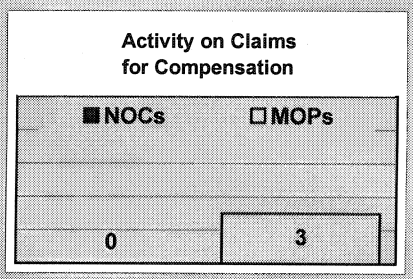
Chesterfield Services, Inc. is a third party administrator that administered claims in 2009 for the following insurer:

American Zurich

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

0%

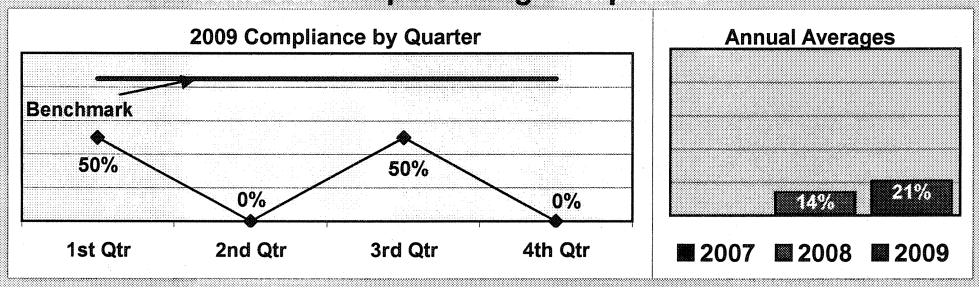
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

0%

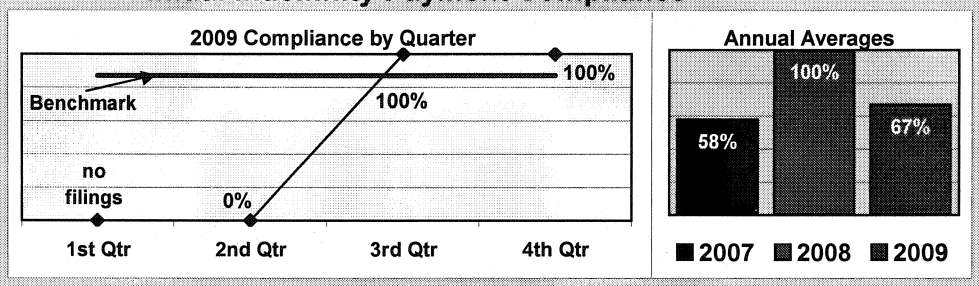
Annual Compliance Report
01/01/2009 - 12/31/2009

CHUBB

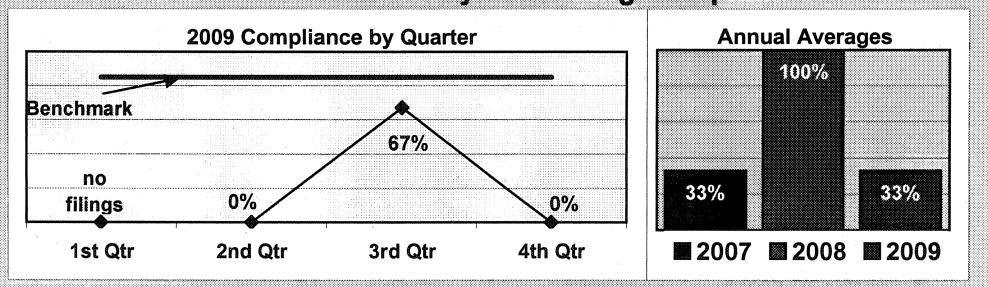
Lost Time First Report Filing Compliance



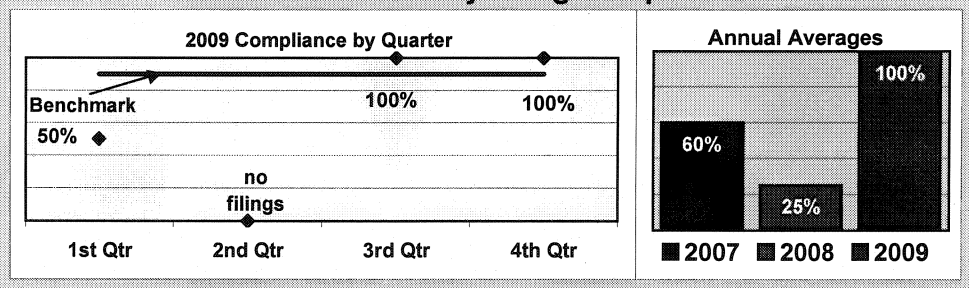
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance

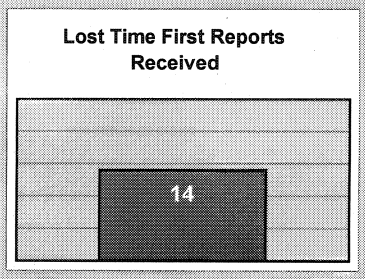


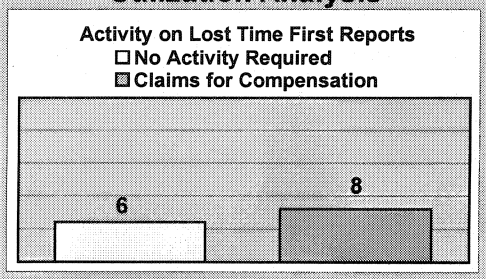
Summary

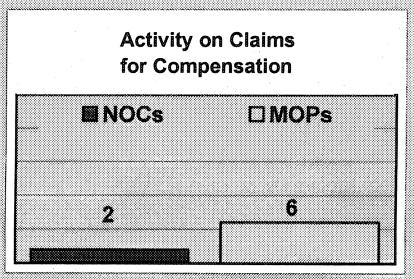
Chubb is an insurer that administered its own claims in 2009 under the following rating companies:

Chubb Indemnity Insurance Co.
Federal Insurance Company
Pacific Indemnity Insurance Co.
Vigilant Insurance Co.

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

14%

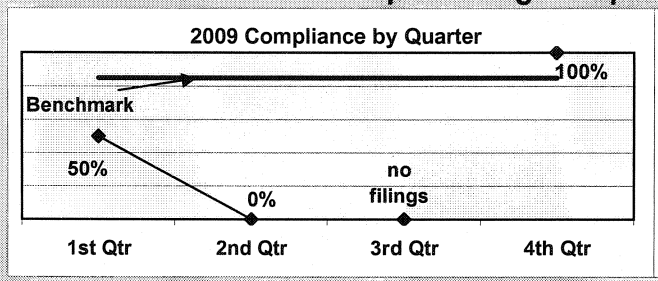
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

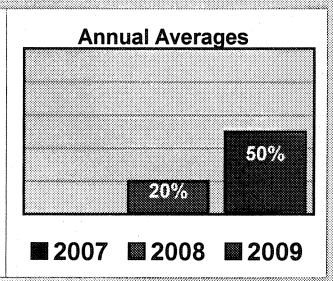
25%

Annual Compliance Report
01/01/2009 - 12/31/2009

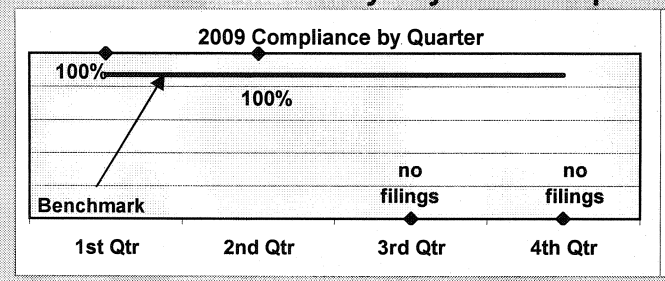
CHURCH MUTUAL

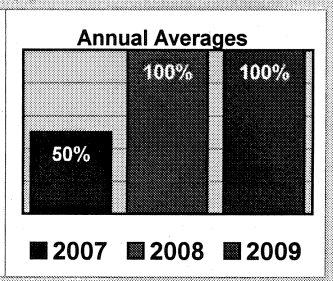
Lost Time First Report Filing Compliance



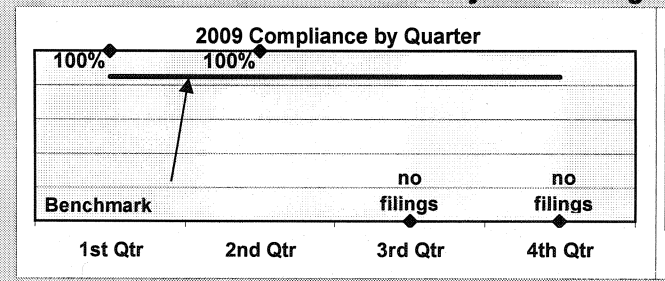


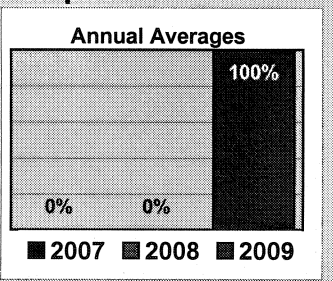
Initial Indemnity Payment Compliance



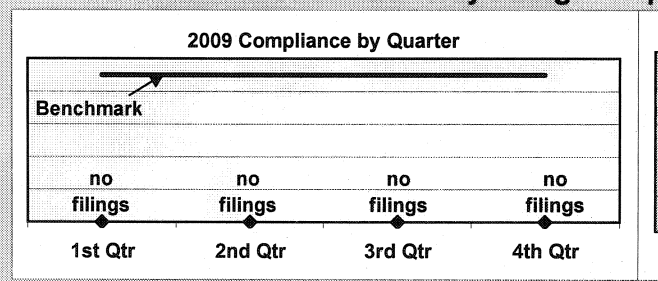


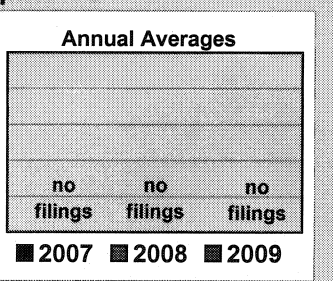
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance



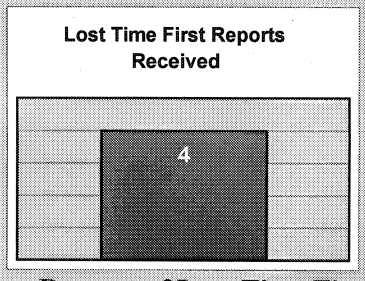


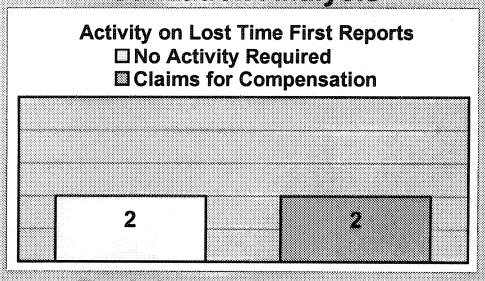
Summary

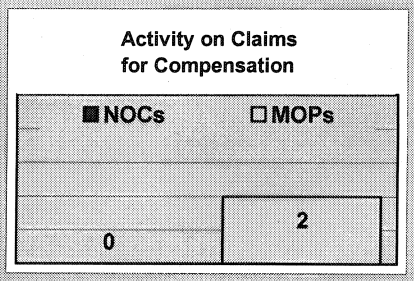
Church Mutual is an insurer that administered its own claims in 2009 under the following rating company:

Church Mutual Insurance Co.

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

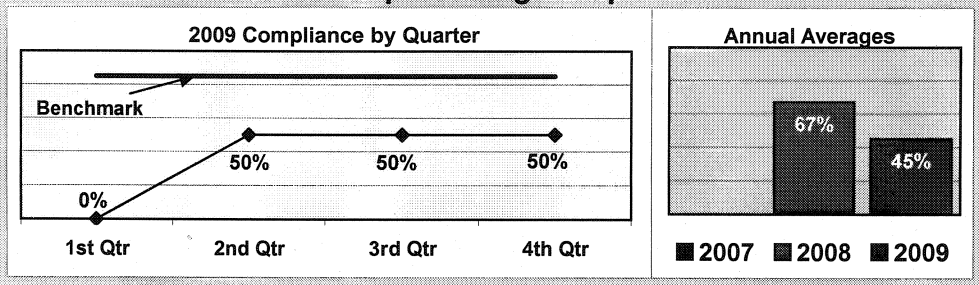
0%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

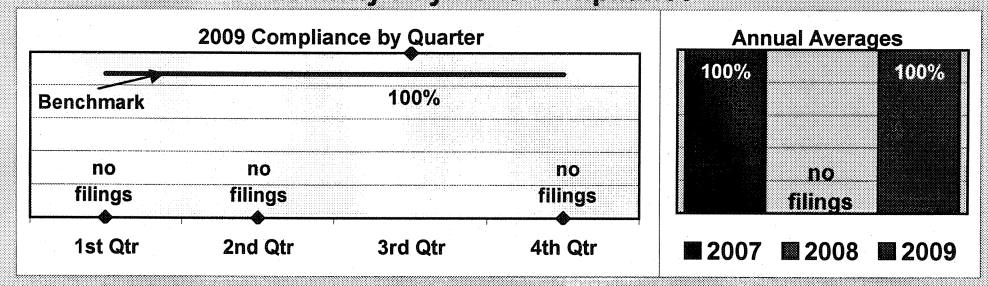
0%

CIANBRO CORPORATION

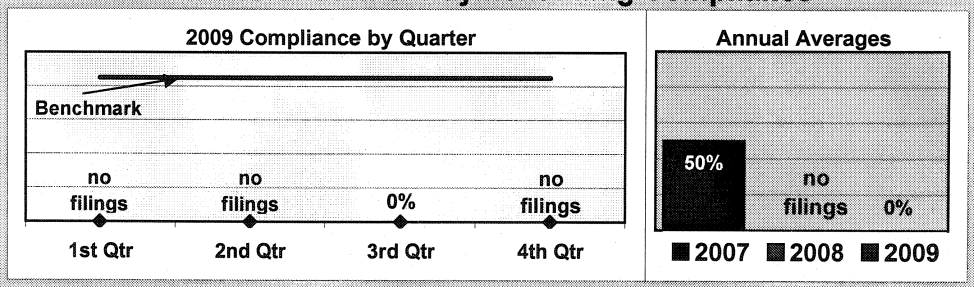
Lost Time First Report Filing Compliance



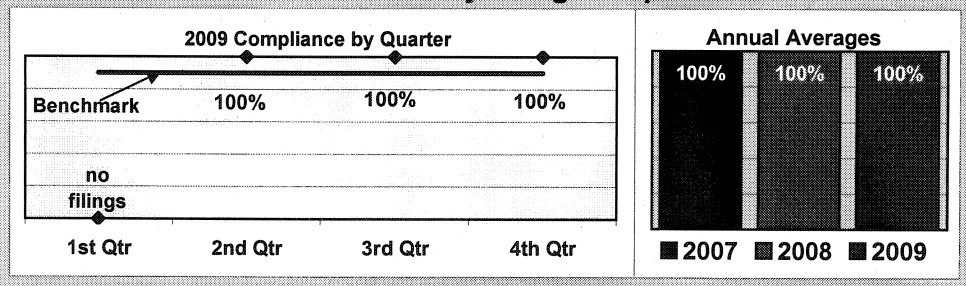
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance

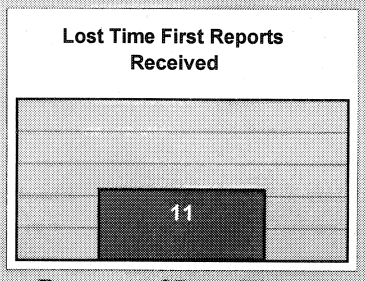


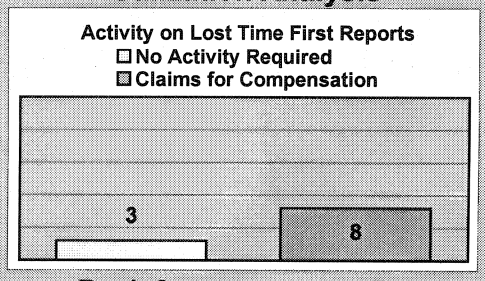
Summary

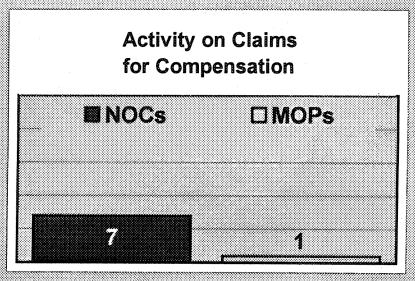
Cianbro Corporation is a self-insured employer that administered its own claims in 2009 under the following name:

Cianbro Corporation

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

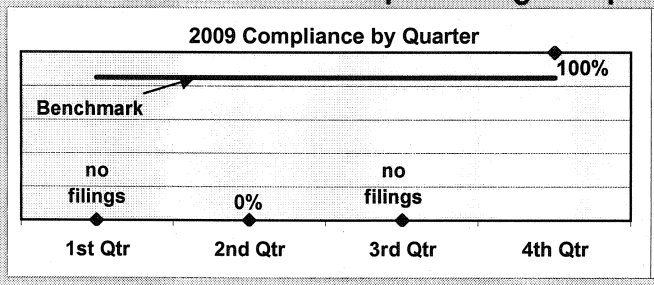
64%

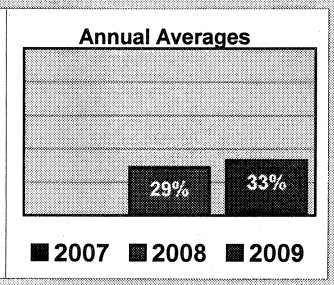
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

88%

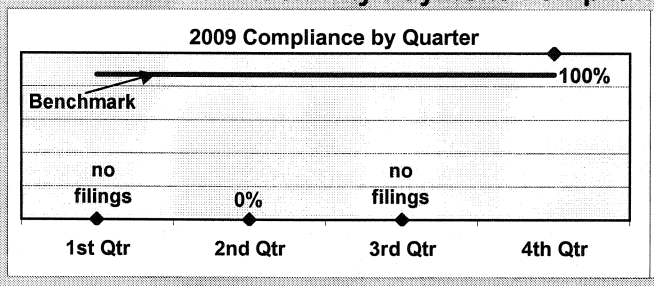
CLAIMETRICS

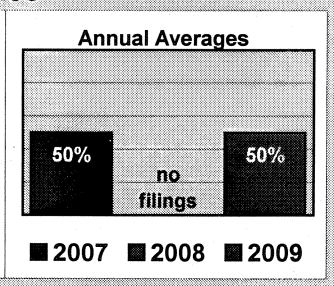
Lost Time First Report Filing Compliance



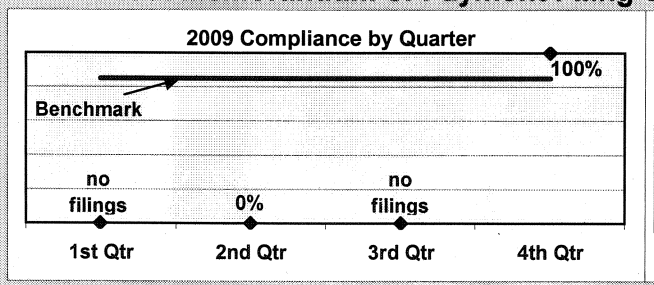


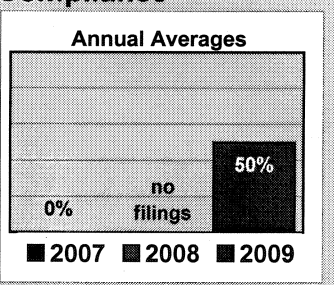
Initial Indemnity Payment Compliance



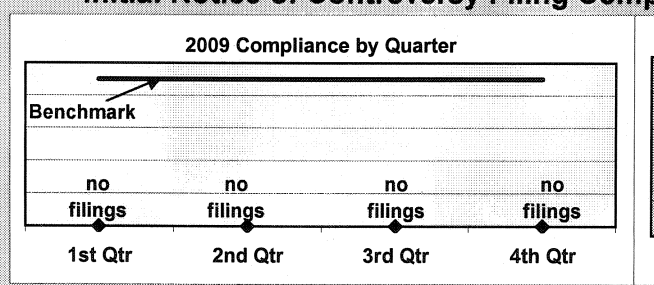


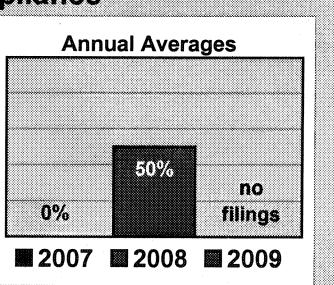
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance



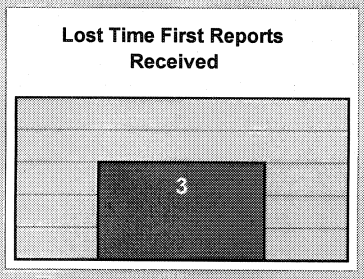


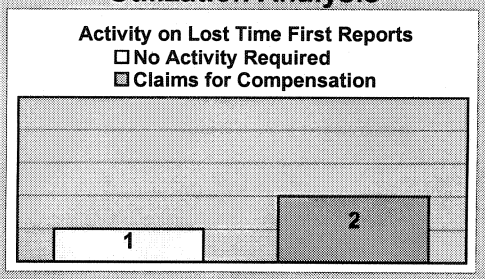
Summary

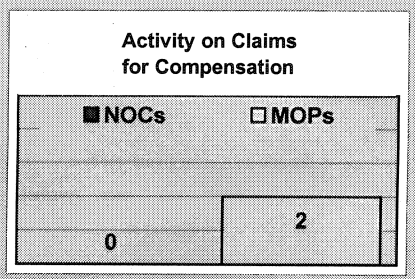
Claimetrics is a third party administrator that administered claims in 2009 for the following insurer:

Ins. Co. of the State of PA

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

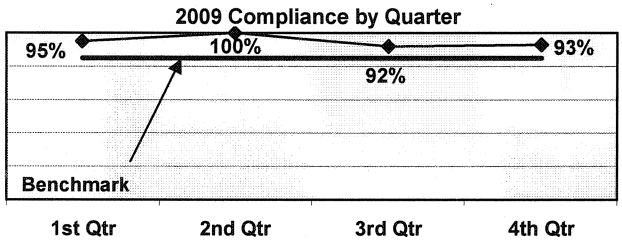
0%

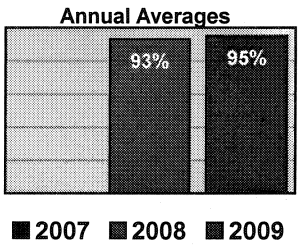
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

0%

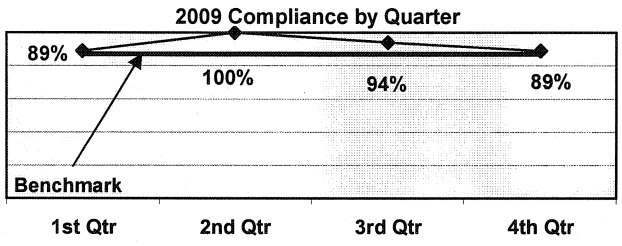
CLAIMS MANAGEMENT, INC. (WAL-MART)

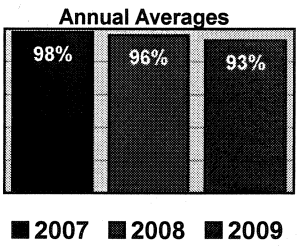
Lost Time First Report Filing Compliance



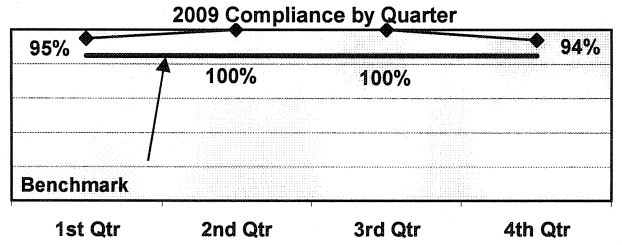


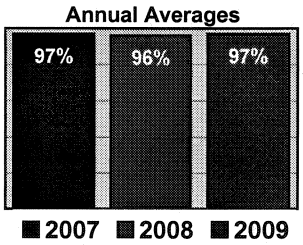
Initial Indemnity Payment Compliance



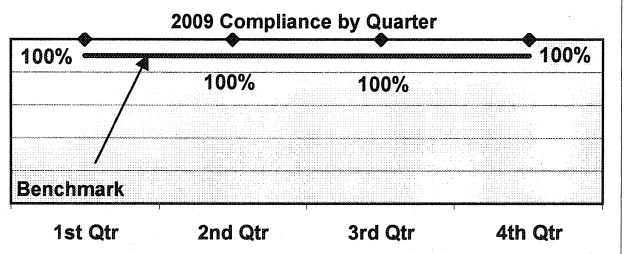


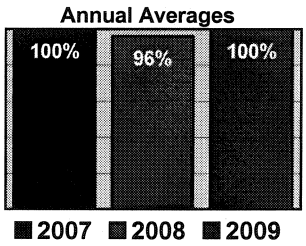
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance





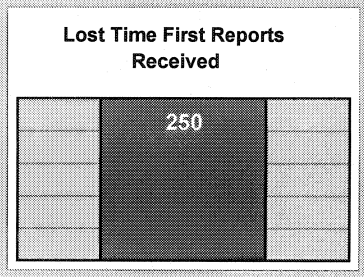
Summary

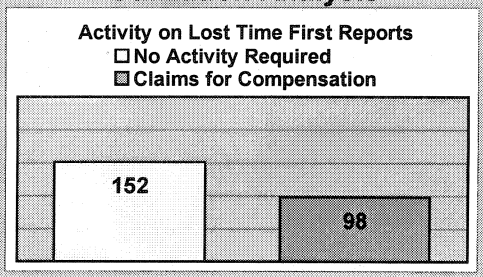
Claims Management, Inc. (Wal-Mart) is a third party administrator that administered claims in 2009 for the following insurers:

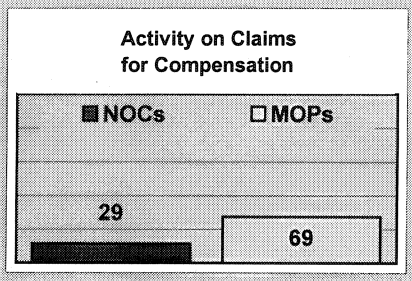
American Home Assurance Co.
Illinois National Insurance Co.
Ins. Co. of the State of PA

Claims Management, Inc. is a High Compliance Performer for 2009. It has met or exceeded each of the Board's performance benchmarks.

Utilization Analysis





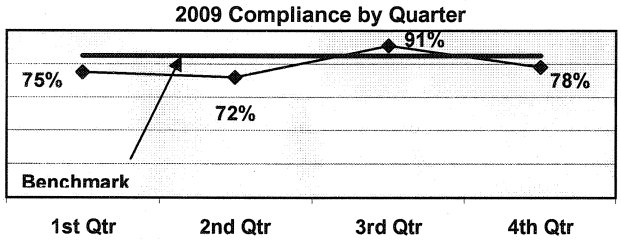


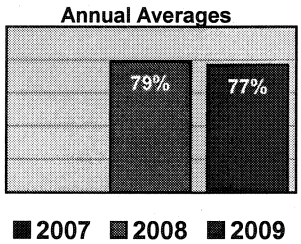
Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)
12%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)
30%

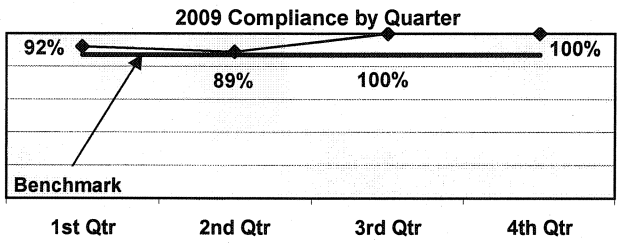
CNA

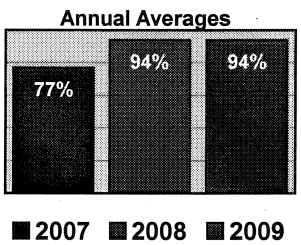
Lost Time First Report Filing Compliance



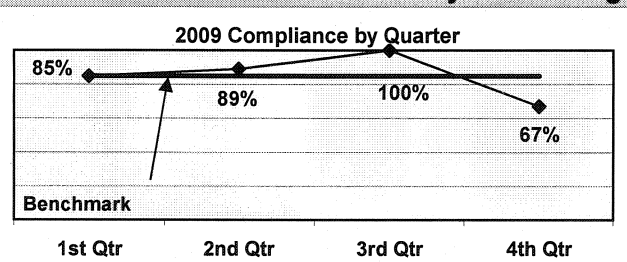


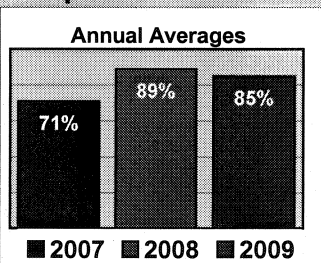
Initial Indemnity Payment Compliance



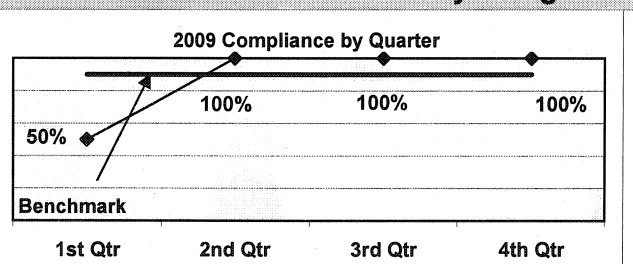


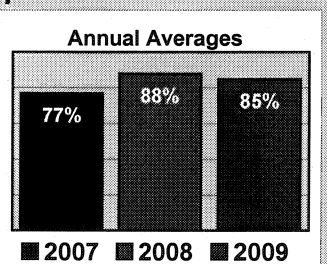
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance





Summary

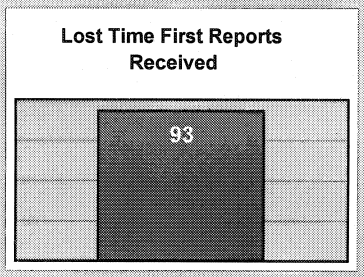
CNA is an insurer that administered its own claims in 2009 and used third parties to administer claims under the following rating companies:

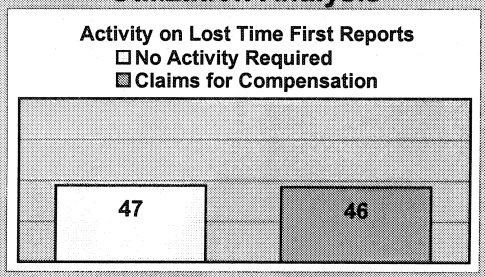
Amer. Casualty Co. of Reading
Continental Casualty Company
National Fire Ins. Co. of Hartford
Transcontinental Insurance Co.
Transportation Insurance Co.
Valley Forge Insurance Co.

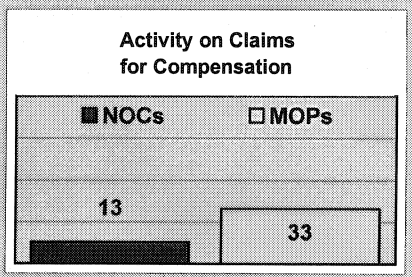
CNA used the following third parties in 2009:

GAB Robins
Gallagher Bassett Services, Inc.
Specialty Risk Services

Utilization Analysis





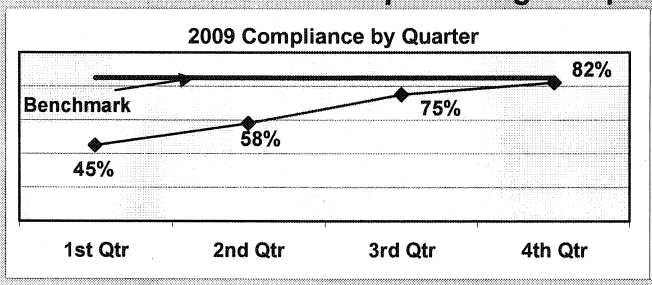


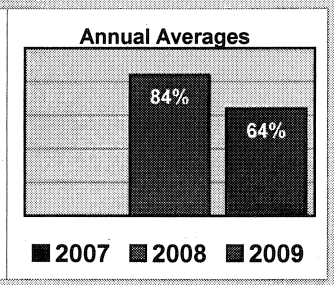
Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)
14%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)
28%

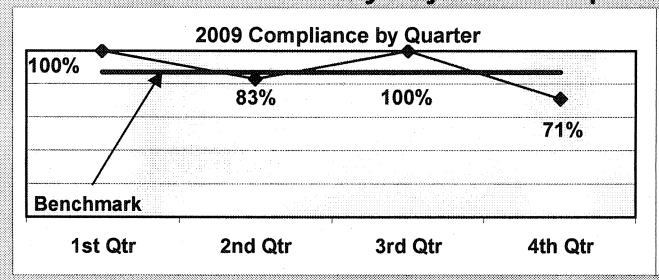
CONSTITUTION STATE SERVICES CO.

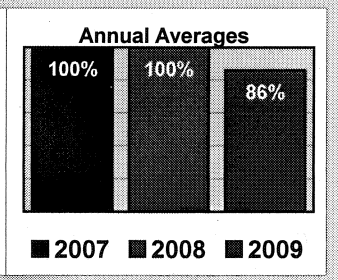
Lost Time First Report Filing Compliance



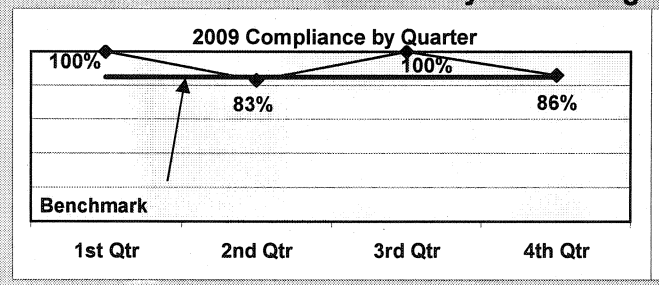


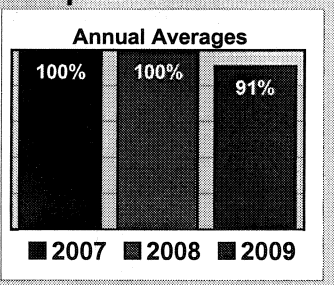
Initial Indemnity Payment Compliance



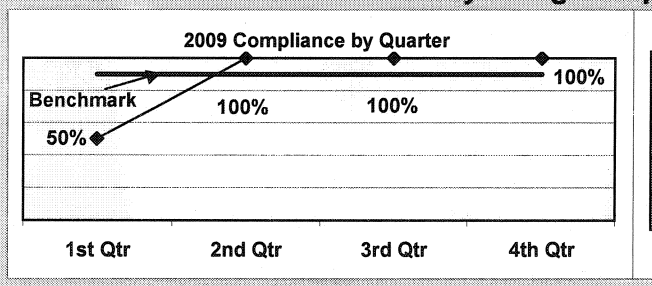


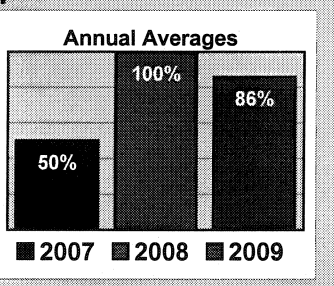
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance





Summary

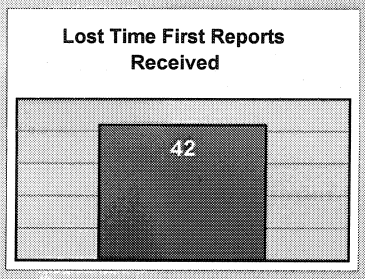
Constitution State Services Co. is a third party administrator that administered claims in 2009 for the following insurers:

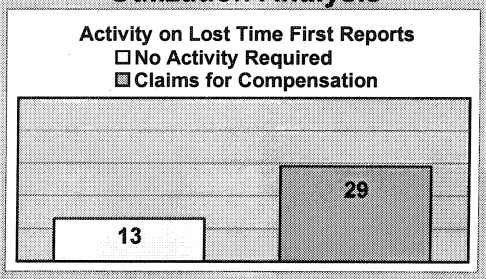
Ace American Insurance Co.
Ace Indemnity Insurance Co.
American Home Assurance Co.
Indemnity Ins. Co. of No. America
Ins. Co. of the State of PA
National Union Fire Ins. Co. of PA
New Hampshire Insurance Co.

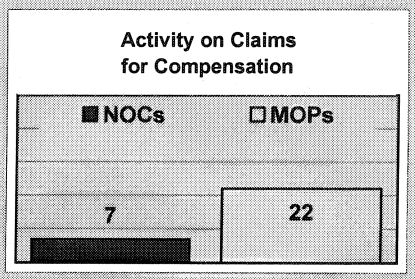
and self-insured employer:

Huhtamaki Foodservice Inc.

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

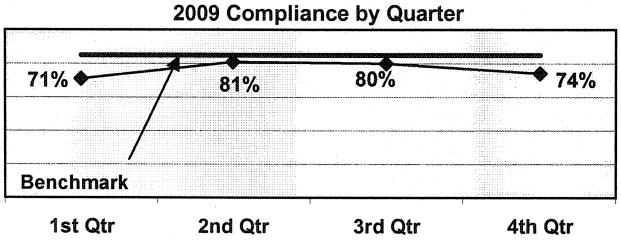
17%

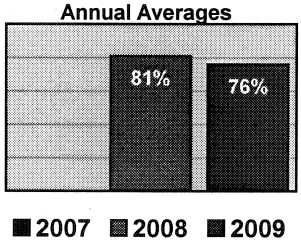
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

24%

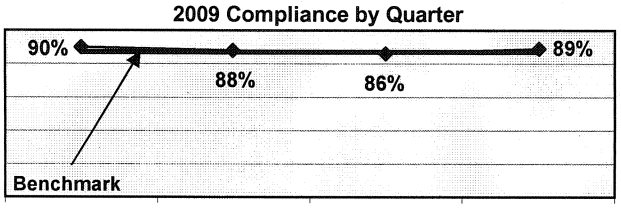
ESIS, INC.

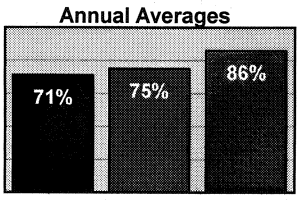
Lost Time First Report Filing Compliance



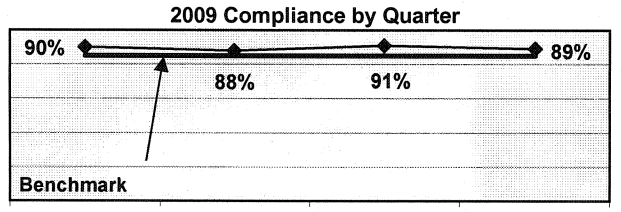


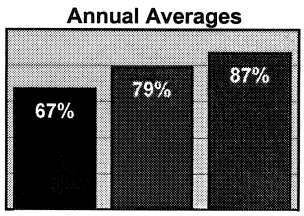
Initial Indemnity Payment Compliance



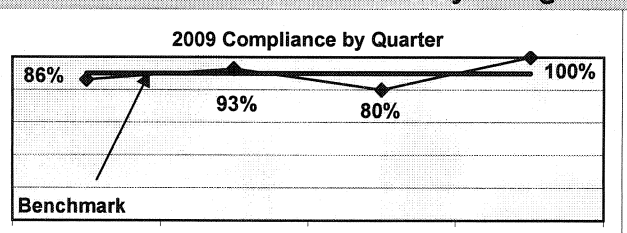


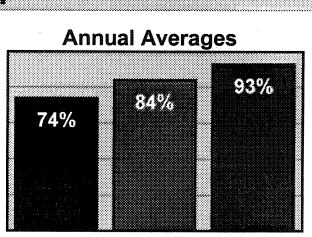
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance





Summary

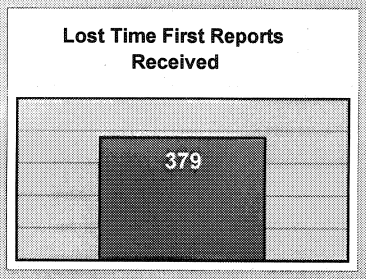
ESIS, Inc. is a third party administrator that administered claims in 2009 for the following insurers:

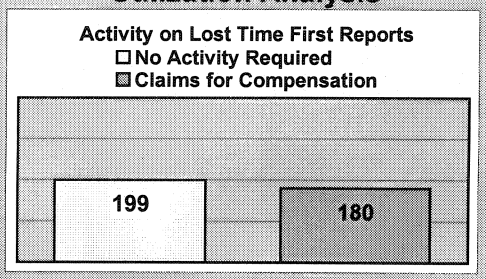
Ace American Insurance Co.
Ace Fire Underwriters Ins. Co.
Ace Property & Casualty Ins. Co.
American Home Assurance Co.
Arch Insurance Company
Indemnity Ins. Co. of No. America
Ins. Co. of the State of PA
New Hampshire Insurance Co.
Old Republic Insurance Company
Zurich American Insurance Co.

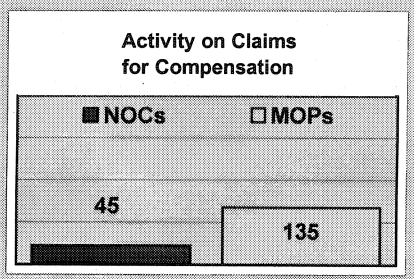
and self-insured employer:

Unifirst Corportation

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

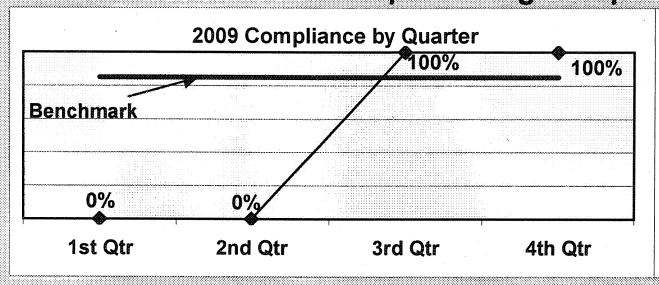
12%

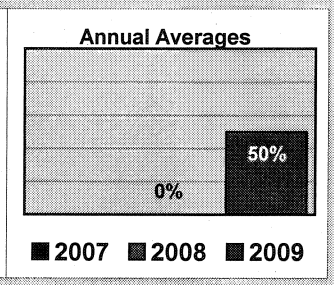
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

25%

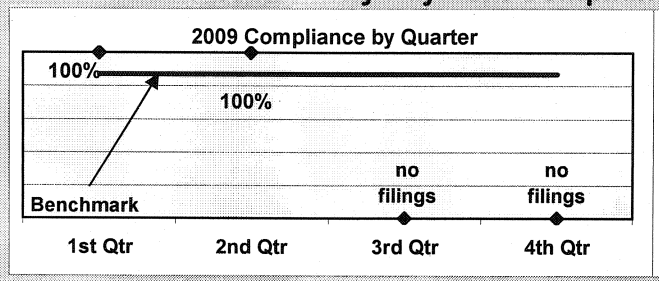
F.A. RICHARD & ASSOCIATES

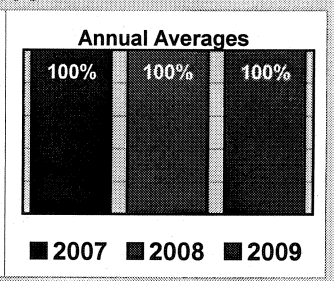
Lost Time First Report Filing Compliance



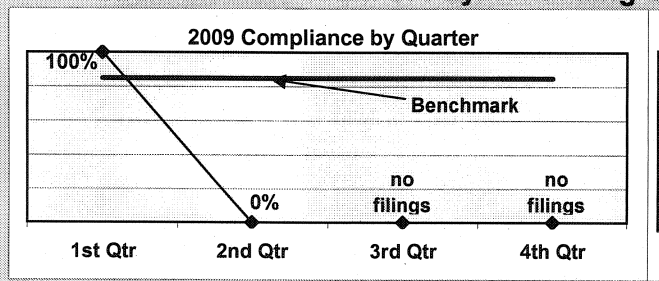


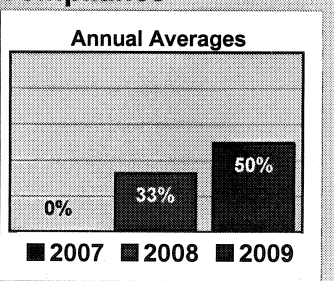
Initial Indemnity Payment Compliance



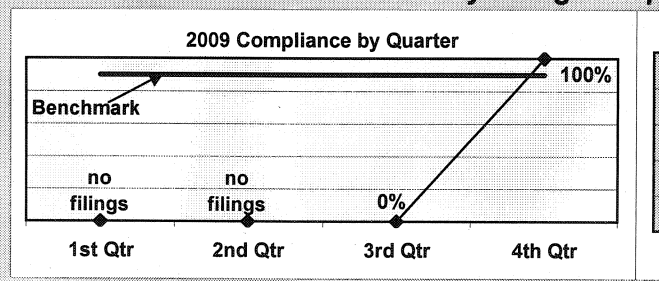


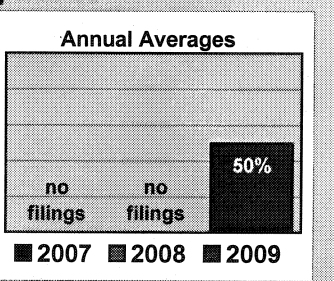
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance



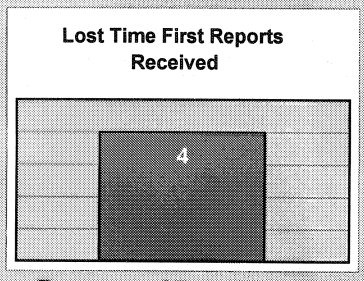


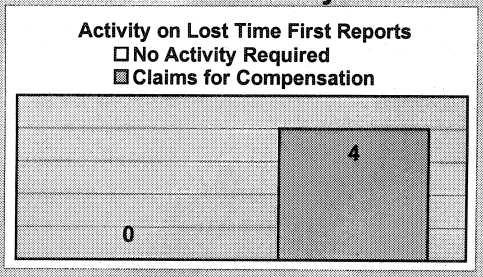
Summary

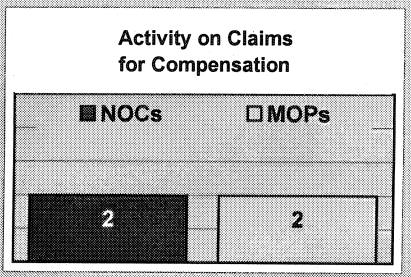
F.A. Richard & Associates is a third party administrator that administered claims in 2009 for the following insurers:

Hartford Underwriters Ins. Co.
Twin City Fire Insurance Co.

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

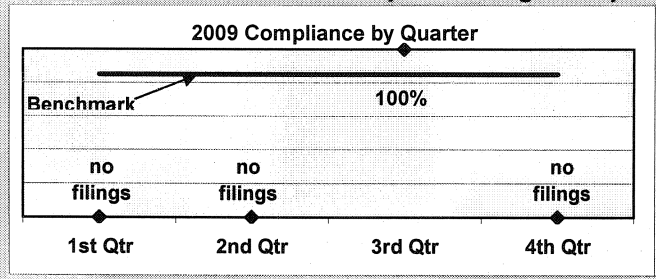
50%

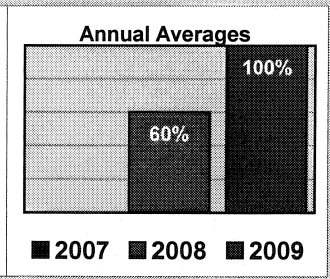
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

50%

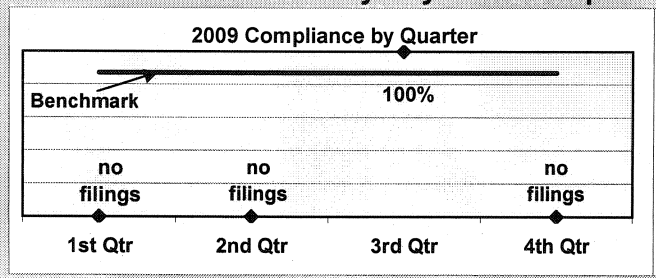
FIREMAN'S FUND

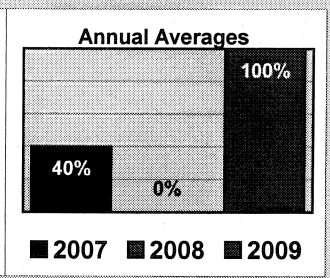
Lost Time First Report Filing Compliance



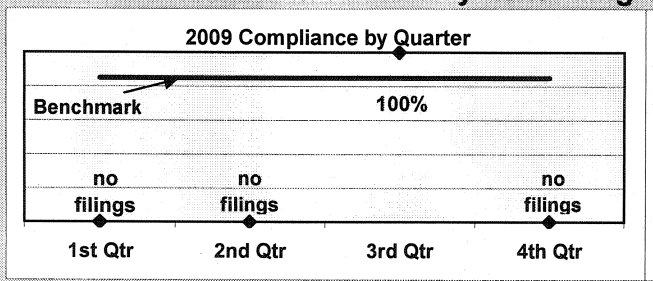


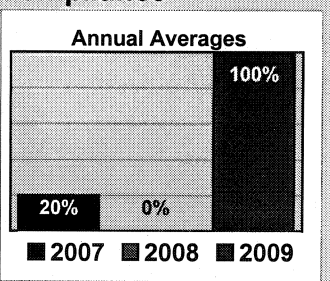
Initial Indemnity Payment Compliance



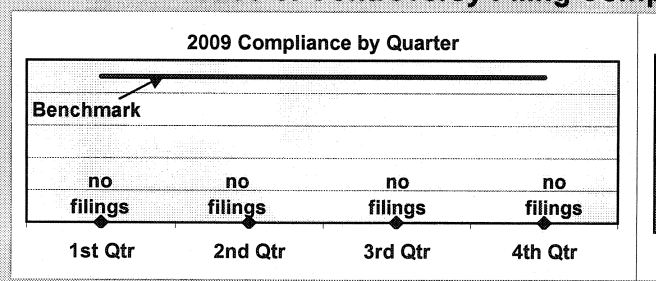


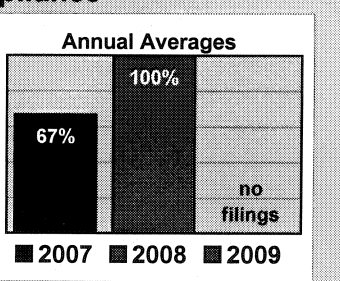
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance



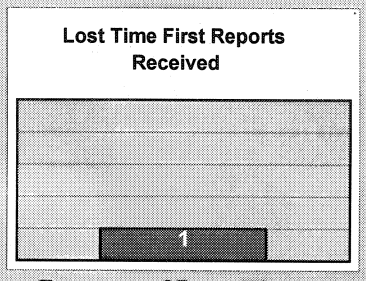


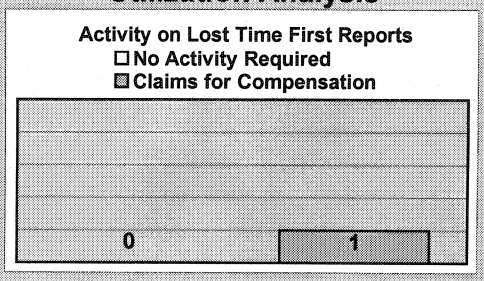
Summary

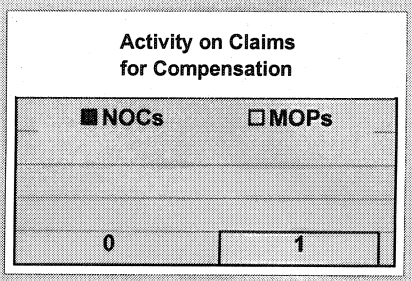
Fireman's Fund is an insurer that administered its own claims in 2009 under the following rating company:

Fireman's Fund Insurance Co.

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

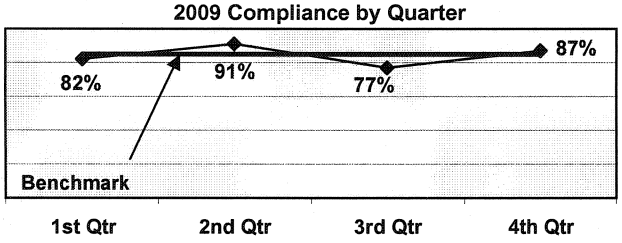
0%

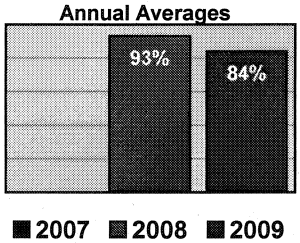
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

0%

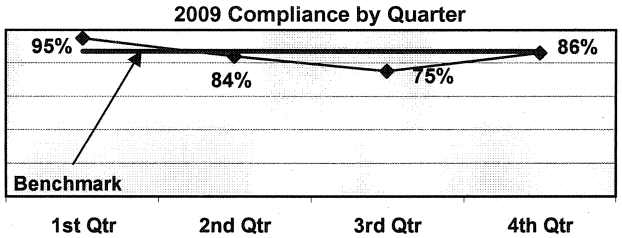
FUTURECOMP

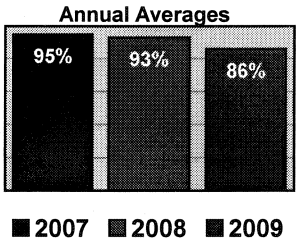
Lost Time First Report Filing Compliance



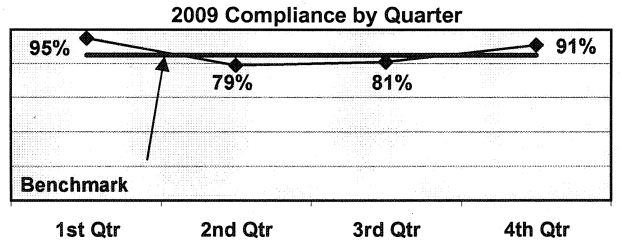


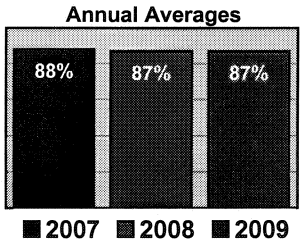
Initial Indemnity Payment Compliance



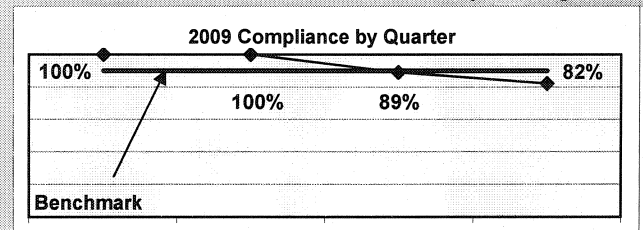


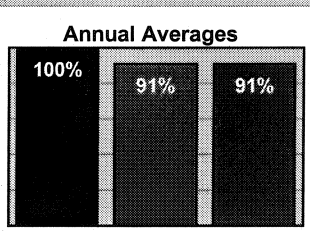
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance





Summary

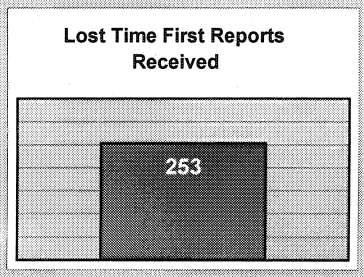
FutureComp is a third party administrator that administered claims in 2009 for the following insurers:

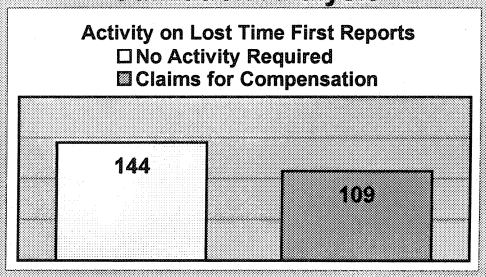
Hartford Accident & Indemnity Co.
Midwest Employers Casualty Co.
Technology Insurance Co.
Twin City Fire Insurance Co.

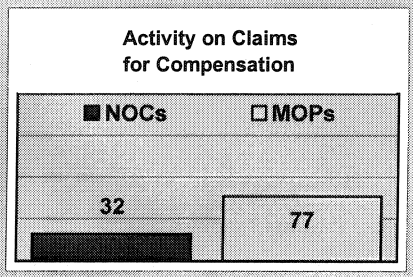
and self-insured employers:

Bridgton Hospital
Central Maine Healthcare Corp.
Central Maine Longterm Care Inc.
Maine Merchants WC Trust Fund
Rumford Hospital

Utilization Analysis





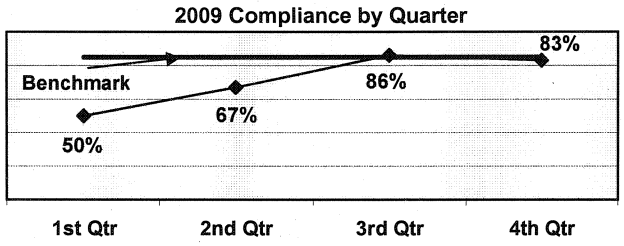


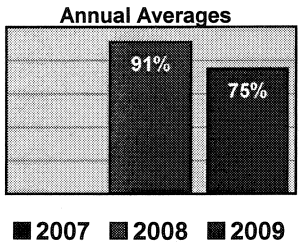
Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)
13%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)
29%

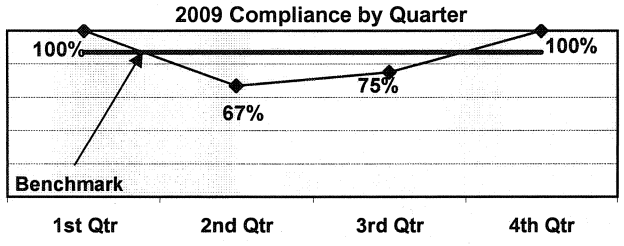
GAB ROBINS

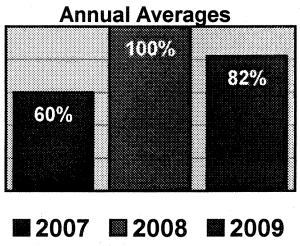
Lost Time First Report Filing Compliance



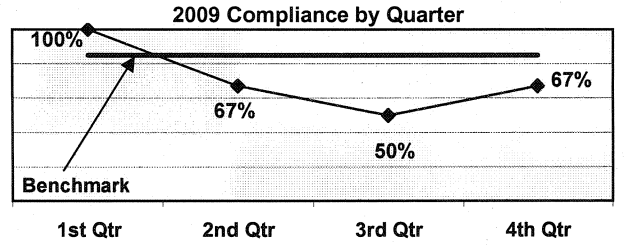


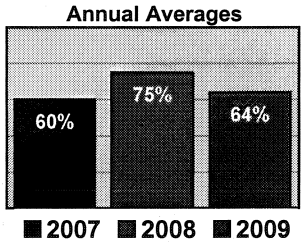
Initial Indemnity Payment Compliance



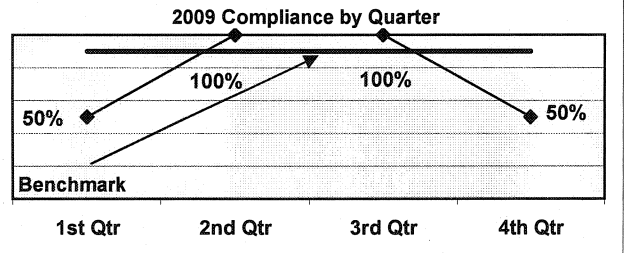


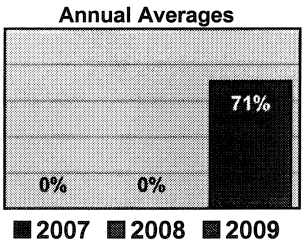
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance



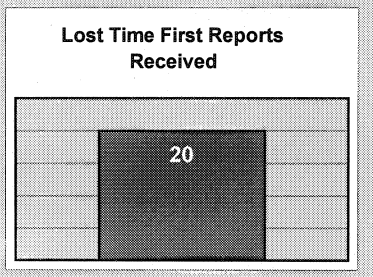


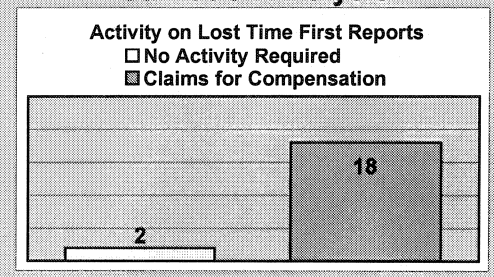
Summary

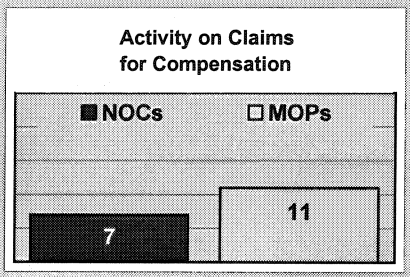
GAB Robins s a third party administrator that administered claims in 2009 for the following insurers:

Ace American Insurance Co.
Amer. Casualty Co. of Reading
American Zurich
Continental Casualty Company
New Hampshire Insurance Co.
Sparta Insurance
XL Specialty Insurance Company

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

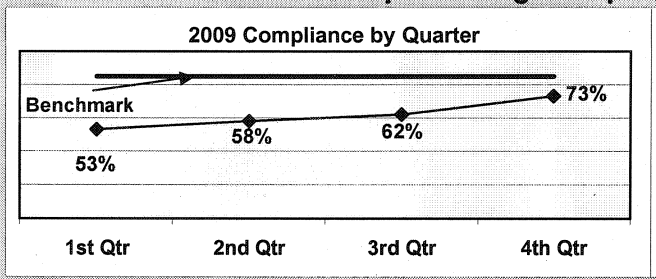
35%

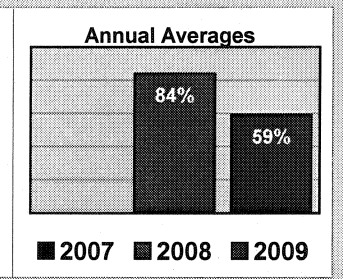
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

39%

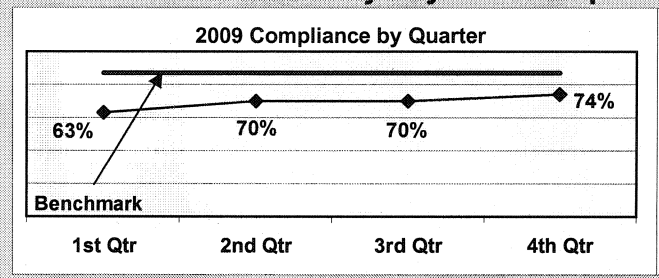
GALLAGHER BASSETT SERVICES, INC.

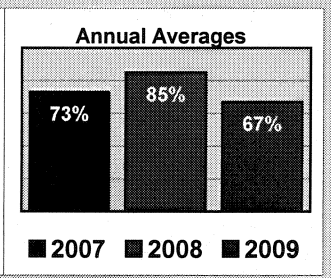
Lost Time First Report Filing Compliance



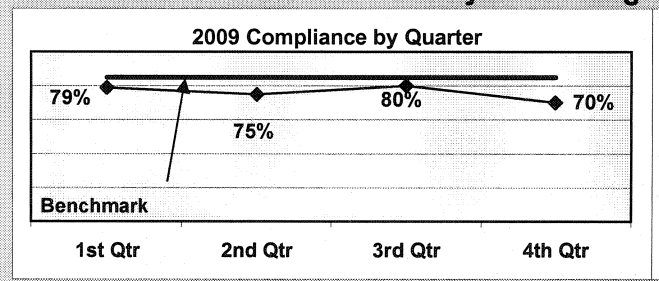


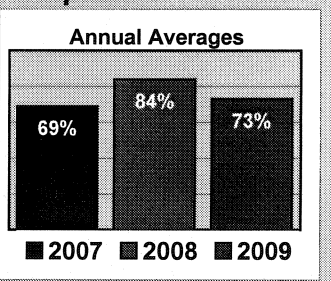
Initial Indemnity Payment Compliance



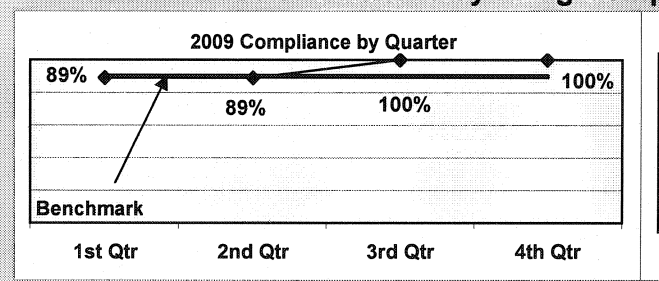


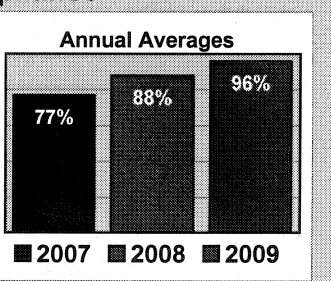
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance





Summary

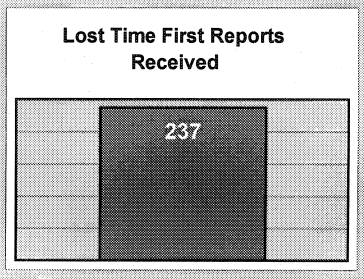
Gallagher Bassett Services, Inc. is a third party administrator that administered claims in 2009 for the following insurers:

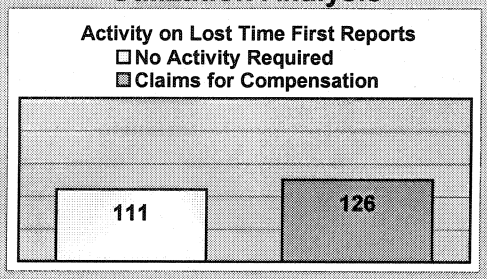
Ace American Insurance Co.
American Alternative Ins. Co.
American Zurich
Arch Insurance Company
Continental Casualty Company
Discover Property & Cas. Ins. Co.
Federal Insurance Company
Fidelity & Guaranty Ins. Co.
Hartford Ins. Co. of the Midwest
Indemnity Ins. Co. of No. America
Ins. Co. of the State of PA
Manufacturers Alliance Ins. Co.
Midwest Employers Casualty Co.
National Union Fire Ins. Co. of PA
New Hampshire Insurance Co.
Nova Casualty Company
Old Republic Insurance Company
PA Manufacturing Assoc. Ins. Co.
PA Manufacturing Indemnity Co.
Transportation Insurance Co.
Twin City Fire Insurance Co.
United States Fidelity & Guaranty
XL Specialty Insurance Company
Zurich American Insurance Co.

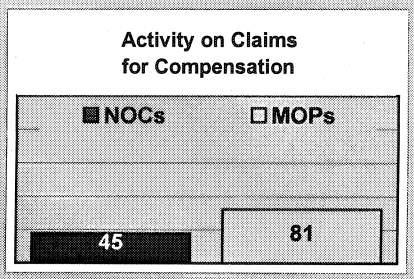
and self-insured employer:

Columbia Forest Products, Inc.

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

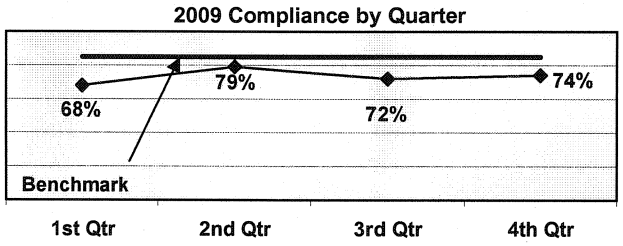
19%

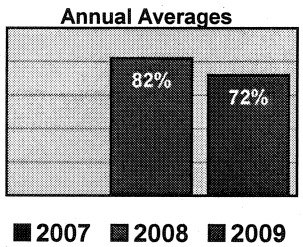
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

36%

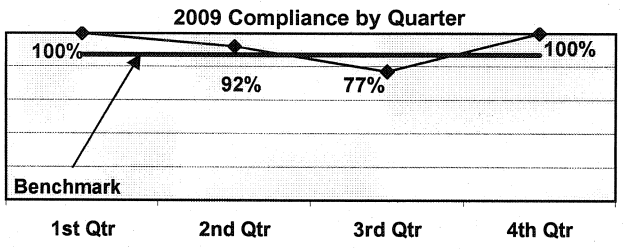
GUARD

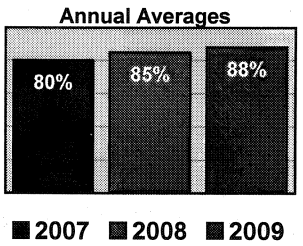
Lost Time First Report Filing Compliance



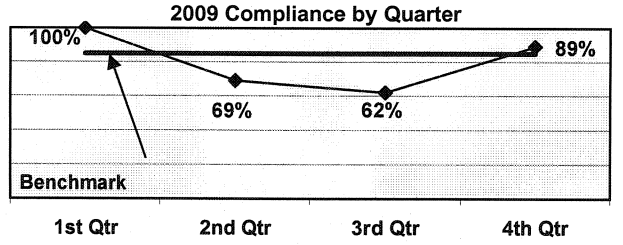


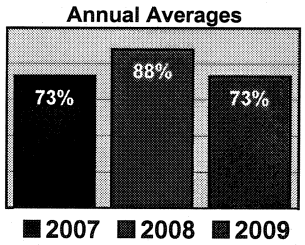
Initial Indemnity Payment Compliance



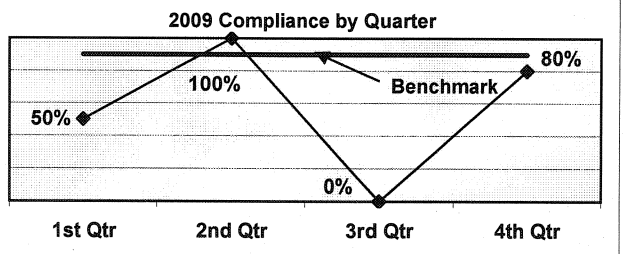


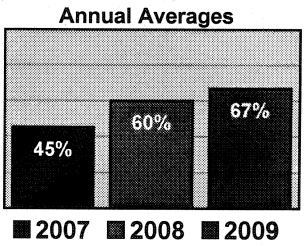
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance



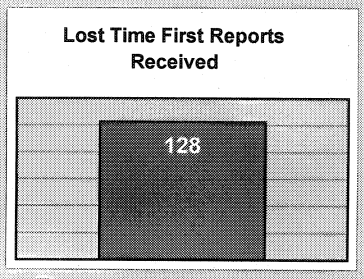


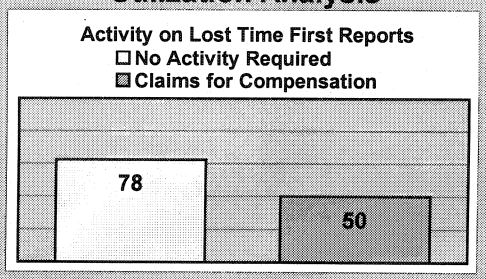
Summary

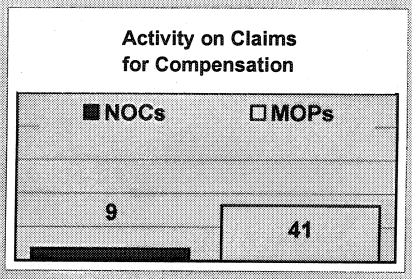
Guard is an insurer that administered its own claims in 2009 under the following rating companies:

Amguard Insurance Company
Eastguard Insurance Company
Norguard Insurance Company

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

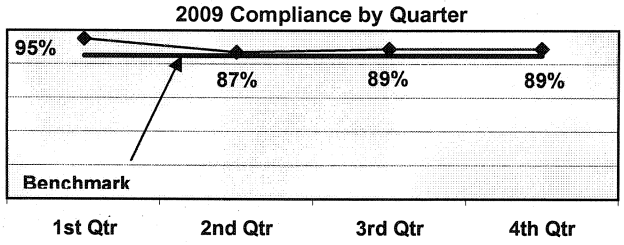
7%

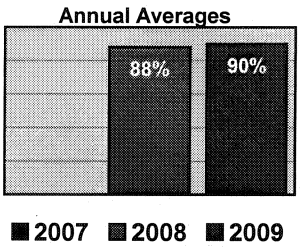
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

18%

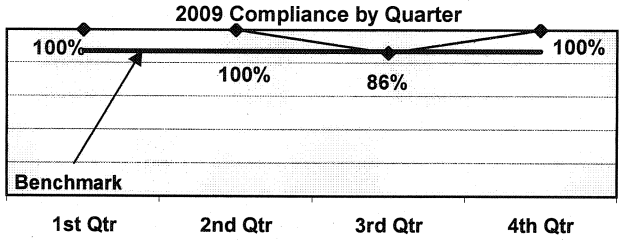
HANNAFORD BROTHERS

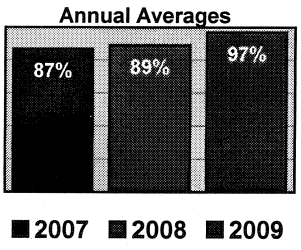
Lost Time First Report Filing Compliance



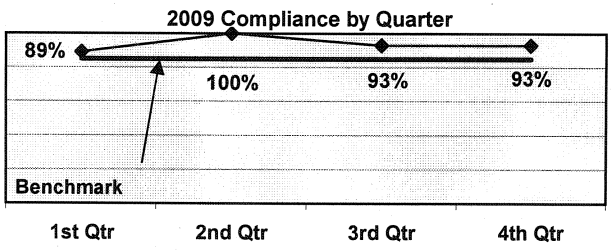


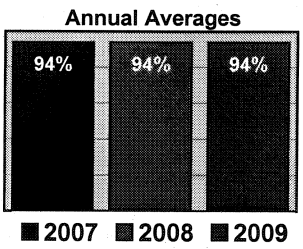
Initial Indemnity Payment Compliance



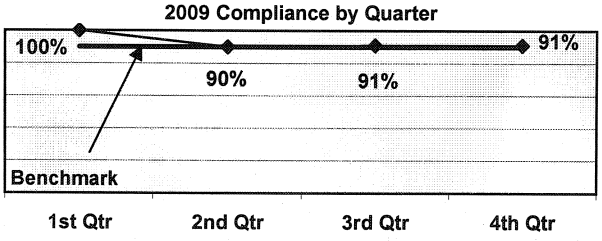


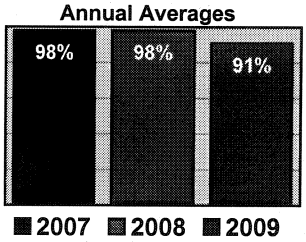
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance





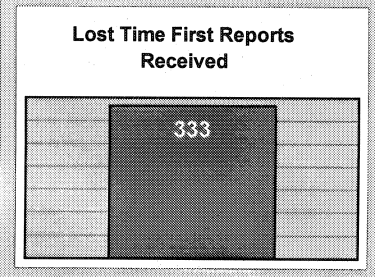
Summary

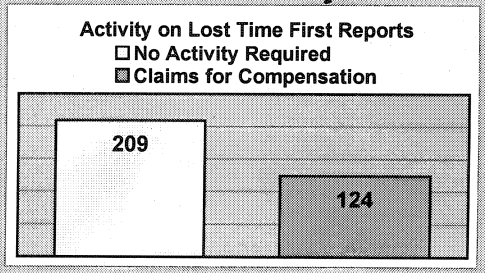
Hannaford Brothers is a self-insured employer that administered its own claims in 2009 under the following name:

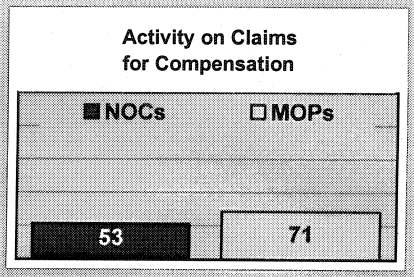
Hannaford Brothers Company

Hannaford Brothers is a High Compliance Performer for 2009. It has met or exceeded each of the Board's performance benchmarks.

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

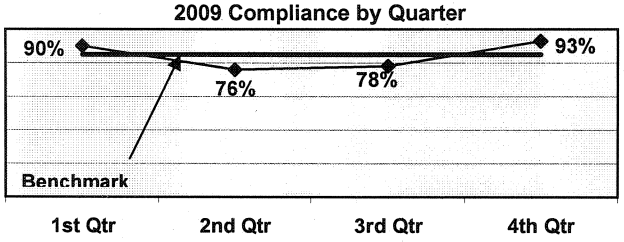
16%

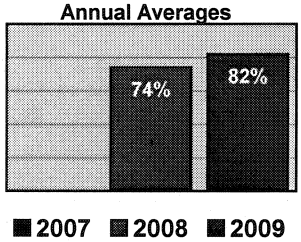
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

43%

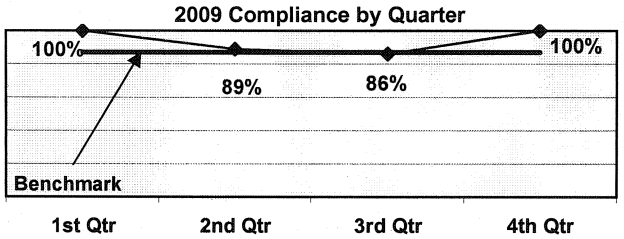
HANOVER

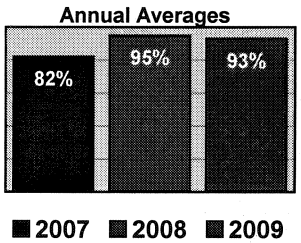
Lost Time First Report Filing Compliance



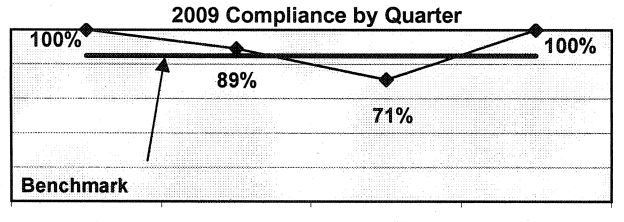


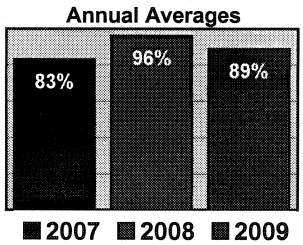
Initial Indemnity Payment Compliance



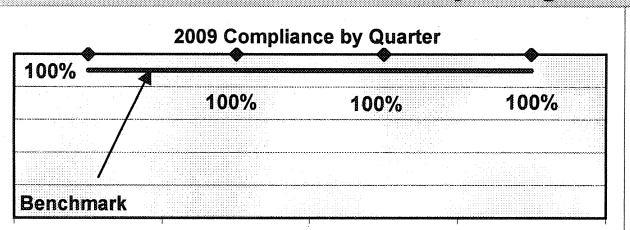


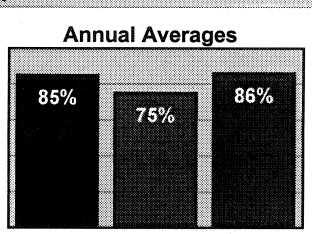
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance



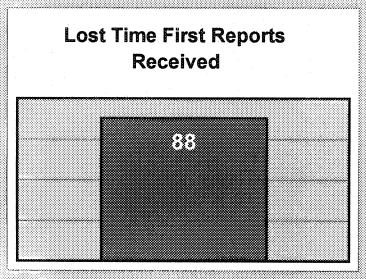


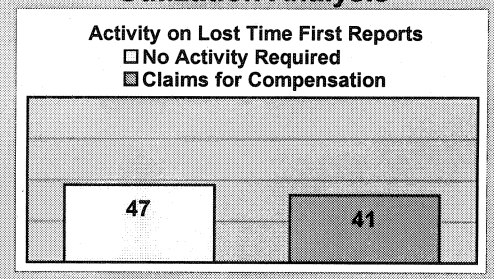
Summary

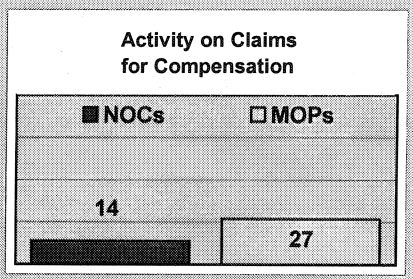
Hanover is an insurer that administered its own claims in 2009 under the following rating companies:

Citizens Ins. Company of America
Hanover Insurance Company
Massachusetts Bay Ins. Co.

Utilization Analysis







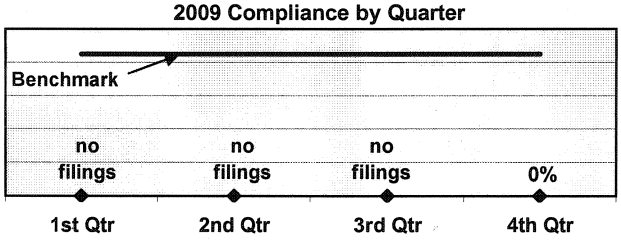
Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)
16%

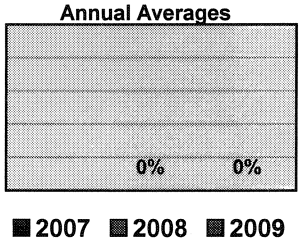
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)
34%

Annual Compliance Report
01/01/2009 - 12/31/2009

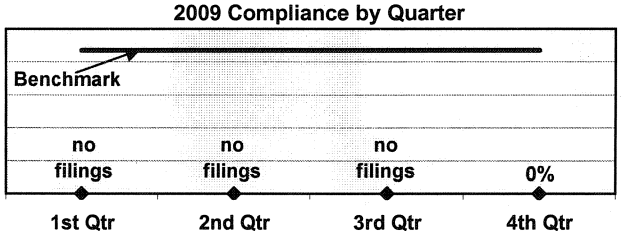
HARLEYSVILLE

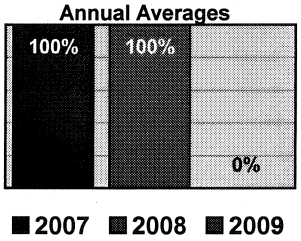
Lost Time First Report Filing Compliance



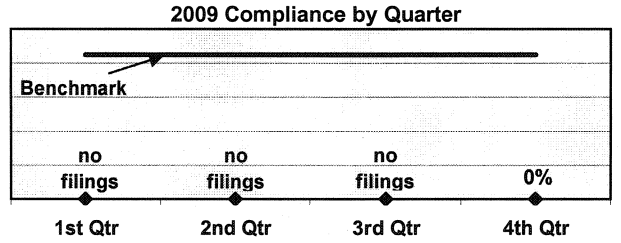


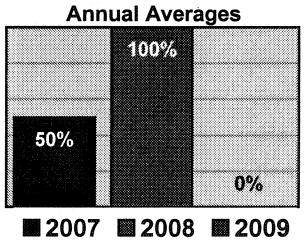
Initial Indemnity Payment Compliance



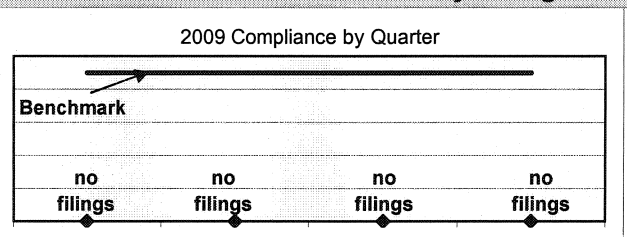


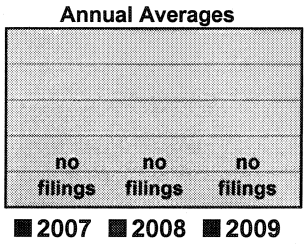
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance



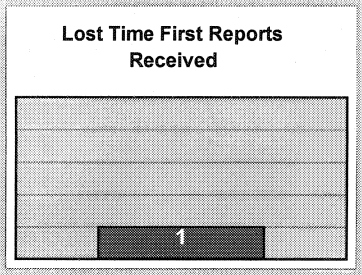


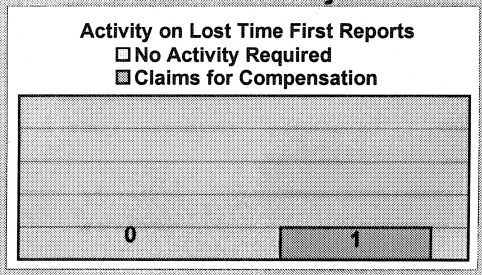
Summary

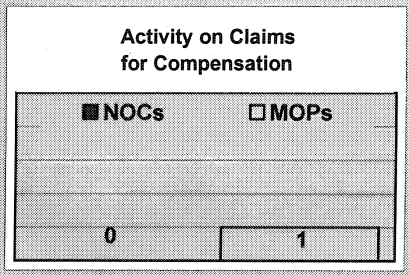
Harleysville is an insurer that administered its own claims in 2009 under the following rating company:

Harleysville Insurance Company

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

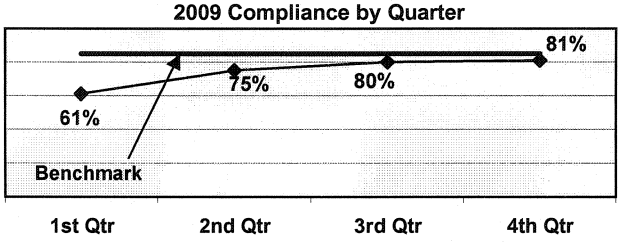
0%

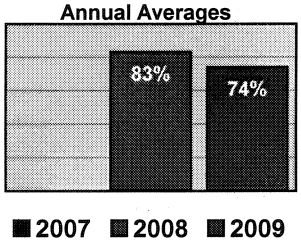
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

0%

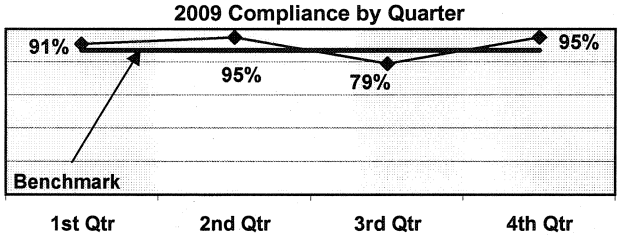
HARTFORD

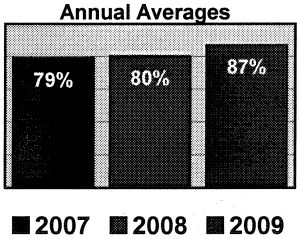
Lost Time First Report Filing Compliance



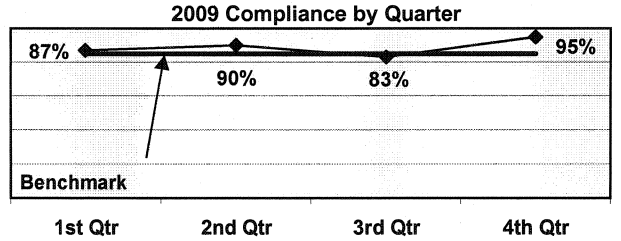


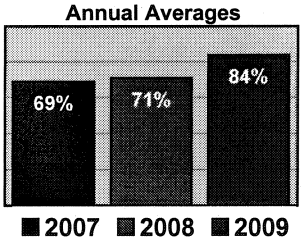
Initial Indemnity Payment Compliance



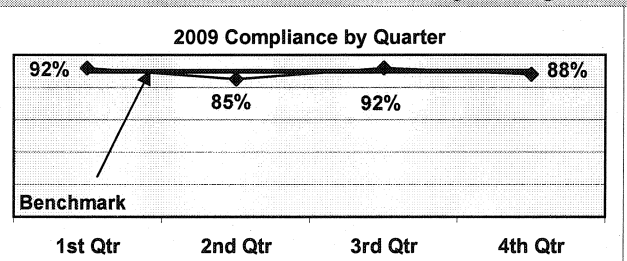


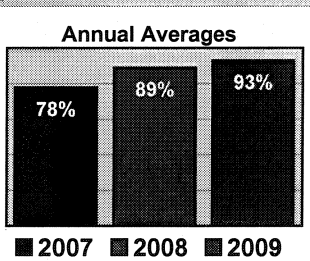
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance





Summary

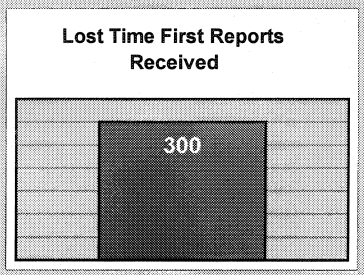
Hartford is an insurer that administered its own claims in 2009 and used third parties to administer claims under the following rating companies:

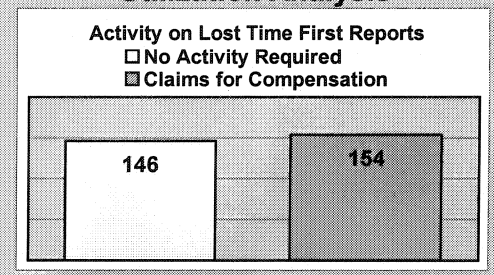
Hartford Accident & Indemnity Co.
Hartford Casualty Insurance Co.
Hartford Fire Insurance Company
Hartford Ins. Co. of the Midwest
Hartford Underwriters Ins. Co.
Sentinel Insurance Company Ltd.
Twin City Fire Insurance Co.

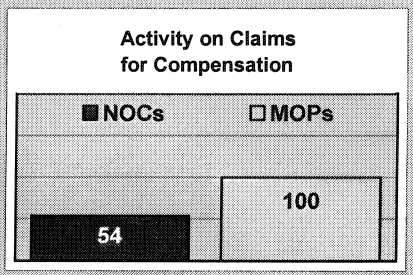
Hartford used the following third parties in 2009:

Broadspire Services, Inc.
Cannon Cochran Mgmnt. Svs. Inc.
F.A. Richard & Associates
FutureComp
Gallagher Bassett Services, Inc.
Sedgwick Claims Management
Specialty Risk Services

Utilization Analysis





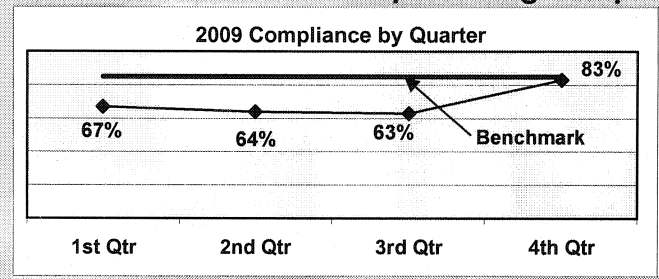


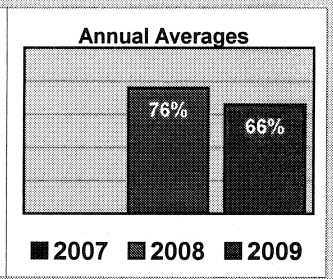
Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)
18%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)
35%

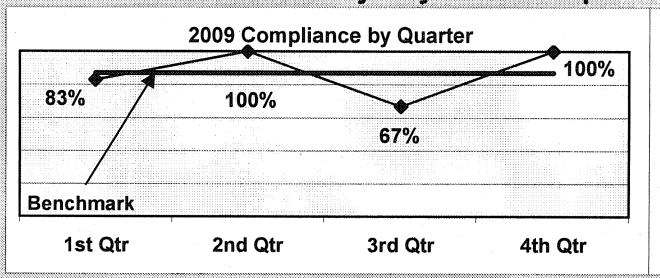
HELMSMAN MANAGEMENT SERVICES

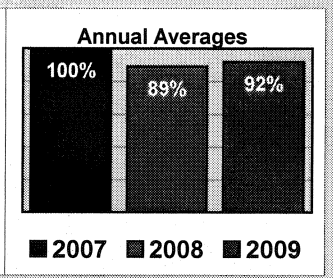
Lost Time First Report Filing Compliance



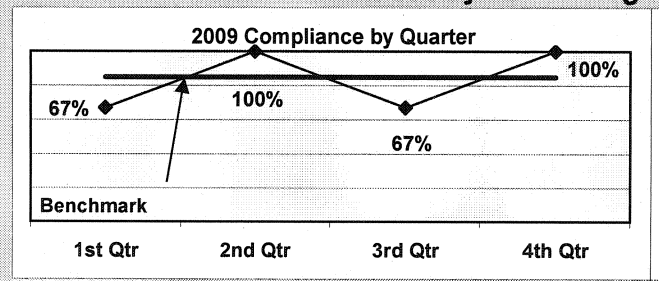


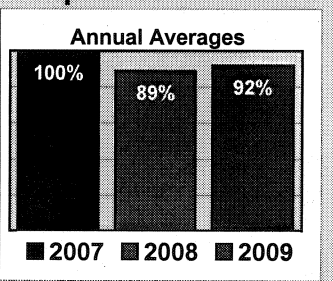
Initial Indemnity Payment Compliance



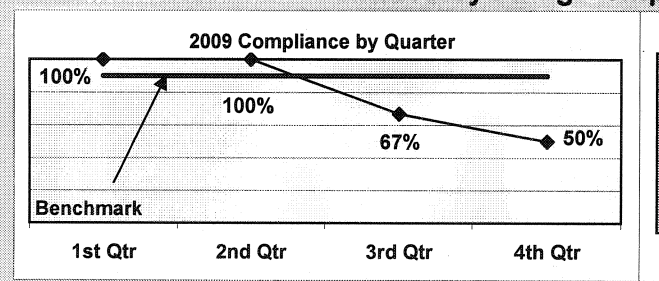


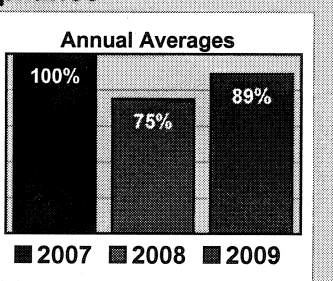
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance





Summary

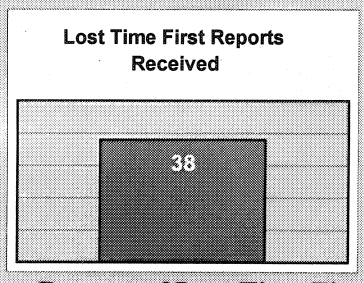
Helmsman Management Services is a third party administrator that administered claims in 2009 for the following insurers:

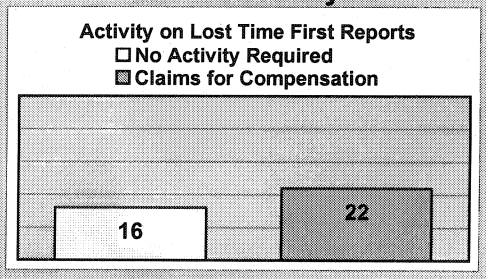
Fidelity & Guaranty Ins. Co.
Indemnity Ins. Co. of No. America
New Hampshire Insurance Co.

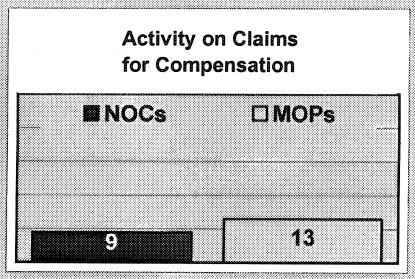
and self-insured employers:

Asplundh Tree Expert
Helmsman Management Services
Irving Oil Corporation

Utilization Analysis





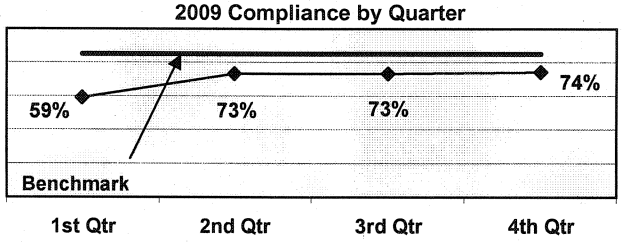


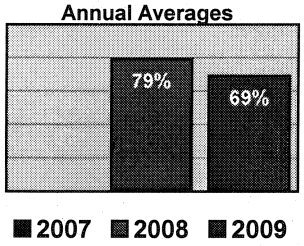
Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)
24%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)
41%

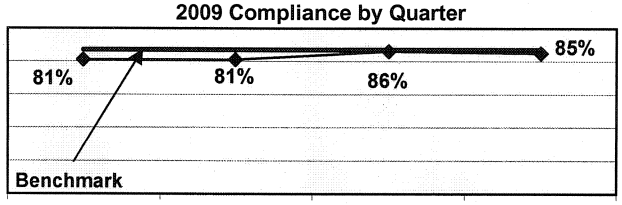
LIBERTY MUTUAL

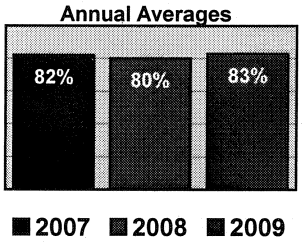
Lost Time First Report Filing Compliance



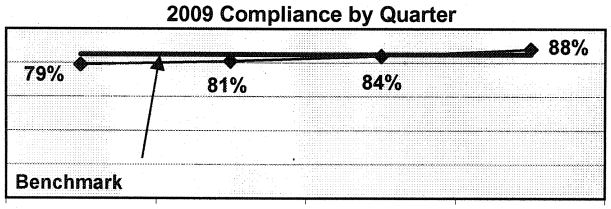


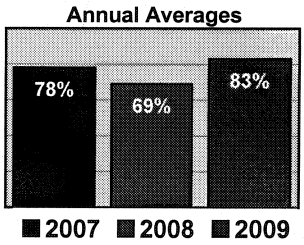
Initial Indemnity Payment Compliance



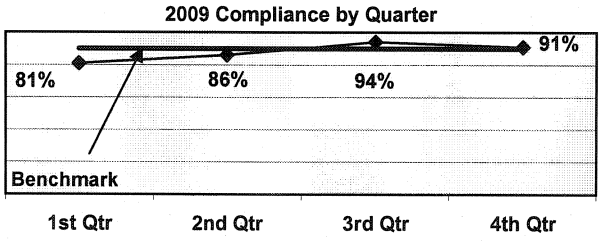


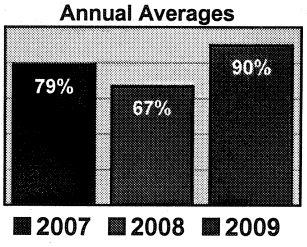
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance



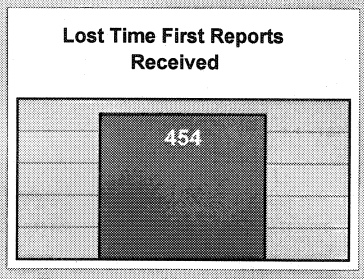


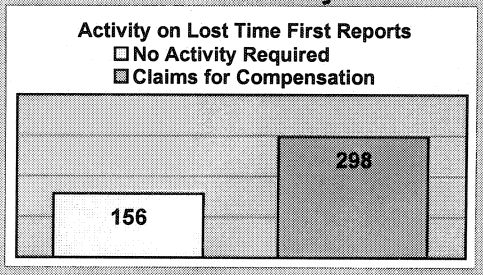
Summary

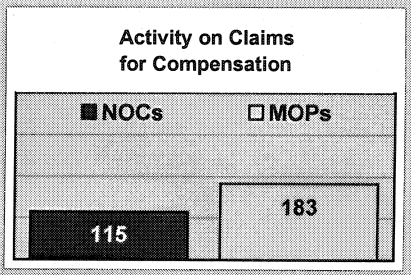
Liberty Mutual is an insurer that administered its own claims in 2009 under the following rating companies:

Employers Ins. Co. of Wausau
First Liberty Insurance Corp.
Liberty Insurance Corporation
Liberty Mutual Fire Insurance Co.
Liberty Mutual Insurance Co.
Liberty Mutual Insurance Corp.
Wausau Business Ins. Company
Wausau Underwriters Ins. Co.

Utilization Analysis







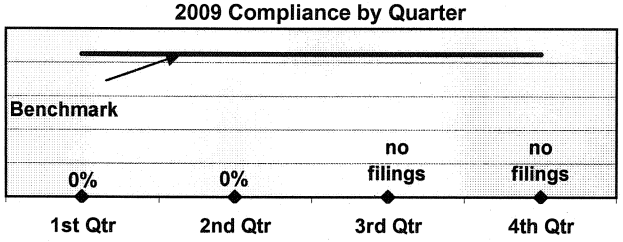
Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)
25%

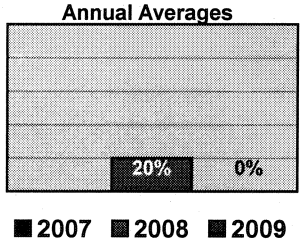
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)
39%

Annual Compliance Report
01/01/2009 - 12/31/2009

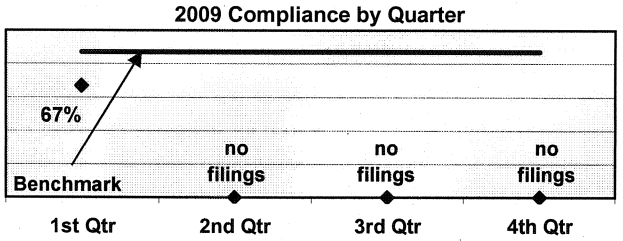
MAC RISK MANAGEMENT, INC.

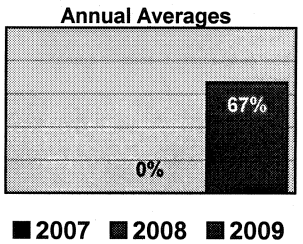
Lost Time First Report Filing Compliance



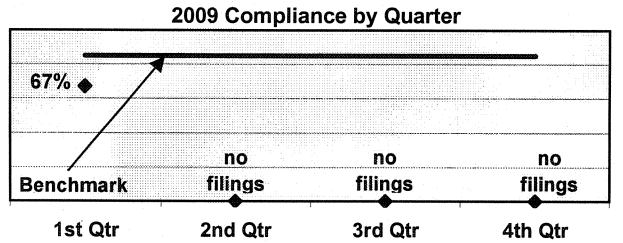


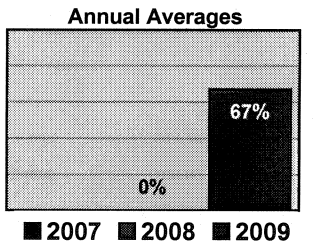
Initial Indemnity Payment Compliance



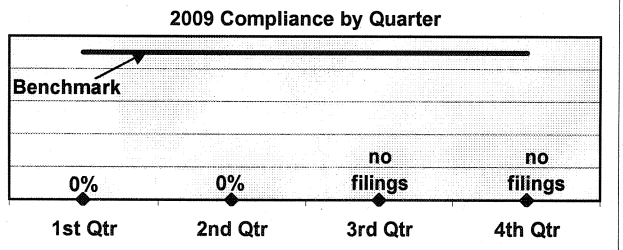


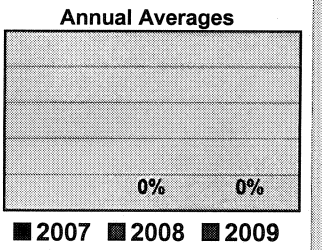
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance



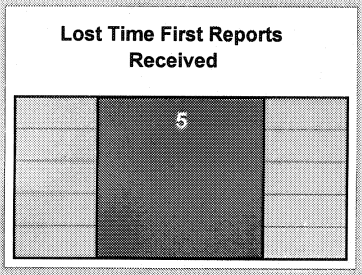


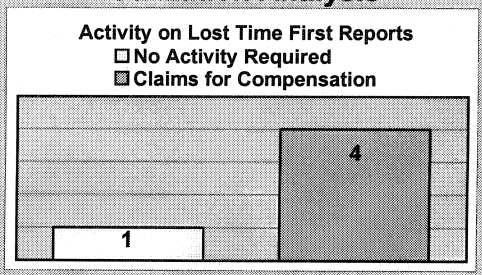
Summary

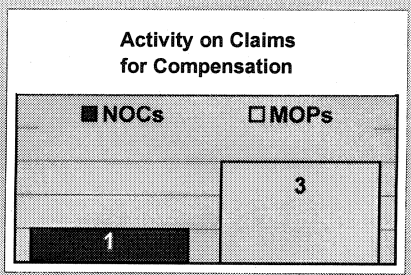
MAC Risk Management, Inc. is a third party administrator that administered claims in 2009 for the following insurers:

Ace American Insurance Co.
Indemnity Ins. Co. of No. America

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

20%

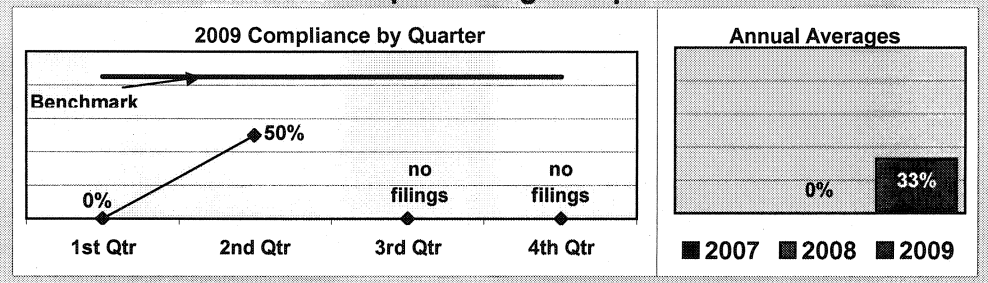
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

25%

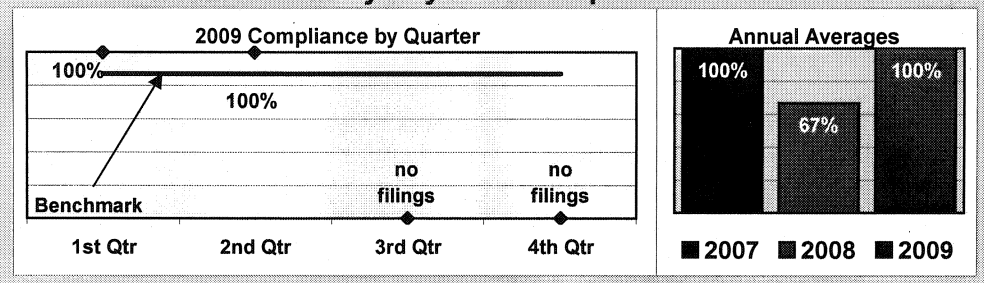
Annual Compliance Report
01/01/2009 - 12/31/2009

MACY'S CORPORATE SERVICES

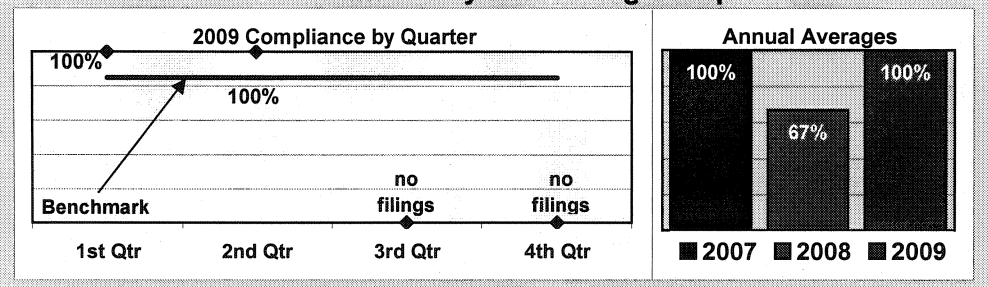
Lost Time First Report Filing Compliance



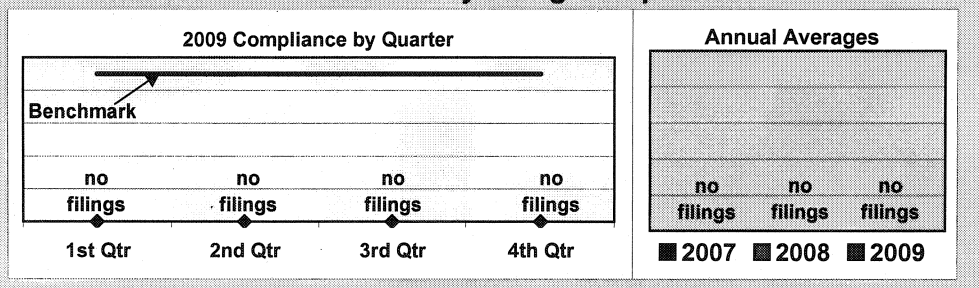
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance

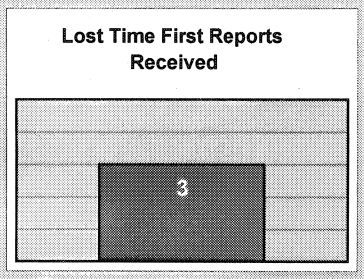


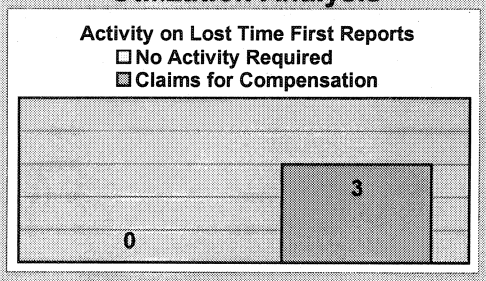
Summary

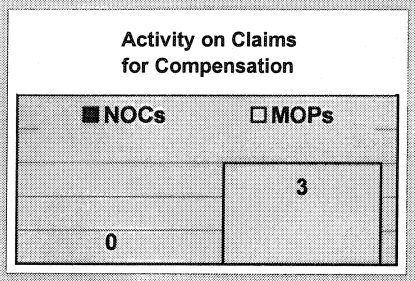
Macy's Corporate Services is a self-insured employer that administered its own claims in 2009 under the following name:

Macy's Retail Holdings, Inc.

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

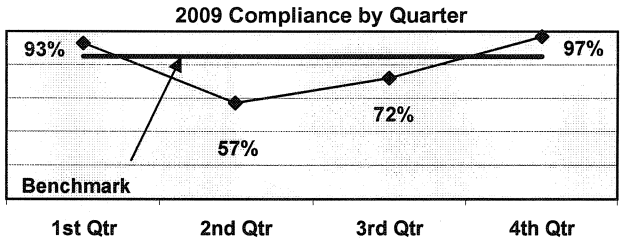
0%

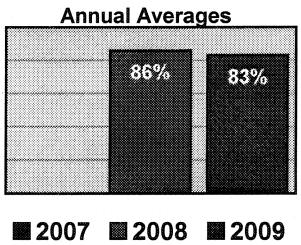
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

0%

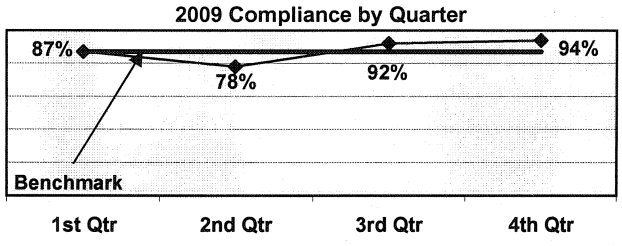
MAINE AUTOMOBILE DEALERS

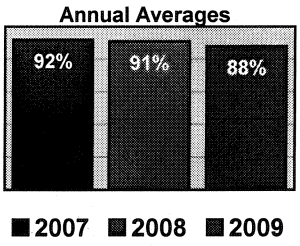
Lost Time First Report Filing Compliance



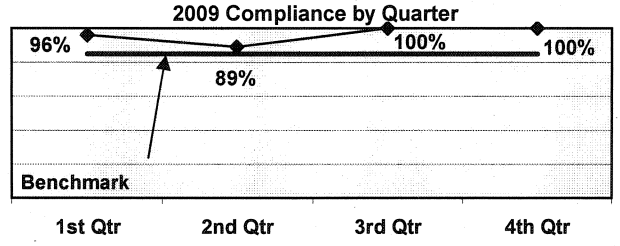


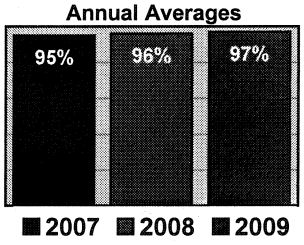
Initial Indemnity Payment Compliance



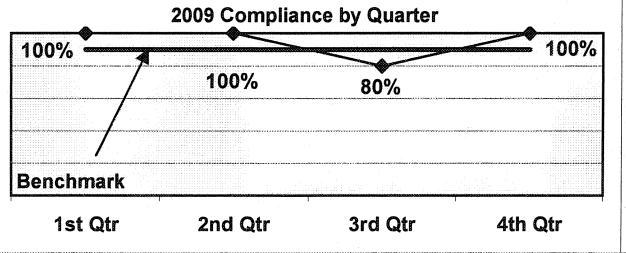


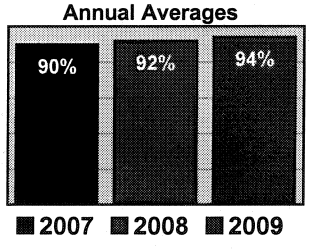
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance



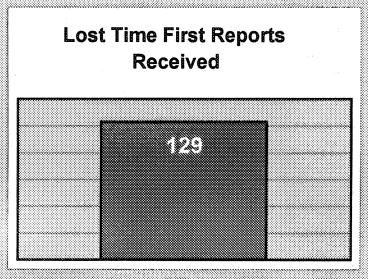


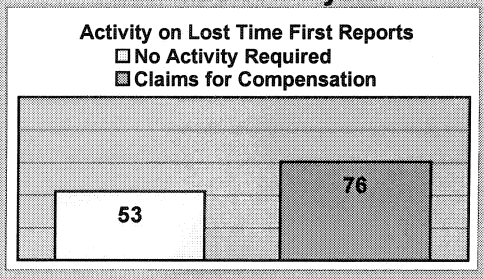
Summary

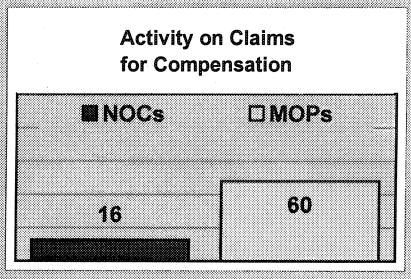
Maine Automobile Dealers is a self-insured employer that administered its own claims in 2009 under the following names:

MAD Assoc. Wkrs' Comp. Trust
Maine Automobile Dealers

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

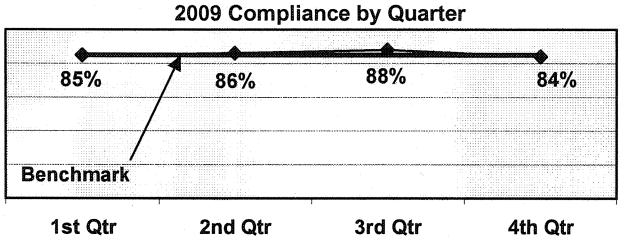
12%

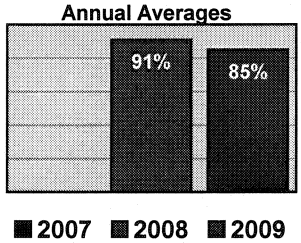
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

21%

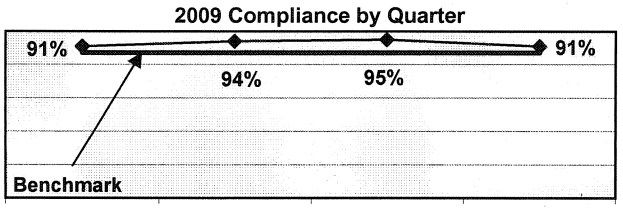
MAINE EMPLOYERS' MUTUAL INSURANCE COMPANY

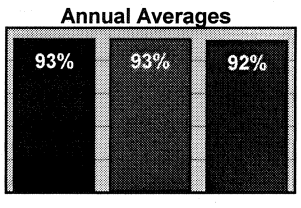
Lost Time First Report Filing Compliance



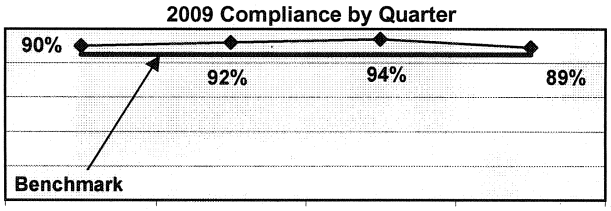


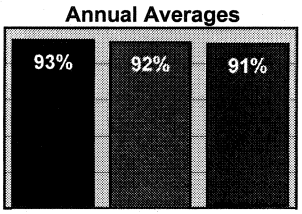
Initial Indemnity Payment Compliance



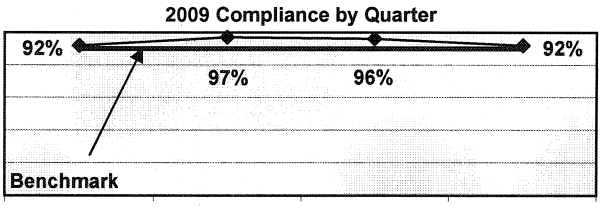


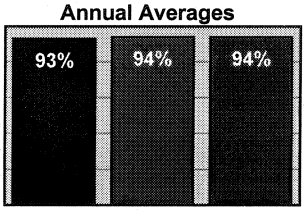
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance





Summary

Maine Employers' Mutual Insurance Company is an insurer that administered its own claims in 2009 under the following rating companies:

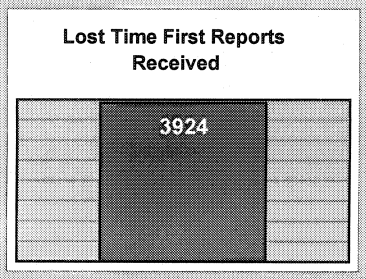
Maine Employers' Mutual Ins. Co.
MEMIC Indemnity Company

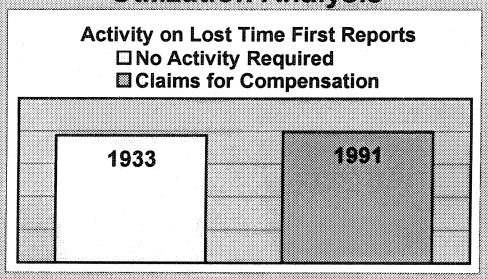
Maine Employers' Mutual also administered claims for the following self-insured employer:

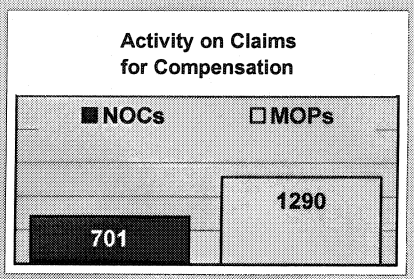
Bangor Hydro Electric

Maine Employers' Mutual Insurance Company is a High Compliance Performer for 2009. It has met or exceeded each of the Board's performance benchmarks.

Utilization Analysis





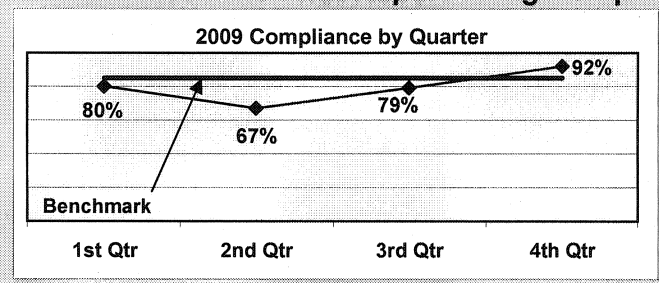


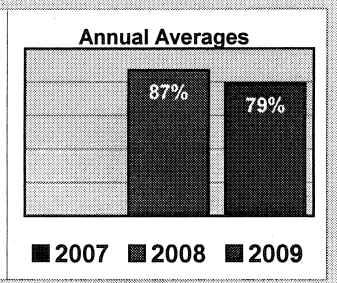
Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)
18%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)
35%

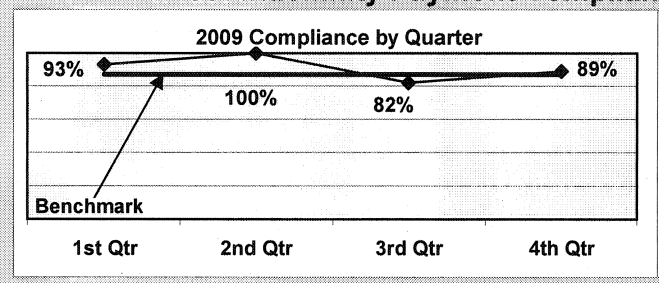
MAINE HEALTHCARE ASSOCIATION

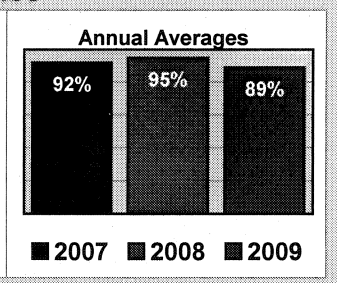
Lost Time First Report Filing Compliance



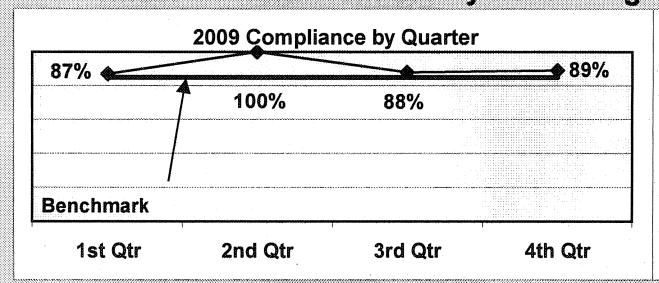


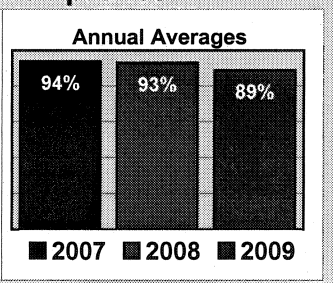
Initial Indemnity Payment Compliance



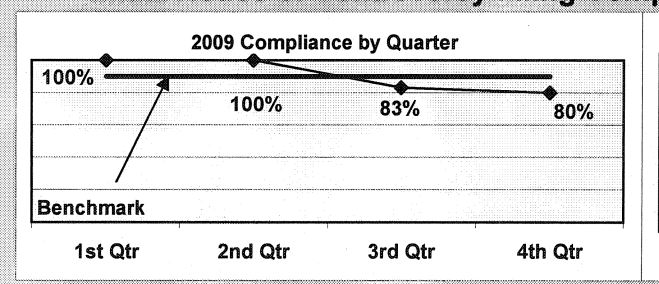


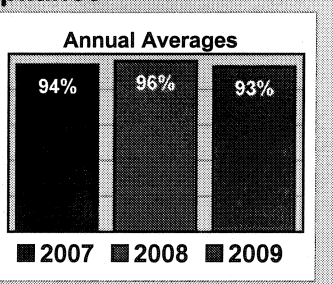
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance



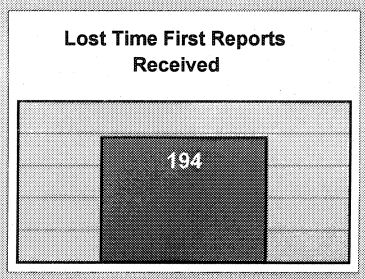


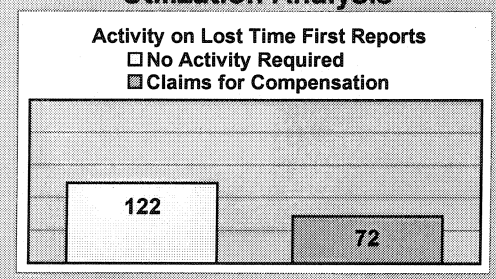
Summary

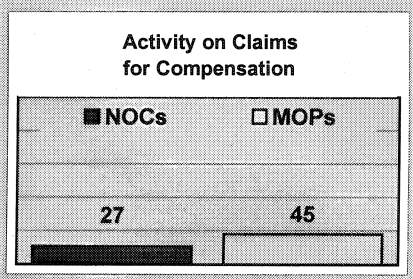
Maine Healthcare Association is a self-insured employer that administered its own claims in 2009 under the following names:

MaineHealth Workers' Comp.
MHCA Workers' Comp. Fund

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

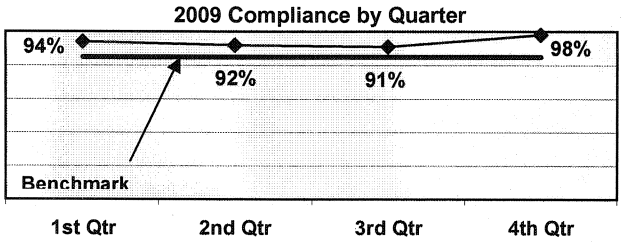
14%

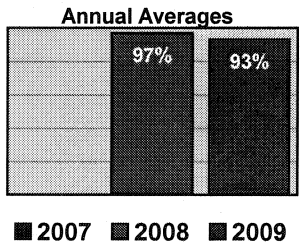
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

38%

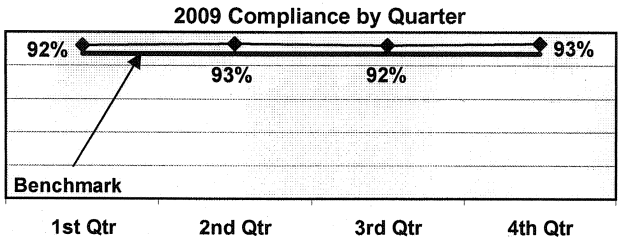
MAINE MOTOR TRANSPORT ASSOCIATION

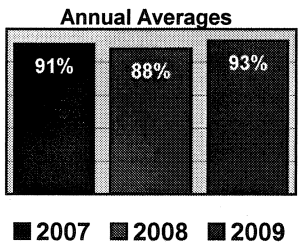
Lost Time First Report Filing Compliance



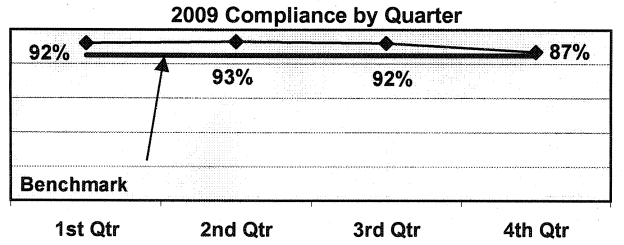


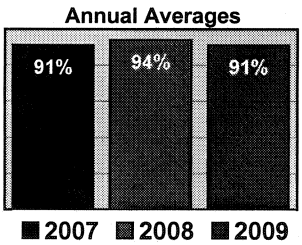
Initial Indemnity Payment Compliance



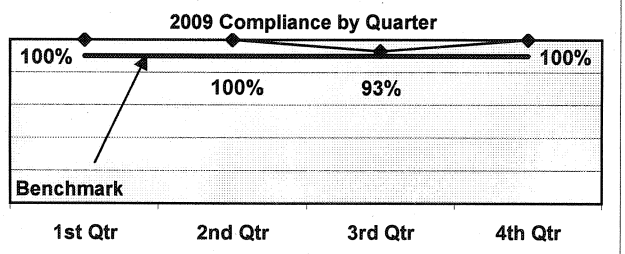


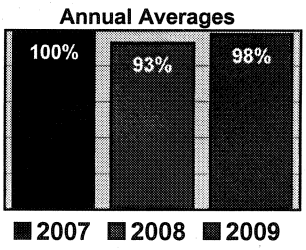
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance





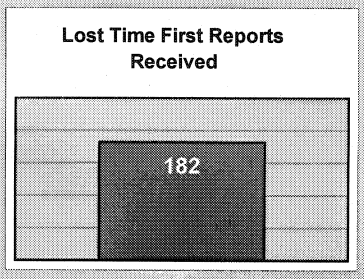
Summary

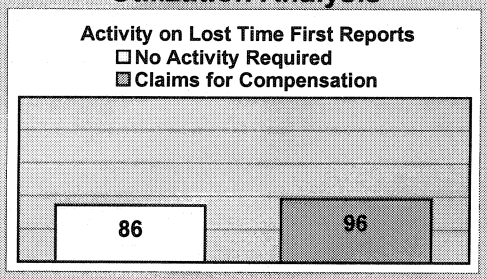
Maine Motor Transport Association is a self-insured employer that administered its own claims in 2009 under the following name:

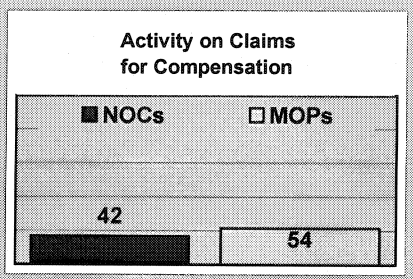
Maine Motor Transport WC Trust

Maine Motor Transport Association is a High Compliance Performer for 2009. It has met or exceeded each of the Board's performance benchmarks.

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

23%

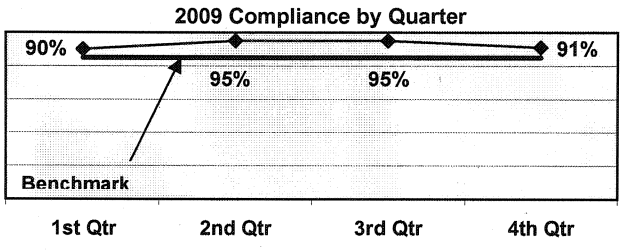
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

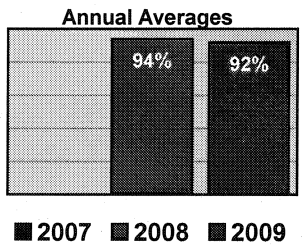
44%

Annual Compliance Report
01/01/2009 - 12/31/2009

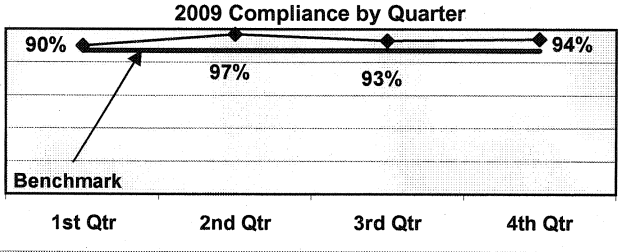
MAINE MUNICIPAL ASSOCIATION

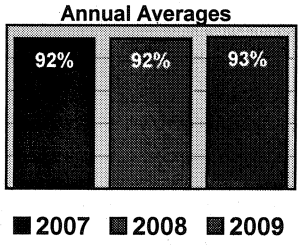
Lost Time First Report Filing Compliance



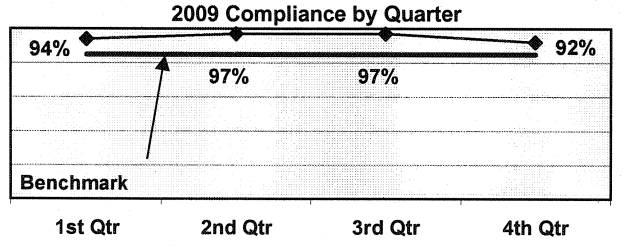


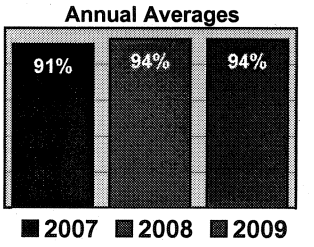
Initial Indemnity Payment Compliance



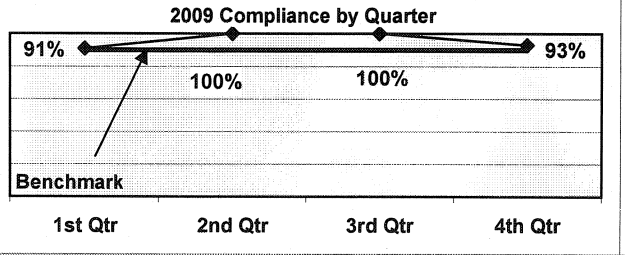


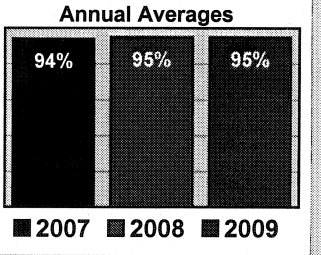
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance





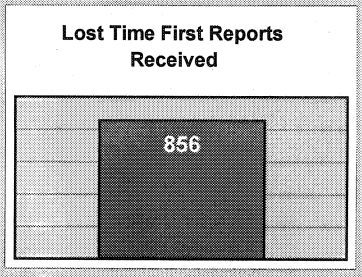
Summary

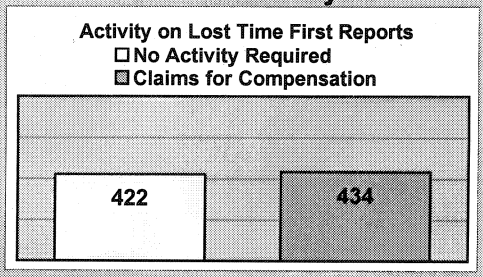
Maine Municipal Association is a self-insured employer that administered its own claims in 2009 under the following names:

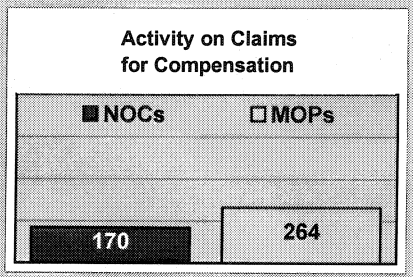
Auburn, City of
Maine Municipal Association
Portland, City of

Maine Municipal Association is a High Compliance Performer for 2009. It has met or exceeded each of the Board's performance benchmarks.

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

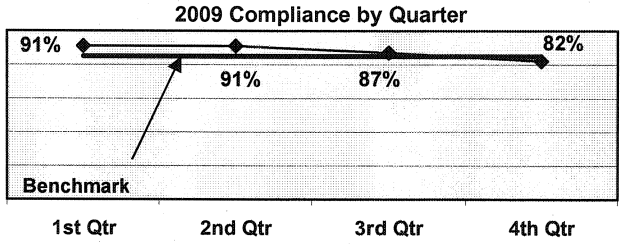
20%

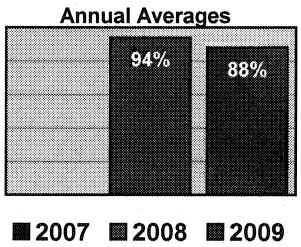
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

39%

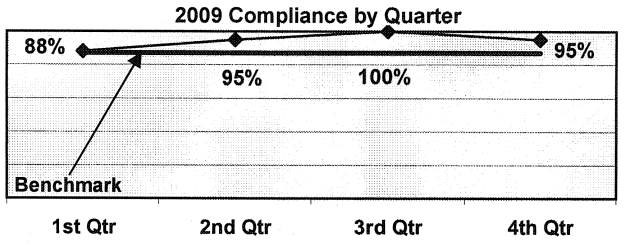
MAINE SCHOOL MANAGEMENT ASSOCIATION

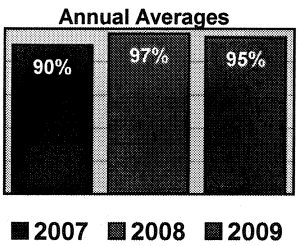
Lost Time First Report Filing Compliance



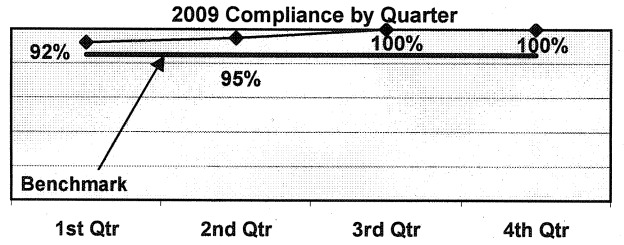


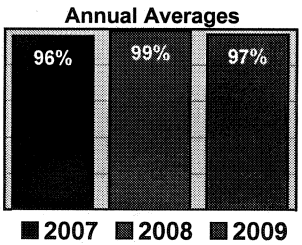
Initial Indemnity Payment Compliance



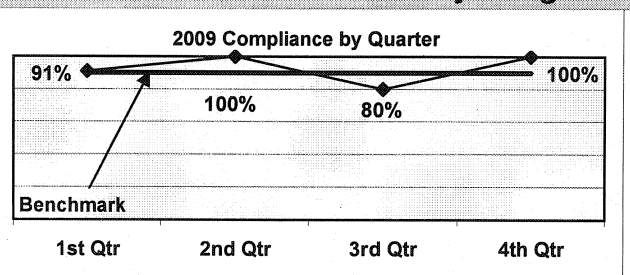


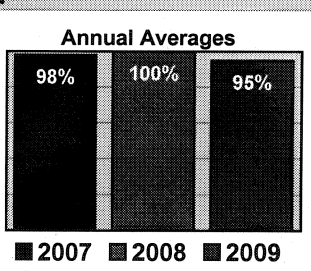
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance





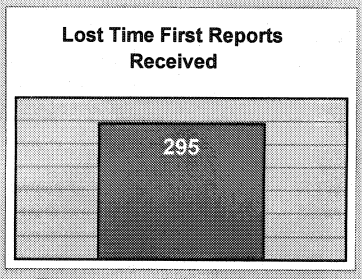
Summary

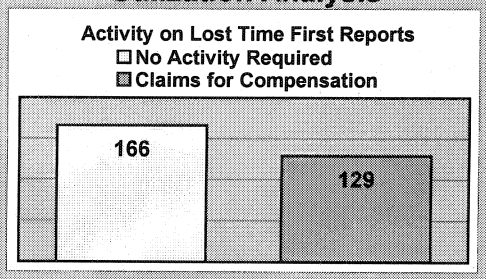
Maine School Management Association is a self-insured employer that administered its own claims in 2009 under the following name:

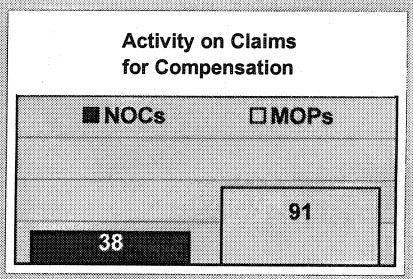
Maine School Mgmnt. Assoc.

Maine School Management Association is a High Compliance Performer for 2009. It has met or exceeded each of the Board's performance benchmarks.

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

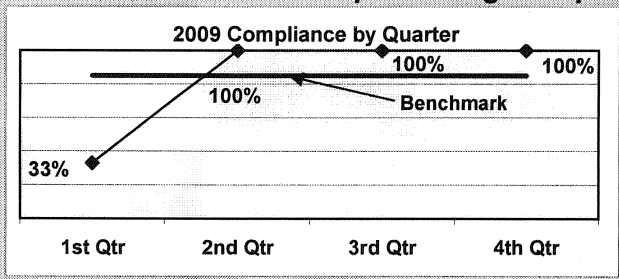
13%

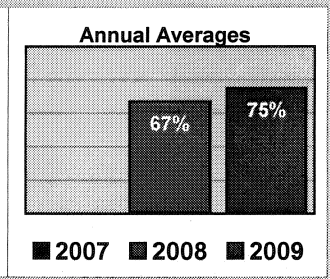
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

29%

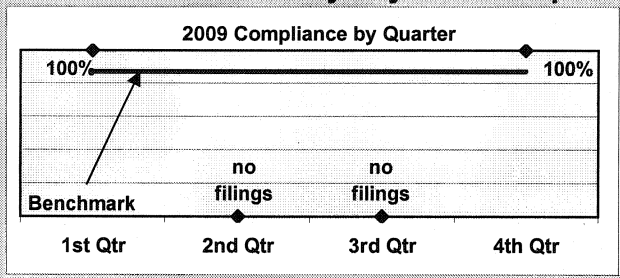
MASSAMONT (now TRIDENT INSURANCE SERVICES)

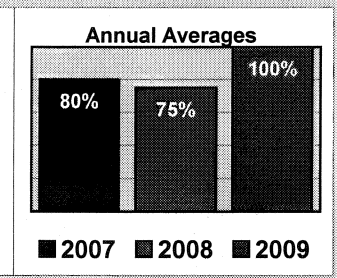
Lost Time First Report Filing Compliance



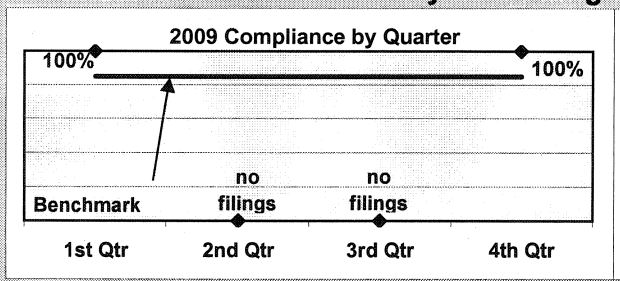


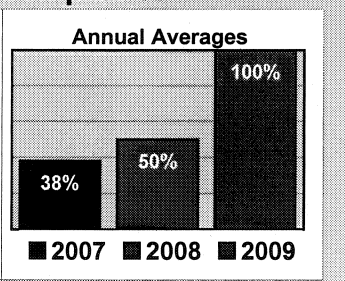
Initial Indemnity Payment Compliance



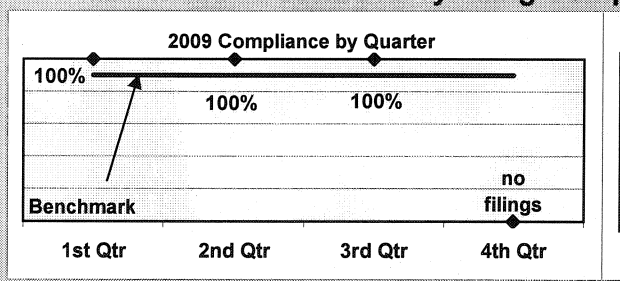


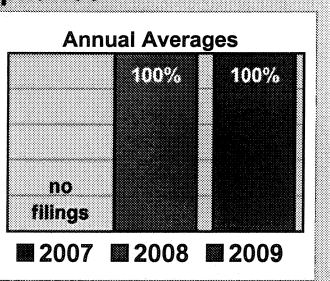
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance



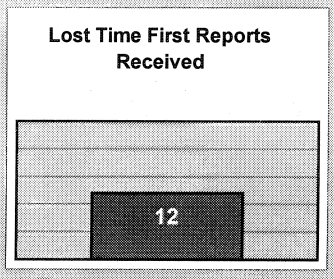


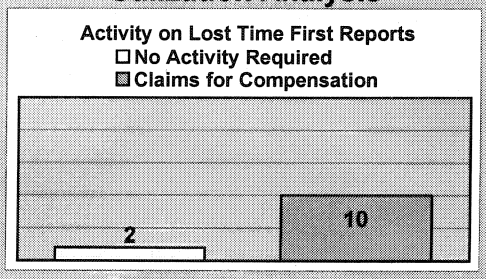
Summary

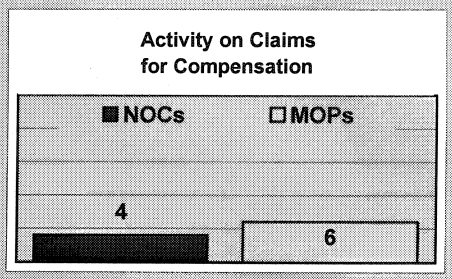
Massamont is a third party administrator that administered claims in 2009 for the following insurers:

Argonaut Insurance Company
United States Fidelity & Guaranty

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

33%

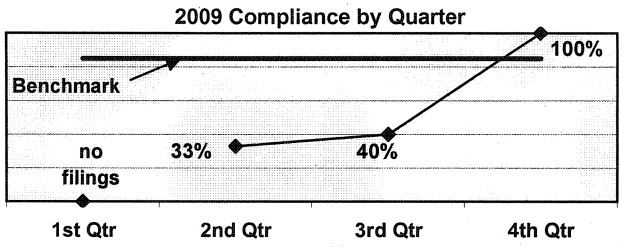
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

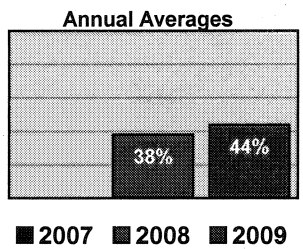
40%

Annual Compliance Report
01/01/2009 - 12/31/2009

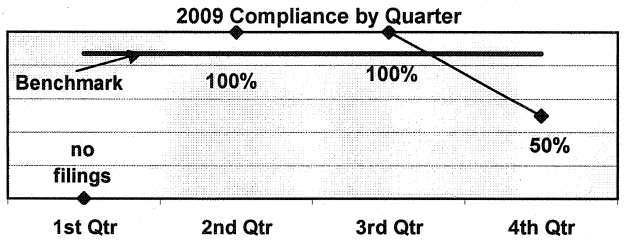
MEADOWBROOK

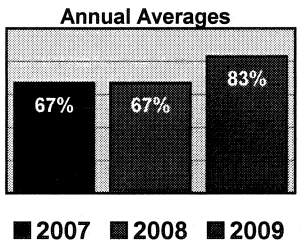
Lost Time First Report Filing Compliance



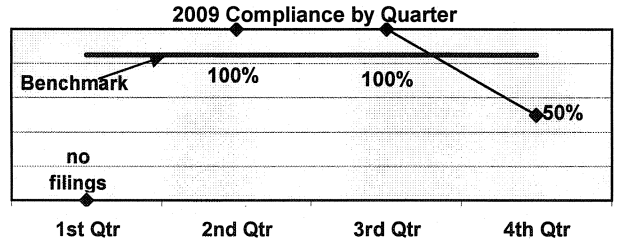


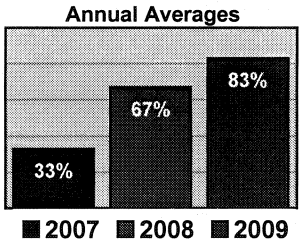
Initial Indemnity Payment Compliance



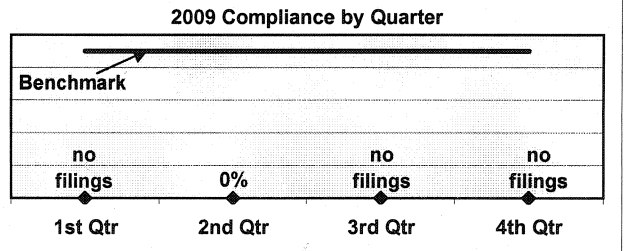


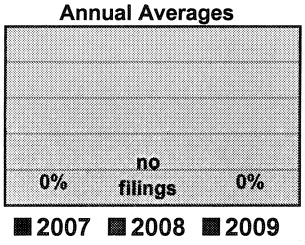
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance



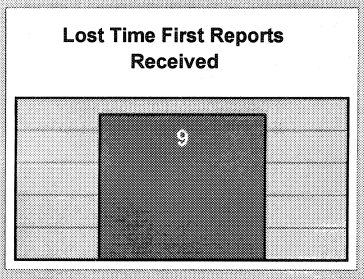


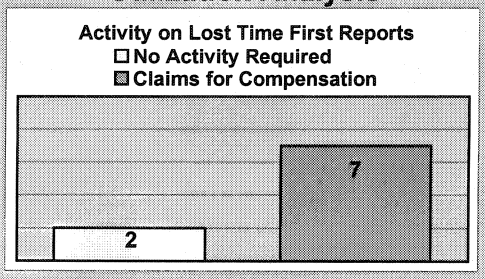
Summary

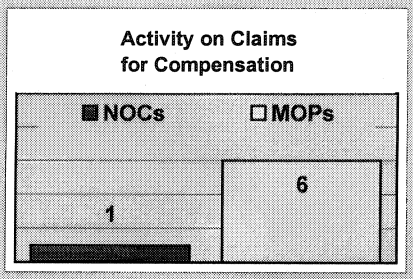
Meadowbrook is a third party administrator that administered claims in 2009 for the following insurer:

Star Insurance Company

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

11%

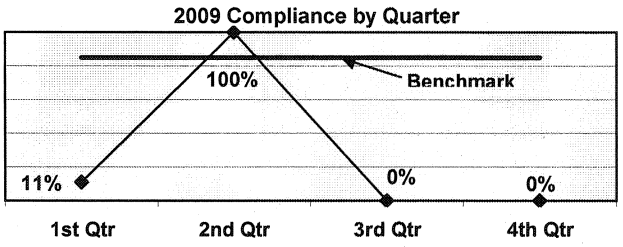
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

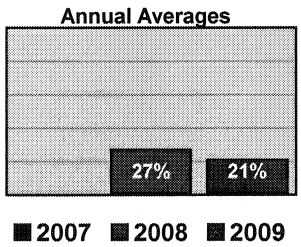
14%

Annual Compliance Report
01/01/2009 - 12/31/2009

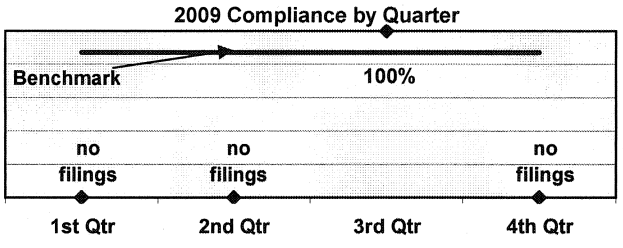
NGM

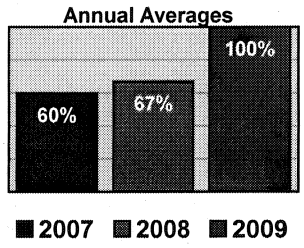
Lost Time First Report Filing Compliance



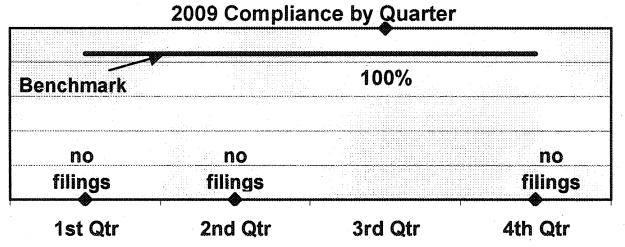


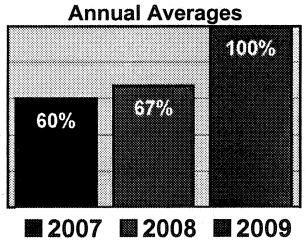
Initial Indemnity Payment Compliance



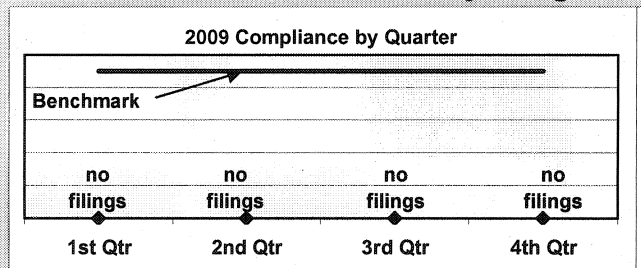


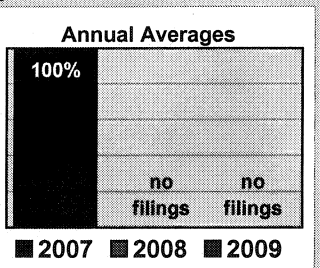
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance



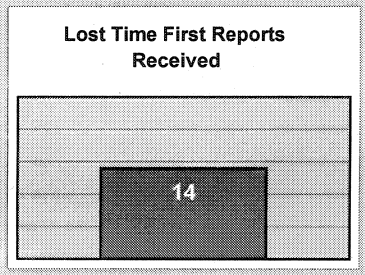


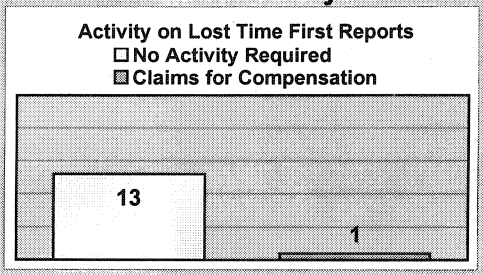
Summary

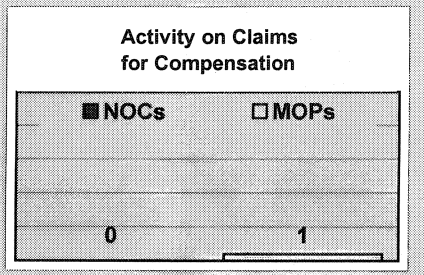
NGM is an insurer that administered its own claims in 2009 under the following rating company:

NGM Insurance Company

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

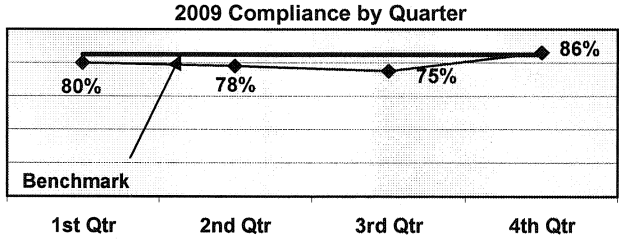
0%

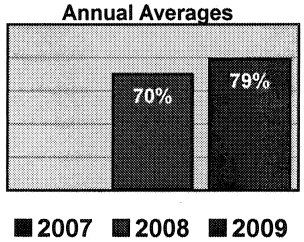
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

0%

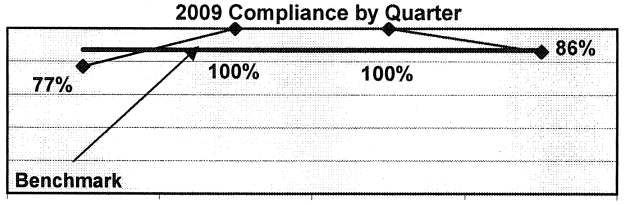
OLD REPUBLIC

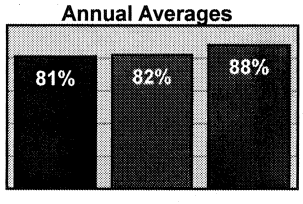
Lost Time First Report Filing Compliance



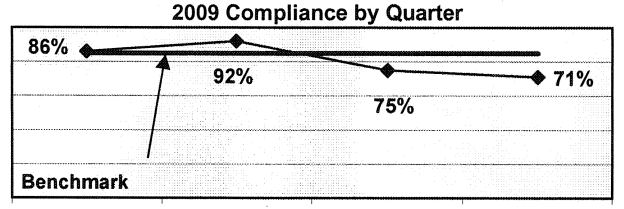


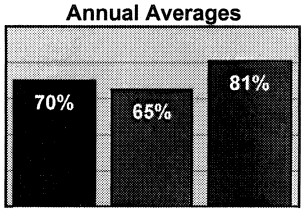
Initial Indemnity Payment Compliance



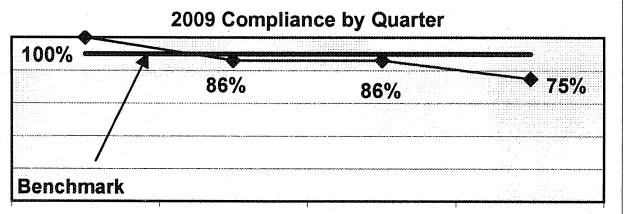


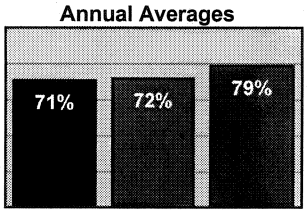
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance





Summary

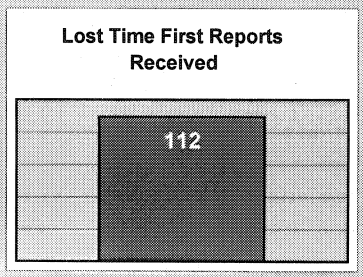
Old Republic is an insurer that used third parties to administer claims under the following rating company:

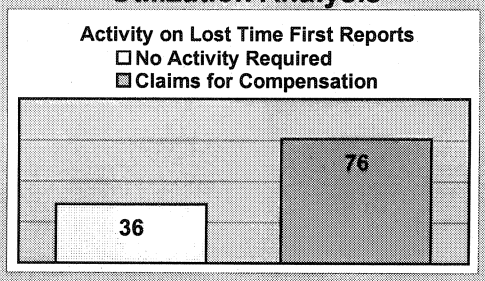
Old Republic Insurance Company

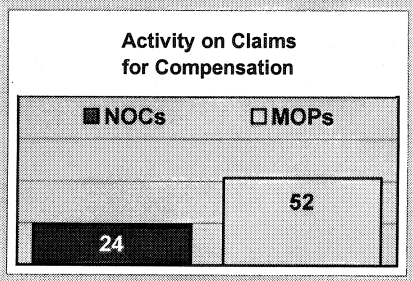
Old Republic used the following third parties in 2009:

Berkley Admins. of Connecticut
Broadspire Services, Inc.
Cambridge Integrated Services
ESIS, Inc.
Gallagher Bassett Services, Inc.
Sedgwick Claims Management
Specialty Risk Services

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

21%

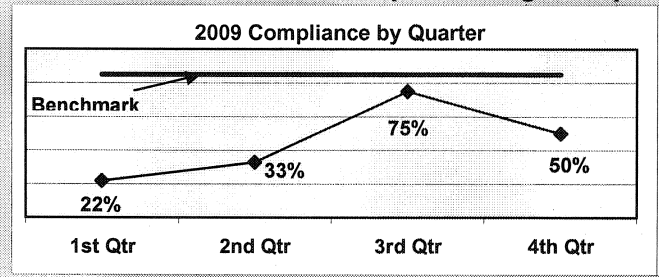
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

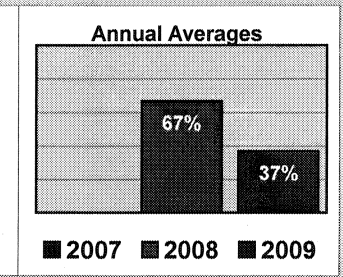
32%

Annual Compliance Report
01/01/2009 - 12/31/2009

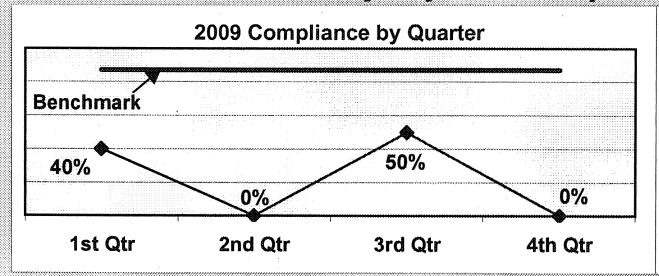
ONEBEACON

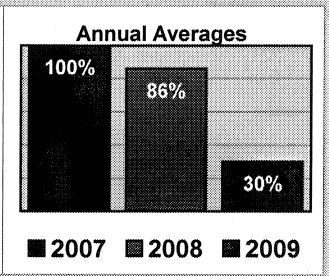
Lost Time First Report Filing Compliance



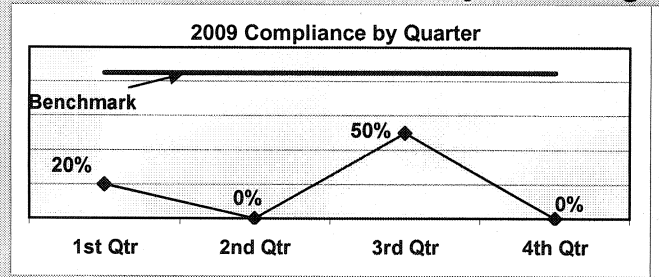


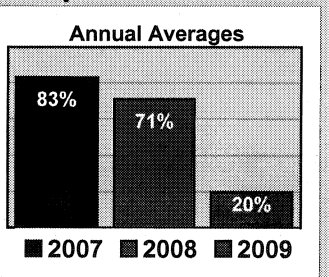
Initial Indemnity Payment Compliance



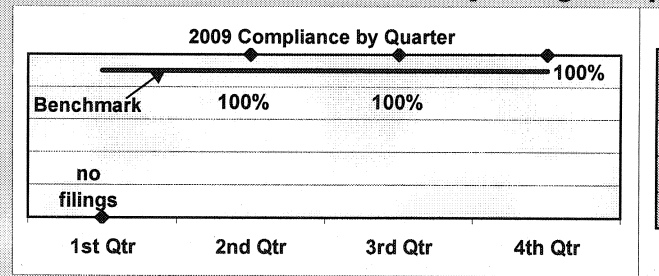


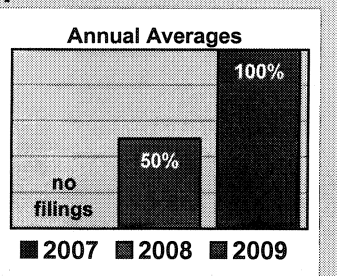
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance



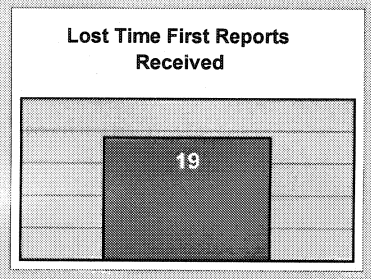


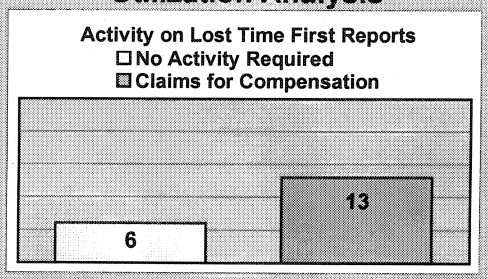
Summary

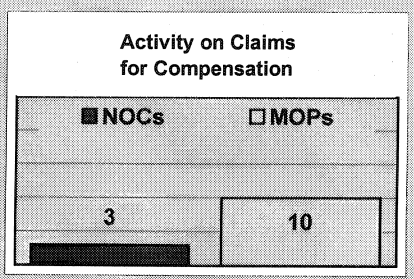
OneBeacon is an insurer that administered its own claims in 2009 under the following rating companies:

Employers Fire Insurance Co.
OneBeacon America Ins. Co.

Utilization Analysis





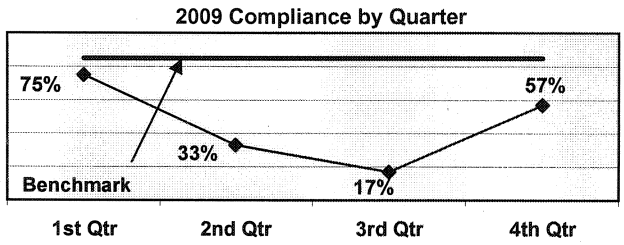


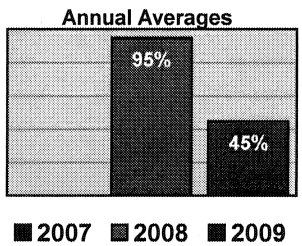
Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)
16%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)
23%

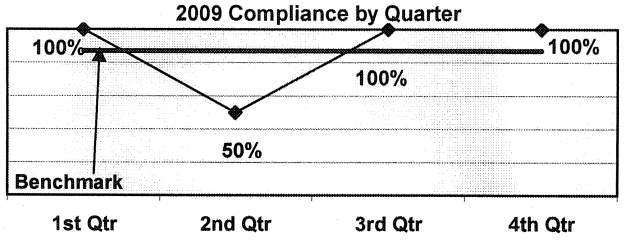
PATRIOT

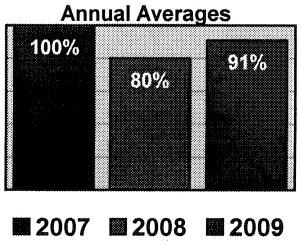
Lost Time First Report Filing Compliance



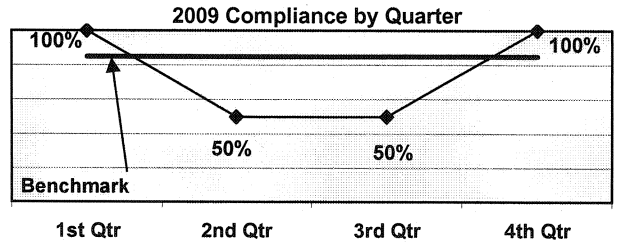


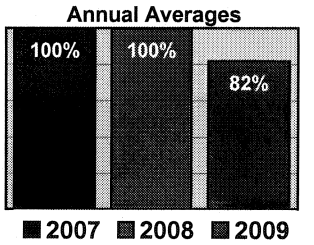
Initial Indemnity Payment Compliance



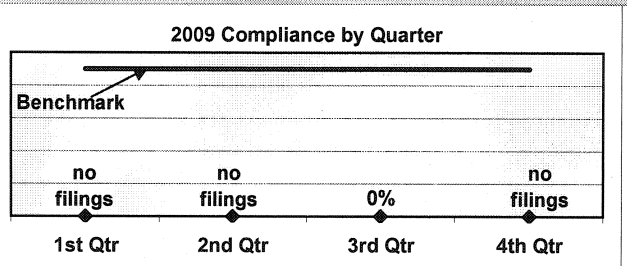


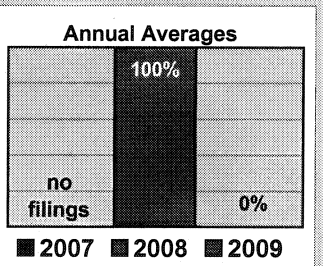
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance



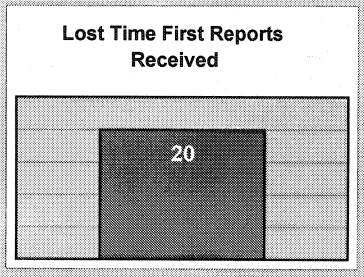


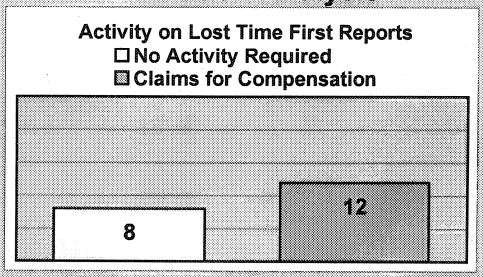
Summary

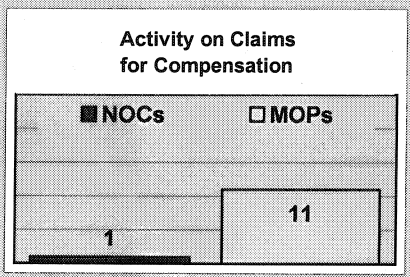
Patriot is an insurer that administered its own claims in 2009 under the following rating companies:

Frankenmuth Mutual Ins. Co.
Patriot Insurance Company

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

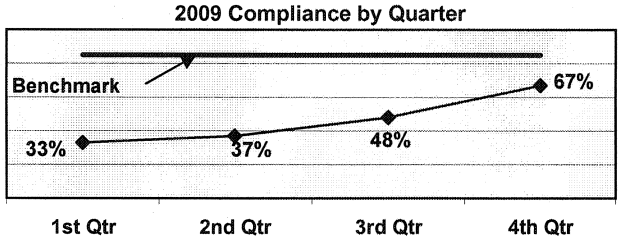
5%

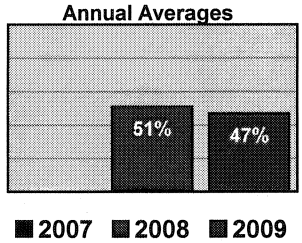
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

8%

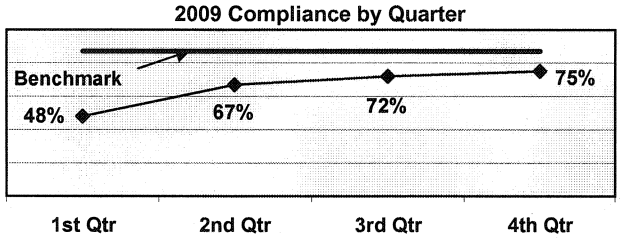
PEERLESS

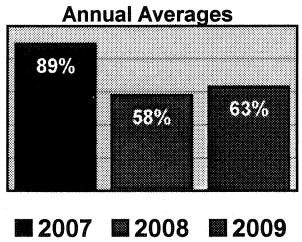
Lost Time First Report Filing Compliance



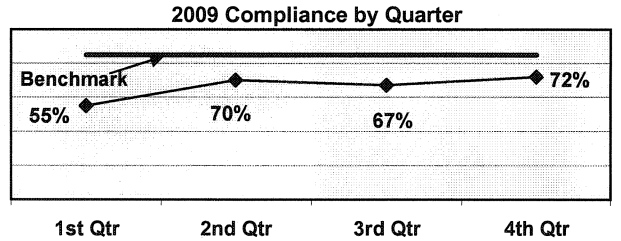


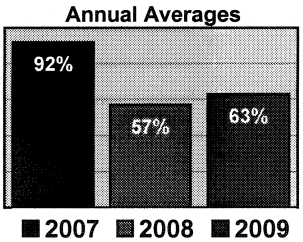
Initial Indemnity Payment Compliance



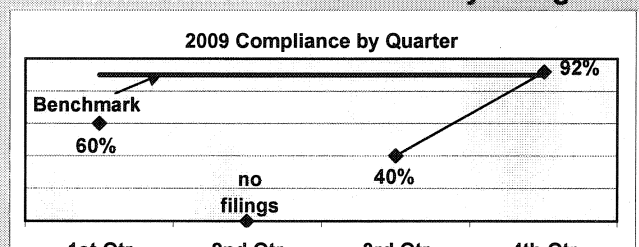


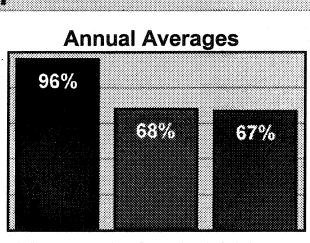
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance



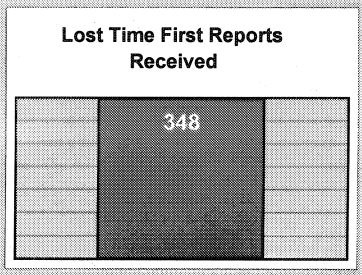


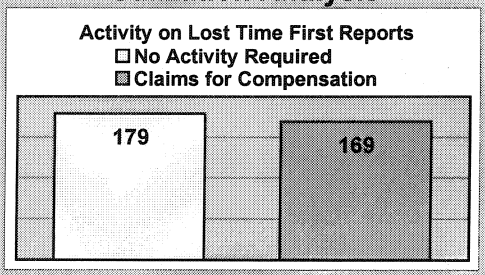
Summary

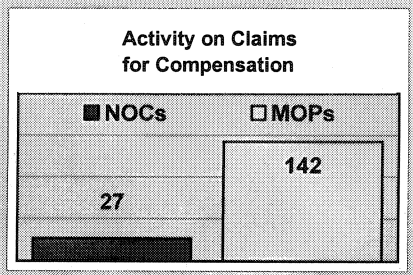
Peerless is an insurer that administered its own claims in 2009 under the following rating companies:

Excelsior Insurance Company
Netherlands Insurance Company
Peerless Insurance Company

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

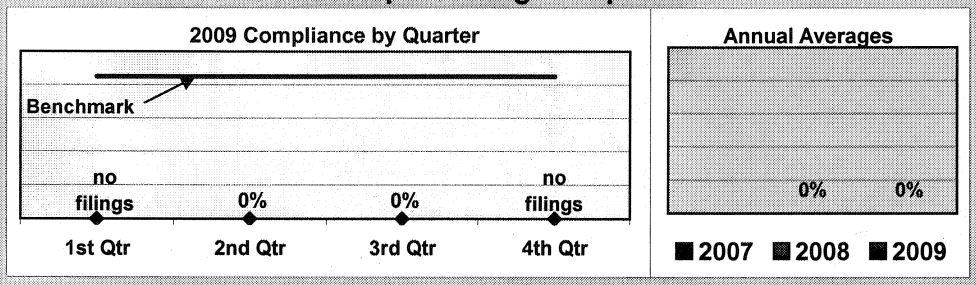
8%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

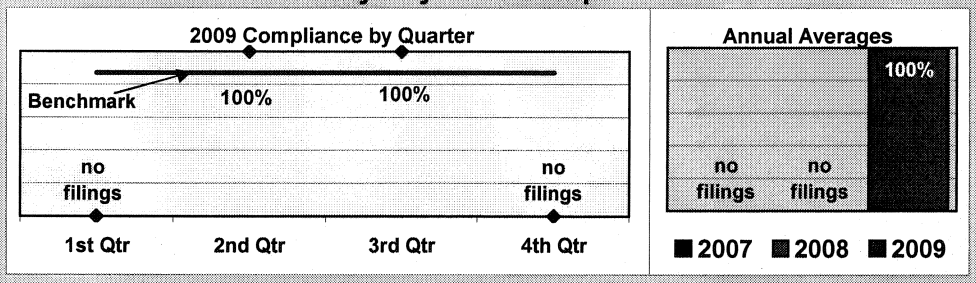
16%

PROTECTIVE

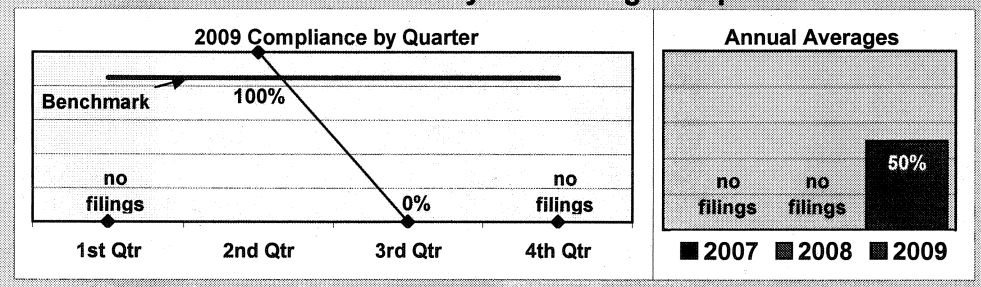
Lost Time First Report Filing Compliance



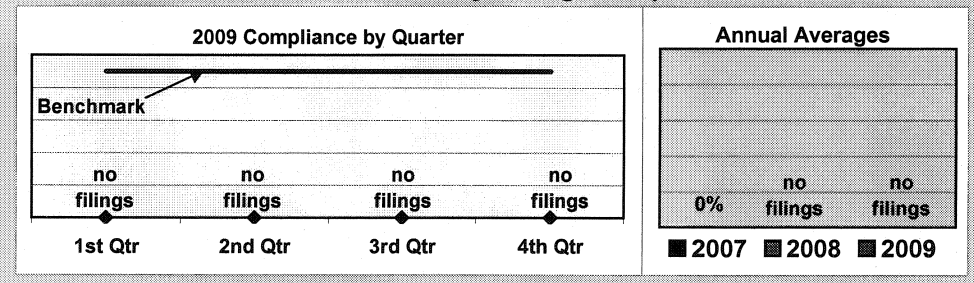
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance

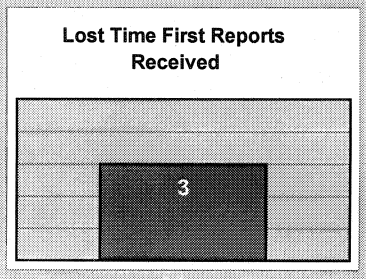


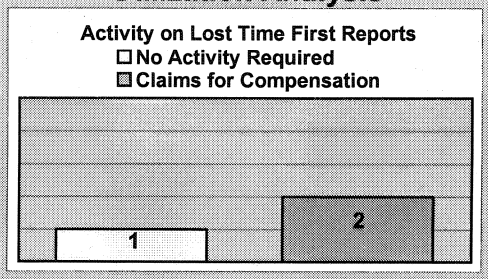
Summary

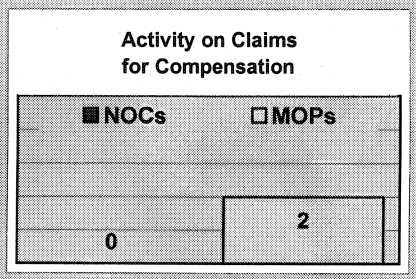
Protective is an insurer that administered its own claims in 2009 under the following rating company:

Protective Insurance Company

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

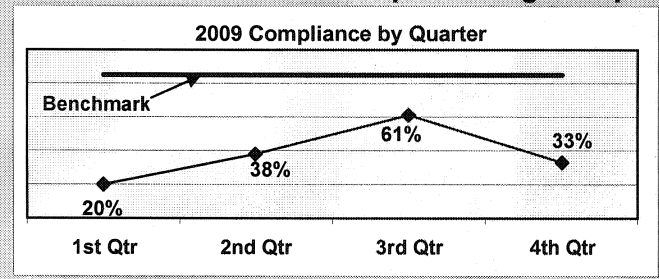
0%

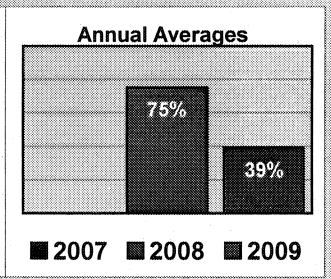
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

0%

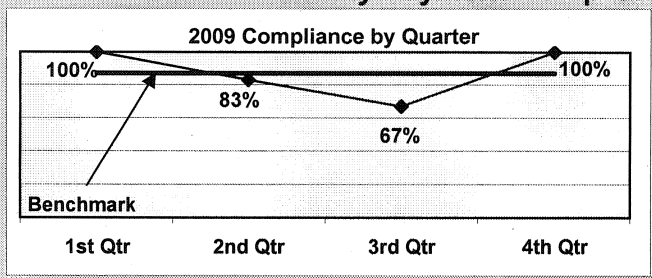
RISK ENTERPRISES MANAGEMENT

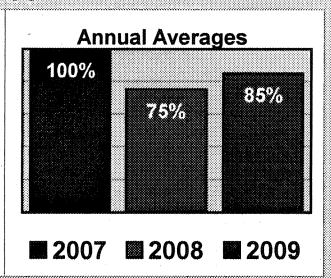
Lost Time First Report Filing Compliance



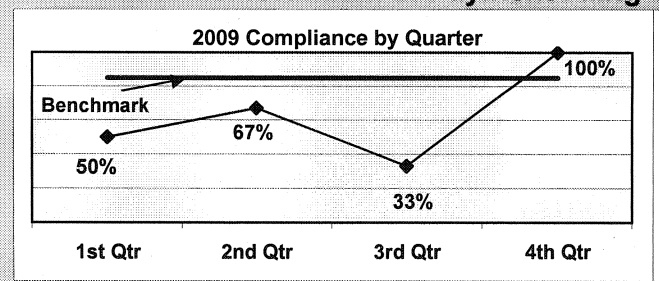


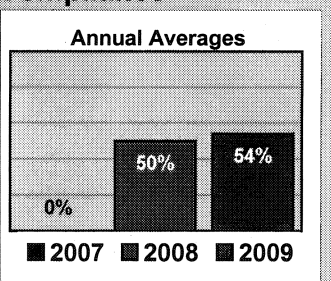
Initial Indemnity Payment Compliance



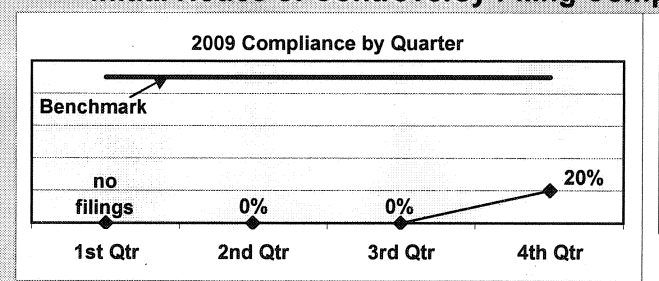


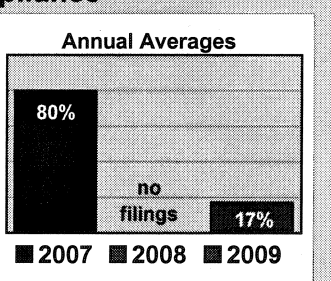
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance





Summary

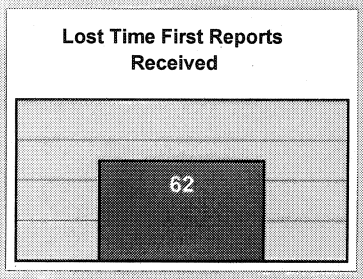
Risk Enterprises Management is a third party administrator that administered claims in 2009 for the following insurers:

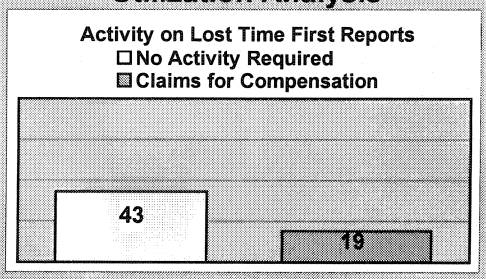
Ace American Insurance Co.
Indemnity Ins. Co. of No. America

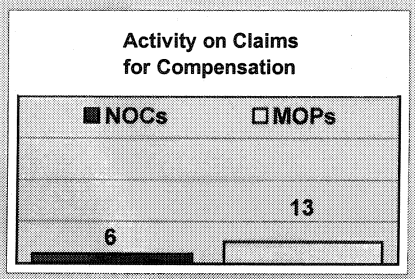
and self-insured employer:

Shaw's Supermarkets Inc.

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

10%

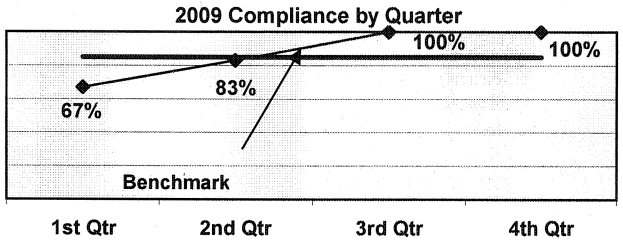
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

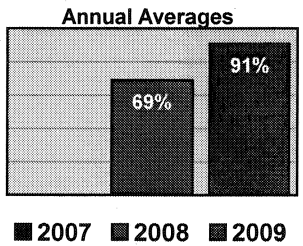
32%

Annual Compliance Report
01/01/2009 - 12/31/2009

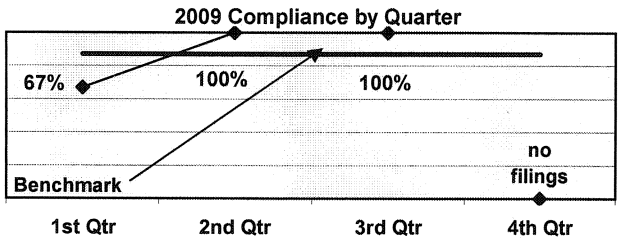
ROMAN CATHOLIC DIOCESE

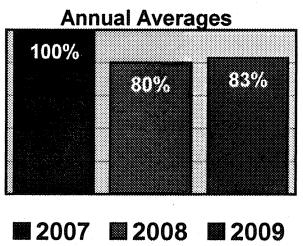
Lost Time First Report Filing Compliance



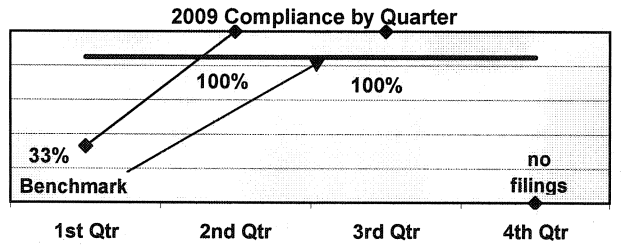


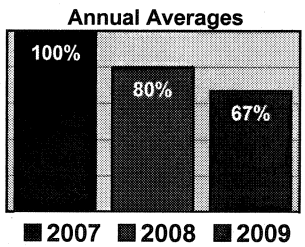
Initial Indemnity Payment Compliance



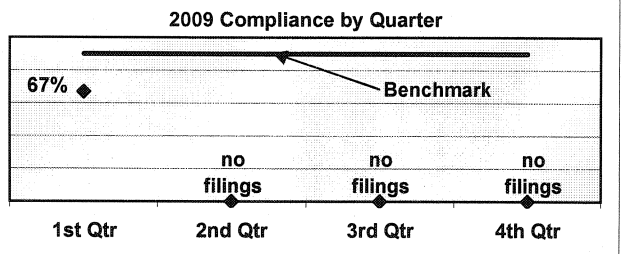


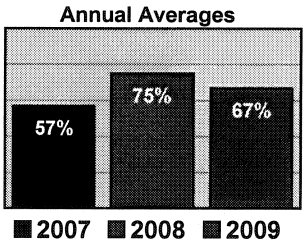
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance



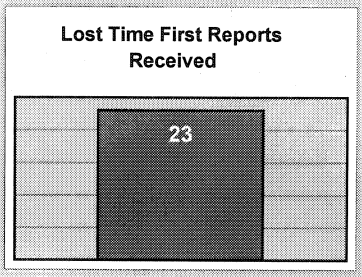


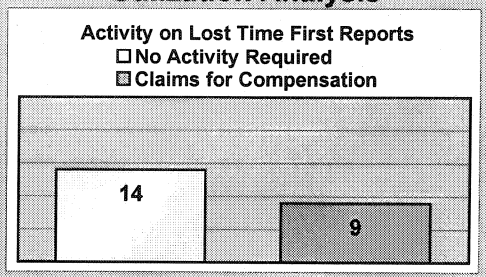
Summary

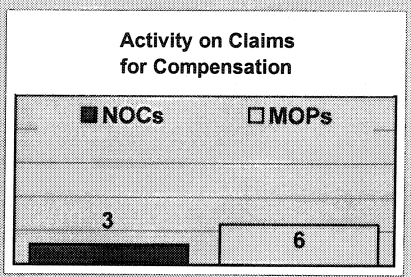
Roman Catholic Diocese is a self-insured employer that administered its own claims in 2009 under the following name:

Roman Catholic Diocese of Ptlnd.

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

13%

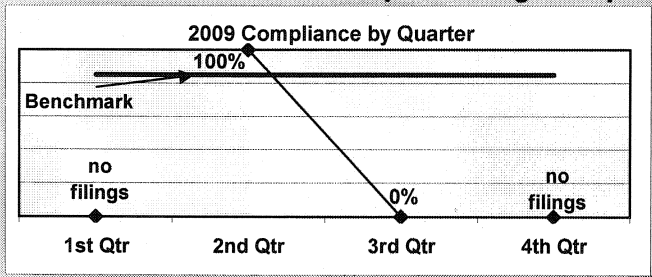
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

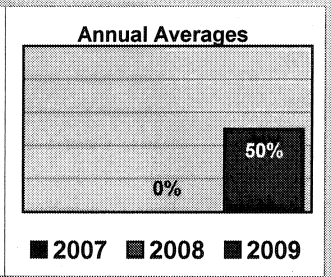
33%

Annual Compliance Report
01/01/2009 - 12/31/2009

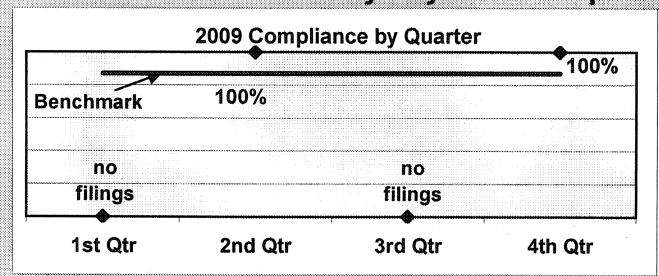
RYDER

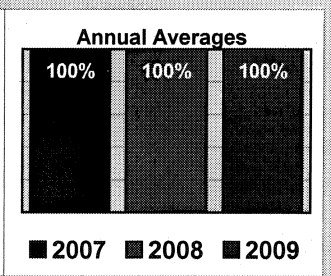
Lost Time First Report Filing Compliance



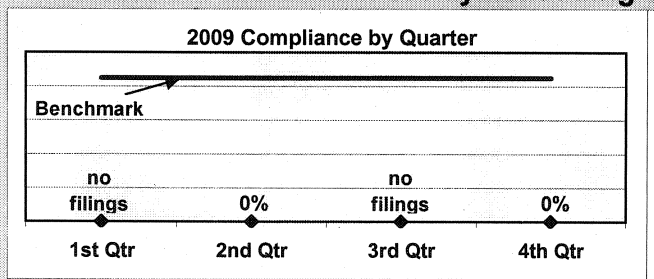


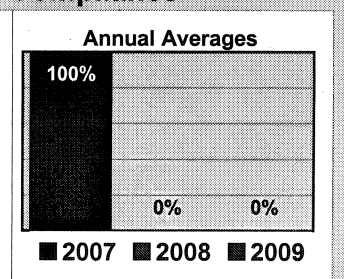
Initial Indemnity Payment Compliance



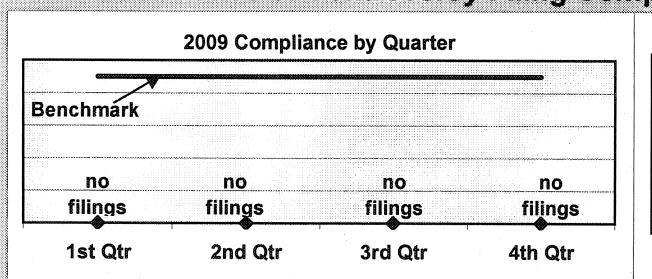


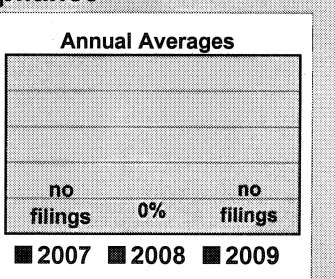
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance



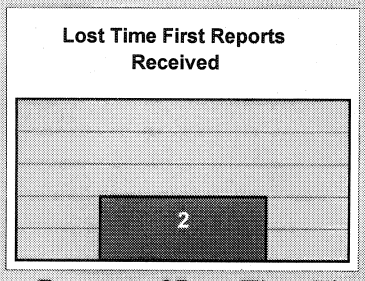


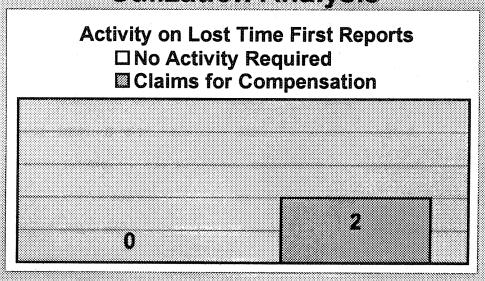
Summary

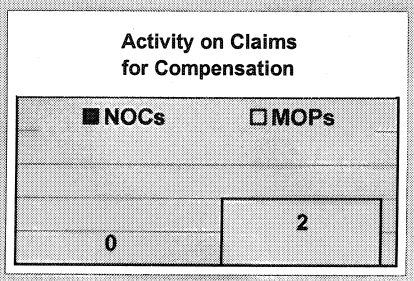
Ryder is a third party administrator that administered claims in 2009 for the following insurer:

Old Republic Insurance Company

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

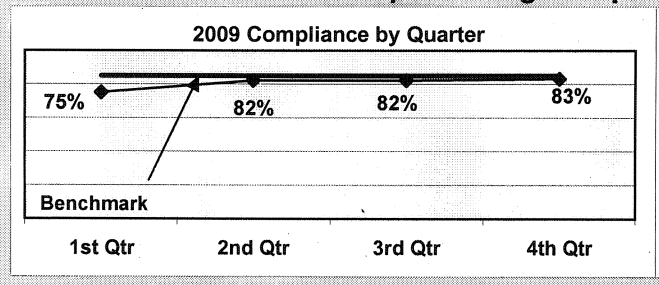
0%

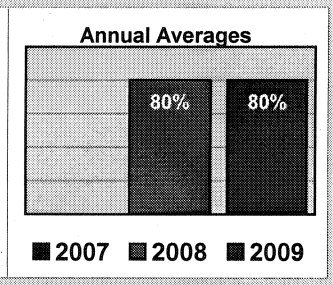
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

0%

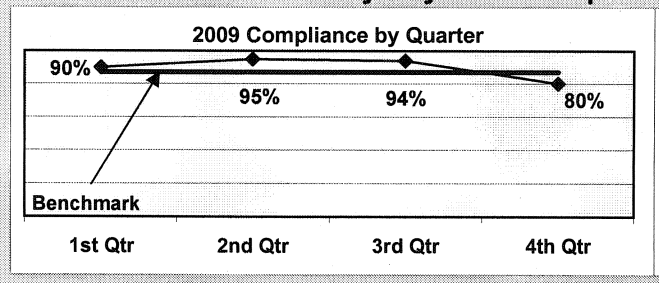
SEDGWICK CLAIMS MANAGEMENT

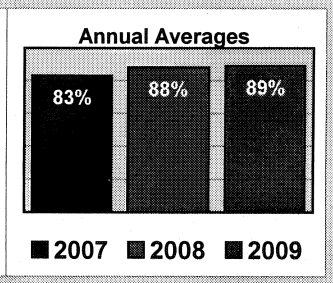
Lost Time First Report Filing Compliance



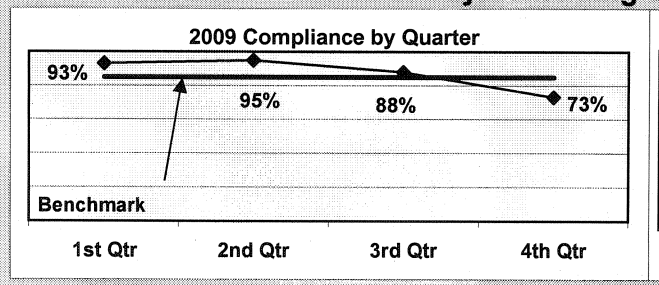


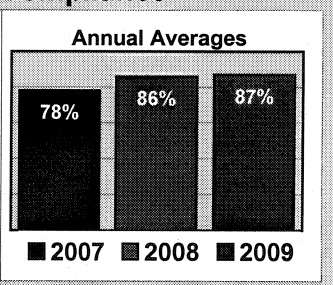
Initial Indemnity Payment Compliance



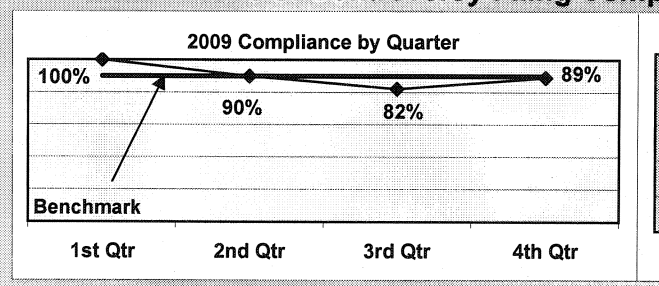


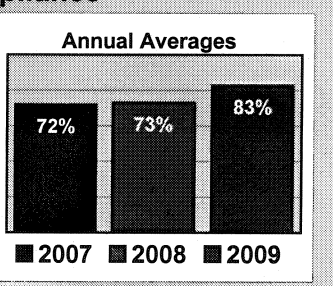
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance





Summary

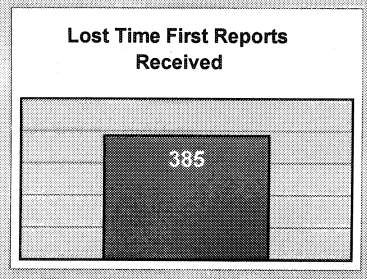
Sedgwick Claims Management is a third party administrator that administered claims in 2009 for the following insurers:

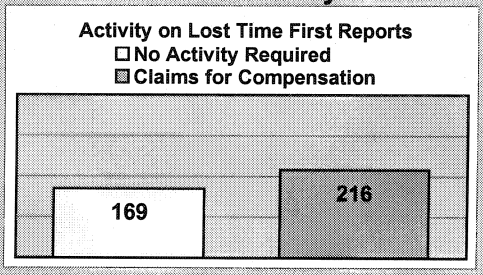
Ace American Insurance Co.
American Home Assurance Co.
American Zurich
Arch Insurance Company
Commerce & Industry Ins. Co.
Electric Insurance Co.
Hartford Ins. Co. of the Midwest
Illinois National Insurance Co.
Indemnity Ins. Co. of No. America
Ins. Co. of the State of PA
National Union Fire Ins. Co. of PA
New Hampshire Insurance Co.
Old Republic Insurance Company
Safety National Casualty Corp.
Twin City Fire Insurance Co.
United States Fidelity & Guaranty
Zurich American Insurance Co.

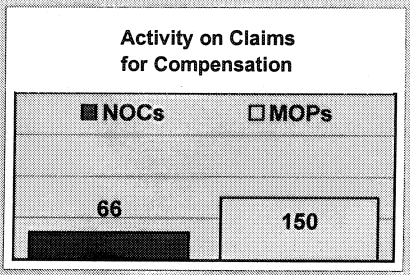
and self-insured employers:

BJME Operating Corporation
Evonik Cyro LLC
Federal Express Corporation
Fraser Paper Limited
Georgia Pacific Corporation
Great Northern Nekoosa Corp.
NewPage Corporation
Shaw's Supermarkets Inc.
Verizon

Utilization Analysis







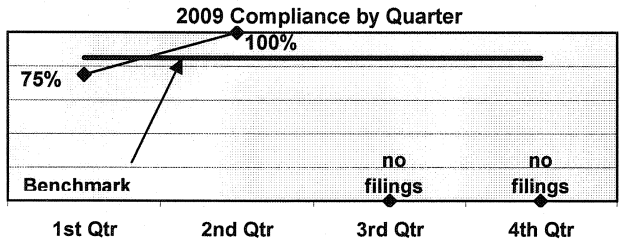
Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)
17%

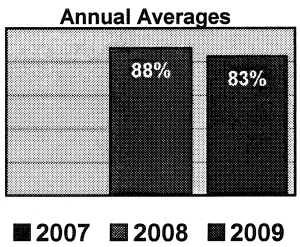
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)
31%

Annual Compliance Report
01/01/2009 - 12/31/2009

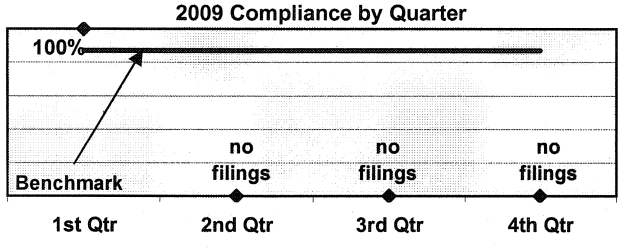
SELECTIVE

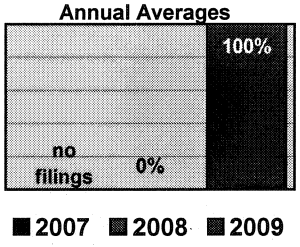
Lost Time First Report Filing Compliance



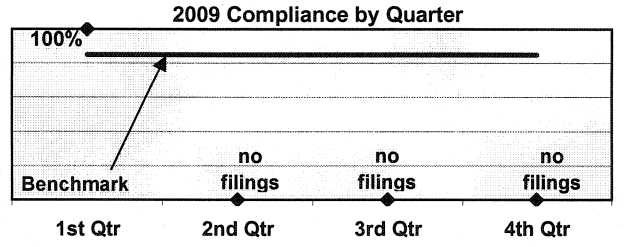


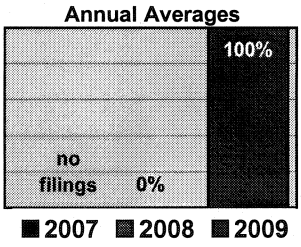
Initial Indemnity Payment Compliance



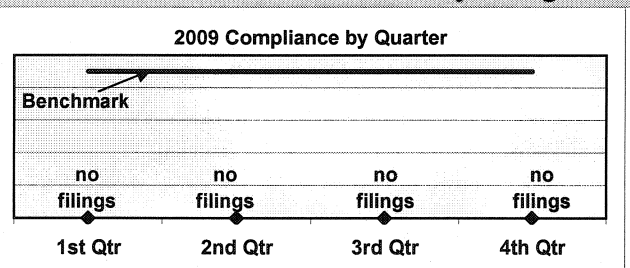


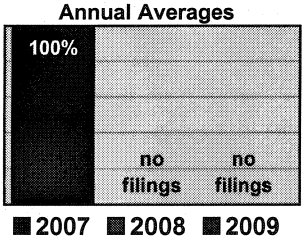
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance



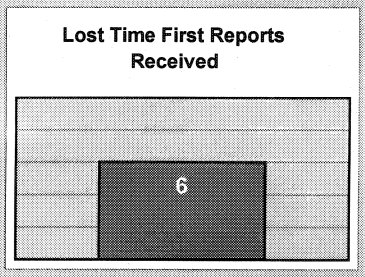


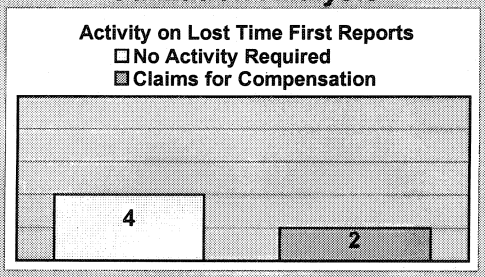
Summary

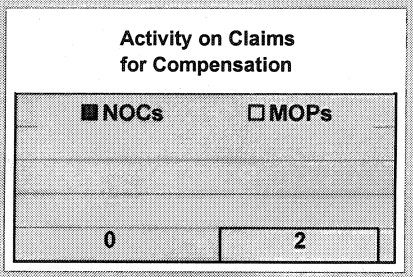
Selective is an insurer that administered its own claims in 2009 under the following rating company:

Selective Ins. Co. of New York

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

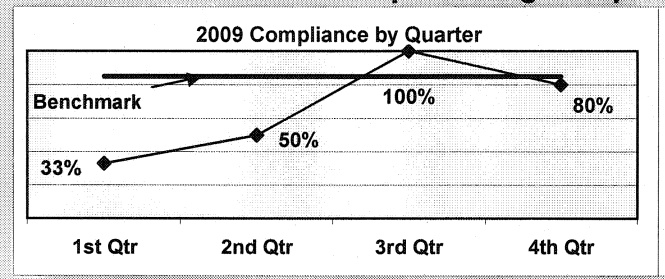
0%

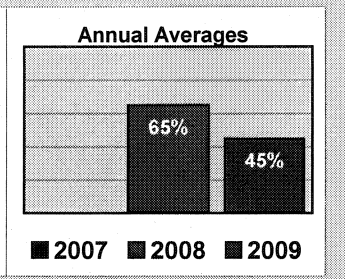
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

0%

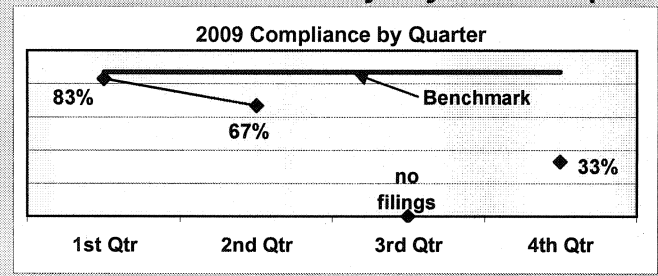
SENTRY

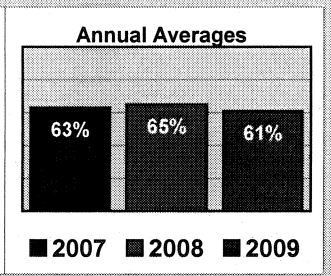
Lost Time First Report Filing Compliance



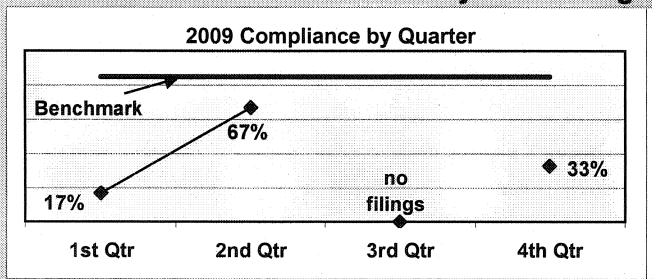


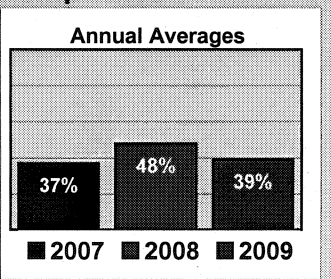
Initial Indemnity Payment Compliance



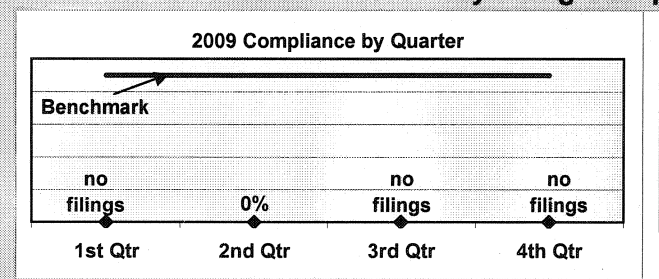


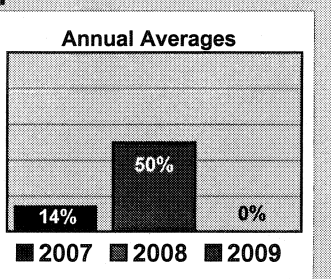
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance



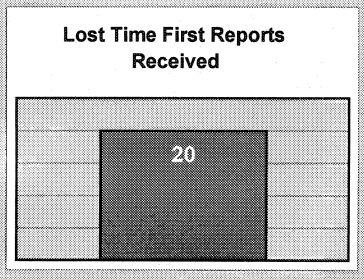


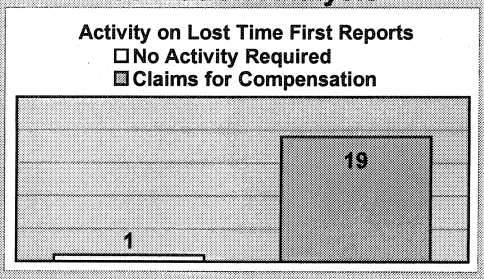
Summary

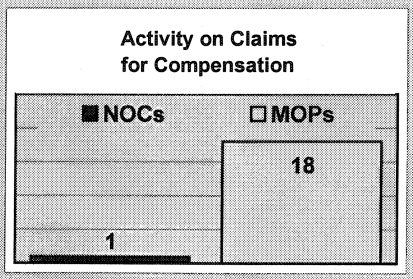
Sentry is an insurer that administered its own claims in 2009 under the following rating companies:

Sentry Insurance A Mutual Co.
Sentry Select Insurance Co.

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

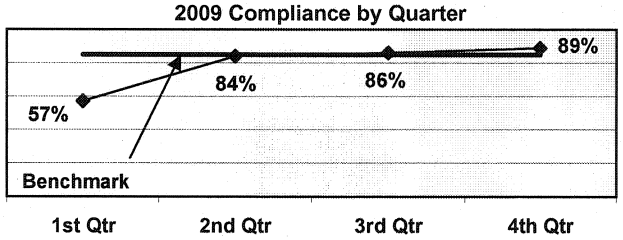
5%

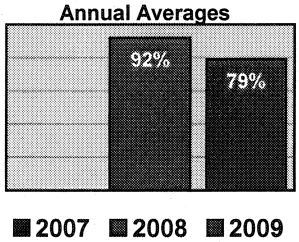
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

5%

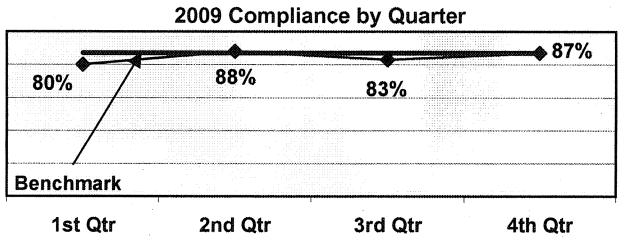
SPECIALTY RISK SERVICES

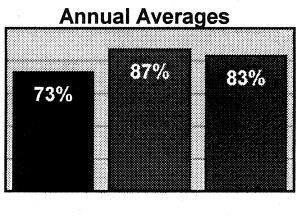
Lost Time First Report Filing Compliance



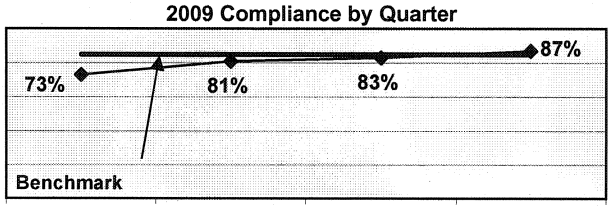


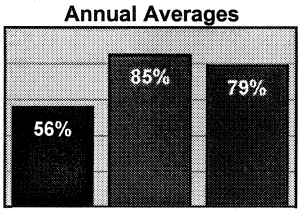
Initial Indemnity Payment Compliance



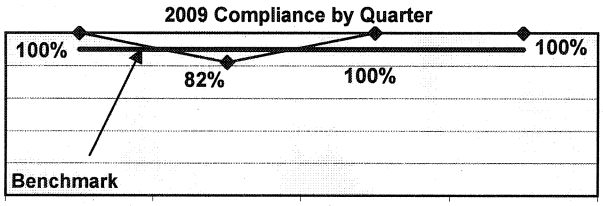


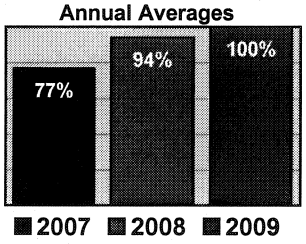
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance





Summary

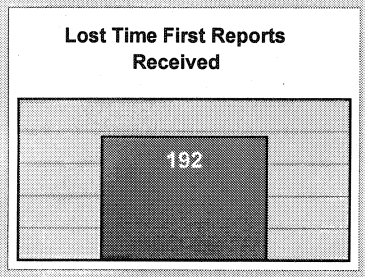
Specialty Risk Services is a third party administrator that administered claims in 2009 for the following insurers:

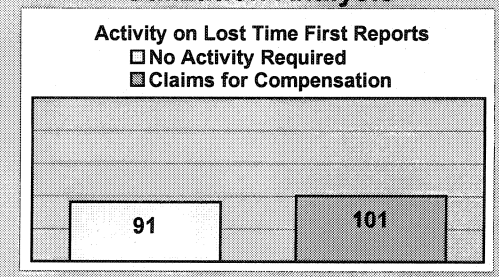
Ace American Insurance Co.
Amer. Casualty Co. of Reading
American Home Assurance Co.
American Zurich
Arch Insurance Company
Fidelity & Guaranty Ins. Co.
Hartford Accident & Indemnity Co.
Hartford Casualty Insurance Co.
Hartford Fire Insurance Company
Hartford Ins. Co. of the Midwest
Indemnity Ins. Co. of No. America
New Hampshire Insurance Co.
Old Republic Insurance Company
Twin City Fire Insurance Co.
Zurich American Insurance Co.

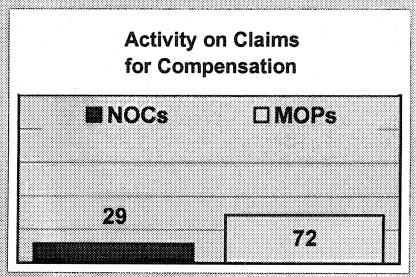
and self-insured employers:

Interface Fabric Group
Shaw's Supermarkets Inc.

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

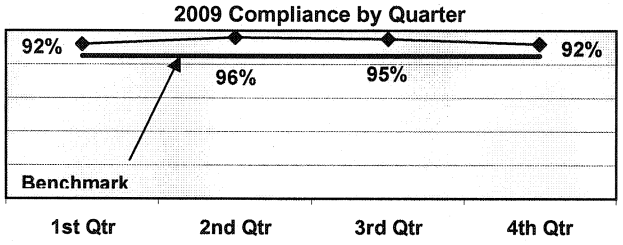
15%

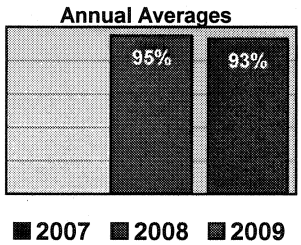
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

29%

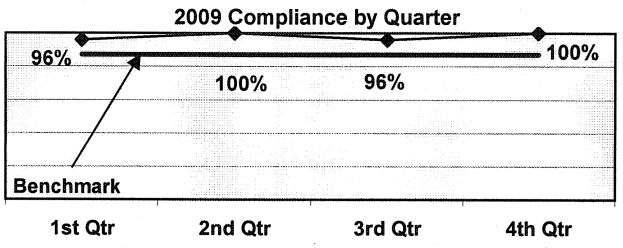
STATE OF MAINE WORKERS' COMP DIVISION

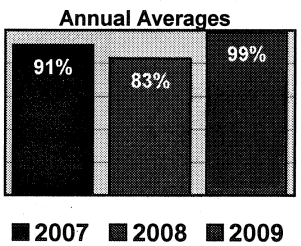
Lost Time First Report Filing Compliance



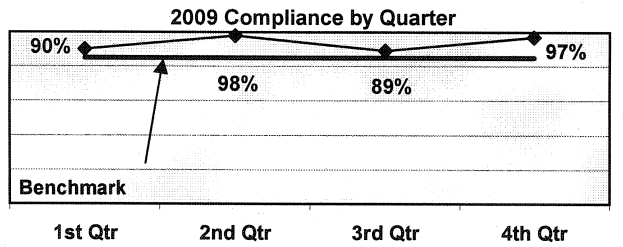


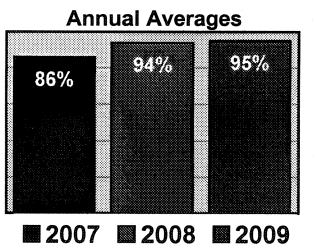
Initial Indemnity Payment Compliance



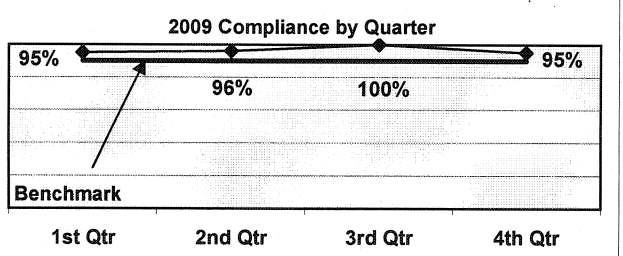


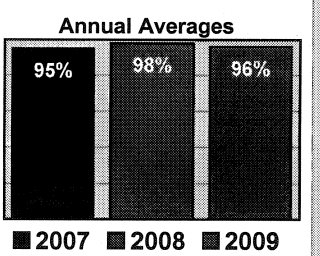
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance





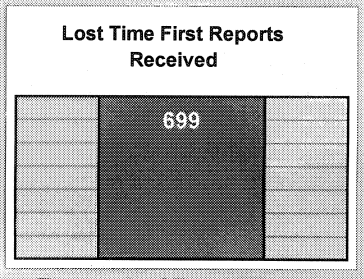
Summary

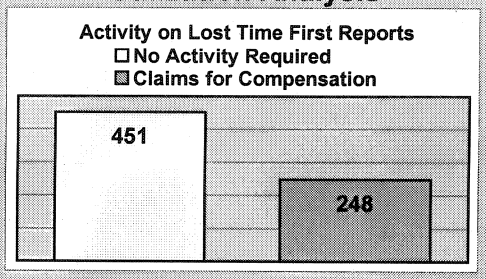
State of Maine Workers' Comp. Division is a self-insured employer that administered its own claims in 2009 under the following name:

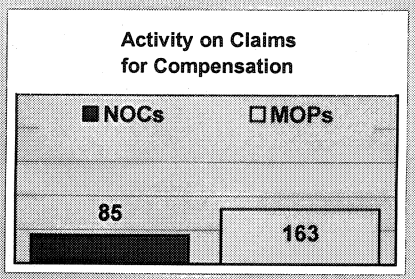
State of ME Workers' Comp. Div.

State of Maine Workers' Comp. Division is a High Compliance Performer for 2009. It has met or exceeded each of the Board's performance benchmarks.

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

12%

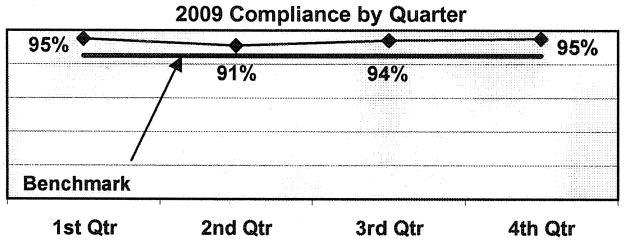
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

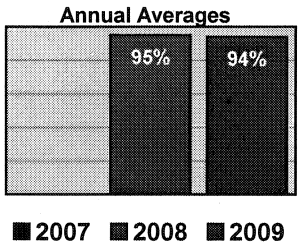
34%

Annual Compliance Report
01/01/2009 - 12/31/2009

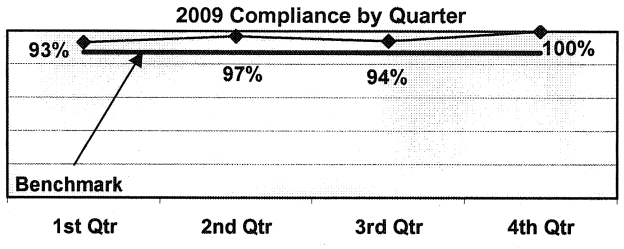
SYNERNET

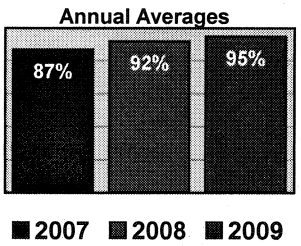
Lost Time First Report Filing Compliance



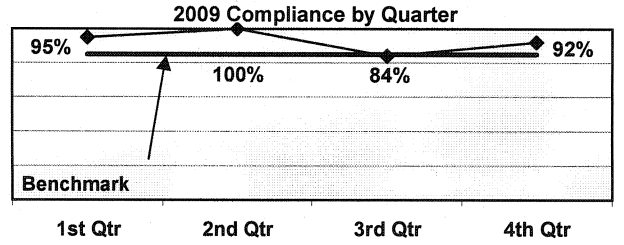


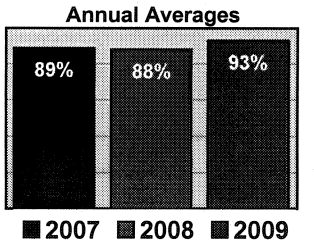
Initial Indemnity Payment Compliance



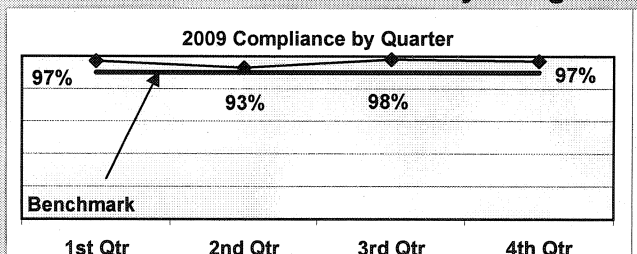


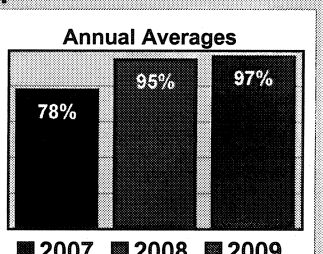
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance





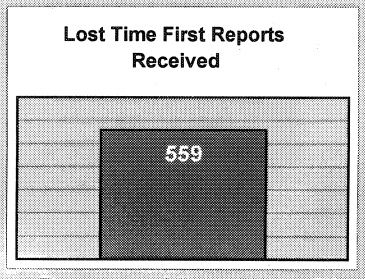
Summary

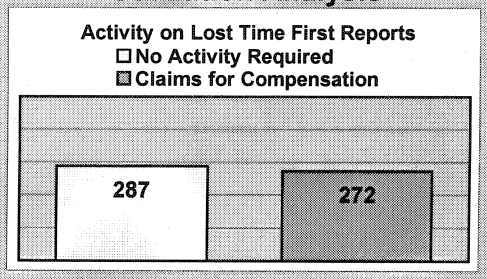
Synernet is a third party administrator that administered claims in 2009 for the following self-insured employers:

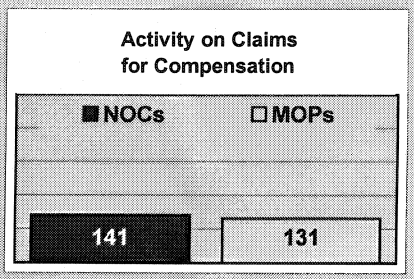
MaineHealth Workers' Comp.
St. Mary's Health System
Synernet Workers' Comp. Fund

Synernet is a High Compliance Performer for 2009. It has met or exceeded each of the Board's performance benchmarks.

Utilization Analysis





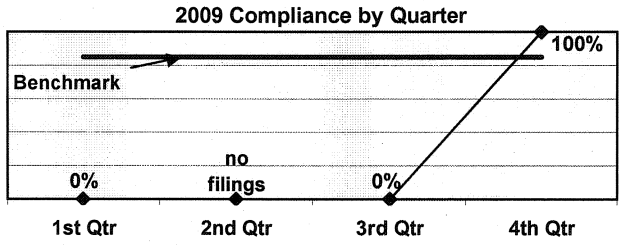


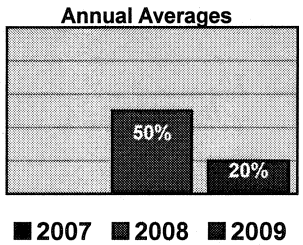
Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)
25%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)
52%

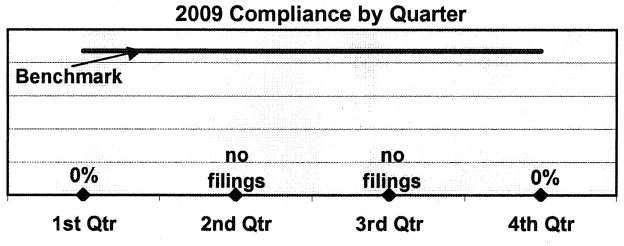
THE FRANK GATES SERVICE COMPANY

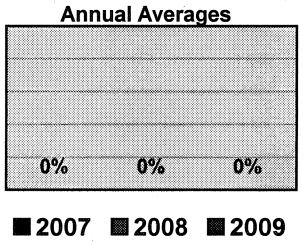
Lost Time First Report Filing Compliance



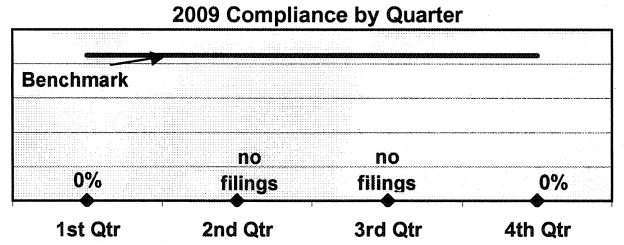


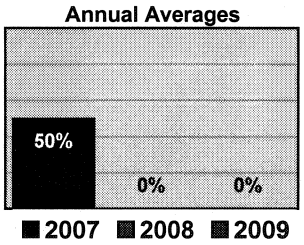
Initial Indemnity Payment Compliance



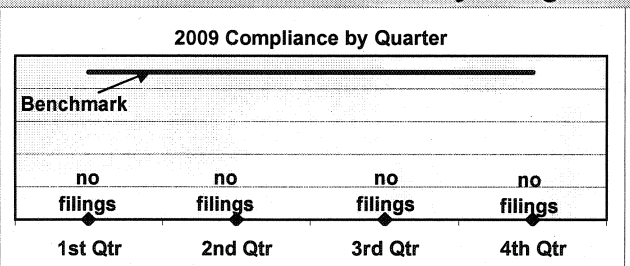


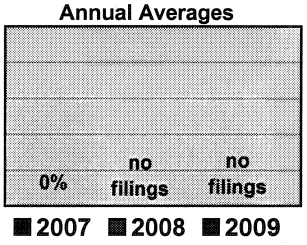
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance



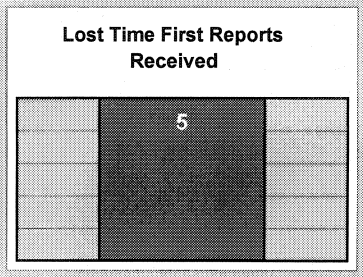


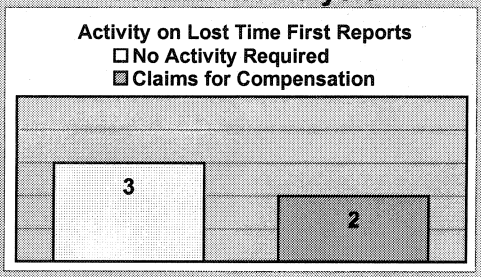
Summary

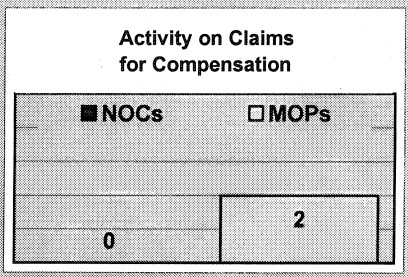
The Frank Gates Service Company is a third party administrator that administered claims in 2009 for the following insurers:

Discover Property & Cas. Ins. Co.
Fidelity & Guaranty Ins. Co.
Hartford Fire Insurance Company
United States Fidelity & Guaranty

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

0%

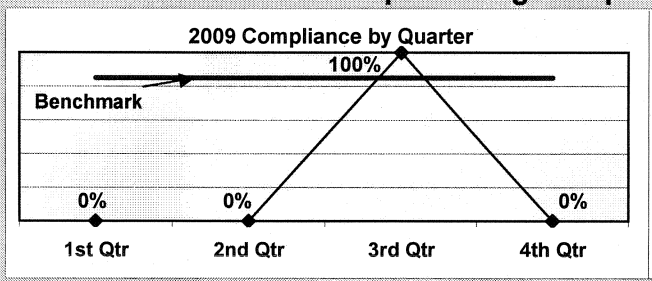
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

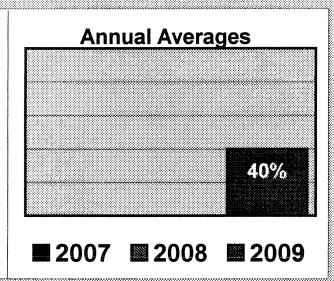
0%

Annual Compliance Report
01/01/2009 - 12/31/2009

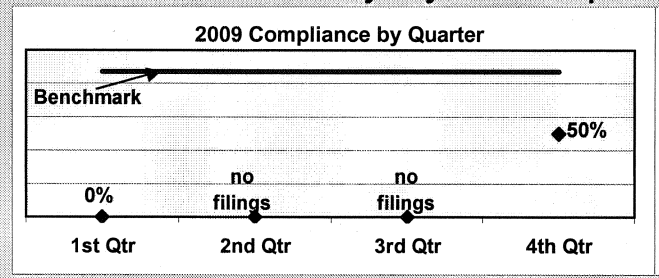
TOKIO MARINE

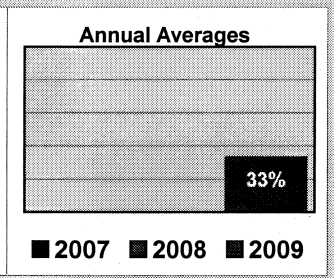
Lost Time First Report Filing Compliance



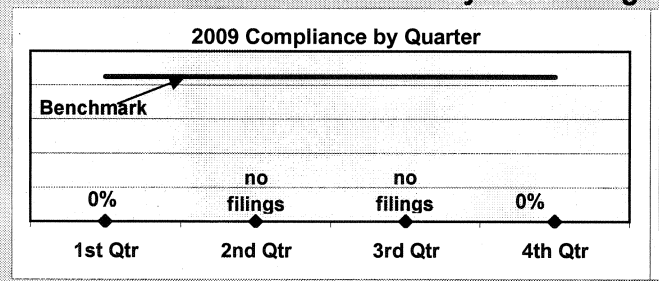


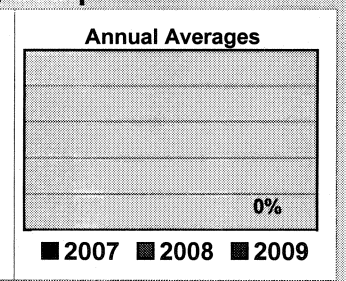
Initial Indemnity Payment Compliance



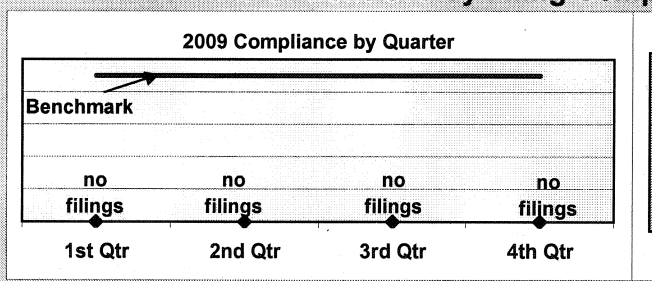


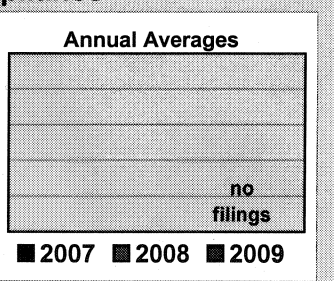
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance



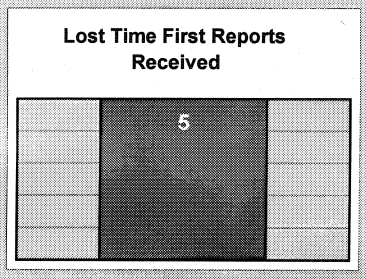


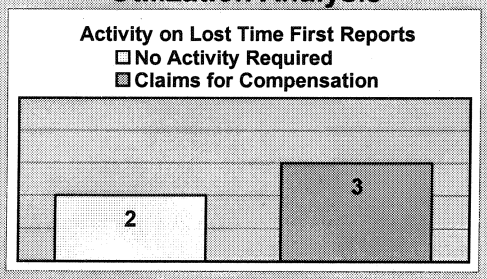
Summary

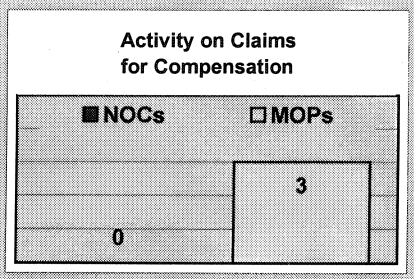
Tokio Marine is an insurer that administered its own claims in 2009 under the following rating company:

Tokio Marine & Fire Insurance Co.

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

0%

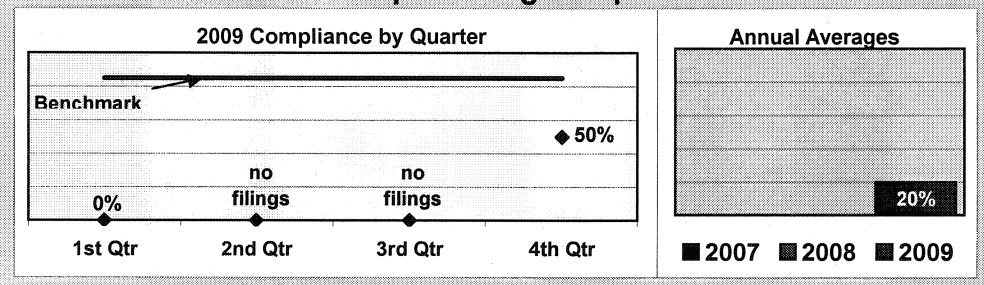
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

0%

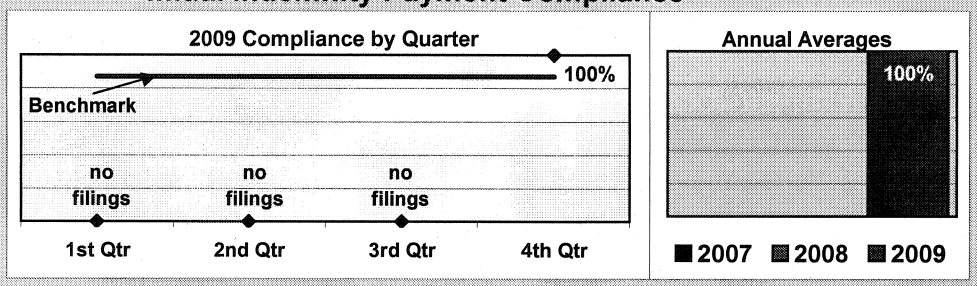
Annual Compliance Report
01/01/2009 - 12/31/2009

TOWER

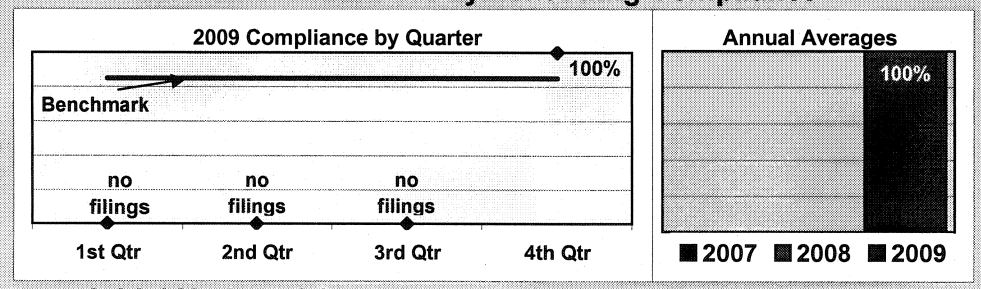
Lost Time First Report Filing Compliance



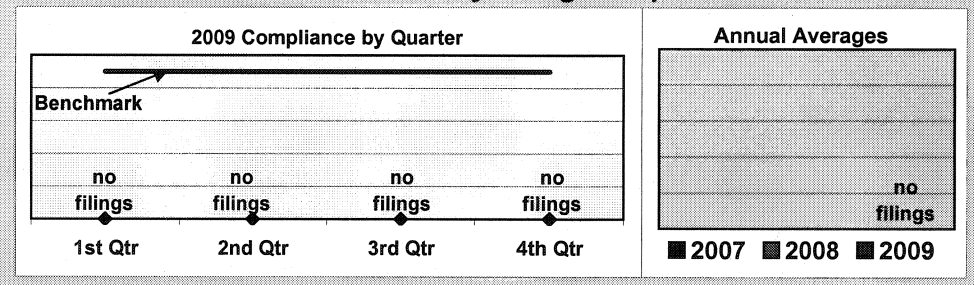
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance

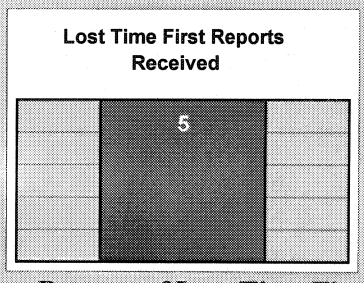


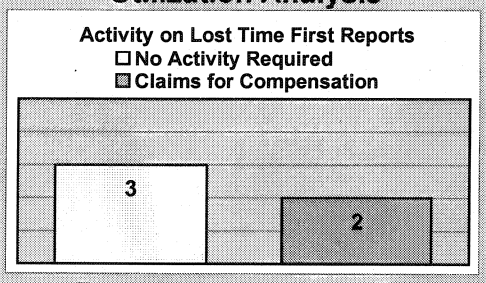
Summary

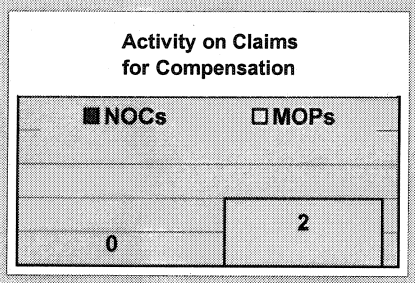
Tower is an insurer that administered its own claims in 2009 under the following rating company:

Tower Insurance Co. of NY

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

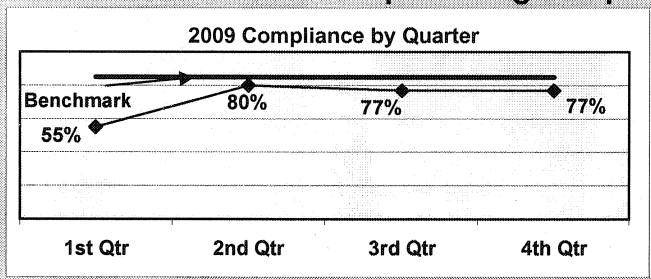
0%

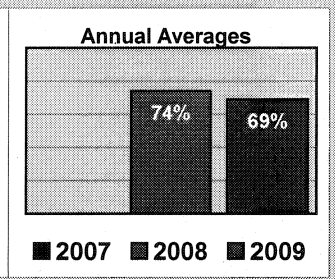
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

0%

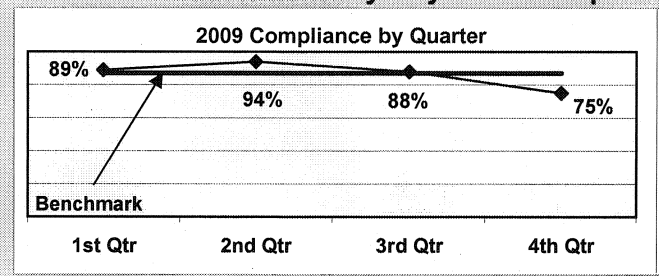
TRAVELERS

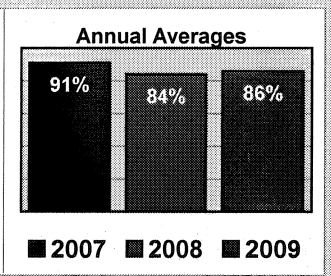
Lost Time First Report Filing Compliance



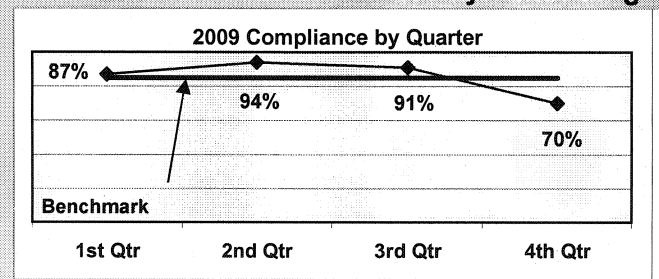


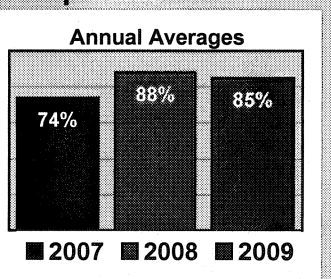
Initial Indemnity Payment Compliance



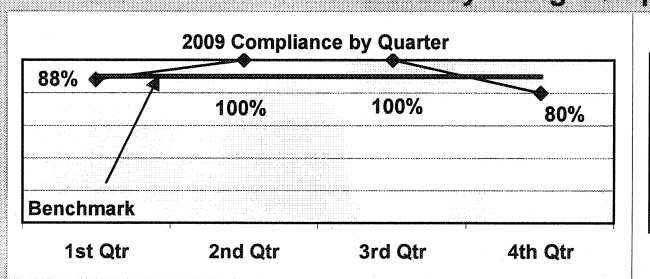


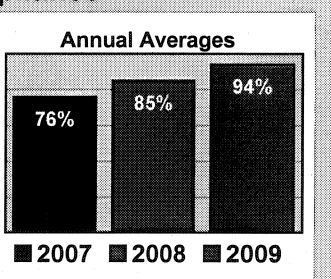
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance





Summary

Travelers is an insurer that administered its own claims in 2009 and used third parties to administer claims under the following rating companies:

Charter Oak Fire Insurance
Discover Property & Cas. Ins. Co.
Fidelity & Guaranty Ins. Co.
Phoenix Insurance
St. Paul Fire & Marine Ins. Co.
St. Paul Guardian Ins. Company
Standard Fire Insurance Co.
Travelers Casualty & Surety Co.
Travelers Cas. Ins. Co. of Amer.
Travelers Indemnity Co. of Amer.
Travelers Indemnity Co. of CT
Travelers Insurance Company
Travelers Property Casualty Co.
United States Fidelity & Guaranty

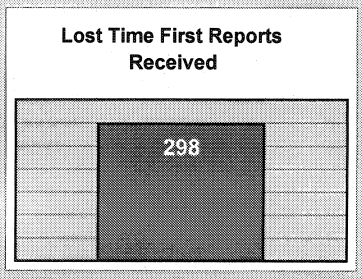
and self-insured employer:

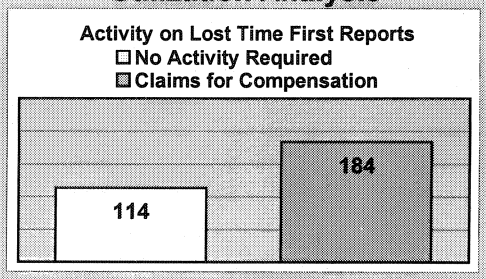
National Semi Conductor

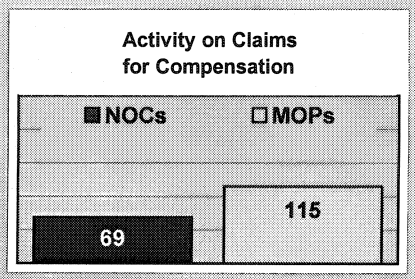
Travelers used the following third parties in 2009:

Broadspire Services, Inc.
Cambridge Integrated Services
Gallagher Bassett Services, Inc.
Helmsman Management Services
Sedgwick Claims Management
Specialty Risk Services
Trident Insurance Services

Utilization Analysis





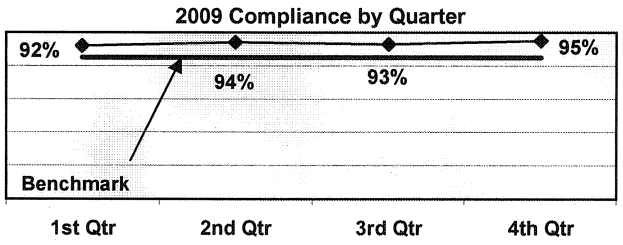


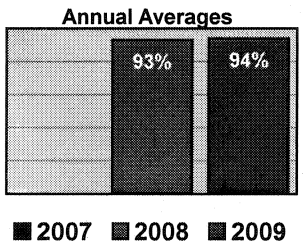
Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)
23%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)
38%

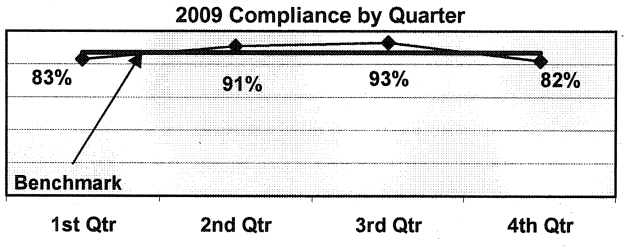
WILLIS (Formerly HRH)

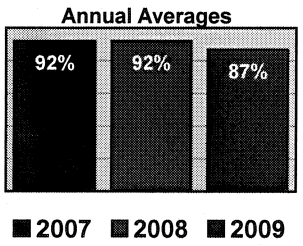
Lost Time First Report Filing Compliance



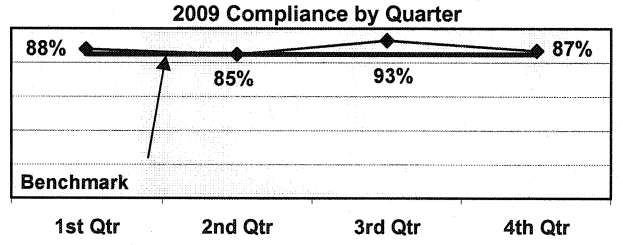


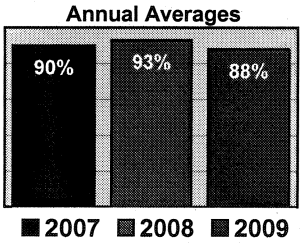
Initial Indemnity Payment Compliance



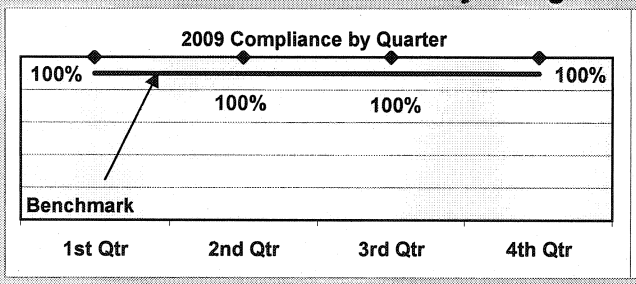


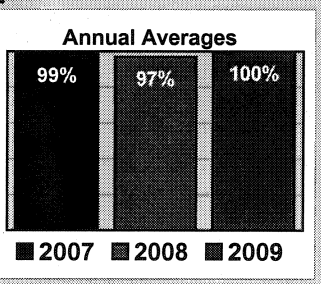
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance





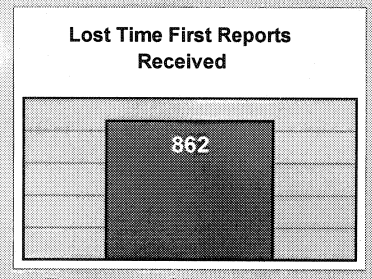
Summary

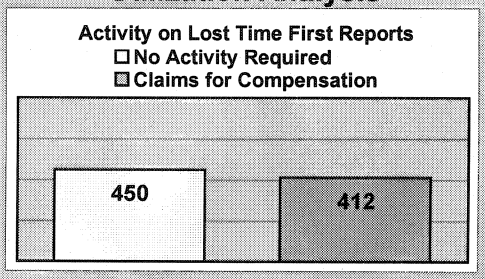
Willis is a third party administrator that administered claims in 2009 for the following self-insured employers:

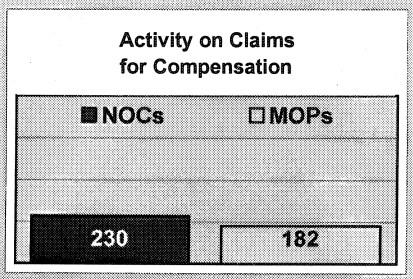
Central Maine Power Company
Construction Svs. Group Trust
Distributors Suppliers Group Trust
Eastern Maine Group
Forest Products Group Trust
Hussey Seating Company
Maine Oil Dealers Association
Me. Chamber of Comm. & Industry
Mfg. of Maine Group Trust
Parker Hannifin Corporation
Pioneer Plastics Corporation
Social Services & Education

Willis is a High Compliance Performer for 2009. It has met or exceeded each of the Board's performance benchmarks.

Utilization Analysis





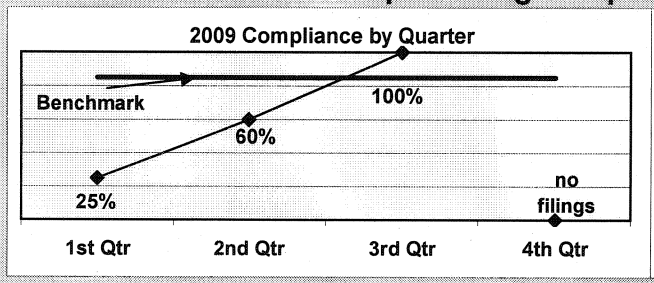


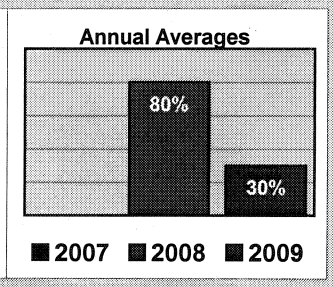
Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)
27%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)
56%

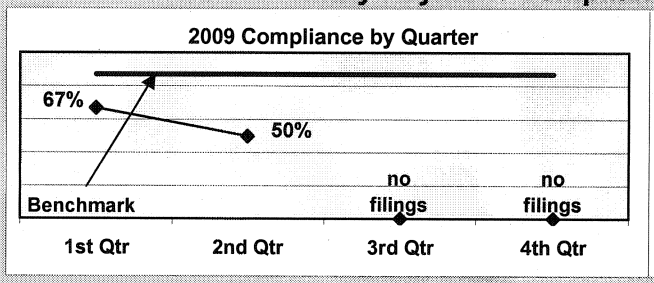
XL SPECIALTY

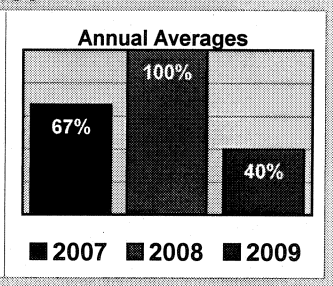
Lost Time First Report Filing Compliance



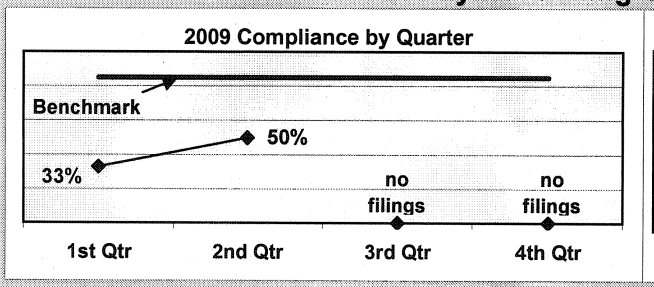


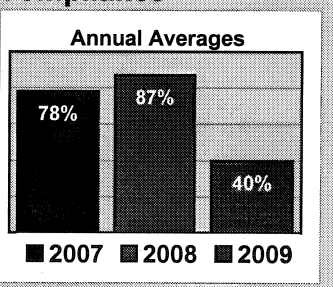
Initial Indemnity Payment Compliance



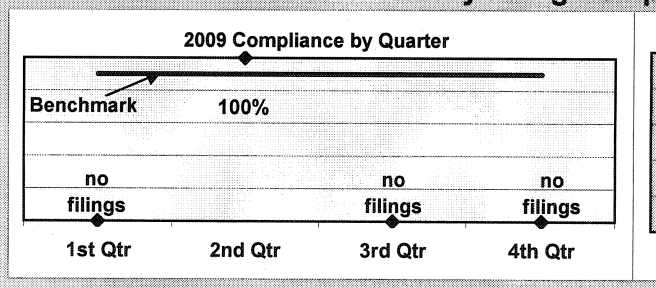


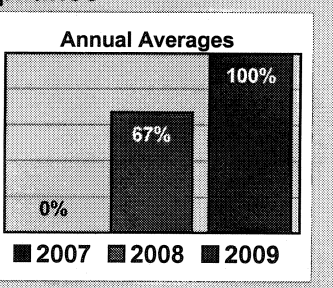
Initial Memorandum of Payment Filing Compliance





Initial Notice of Controversy Filing Compliance





Summary

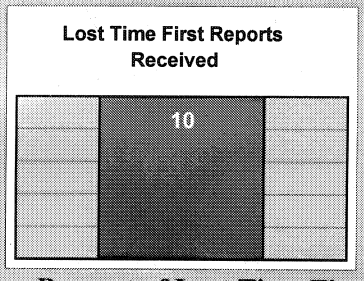
XL Specialty is an insurer that used third parties to administer claims under the following rating company:

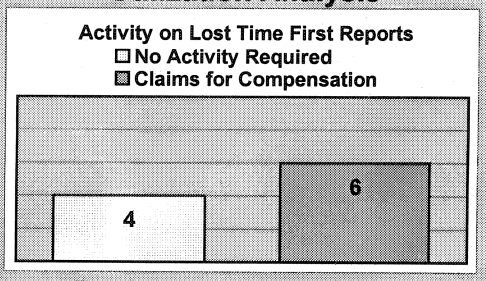
XL Specialty Insurance Company

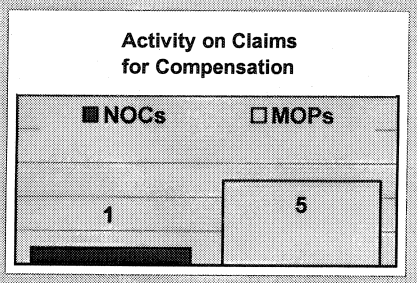
XL Specialty used the following third parties in 2009:

GAB Robins
Gallagher Bassett Services, Inc.

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

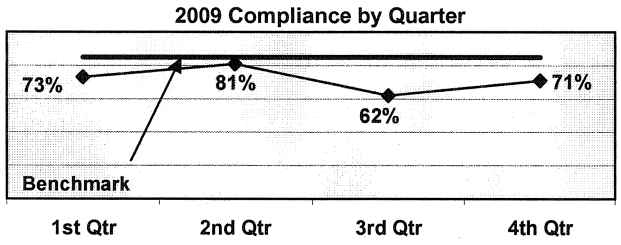
10%

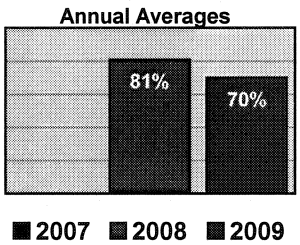
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

17%

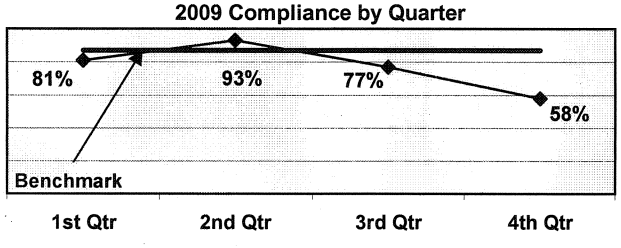
ZURICH

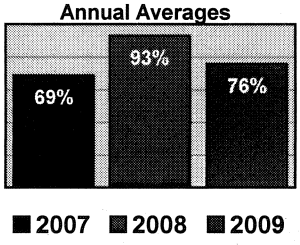
Lost Time First Report Filing Compliance





Initial Indemnity Payment Compliance





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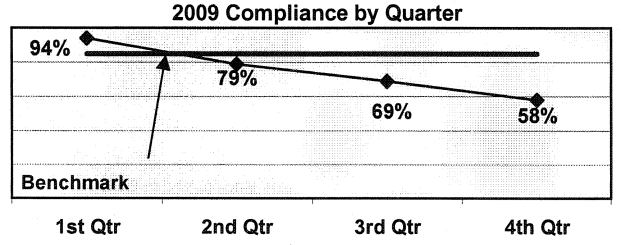
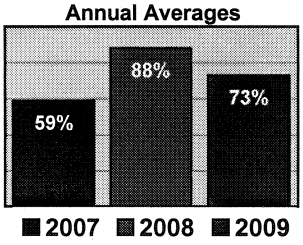
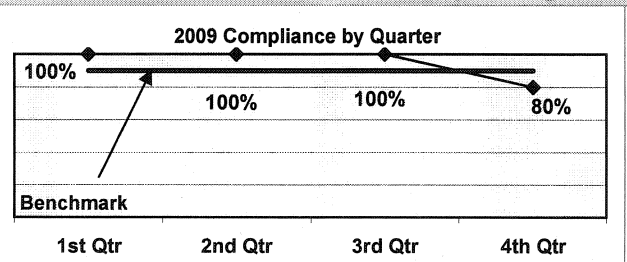
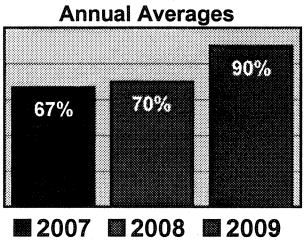




Initial Notice of Controversy Filing Compliance





Summary

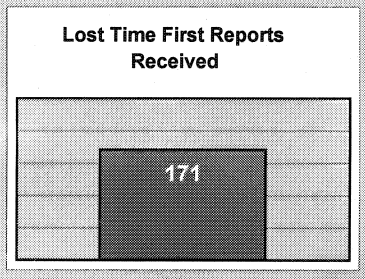
Zurich is an insurer that administered its own claims in 2009 and used third parties to administer claims under the following rating companies:

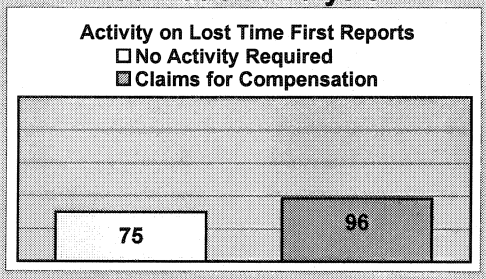
American Guaranty & Liability Co.
American Zurich
Maryland Casualty Co.
Zurich American Insurance Co.

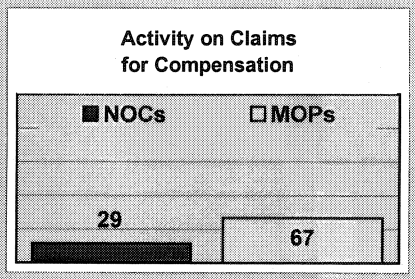
Zurich used the following third parties in 2009:

Broadspire Services, Inc.
Cannon Cochran Mgmnt. Svs., Inc.
Chesterfield Services, Inc.
ESIS, Inc.
Gallagher Bassett Services, Inc.
Sedgwick Claims Management
Specialty Risk Services

Utilization Analysis







Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

17%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

30%

2009

ANNUAL COMPLIANCE REPORT

APPENDICES

STATE OF MAINE
WORKERS' COMPENSATION BOARD

JANUARY 1, 2009 - DECEMBER 31, 2009

OFFICE OF MONITORING, AUDIT & ENFORCEMENT

Paul R. Dionne
Executive Director/Chair

Steven P. Minkowsky
Deputy Director of Benefits Administration

MAINE WORKERS' COMPENSATION BOARD
2009 ANNUAL COMPLIANCE REPORT

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INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
2009
1/1/2009 - 12/31/2009

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	ACADIA INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA010	**Group Total**	**297**	**249**	**84%**	**94**	**81**	**86%**
	ACE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA005	ACE PROPERTY & CASUALTY INSURANCE COMPANY	*	*	*	*	*	*
CA261	MOUNTAIN VALLEY INDEMNITY COMPANY	*	*	*	*	*	*
	Total	*	*	*	*	*	*
	ACE TPA Administered Claims						
CA040	BROADSPIRE SERVICES, INC.	25	24	96%	5	5	100%
CA060	CAMBRIDGE INTEGRATED SERVICES	1	0	0%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	29	27	93%	4	4	100%
CA110	CONSTITUTION STATE SERVICES CO.	29	20	69%	14	14	100%
CA160	ESIS, INC.	321	247	77%	114	99	87%
CA180	GAB ROBINS	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES, INC.	32	19	59%	5	3	60%
CA204	HELMSMAN MANAGEMENT SERVICES	8	4	50%	3	2	67%
CA212	MAC RISK MANAGEMENT	5	0	0%	3	2	67%
CA280	RISK ENTERPRISE MANAGEMENT	5	2	40%	6	6	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	160	124	78%	47	39	83%
CA315	SPECIALTY RISK SERVICES	15	12	80%	1	1	100%
	TPA Total	**630**	**479**	**76%**	**202**	**175**	**87%**
	ACE Group Total	**630**	**479**	**76%**	**202**	**175**	**87%**
	AIG (Now CHARTIS INSURANCE)	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA015	CHARTIS INSURANCE	128	88	69%	44	35	80%
CA258	NEW HAMPSHIRE INSURANCE COMPANY	2	2	100%	No filings	No filings	No filings
	Total	**130**	**90**	**69%**	**44**	**35**	**80%**
	CHARTIS TPA Administered Claims						
CA040	BROADSPIRE SERVICES, INC.	38	32	84%	7	7	100%
CA199	CLAIMETRICS	3	1	33%	2	1	50%
CA100	CLAIMS MANAGEMENT, INC. (WAL-MART)	250	237	95%	69	64	93%
CA110	CONSTITUTION STATE SERVICES	9	5	56%	4	3	75%
CA120	CRAWFORD & CO.	*	*	*	*	*	*
CA160	ESIS, INC.	40	29	73%	13	11	85%
CA180	GAB ROBINS	4	4	100%	3	3	100%
CA190	GALLAGHER BASSETT SERVICES, INC.	48	27	56%	13	8	62%
CA204	HELMSMAN MANAGEMENT	26	18	69%	11	10	91%
CA300	SEDGWICK CLAIMS MANAGEMENT	58	48	83%	24	23	96%
CA315	SPECIALTY RISK SERVICES	64	57	89%	18	17	94%
	TPA Total	**540**	**458**	**85%**	**164**	**147**	**90%**
	CHARTIS Group Total	**670**	**548**	**82%**	**208**	**182**	**88%**
	AMERICAN INTERSTATE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA018	**Group Total**	**1**	**0**	**0%**	**1**	**1**	**100%**
	ARCH INSURANCE COMPANY	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
28355	**Total**	*	*	*	*	*	*
	ARCH TPA Administered Claims						
CA160	ESIS, INC.	1	0	0%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES, INC.	1	0	0%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	17	11	65%	7	5	71%
CA315	SPECIALTY RISK SERVICES	5	3	60%	1	1	100%
CA340	YORK CLAIMS SERVICE	1	1	100%	No filings	No filings	No filings
	TPA Total	**25**	**15**	**60%**	**9**	**7**	**78%**
	ARCH Group Total	**25**	**15**	**60%**	**9**	**7**	**78%**

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
2009
1/1/2009 - 12/31/2009

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	ARGONAUT INSURANCE COMPANY	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA020	ARGONAUT INSURANCE COMPANY	7	0	0%	6	1	17%
CA026	ARGONAUT CLAIMS SERVICES, LTD.	*	*	*	*	*	*
	Total	**7**	**0**	**0%**	**6**	**1**	**17%**
	ARGONAUT TPA Administered Claims						
CA240	TRIDENT INSURANCE SERVICES (Formerly Massamont)	12	9	75%	6	6	100%
	TPA Total	**12**	**9**	**75%**	**6**	**6**	**100%**
	ARGONAUT Group Total	**19**	**9**	**47%**	**12**	**7**	**58%**
	ARROW MUTUAL INSURANCE CO.	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA032	**Group Total**	**2**	**1**	**50%**	**1**	**1**	**100%**
	ARROWOOD INDEMNITY COMPANY	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA031	ARROWOOD INDEMNITY COMPANY	4	1	25%	1	1	100%
CA290	ARROWPOINT CAPITAL CORP.	1	0	0%	1	1	100%
CA269	NOVAPRO RISK SOLUTIONS	*	*	*	*	*	*
	Group Total	**5**	**1**	**20%**	**2**	**2**	**100%**
	BANGOR, CITY OF	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA033	**Group Total**	**40**	**38**	**95%**	**18**	**18**	**100%**
	BATH IRON WORKS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA036	**Group Total**	**406**	**398**	**98%**	**41**	**40**	**98%**
	BERKLEY ADMIN. OF CONNECTICUT, INC.	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA038	**Group Total**	**26**	**18**	**69%**	**13**	**10**	**77%**
	BROADSPIRE SERVICES, INC.	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA040	**Group Total**	**105**	**90**	**86%**	**23**	**22**	**96%**
	CAMBRIDGE INTEGRATED SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA060	**Group Total**	**20**	**3**	**15%**	**5**	**4**	**80%**
	CANNON COCHRAN MANAGEMENT SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA070	**Group Total**	**631**	**574**	**91%**	**157**	**129**	**82%**
	CHESTERFIELD SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA080	**Group Total**	**7**	**5**	**71%**	**3**	**2**	**67%**
	CHUBB SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA046	CHUBB INDEMNITY	*	*	*	*	*	*
CA082	CHUBB SERVICES CORPORATION	4	0	0%	2	0	0%
CA090	FEDERAL INSURANCE CO.	10	3	30%	4	4	100%
CA286	PACIFIC INDEMNITY INSURANCE CO.	*	*	*	*	*	*
	Group Total	**14**	**3**	**21%**	**6**	**4**	**67%**
	CHURCH MUTUAL INSURANCE COMPANY	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA084	**Group Total**	**4**	**2**	**50%**	**2**	**2**	**100%**

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
2009
1/1/2009 - 12/31/2009

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	CIANBRO CORPORATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA085	**Group Total**	**11**	**5**	**45%**	**1**	**1**	**100%**
	CLAIMETRICS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA199	**Group Total**	**3**	**1**	**33%**	**2**	**1**	**50%**
	CLAIMS MANAGEMENT, INC. (WAL-MART)	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA100	**Group Total**	**250**	**237**	**95%**	**69**	**64**	**93%**
	CNA INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA030	AMERICAN CASUALTY CO. OF READING, PA	*	*	*	*	*	*
CA050	CONTINENTAL CASUALTY COMPANY	74	60	81%	27	27	100%
CA087	CONTINENTAL INSURANCE COMPANY	*	*	*	*	*	*
CA271	NATIONAL FIRE INSURANCE CO. OF HARTFORD, CT	*	*	*	*	*	*
CA313	TRANSCONTINENTAL INSURANCE CO.	*	*	*	*	*	*
CA314	TRANSPORTATION INSURANCE CO.	*	*	*	*	*	*
CA329	VALLEY FORGE INSURANCE CO.	*	*	*	*	*	*
	Total	**74**	**60**	**81%**	**27**	**27**	**100%**
	CNA TPA Administered Claims						
CA120	CRAWFORD & CO.	*	*	*	*	*	*
CA180	GAB ROBINS	4	1	25%	3	2	67%
CA190	GALLAGHER BASSETT SERVICES, INC.	14	11	79%	2	2	100%
CA315	SPECIALTY RISK SERVICES	1	0	0%	1	0	0%
	TPA Total	**19**	**12**	**63%**	**6**	**4**	**67%**
	CNA Group Total	**93**	**72**	**77%**	**33**	**31**	**94%**
	CONSTITUTION STATE SERVICE CO.	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA110	**Group Total**	**42**	**27**	**64%**	**22**	**19**	**86%**
	COTTINGHAM & BUTLER CLAIMS SERVICES INC.	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA117	**Group Total**	*	*	*	*	*	*
	CRAWFORD & COMPANY	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA120	**Group Total**	*	*	*	*	*	*
	CRUM & FORSTER INSURANCE COMPANY	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA375	UNITED STATES FIRE INSURANCE COMPANY	2	0	0%	1	1	100%
	Group Total	**2**	**0**	**0%**	**1**	**1**	**100%**
	ESIS, INC.	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA160	**Group Total**	**379**	**288**	**76%**	**135**	**116**	**86%**

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
2009
1/1/2009 - 12/31/2009

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	F.A. RICHARD	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA165	**Group Total**	**4**	**2**	**50%**	**2**	**2**	**100%**
	FIREMANS FUND AMERICAN INSURANCE CO.	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA170	**Group Total**	**1**	**1**	**100%**	**1**	**1**	**100%**
	FUTURE COMP	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA175	FUTURECOMP	154	131	85%	46	40	87%
CA316	FUTURECOMP	99	81	82%	31	26	84%
	Group Total	**253**	**212**	**84%**	**77**	**66**	**86%**
	GAB ROBINS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA180	**Group Total**	**20**	**15**	**75%**	**11**	**9**	**82%**
	GALLAGHER BASSETT SERVICES, INC.	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA190	**Group Total**	**237**	**141**	**59%**	**81**	**54**	**67%**
	GRAPHIC ARTS MUTUAL	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA191	**Group Total**	**1**	**1**	**100%**	**No filings**	**No filngs**	**No filings**
	GREAT WEST CASUALTY CO.	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA196	**Group Total**	**1**	**0**	**0%**	**1**	**0**	**0%**
	GUARD INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA019	AMGUARD INSURANCE COMPANY	72	49	68%	21	17	81%
CA140	EASTGUARD INSURANCE COMPANY	28	22	79%	8	8	100%
CA205	INTERGUARD LTD.	No filings	No filings	No filings	No filings	No filings	No filings
CA272	NORGUARD INSURANCE COMPANY	28	21	75%	12	11	92%
	Group Total	**128**	**92**	**72%**	**41**	**36**	**88%**
	HANNAFORD BROTHERS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA201	**Group Total**	**333**	**299**	**90%**	**71**	**69**	**97%**
	HANOVER INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA048	CITIZENS INSURANCE CO. OF AMERICA	12	10	83%	6	6	100%
CA202	HANOVER INSURANCE COMPANY	51	44	86%	11	10	91%
CA228	MASSACHUSETTS BAY INS. CO.	25	18	72%	10	9	90%
	Group Total	**88**	**72**	**82%**	**27**	**25**	**93%**
	HARLEYSVILLE WORCESTER INSURANCE CO.	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA197	HARLEYSVILLE INSURANCE CO.	*	*	*	*	*	*
CA198	HARLEYSVILLE MUTUAL INSURANCE COMPANY	1	0	0%	1	0	0%
	Group Total	**1**	**0**	**0%**	**1**	**0**	**0%**
	HARTFORD INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA203	HARTFORD FIRE INS. CO.	210	163	78%	63	57	90%
	Total	**210**	**163**	**78%**	**63**	**57**	**90%**
	HARTFORD TPA Administered Claims						
CA040	BROADSPIRE SERVICES, INC.	2	0	0%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	0	0%	No filings	No filings	No filings
CA165	F.A. RICHARD	3	2	67%	2	2	100%
CA175	FUTURE COMP	2	1	50%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES, INC.	4	1	25%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	9	8	89%	5	5	100%
CA315	SPECIALTY RISK SERVICES	68	47	69%	29	23	79%
CA168	THE FRANK GATES SERVICE COMPANY	1	0	0%	1	0	0%
	TPA Total	**90**	**59**	**66%**	**37**	**30**	**81%**
	HARTFORD Group Total	**300**	**222**	**74%**	**100**	**87**	**87%**

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	HELMSMAN MANAGEMENT SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA204	**Group Total**	**38**	**25**	**66%**	**13**	**12**	**92%**
	LIBERTY MUTUAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA380	EMPLOYERS INSURANCE OF WAUSAU	70	45	64%	27	22	81%
CA210	LIBERTY MUTUAL INSURANCE COMPANY	384	269	70%	156	130	83%
	Group Total	**454**	**314**	**69%**	**183**	**152**	**83%**
	MAC RISK MANAGEMENT	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA212	**Group Total**	**5**	**0**	**0%**	**3**	**2**	**67%**
	MACY'S CORPORATE SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA213	**Group Total**	**3**	**1**	**33%**	**3**	**3**	**100%**
	MAINE AUTOMOBILE DEALERS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA220	**Group Total**	**129**	**107**	**83%**	**60**	**53**	**88%**
	MAINE EMPLOYERS' MUTUAL INSURANCE CO.	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA260	**Group Total**	**3924**	**3350**	**85%**	**1290**	**1193**	**92%**
	MAINE HEALTH CARE ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA234	**Group Total**	**194**	**154**	**79%**	**45**	**40**	**89%**
	MAINE INSURANCE GUARANTY ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA262	**Group Total**	*	*	*	*	*	*
	MAINE MOTOR TRANSPORT ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA230	MMTA WORKERS' COMPENSATION TRUST	182	170	93%	54	50	93%
CA245	MAINE MOTOR TRANSPORT WC TRUST	*	*	*	*	*	*
	Group Total	**182**	**170**	**93%**	**54**	**50**	**93%**
	MAINE MUNICIPAL ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA225	**Group Total**	**856**	**791**	**92%**	**264**	**246**	**93%**
	MAINE SCHOOL MANAGEMENT ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA250	**Group Total**	**295**	**259**	**88%**	**91**	**86**	**95%**
	MASSAMONT (Now TRIDENT INSURANCE SERVICES)	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA240	**Group Total**	**12**	**9**	**75%**	**6**	**6**	**100%**
	MEADOWBROOK	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA255	**Group Total**	**9**	**4**	**44%**	**6**	**5**	**83%**
	MITSUI SUMITOMO INSURANCE CO. OF AMERICA	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA264	MITSUI SUMITOMO INSURANCE CO. OF AMERICA	*	*	*	*	*	*
CA232	MITSUI SUMITOMO INSURANCE CO. OF AMERICA	*	*	*	*	*	*
CA233	MITSUI SUMITOMO INSURANCE USA, INC.	*	*	*	*	*	*
	Group Total	*	*	*	*	*	*

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	NGM INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA265	**Group Total**	**14**	**3**	**21%**	**1**	**1**	**100%**
	OLD REPUBLIC INSURANCE COMPANY	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
11509	**Total**	*	*	*	*	*	*
	OLD REPUBLIC TPA Administered Claims						
CA038	BERKLEY ADMIN OF CONNECTICUT, INC.	26	18	69%	13	10	77%
CA040	BROADSPIRE SERVICES, INC.	2	1	50%	1	1	100%
CA060	CAMBRIDGE INTEGRATED SERVICES	3	1	33%	No filings	No filings	No filings
CA160	ESIS, INC.	4	3	75%	2	2	100%
CA190	GALLAGHER BASSETT SERVICES, INC.	19	17	89%	8	7	88%
CA295	RYDER	2	1	50%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	54	47	87%	24	23	96%
CA310	SPECIALTY RISK SERVICES	2	0	0%	2	1	50%
	TPA Total	**112**	**88**	**79%**	**52**	**46**	**88%**
	OLD REPUBLIC Group Total	**112**	**88**	**79%**	**52**	**46**	**88%**
	ONEBEACON INSURANCE GROUP	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA281	ONEBEACON AMERICA INSURANCE CO.	1	0	0%	1	0	0%
CA270	ONEBEACON INSURANCE COMPANY	13	4	31%	6	2	33%
	Total	**14**	**4**	**29%**	**7**	**2**	**29%**
	ONEBEACON TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES, INC.	5	3	60%	3	1	33%
	TPA Total	**5**	**3**	**60%**	**3**	**1**	**33%**
	ONEBEACON Group Total	**19**	**7**	**37%**	**10**	**3**	**30%**
	PATRIOT INSURANCE COMPANY	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA274	**Group Total**	**20**	**9**	**45%**	**11**	**10**	**91%**
	PEERLESS INSURANCE GROUP	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA162	EXCELSIOR INSURANCE CO.	39	23	59%	12	7	58%
CA309	NETHERLANDS INSURANCE COMPANY	125	66	53%	41	31	76%
CA275	PEERLESS INSURANCE CO.	171	67	39%	87	52	60%
CA283	PEERLESS INDEMNITY CO.	13	9	69%	2	0	0%
	Group Total	**348**	**165**	**47%**	**142**	**90**	**63%**
	PRAETORIAN FINANCIAL GROUP	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA276	**Group Total**	*	*	*	*	*	*
	PROTECTIVE INSURANCE COMPANY	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA277	**Group Total**	**3**	**0**	**0%**	**2**	**2**	**100%**
	PUBLIC SERVICE MUTUAL INSURANCE CO.	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
16152	**Group Total**	*	*	*	*	*	*
	RISK ENTERPRISE MANAGEMENT	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA280	**Group Total**	**62**	**24**	**39%**	**13**	**11**	**85%**

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	ROMAN CATHOLIC DIOCESE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA285	Group Total	23	21	91%	6	5	83%
	RYDER	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA295	Group Total	2	1	50%	2	2	100%
	SAFETY NATIONAL COMPANY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
16349	Total	*	*	*	*	*	*
	SAFETY NATIONAL TPA Administered claims						
CA060	CAMBRIDGE INTEGRATED SERVICES	2	0	0%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	No filings	No filings	No filings	1	0	0%
	TPA Total	2	0	0%	1	0	0%
	SAFETY NATIONAL Group Total	2	0	0%	1	0	0%
	SEDGWICK CLAIMS MANAGEMENT	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA150	ELECTRIC INSURANCE COMPANY	*	*	*	*	*	*
CA266	NEWPAGE CORPORATION	3	2	67%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES, INC.	382	306	80%	149	133	89%
	Group Total	385	308	80%	150	134	89%
	SELECTIVE INSURANCE GROUP	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA299	SELECTIVE INSURANCE COMPANY OF AMERICA	6	5	83%	2	2	100%
CA318	SELECTIVE INSURANCE GROUP, INC.	*	*	*	*	*	*
	Group Total	6	5	83%	2	2	100%
	SENTRY INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA305	Group Total	20	9	45%	18	11	61%
	SPECIALTY RISK SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA310	SPECIALTY RISK SERVICES	24	17	71%	12	10	83%
CA315	SRS, INC	168	134	80%	60	50	83%
	Group Total	192	151	79%	72	60	83%
	STATE OF MAINE WORKERS' COMPENSATION DIV.	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA307	Group Total	699	650	93%	163	162	99%
	SYNERNET	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA320	Group Total	559	523	94%	131	125	95%
	T.H.E. INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA317	Total	1	0	0%	1	0	0%
	T.H.E. INSURANCE TPA Administered claims						
CA120	CRAWFORD & CO.	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	T.H.E. INSURANCE Group Total	1	0	0%	1	0	0%
	THE FRANK GATES SERVICE COMPANY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA168	Group Total	5	1	20%	2	0	0%
	TOKIO MARINE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA311	Group Total	5	2	40%	3	1	33%
	TOWER GROUP, INC.	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA261	MOUNTAIN VALLEY INDEMNITY CORP.	1	0	0%	1	1	100%
CA356	TOWER INSURANCE COMPANY OF NY	4	1	25%	1	1	100%
CA357	TOWER NATIONAL INSURANCE COMPANY	*	*	*	*	*	*
	Group Total	5	1	20%	2	2	100%

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INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	TRAVELERS INSURANCE GROUP	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA072	CHARTER OAK FIRE INS. CO.	153	118	77%	63	57	90%
CA133	DISCOVER PROPERTY AND CASUALTY INSURANCE CO	*	*	*	*	*	*
CA164	FARMINGTON CASUALTY COMPANY	3	3	100%	1	1	100%
10227	FIDELITY & GUARANTY INSURANCE CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA284	PHOENIX INSURANCE	5	4	80%	2	2	100%
CA303	ST PAUL FIRE & MARINE INSURANCE COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
CA302	ST PAUL GUARDIAN INSURANCE COMPANY	1	0	0%	No filings	No filings	No filings
CA301	ST PAUL MERCURY INSURANCE COMPANY	*	*	*	*	*	*
CA306	STANDARD FIRE INS. CO.	19	10	53%	7	4	57%
CA347	TRAVELERS CASUALTY & SURETY COMPANY	10	7	70%	3	3	100%
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	14	9	64%	4	3	75%
CA350	TRAVELERS INDEMNITY COMPANY	4	0	0%	No filings	No filings	No filings
CA343	TRAVELERS INDEMNITY COMPANY	2	1	50%	No filings	No filings	No filings
CA346	TRAVELERS INDEMNITY COMPANY OF AMERICA	2	2	100%	No filings	No filings	No filings
CA344	TRAVELERS INDEMNITY OF RHODE ISLAND	1	0	0%	1	1	100%
10804	TRAVELERS INSURANCE COMPANY	1	1	100%	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	13	7	54%	9	9	100%
	Total	**228**	**162**	**71%**	**90**	**80**	**89%**
	TRAVELERS TPA Administered Claims						
CA040	BROADSPIRE SERVICES, INC.	22	20	91%	6	5	83%
CA060	CAMBRIDGE INTEGRATED SERVICES	5	0	0%	4	3	75%
CA190	GALLAGHER BASSETT SERVICES, INC.	27	14	52%	8	5	63%
CA204	HELMSMAN MANAGEMENT SERVICES	1	0	0%	1	1	100%
CA240	MASSAMONT INSURANCE AGENCY	No filings	No filings	No filings	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	No filings	No filings	No filings	No filings	No filings	No filings
CA315	SPECIALTY RISK SERVICES	11	10	91%	5	5	100%
CA168	THE FRANK GATES SERVICE COMPANY	4	1	25%	1	0	0%
	TPA Total	**70**	**45**	**64%**	**25**	**19**	**76%**
	TRAVELERS Group Total	**298**	**207**	**69%**	**115**	**99**	**86%**
	UNDERWRITERS SAFETY & CLAIMS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA360	**Group Total**	*	*	*	*	*	*
	UTICA MUTUAL INSURANCE CO.	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA324	**Group Total**	**1**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	VANLINER INSURANCE CO.	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA379	**Group Total**	**11**	**8**	**73%**	**3**	**3**	**100%**
	VIRGINIA SURETY	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA115	**Group Total**	**2**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	WILLIS OF NORTHERN NEW ENGLAND, INC.	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA001	HRH CLAIMS MANAGEMENT	393	367	93%	105	90	86%
CA382	WILLIS OF NORTHERN NEW ENGLAND, INC.	469	439	94%	77	68	88%
	Group Total	**862**	**806**	**94%**	**182**	**158**	**87%**
	XL SPECIALTY INSURANCE COMPANY	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA384	**Total**	*	*	*	*	*	*
	XL TPA Administered Claims						
CA180	GAB ROBINS	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES, INC.	9	2	22%	5	2	40%
	TPA Total	**10**	**3**	**30%**	**5**	**2**	**40%**
	XL Group Total	**10**	**3**	**30%**	**5**	**2**	**40%**

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	YORK CLAIMS SERVICE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA340	**Group Total**	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**
	ZURICH INSURANCE GROUP	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA021	AMERICAN GUARANTY & LIABILITY INS. CO.	3	2	67%	2	2	100%
CA022	AMERICAN ZURICH INSURANCE CO.	11	9	82%	5	4	80%
CA023	ASSURANCE COMPANY OF AMERICA	*	*	*	*	*	*
CA216	MAINE BONDING & CASUALTY CO.	*	*	*	*	*	*
CA227	MARYLAND CASUALTY CO.	1	1	100%	No filings	No filings	No filings
CA259	NORTHERN INSURANCE CO. OF NY	*	*	*	*	*	*
CA378	UNIVERSAL UNDERWRITERS INSURANCE	1	0	0%	No filings	No filings	No filings
CA400	ZURICH AMERICAN INSURANCE CO.	34	22	65%	12	9	75%
	Total	**50**	**34**	**68%**	**19**	**15**	**79%**
	ZURICH TPA Administered Claims						
CA040	BROADSPIRE SERVICES, INC.	2	1	50%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	0	0%	1	0	0%
CA080	CHESTERFIELD SERVICES	7	5	71%	3	2	67%
CA160	ESIS, INC.	3	3	100%	2	2	100%
CA180	GAB ROBINS	3	3	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES, INC.	59	35	59%	24	16	67%
CA300	SEDGWICK CLAIMS MANAGEMENT	36	31	86%	14	13	93%
CA315	SPECIALTY RISK SERVICES	10	8	80%	4	3	75%
	TPA Total	**121**	**86**	**71%**	**48**	**36**	**75%**
	ZURICH Group Total	**171**	**120**	**70%**	**67**	**51**	**76%**

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	ACADIA INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA010	**Group Total**	**94**	**84**	**89%**	**48**	**48**	**100%**
	ACE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA005	ACE PROPERTY & CASUALTY INSURANCE COMPANY	*	*	*	*	*	*
CA261	MOUNTAIN VALLEY INDEMNITY COMPANY	*	*	*	*	*	*
	Total	*	*	*	*	*	*
	ACE TPA Administered Claims						
CA040	BROADSPIRE SERVICES, INC.	5	5	100%	5	5	100%
CA060	CAMBRIDGE INTEGRATED SERVICES	No filings	No filings	0%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	4	4	100%	4	4	100%
CA110	CONSTITUTION STATE SERVICES CO.	14	13	93%	6	5	83%
CA160	ESIS, INC.	114	100	88%	36	34	94%
CA180	GAB ROBINS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES, INC.	5	3	60%	8	7	88%
CA204	HELMSMAN MANAGEMENT SERVICES	3	2	67%	1	1	100%
CA212	MAC RISK MANAGEMENT	3	2	67%	1	0	0%
CA280	RISK ENTERPRISE MANAGEMENT	6	4	67%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	47	39	83%	19	16	84%
CA315	SPECIALTY RISK SERVICES	1	1	100%	4	4	100%
	TPA Total	**202**	**173**	**86%**	**84**	**76**	**90%**
	ACE Group Total	**202**	**173**	**86%**	**84**	**76**	**90%**
	AIG (Now CHARTIS INSURANCE)	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA015	CHARTIS INSURANCE	44	34	77%	21	20	95%
CA258	NEW HAMPSHIRE INSURANCE COMPANY	No filings	No filings	No filings	1	1	100%
	Total	**44**	**34**	**77%**	**22**	**21**	**95%**
	CHARTIS TPA Administered Claims						
CA040	BROADSPIRE SERVICES, INC.	7	7	100%	9	9	100%
CA199	CLAIMETRICS	2	0	0%	No filings	No filings	No filings
CA100	CLAIMS MANAGEMENT, INC. (WAL-MART)	69	67	97%	29	29	100%
CA110	CONSTITUTION STATE SERVICES	4	4	100%	1	1	100%
CA120	CRAWFORD & CO.	*	*	*	*	*	*
CA160	ESIS, INC.	13	10	77%	7	7	100%
CA180	GAB ROBINS	3	3	100%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES, INC.	13	10	77%	7	7	100%
CA204	HELMSMAN MANAGEMENT SERVICES	11	9	82%	5	4	80%
CA300	SEDGWICK CLAIMS MANAGEMENT	24	23	96%	16	14	88%
CA315	SPECIALTY RISK SERVICES	18	16	89%	4	3	75%
	TPA Total	**164**	**149**	**91%**	**79**	**75**	**95%**
	CHARTIS Group Total	**208**	**183**	**88%**	**101**	**96**	**95%**
	AMERICAN INTERSTATE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA018	**Group Total**	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**
	ARCH INSURANCE COMPANY	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
28355	**Total**	*	*	*	*	*	*
	ARCH TPA Administered Claims						
CA160	ESIS, INC.	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES, INC.	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	7	6	86%	1	1	100%
CA315	SPECIALTY RISK SERVICES	1	1	100%	No filings	No filings	No filings
CA340	YORK CLAIMS SERVICE	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	**9**	**8**	**89%**	**1**	**1**	**100%**
	ARCH Group Total	**9**	**8**	**89%**	**1**	**1**	**100%**

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	ARGONAUT INSURANCE COMPANY	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA020	ARGONAUT INSURANCE COMPANY	6	0	0%	1	0	0%
CA026	ARGONAUT CLAIMS SERVICES, LTD.	*	*	*	*	*	*
	Total	**6**	**0**	**0%**	**1**	**0**	**0%**
	ARGONAUT TPA Administered Claims						
CA240	TRIDENT INSURANCE SERVICES (Formerly Massamont)	6	6	100%	4	4	100%
	TPA Total	6	6	100%	**4**	**4**	**100%**
	ARGONAUT Group Total	**12**	**6**	**50%**	**5**	**4**	**80%**
	ARROW MUTUAL INSURANCE CO.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA032	**Group Total**	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**
	ARROWOOD INDEMNITY COMPANY	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA031	ARROWOOD INDEMNITY COMPANY	1	0	0%	1	1	100%
CA290	ARROWPOINT CAPITAL CORP.	1	0	0%	No filings	No filings	No filings
CA269	NOVAPRO RISK SOLUTIONS	*	*	*	*	*	*
	Group Total	**2**	**0**	**0%**	**1**	**1**	**100%**
	BANGOR, CITY OF	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA033	**Group Total**	**18**	**18**	**100%**	**5**	**5**	**100%**
	BATH IRON WORKS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA036	**Group Total**	**41**	**40**	**98%**	**30**	**29**	**97%**
	BERKLEY ADMIN. OF CONNECTICUT, INC.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA038	**Group Total**	**13**	**10**	**77%**	**1**	**0**	**0%**
	BROADSPIRE SERVICES, INC.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA040	**Group Total**	**23**	**22**	**96%**	**23**	**22**	**96%**
	CAMBRIDGE INTEGRATED SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA060	**Group Total**	**5**	**4**	**80%**	**2**	**1**	**50%**
	CANNON COCHRAN MANAGEMENT SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA070	**Group Total**	**157**	**132**	**84%**	**151**	**143**	**95%**
	CHESTERFIELD SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA080	**Group Total**	**3**	**2**	**67%**	**No filings**	**No filings**	**No filings**
	CHUBB SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA046	CHUBB INDEMNITY	*	*	*	*	*	*
CA082	CHUBB SERVICES CORPORATION	2	0	0%	No filings	No filings	No filings
CA090	FEDERAL INSURANCE CO.	4	2	50%	2	2	100%
CA286	PACIFIC INDEMNITY INSURANCE CO.	*	*	*	*	*	*
	Group Total	**6**	**2**	**33%**	**2**	**2**	**100%**
	CHURCH MUTUAL INSURANCE COMPANY	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA084	**Group Total**	**2**	**2**	**100%**	**No filings**	**No filings**	**No filings**

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	CIANBRO CORPORATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA085	**Group Total**	**1**	**0**	**0%**	**7**	**7**	**100%**
	CLAIMETRICS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA199	**Group Total**	**2**	**1**	**50%**	**No filings**	**No filings**	**No filings**
	CLAIMS MANAGEMENT, INC. (WAL-MART)	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA100	**Group Total**	**69**	**67**	**97%**	**29**	**29**	**100%**
	CNA INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA030	AMERICAN CASUALTY CO. OF READING, PA	*	*	*	*	*	*
CA050	CONTINENTAL CASUALTY COMPANY	27	25	93%	5	5	100%
CA087	CONTINENTAL INSURANCE COMPANY	*	*	*	*	*	*
CA271	NATIONAL FIRE INSURANCE CO. OF HARTFORD, CT	*	*	*	*	*	*
CA313	TRANSCONTINENTAL INSURANCE CO.	*	*	*	*	*	*
CA314	TRANSPORTATION INSURANCE CO.	*	*	*	*	*	*
CA329	VALLEY FORGE INSURANCE CO.	*	*	*	*	*	*
	Total	**27**	**25**	**93%**	**5**	**5**	**100%**
	CNA TPA Administered Claims						
CA120	CRAWFORD & CO.	*	*	*	*	*	*
CA180	GAB ROBINS	3	2	67%	1	0	0%
CA190	GALLAGHER BASSETT SERVICES, INC.	2	1	50%	7	6	86%
CA315	SPECIALTY RISK SERVICES	1	0	0%	No filings	No filings	No filings
	TPA Total	**6**	**3**	**50%**	**8**	**6**	**75%**
	CNA Group Total	**33**	**28**	**85%**	**13**	**11**	**85%**
	CONSTITUTION STATE SERVICE CO.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA110	**Group Total**	**22**	**20**	**91%**	**7**	**6**	**86%**
	COTTINGHAM & BUTLER CLAIMS SERVICES INC.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA117	**Group Total**	*	*	*	*	*	*
	CRAWFORD & COMPANY	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA120	**Group Total**	*	*	*	*	*	*
	CRUM & FORSTER INSURANCE COMPANY	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA375	UNITED STATES FIRE INSURANCE COMPANY	1	0	0%	1	0	0%
	Group Total	**1**	**0**	**0%**	**1**	**0**	**0%**
	ESIS, INC.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA160	**Group Total**	**135**	**117**	**87%**	**45**	**42**	**93%**

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	F.A. RICHARD	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA165	**Group Total**	**2**	**1**	**50%**	**2**	**1**	**50%**
	FIREMANS FUND AMERICAN INSURANCE CO.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA170	**Group Total**	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**
	FUTURE COMP	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA175	FUTURE COMP	46	39	85%	20	18	90%
CA316	FUTURE COMP	31	28	90%	12	11	92%
	Group Total	**77**	**67**	**87%**	**32**	**29**	**91%**
	GAB ROBINS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA180	**Group Total**	**11**	**7**	**64%**	**7**	**5**	**71%**
	GALLAGHER BASSETT SERVICES, INC.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA190	**Group Total**	**81**	**59**	**73%**	**45**	**43**	**96%**
	GRAPHIC ARTS MUTUAL	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA191	**Group Total**	**No filings**	**No filings**	**No filings**	**No filings**	**No filngs**	**No filings**
	GREAT WEST CASUALTY CO.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA196	**Group Total**	**1**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	GUARD INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA019	AMGUARD INSURANCE COMPANY	21	15	71%	2	1	50%
CA140	EASTGUARD INSURANCE COMPANY	8	6	75%	4	3	75%
CA205	INTERGUARD LTD.	No filings	No filings	No filings	No filings	No filings	No filings
CA272	NORGUARD INSURANCE COMPANY	12	9	75%	3	2	67%
	Group Total	**41**	**30**	**73%**	**9**	**6**	**67%**
	HANNAFORD BROTHERS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA201	**Group Total**	**71**	**67**	**94%**	**53**	**48**	**91%**
	HANOVER INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA048	CITIZENS INSURANCE CO. OF AMERICA	6	6	100%	2	2	100%
CA202	HANOVER INSURANCE COMPANY	11	9	82%	7	5	71%
CA228	MASSACHUSETTS BAY INS. CO.	10	9	90%	5	5	100%
	Group Total	**27**	**24**	**89%**	**14**	**12**	**86%**
	HARLEYSVILLE WORCESTER INSURANCE CO.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA197	HARLEYSVILLE INSURANCE CO.	*	*	*	*	*	*
CA198	HARLEYSVILLE MUTUAL INSURANCE COMPANY	1	0	0%	No filings	No filings	No filings
	Group Total	**1**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	HARTFORD INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA203	HARTFORD FIRE INS. CO.	63	58	92%	36	34	94%
	Total	**63**	**58**	**92%**	**36**	**34**	**94%**
	HARTFORD TPA Administered Claims						
CA040	BROADSPIRE SERVICES, INC.	No filings	No filings	No filings	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA165	F.A. RICHARD	2	1	50%	2	1	50%
CA175	FUTURE COMP	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES, INC.	No filings	No filings	No filings	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	5	5	100%	1	0	0%
CA315	SPECIALTY RISK SERVICES	29	20	69%	15	15	100%
CA168	THE FRANK GATES SERVICE COMPANY	1	0	0%	No filings	No filings	No filings
	TPA Total	**37**	**26**	**70%**	**18**	**16**	**89%**
	HARTFORD Group Total	**100**	**84**	**84%**	**54**	**50**	**93%**

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	HELMSMAN MANAGEMENT SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA204	Group Total	13	12	92%	9	8	89%
	LIBERTY MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA380	EMPLOYERS INSURANCE OF WAUSAU	27	20	74%	18	15	83%
CA210	LIBERTY MUTUAL INSURANCE COMPANY	156	131	84%	97	88	91%
	Group Total	183	151	83%	115	103	90%
	MAC RISK MANAGEMENT	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA212	Group Total	3	2	67%	1	0	0%
	MACY'S CORPORATE SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA213	Group Total	3	3	100%	No filings	No filings	No filings
	MAINE AUTOMOBILE DEALERS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA220	Group Total	60	58	97%	16	15	94%
	MAINE EMPLOYERS' MUTUAL INSURANCE CO.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA260	Group Total	1290	1176	91%	701	661	94%
	MAINE HEALTH CARE ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA234	Group Total	45	40	89%	27	25	93%
	MAINE INSURANCE GUARANTY ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA262	Group Total	*	*	*	*	*	*
	MAINE MOTOR TRANSPORT ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA230	MMTA WORKERS' COMPENSATION TRUST	54	49	91%	42	41	98%
CA245	MAINE MOTOR TRANSPORT WC TRUST	*	*	*	*	*	*
	Group Total	54	49	91%	42	41	98%
	MAINE MUNICIPAL ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA225	Group Total	264	249	94%	170	162	95%
	MAINE SCHOOL MANAGEMENT ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA250	Group Total	91	88	97%	38	36	95%
	MASSAMONT (Now TRIDENT INSURANCE SERVICES)	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA240	Group Total	6	6	100%	4	4	100%
	MEADOWBROOK	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA255	Group Total	6	5	83%	1	0	0%
	MITSUI SUMITOMO INSURANCE CO. OF AMERICA	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA264	MITSUI SUMITOMO INSURANCE CO. OF AMERICA	*	*	*	*	*	*
CA232	MITSUI SUMITOMO INSURANCE CO. OF AMERICA	*	*	*	*	*	*
CA233	MITSUI SUMITOMO INSURANCE USA, INC.	*	*	*	*	*	*
	Group Total	*	*	*	*	*	*

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	NGM INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA265	**Group Total**	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**
	OLD REPUBLIC INSURANCE COMPANY	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
11509	**Total**	*	*	*	*	*	*
	OLD REPUBLIC TPA Administered Claims						
CA038	BERKLEY ADMIN OF CONNECTICUT, INC.	13	10	77%	1	0	0%
CA040	BROADSPIRE SERVICES, INC.	1	0	0%	No filings	No filings	No filings
CA060	CAMBRIDGE INTEGRATED SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA160	ESIS, INC.	2	2	100%	1	0	0%
CA190	GALLAGHER BASSETT SERVICES, INC.	8	8	100%	5	5	100%
CA295	RYDER	2	0	0%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	24	21	88%	17	14	82%
CA310	SPECIALTY RISK SERVICES	2	1	50%	No filings	No filings	No filings
	TPA Total	**52**	**42**	**81%**	**24**	**19**	**79%**
	OLD REPUBLIC Group Total	**52**	**42**	**81%**	**24**	**19**	**79%**
	ONEBEACON INSURANCE GROUP	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA281	ONEBEACON AMERICA INSURANCE CO.	1	0	0%	No filings	No filings	No filings
CA270	ONEBEACON INSURANCE COMPANY	6	1	17%	1	1	100%
	Total	**7**	**1**	**14%**	**1**	**1**	**100%**
	ONEBEACON TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES, INC.	3	1	33%	2	2	100%
	TPA Total	**3**	**1**	**33%**	**2**	**2**	**100%**
	ONEBEACON Group Total	**10**	**2**	**20%**	**3**	**3**	**100%**
	PATRIOT INSURANCE COMPANY	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA274	**Group Total**	**11**	**9**	**82%**	**1**	**0**	**0%**
	PEERLESS INSURANCE GROUP	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA162	EXCELSIOR INSURANCE CO.	12	9	75%	2	1	50%
CA309	NETHERLANDS INSURANCE COMPANY,	41	32	78%	6	4	67%
CA275	PEERLESS INSURANCE CO.	87	48	55%	13	7	54%
CA283	PEERLESS INDEMNITY CO.	2	0	0%	6	6	100%
	Group Total	**142**	**89**	**63%**	**27**	**18**	**67%**
	PRAETORIAN FINANCIAL GROUP	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA276	**Group Total**	*	*	*	*	*	*
	PROTECTIVE INSURANCE COMPANY	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA277	**Group Total**	**2**	**1**	**50%**	**No filings**	**No filings**	**No filings**
	PUBLIC SERVICE MUTUAL INSURANCE CO.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
16152	**Group Total**	*	*	*	*	*	*
	RISK ENTERPRISE MANAGEMENT	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA280	**Group Total**	**13**	**7**	**54%**	**6**	**1**	**17%**

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
2009
1/1/2009 - 12/31/2009

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	ROMAN CATHOLIC DIOCESE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA285	**Group Total**	**6**	**4**	**67%**	**3**	**2**	**67%**
	RYDER	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA295	**Group Total**	**2**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	SAFETY NATIONAL COMPANY	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
16349	**Total**	*	*	*	*	*	*
	SAFETY NATIONAL TPA Administered claims						
CA060	CAMBRIDGE INTEGRATED SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	1	0	0%	No filings	No filings	No filings
	TPA Total	**1**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	SAFETY NATIONAL Group Total	**1**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	SEDGWICK CLAIMS MANAGEMENT	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA150	ELECTRIC INSURANCE COMPANY	*	*	*	*	*	*
CA266	NEWPAGE CORPORATION	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES, INC.	149	129	87%	66	55	83%
	Group Total	**150**	**130**	**87%**	**66**	**55**	**83%**
	SELECTIVE INSURANCE GROUP	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA299	SELECTIVE INSURANCE COMPANY OF AMERICA	2	2	100%	No filings	No filings	No filings
CA318	SELECTIVE INSURANCE GROUP, INC.	*	*	*	*	*	*
	Group Total	**2**	**2**	**100%**	**No filings**	**No filings**	**No filings**
	SENTRY INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA305	**Group Total**	**18**	**7**	**39%**	**1**	**0**	**0%**
	SPECIALTY RISK SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA310	SPECIALTY RISK SERVICES	12	9	75%	5	5	100%
CA315	SRS, INC.	60	48	80%	24	24	34%
	Group Total	**72**	**57**	**79%**	**29**	**29**	**100%**
	STATE OF MAINE WORKERS' COMPENSATION DIV.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA307	**Group Total**	**163**	**155**	**95%**	**85**	**82**	**96%**
	SYNERNET	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA320	**Group Total**	**131**	**122**	**93%**	**141**	**137**	**97%**
	T.H.E. INSURANCE CO.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
	Total	**1**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	T.H.E. INSURANCE TPA Administered claims						
CA120	CRAWFORD & CO.	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	T.H.E. INSURANCE Group Total	**1**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	THE FRANK GATES SERVICE COMPANY	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA168	**Group Total**	**2**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	TOKIO MARINE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA311	**Group Total**	**3**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	TOWER GROUP, INC.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA261	MOUNTAIN VALLEY INDEMNITY CORP.	1	1	100%	No filings	No filings	No filings
CA356	TOWER INSURANCE COMPANY OF NY	1	1	100%	No filings	No filings	No filings
CA357	TOWER NATIONAL INSURANCE COMPANY	*	*	*	*	*	*
	Group Total	**2**	**2**	**100%**	**No filings**	**No filings**	**No filings**

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INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
2009
1/1/2009 - 12/31/2009

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	TRAVELERS INSURANCE GROUP	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA072	CHARTER OAK FIRE INS. CO.	63	54	86%	38	37	97%
CA133	DISCOVER PROPERTY AND CASUALTY INSURANCE CO.	*	*	*	*	*	*
CA164	FARMINGTON CASUALTY COMPANY	1	1	100%	No filings	No filings	No filings
10227	FIDELITY & GUARANTY INSURANCE CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA284	PHOENIX INSURANCE	2	1	50%	2	1	50%
CA303	ST PAUL FIRE & MARINE INSURANCE COMPANY	No filings	No filings	No filings	1	0	0%
CA302	ST PAUL GUARDIAN INSURANCE COMPANY	No filings	No filings	No filings	1	1	100%
CA301	ST PAUL MERCURY INSURANCE COMPANY	*	*	*	*	*	*
CA306	STANDARD FIRE INS. CO.	7	4	57%	5	5	100%
CA347	TRAVELERS CASUALTY & SURETY COMPANY	3	3	100%	3	2	67%
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	4	3	75%	4	4	100%
CA350	TRAVELERS INDEMNITY COMPANY	No filings	No filings	No filings	1	1	100%
CA343	TRAVELERS INDEMNITY COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
CA346	TRAVELERS INDEMNITY COMPANY OF AMERICA	No filings	No filings	No filings	No filings	No filings	No filings
CA344	TRAVELERS INDEMNITY OF RHODE ISLAND	1	1	100%	No filings	No filings	No filings
10804	TRAVELERS INSURANCE COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	9	9	100%	3	3	100%
	Total	**90**	**76**	**84%**	**58**	**54**	**93%**
	TRAVELERS TPA Administered Claims						
CA040	BROADSPIRE SERVICES, INC.	6	6	100%	4	4	100%
CA060	CAMBRIDGE INTEGRATED SERVICES	4	3	75%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES, INC.	8	7	88%	6	6	100%
CA204	HELMSMAN MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA240	MASSAMONT INSURANCE AGENCY	No filings	No filings	No filings	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	No filings	No filings	No filings	No filings	No filings	No filings
CA315	SPECIALTY RISK SERVICES	5	5	100%	1	1	100%
CA168	THE FRANK GATES SERVICE COMPANY	1	0	0%	No filings	No filings	No filings
	TPA Total	**25**	**22**	**88%**	11	11	100%
	TRAVELERS Group Total	**115**	**98**	**85%**	**69**	**65**	**94%**
	UNDERWRITERS SAFETY & CLAIMS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA360	**Group Total**	*	*	*	*	*	*
	UTICA MUTUAL INSURANCE CO.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA324	**Group Total**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	VANLINER INSURANCE CO.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA379	**Group Total**	**3**	**2**	**67%**	**3**	**2**	**67%**
	VIRGINIA SURETY	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA115	**Group Total**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	WILLIS OF NORTHERN NEW ENGLAND, INC.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA001	HRH CLAIMS MANAGEMENT	105	91	87%	101	101	100%
CA382	WILLIS OF NORTHERN NEW ENGLAND, INC.	77	70	91%	129	129	100%
	Group Total	**182**	**161**	**88%**	**230**	**230**	**100%**
	XL SPECIALTY INSURANCE COMPANY	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA384	**Total**	*	*	*	*	*	*
	XL TPA Administered Claims						
CA180	GAB ROBINS	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES, INC.	5	2	40%	1	1	100%
	TPA Total	**5**	**2**	**40%**	**1**	**1**	**100%**
	XL Group Total	**5**	**2**	**40%**	**1**	**1**	**100%**

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INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
2009
1/1/2009 - 12/31/2009

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	YORK CLAIMS SERVICE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA340	**Group Total**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	ZURICH INSURANCE GROUP	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs filed**	**Timely NOCs**	**Compliance**
CA021	AMERICAN GUARANTY & LIABILITY INS. CO.	2	1	50%	No filings	No filings	No filings
CA022	AMERICAN ZURICH INSURANCE CO.	5	4	80%	2	2	100%
CA023	ASSURANCE COMPANY OF AMERICA	*	*	*	*	*	*
CA216	MAINE BONDING & CASUALTY CO.	*	*	*	*	*	*
CA227	MARYLAND CASUALTY CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA259	NORTHERN INSURANCE CO. OF NY	*	*	*	*	*	*
CA378	UNIVERSAL UNDERWRITERS INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA400	ZURICH AMERICAN INSURANCE CO.	12	9	75%	10	9	90%
	Total	**19**	**14**	**74%**	**12**	**11**	**92%**
	ZURICH TPA Administered Claims						
CA040	BROADSPIRE SERVICES, INC.	No filings	No filings	No filings	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	0	0%	No filings	No filings	No filings
CA080	CHESTERFIELD SERVICES	3	2	67%	No filings	No filings	No filings
CA160	ESIS, INC.	2	2	100%	1	1	100%
CA180	GAB ROBINS	No filings	No filings	No filings	2	2	100%
CA190	GALLAGHER BASSETT SERVICES, INC.	24	16	67%	6	6	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	14	12	86%	6	4	67%
CA315	SPECIALTY RISK SERVICES	4	3	75%	1	1	100%
	TPA Total	**48**	**35**	**73%**	**17**	**15**	**88%**
	ZURICH Group Total	**67**	**49**	**73%**	**29**	**26**	**90%**

***Indicates no claims activity this quarter**

In-State INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
2009
1/1/2009 - 12/31/2009

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	ACADIA INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA010	Group Total	297	249	84%	94	81	86%
	ARROW MUTUAL INSURANCE CO.	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA032	Group Total	2	1	50%	1	1	100%
	BANGOR, CITY OF	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA033	Group Total	40	38	95%	18	18	100%
	BATH IRON WORKS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA036	Group Total	406	398	98%	41	40	98%
	BROADSPIRE SERVICES, INC.	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA040	Group Total	105	90	86%	23	22	96%
	CANNON COCHRAN MANAGEMENT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA070	Group Total	631	574	91%	157	129	82%
	CIANBRO CORPORATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA085	Group Total	11	5	45%	1	1	100%
	FUTURE COMP	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	253	212	84%	77	66	86%
	HANNAFORD BROTHERS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA201	Group Total	333	299	90%	71	69	97%
	HANOVER INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	88	72	82%	27	25	93%
	MAINE AUTOMOBILE DEALERS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA220	Group Total	129	107	83%	60	53	88%
	MAINE EMPLOYERS' MUTUAL INSURANCE CO.	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA260	Group Total	3924	3350	85%	1290	1193	92%
	MAINE HEALTH CARE ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA234	Group Total	194	154	79%	45	40	89%
	MAINE MOTOR TRANSPORT ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	182	170	93%	54	50	93%
	MAINE MUNICIPAL ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA225	Group Total	856	791	92%	264	246	93%
	MAINE SCHOOL MANAGEMENT ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA250	Group Total	295	259	88%	91	86	95%
	ONEBEACON INSURANCE GROUP	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	ONEBEACON Group Total	19	7	37%	10	3	30%
	PATRIOT INSURANCE COMPANY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA274	Group Total	20	9	45%	11	10	91%
	ROMAN CATHOLIC DIOCESE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA285	Group Total	23	21	91%	6	5	83%
	SEDGWICK CLAIMS MANAGEMENT	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	385	308	80%	150	134	89%
	STATE OF MAINE WORKERS' COMPENSATION DIV.	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA307	Group Total	699	650	93%	163	162	99%

In-State INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
2009
1/1/2009 - 12/31/2009

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	SYNERNET	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA320	Group Total	559	523	94%	131	125	95%
	VANLINER INSURANCE CO.	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA379	Group Total	11	8	73%	3	3	100%
	WILLIS OF NORTHERN NEW ENGLAND, INC.	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	862	804	93%	182	158	87%
	TOTALS	10324	9099	88%	2970	2720	92%

In-State INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
2009
1/1/2009 - 12/31/2009

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	ACADIA INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA010	Group Total	94	84	89%	48	48	100%
	ARROW MUTUAL INSURANCE CO.	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA032	Group Total	1	1	100%	No filings	No filings	No filings
	BANGOR, CITY OF	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA033	Group Total	18	18	100%	5	5	100%
	BATH IRON WORKS	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA036	Group Total	41	40	98%	30	29	97%
	BROADSPIRE SERVICES, INC.	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA040	Group Total	23	22	96%	23	22	96%
	CANNON COCHRAN MANAGEMENT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA070	Group Total	157	132	84%	151	143	95%
	CIANBRO CORPORATION	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA085	Group Total	1	0	0%	7	7	100%
	FUTURE COMP	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
	Group Total	77	67	87%	32	29	91%
	HANNAFORD BROTHERS	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA201	Group Total	71	67	94%	53	48	91%
	HANOVER INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
	Group Total	27	24	89%	14	12	86%
	MAINE AUTOMOBILE DEALERS	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA220	Group Total	60	58	97%	16	15	94%
	MAINE EMPLOYERS' MUTUAL INSURANCE CO.	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA260	Group Total	1290	1176	91%	701	661	94%
	MAINE HEALTH CARE ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA234	Group Total	45	40	89%	27	25	93%
	MAINE MOTOR TRANSPORT ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
	Group Total	54	49	91%	42	41	98%
	MAINE MUNICIPAL ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA225	Group Total	264	249	94%	170	162	95%
	MAINE SCHOOL MANAGEMENT ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA250	Group Total	91	88	97%	38	36	95%
	ONEBEACON INSURANCE GROUP	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
	ONEBEACON Group Total	10	2	20%	3	3	100%
	PATRIOT INSURANCE COMPANY	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA274	Group Total	11	9	82%	1	0	0%
	ROMAN CATHOLIC DIOCESE	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA285	Group Total	6	4	67%	3	2	67%
	SEDGWICK CLAIMS MANAGEMENT	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
	Group Total	150	130	87%	66	55	83%
	STATE OF MAINE WORKERS' COMPENSATION DIV.	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA307	Group Total	163	155	95%	85	82	96%

In-State INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
2009
1/1/2009 - 12/31/2009

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	SYNERNET	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA320	Group Total	131	122	93%	141	137	97%
	VANLINER INSURANCE CO.	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA379	Group Total	3	2	67%	3	2	67%
	WILLIS OF NORTHERN NEW ENGLAND, INC.	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
	Group Total	182	161	88%	230	230	100%
	TOTALS	2970	2700	91%	1889	1794	95%

Out-of-State INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
2009
1/1/2009 - 12/31/2009

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	ACE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	630	479	76%	202	175	87%
	AIG (Now CHARTIS INSURANCE)	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	670	548	82%	208	182	88%
	AMERICAN INTERSTATE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA018	Group Total	1	0	0%	1	1	100%
	ARCH INSURANCE COMPANY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	25	15	60%	9	7	78%
	ARGONAUT INSURANCE COMPANY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	19	9	47%	12	7	58%
	ARROWOOD INDEMNITY COMPANY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	5	1	20%	2	2	100%
	BERKLEY ADMIN. OF CONNECTICUT, INC.	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA038	Group Total	26	18	69%	13	10	77%
	CAMBRIDGE INTEGRATED SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA060	Group Total	20	3	15%	5	4	80%
	CHESTERFIELD SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA080	Group Total	7	5	71%	3	2	67%
	CHUBB SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	14	3	21%	6	4	67%
	CHURCH MUTUAL INSURANCE COMPANY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA084	Group Total	4	2	50%	2	2	100%
	CLAIMETRICS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA199	Group Total	3	1	33%	2	1	50%
	CLAIMS MANAGEMENT, INC. (WAL-MART)	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA100	Group Total	250	237	95%	69	64	93%
	CNA INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	93	72	77%	33	31	94%
	CONSTITUTION STATE SERVICE CO.	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA110	Group Total	42	27	64%	22	19	86%
	CRUM & FORSTER INSURANCE COMPANY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	2	0	0%	1	1	100%
	ESIS, INC.	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA160	Group Total	379	288	76%	135	116	86%
	F.A. RICHARD	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA165	Group Total	4	2	50%	2	2	100%
	FIREMANS FUND AMERICAN INSURANCE CO.	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA170	Group Total	1	1	100%	1	1	100%
	GAB ROBINS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA180	Group Total	20	15	75%	11	9	82%

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Lost Time FROI Filings and Initial Indemnity Payments
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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	GALLAGHER BASSETT SERVICES, INC.	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA190	Group Total	237	141	59%	81	54	67%
	GRAPHIC ARTS MUTUAL	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA191	Group Total	1	1	100%	No filings	No filngs	No filings
	GREAT WEST CASUALTY CO.	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA196	Group Total	1	0	0%	1	0	0%
	GUARD INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	128	92	72%	41	36	88%
	HARLEYSVILLE WORCESTER INSURANCE CO.	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	1	0	0%	1	0	0%
	HARTFORD INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	300	222	74%	100	87	87%
	HELMSMAN MANAGEMENT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA204	Group Total	38	25	66%	13	12	92%
	LIBERTY MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	454	314	69%	183	152	83%
	MAC RISK MANAGEMENT	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA212	Group Total	5	0	0%	3	2	67%
	MACY'S CORPORATE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA213	Group Total	3	1	33%	3	3	100%
	MASSAMONT (Now TRIDENT INSURANCE SERVICES)	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA240	Group Total	12	9	75%	6	6	100%
	MEADOWBROOK	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA255	Group Total	9	4	44%	6	5	83%
	NGM INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA265	Group Total	14	3	21%	1	1	100%
	OLD REPUBLIC INSURANCE COMPANY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	112	88	79%	52	46	88%
	PEERLESS INSURANCE GROUP	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	348	165	47%	142	90	63%
	PROTECTIVE INSURANCE COMPANY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA277	Group Total	3	0	0%	2	2	100%
	RISK ENTERPRISE MANAGEMENT	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA280	Group Total	62	24	39%	13	11	85%
	RYDER	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA295	Group Total	2	1	50%	2	2	100%
	SAFETY NATIONAL COMPANY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	2	0	0%	1	0	0%
	SELECTIVE INSURANCE GROUP	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	6	5	83%	2	2	100%
	SENTRY INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA305	Group Total	20	9	45%	18	11	61%

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	SPECIALTY RISK SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	192	151	79%	72	60	83%
	T.H.E. INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	1	0	0%	1	0	0%
	THE FRANK GATES SERVICE COMPANY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA168	Group Total	5	1	20%	2	0	0%
	TOKIO MARINE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA311	Group Total	5	2	40%	3	1	33%
	TOWER GROUP, INC.	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	5	1	20%	2	2	100%
	TRAVELERS INSURANCE GROUP	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	298	207	69%	115	99	86%
	UTICA MUTUAL INSURANCE CO.	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA324	Group Total	1	0	0%	No filings	No filings	No filings
	VIRGINIA SURETY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA115	Group Total	2	0	0%	No filings	No filings	No filings
	XL SPECIALTY INSURANCE COMPANY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	10	3	30%	5	2	40%
	YORK CLAIMS SERVICE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA340	Group Total	1	1	100%	No filings	No filings	No filings
	ZURICH INSURANCE GROUP	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	ZURICH Group Total	171	120	70%	67	51	76%
	TOTALS	4664	3316	71%	1677	1377	82%

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Initial MOP and Initial Indemnity NOC Filings
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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	ACE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
	ACE Group Total	202	173	86%	84	76	90%
	AIG (Now CHARTIS INSURANCE)	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
	CHARTIS Group Total	208	183	88%	101	96	95%
	AMERICAN INTERSTATE	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA018	Group Total	1	1	100%	No filings	No filings	No filings
	ARCH INSURANCE COMPANY	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
	ARCH Group Total	9	8	89%	1	1	100%
	ARGONAUT INSURANCE COMPANY	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
	ARGONAUT Group Total	12	6	50%	5	4	80%
	ARROWOOD INDEMNITY COMPANY	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
	Group Total	2	0	0%	1	1	100%
	BERKLEY ADMIN. OF CONNECTICUT, INC.	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA038	Group Total	13	10	77%	1	0	0%
	CAMBRIDGE INTEGRATED SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA060	Group Total	5	4	80%	2	1	50%
	CHESTERFIELD SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA080	Group Total	3	2	67%	No filings	No filings	No filings
	CHUBB SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
	Group Total	6	2	33%	2	2	100%
	CHURCH MUTUAL INSURANCE COMPANY	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA084	Group Total	2	2	100%	No filings	No filings	No filings
	CLAIMETRICS	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA199	Group Total	2	1	50%	No filings	No filings	No filings
	CLAIMS MANAGEMENT, INC. (WAL-MART)	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA100	Group Total	69	67	97%	29	29	100%
	CNA INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
	CNA Group Total	33	28	85%	13	11	85%
	CONSTITUTION STATE SERVICE CO.	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA110	Group Total	22	20	91%	7	6	86%
	CRUM & FORSTER INSURANCE COMPANY	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA375	Group Total	1	0	0%	1	0	0%
	ESIS, INC.	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA160	Group Total	135	117	87%	45	42	93%
	F.A. RICHARD	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA165	Group Total	2	1	50%	2	1	50%
	FIREMANS FUND AMERICAN INSURANCE CO.	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA170	Group Total	1	1	100%	No filings	No filings	No filings
	GAB ROBINS	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA180	Group Total	11	7	64%	7	5	71%

Out-of-State INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	GALLAGHER BASSETT SERVICES, INC.	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA190	Group Total	81	59	73%	45	43	96%
	GREAT WEST CASUALTY CO.	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA196	Group Total	1	0	0%	No filings	No filings	No filings
	GUARD INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
	Group Total	41	30	73%	9	6	67%
	HARLEYSVILLE WORCESTER INSURANCE CO.	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
	Group Total	1	0	0%	No filings	No filings	No filings
	HARTFORD INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
	HARTFORD Group Total	100	84	84%	54	50	93%
	HELMSMAN MANAGEMENT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA204	Group Total	13	12	92%	9	8	89%
	LIBERTY MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
	Group Total	183	151	83%	115	103	90%
	MAC RISK MANAGEMENT	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA212	Group Total	3	2	67%	1	0	0%
	MACY'S CORPORATE SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA213	Group Total	3	3	100%	No filings	No filings	No filings
	MASSAMONT (Now TRIDENT INSURANCE SERVICES)	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA240	Group Total	6	6	100%	4	4	100%
	MEADOWBROOK	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA255	Group Total	6	5	83%	1	0	0%
	NGM INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA265	Group Total	1	1	100%	No filings	No filings	No filings
	OLD REPUBLIC INSURANCE COMPANY	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
	OLD REPUBLIC Group Total	52	42	81%	24	19	79%
	PEERLESS INSURANCE GROUP	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
	Group Total	142	89	63%	27	18	67%
	PROTECTIVE INSURANCE COMPANY	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA277	Group Total	2	1	50%	No filings	No filings	No filings
	RISK ENTERPRISE MANAGEMENT	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA280	Group Total	13	7	54%	6	1	17%
	RYDER	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA295	Group Total	2	0	0%	No filings	No filings	No filings
	SAFETY NATIONAL COMPANY	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
	SAFETY NATIONAL Group Total	1	0	0%	No filings	No filings	No filings
	SELECTIVE INSURANCE GROUP	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
	Group Total	2	2	100%	No filings	No filings	No filings
	SENTRY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA305	Group Total	18	7	39%	1	0	0%

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	SPECIALTY RISK SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
	Group Total	72	57	79%	29	29	100%
	T.H.E. INSURANCE CO.	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
	T.H.E. INSURANCE Group Total	1	0	0%	No filings	No filings	No filings
	THE FRANK GATES SERVICE COMPANY	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA168	Group Total	2	0	0%	No filings	No filings	No filings
	TOKIO MARINE	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
CA311	Group Total	3	0	0%	No filings	No filings	No filings
	TOWER GROUP, INC.	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
	Group Total	2	2	100%	No filings	No filings	No filings
	TRAVELERS INSURANCE GROUP	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
	TRAVELERS Group Total	115	98	85%	69	65	94%
	XL SPECIALTY INSURANCE COMPANY	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
	XL Group Total	5	2	40%	1	1	100%
	ZURICH INSURANCE GROUP	MOPs Filed	Timely MOPs	Compliance	NOCs filed	Timely NOCs	Compliance
	ZURICH Group Total	67	49	73%	29	26	90%
	TOTAL	1677	1342	80%	725	648	89%

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	INSURER ADJUSTED CLAIMS	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
CA010	ACADIA INSURANCE	297	249	84%	94	81	86%
CA021	AMERICAN GUARANTY & LIABILITY INS. CO.	3	2	67%	2	2	100%
CA018	AMERICAN INTERSTATE	1	0	0%	1	1	100%
CA022	AMERICAN ZURICH INSURANCE CO.	11	9	82%	5	4	80%
CA019	AMGUARD INSURANCE COMPANY	72	49	68%	21	17	81%
CA020	ARGONAUT INSURANCE COMPANY	7	0	0%	6	1	17%
CA032	ARROW MUTUAL INSURANCE CO.	2	1	50%	1	1	100%
CA031	ARROWOOD INDEMNITY COMPANY	4	1	25%	1	1	100%
CA290	ARROWPOINT CAPITAL CORP.	1	0	0%	1	1	100%
CA072	CHARTER OAK FIRE INS. CO.	153	118	77%	63	57	90%
CA015	CHARTIS INSURANCE	128	88	69%	44	35	80%
CA082	CHUBB SERVICES CORPORATION	4	0	0%	2	0	0%
CA084	CHURCH MUTUAL INSURANCE COMPANY	4	2	50%	2	2	100%
CA048	CITIZENS INSURANCE CO. OF AMERICA	12	10	83%	6	6	100%
CA050	CONTINENTAL CASUALTY COMPANY	74	60	81%	27	27	100%
CA140	EASTGUARD INSURANCE COMPANY	28	22	79%	8	8	100%
CA380	EMPLOYERS INSURANCE OF WAUSAU	70	45	64%	27	22	81%
CA162	EXCELSIOR INSURANCE CO.	39	23	59%	12	7	58%
CA164	FARMINGTON CASUALTY COMPANY	3	3	100%	1	1	100%
CA090	FEDERAL INSURANCE CO.	10	3	30%	4	4	100%
CA170	FIREMANS FUND AMERICAN INSURANCE CO.	1	1	100%	1	1	100%
CA191	GRAPHIC ARTS MUTUAL	1	1	100%	No filings	No filngs	No filings
CA196	GREAT WEST CASUALTY CO.	1	0	0%	1	0	0%
CA202	HANOVER INSURANCE COMPANY	51	44	86%	11	10	91%
CA198	HARLEYSVILLE MUTUAL INSURANCE COMPANY	1	0	0%	1	0	0%
CA203	HARTFORD FIRE INS. CO.	210	163	78%	63	57	90%
CA210	LIBERTY MUTUAL INSURANCE COMPANY	384	269	70%	156	130	83%
CA227	MARYLAND CASUALTY CO.	1	1	100%	No filings	No filings	No filings
CA228	MASSACHUSETTS BAY INS. CO.	25	18	72%	10	9	90%
CA261	MOUNTAIN VALLEY INDEMNITY CORP.	1	0	0%	1	1	100%
CA309	NETHERLANDS INSURANCE COMPANY	125	66	53%	41	31	76%
CA258	NEW HAMPSHIRE INSURANCE COMPANY	2	2	100%	No filings	No filings	No filings
CA265	NGM INSURANCE	14	3	21%	1	1	100%
CA272	NORGUARD INSURANCE COMPANY	28	21	75%	12	11	92%
CA281	ONEBEACON AMERICA INSURANCE CO.	1	0	0%	1	0	0%
CA270	ONEBEACON INSURANCE COMPANY	13	4	31%	6	2	33%
CA274	PATRIOT INSURANCE COMPANY	20	9	45%	11	10	91%
CA275	PEERLESS INSURANCE CO.	171	67	39%	87	52	60%
CA283	PEERLESS INDEMNITY CO.	13	9	69%	2	0	0%
CA284	PHOENIX INSURANCE	5	4	80%	2	2	100%
CA277	PROTECTIVE INSURANCE COMPANY	3	0	0%	2	2	100%
CA299	SELECTIVE INSURANCE COMPANY OF AMERICA	6	5	83%	2	2	100%
CA305	SENTRY INSURANCE	20	9	45%	18	11	61%
CA302	ST PAUL GUARDIAN INSURANCE COMPANY	1	0	0%	No filings	No filings	No filings
CA306	STANDARD FIRE INS. CO.	19	10	53%	7	4	57%
CA317	T.H.E. INSURANCE	1	0	0%	1	0	0%
CA311	TOKIO MARINE	5	2	40%	3	1	33%
CA356	TOWER INSURANCE COMPANY OF NY	4	1	25%	1	1	100%
CA347	TRAVELERS CASUALTY & SURETY COMPANY	10	7	70%	3	3	100%
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	14	9	64%	4	3	75%
CA350	TRAVELERS INDEMNITY COMPANY	4	0	0%	No filings	No filings	No filings
CA343	TRAVELERS INDEMNITY COMPANY	2	1	50%	No filings	No filings	No filings
CA346	TRAVELERS INDEMNITY COMPANY OF AMERICA	2	2	100%	No filings	No filings	No filings
CA344	TRAVELERS INDEMNITY OF RHODE ISLAND	1	0	0%	1	1	100%
10804	TRAVELERS INSURANCE COMPANY	1	1	100%	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	13	7	54%	9	9	100%
CA375	UNITED STATES FIRE INSURANCE COMPANY	2	0	0%	1	1	100%
CA378	UNIVERSAL UNDERWRITERS INSURANCE	1	0	0%	No filings	No filings	No filings
CA324	UTICA MUTUAL INSURANCE CO.	1	0	0%	No filings	No filings	No filings
CA379	VANLINER INSURANCE CO.	11	8	73%	3	3	100%
CA115	VIRGINIA SURETY	2	0	0%	No filings	No filings	No filings
CA400	ZURICH AMERICAN INSURANCE CO.	34	22	65%	12	9	75%
	TOTAL	**2148**	**1451**	**68%**	**802**	**645**	**80%**
CA260	MAINE EMPLOYERS' MUTUAL INSURANCE CO.	3924	3350	85%	1290	1193	92%

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	INSURANCE COMPANY TPA ADJUSTED	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
CA038	BERKLEY ADMIN OF CONNECTICUT, INC.	26	18	69%	13	10	77%
CA040	BROADSPIRE SERVICES, INC.	91	78	86%	19	18	95%
CA060	CAMBRIDGE INTEGRATED SERVICES	11	1	9%	4	3	75%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	31	27	87%	5	4	80%
CA080	CHESTERFIELD SERVICES	7	5	71%	3	2	67%
CA199	CLAIMETRICS	3	1	33%	2	1	50%
CA100	CLAIMS MANAGEMENT, INC. (WAL-MART)	250	237	95%	69	64	93%
CA110	CONSTITUTION STATE SERVICES CO.	38	25	66%	18	17	94%
CA160	ESIS, INC.	369	282	76%	131	114	87%
CA165	F.A. RICHARD	3	2	67%	2	2	100%
CA175	FUTURE COMP	2	1	50%	No filings	No filings	No filings
CA180	GAB ROBINS	12	9	75%	6	5	83%
CA190	GALLAGHER BASSETT SERVICES, INC.	218	129	59%	69	45	65%
CA204	HELMSMAN MANAGEMENT SERVICES	34	22	65%	13	12	92%
CA212	MAC RISK MANAGEMENT	5	0	0%	3	2	67%
CA240	MASSAMONT INSURANCE AGENCY	12	9	75%	6	6	100%
CA280	RISK ENTERPRISE MANAGEMENT	5	2	40%	6	6	100%
CA295	RYDER	2	1	50%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	334	269	81%	122	108	89%
CA315	SPECIALTY RISK SERVICES	176	137	78%	61	51	84%
CA168	THE FRANK GATES SERVICE COMPANY	5	1	20%	2	0	0%
CA340	YORK CLAIMS SERVICE	1	1	100%	No filings	No filings	No filings
	TOTAL	**1635**	**1257**	**77%**	**556**	**472**	**85%**

	SELF INSURED SELF ADMINISTERED	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
CA033	BANGOR, CITY OF	40	38	95%	18	18	100%
CA036	BATH IRON WORKS	406	398	98%	41	40	98%
CA085	CIANBRO CORPORATION	11	5	45%	1	1	100%
CA201	HANNAFORD BROTHERS	333	299	90%	71	69	97%
CA213	MACY'S CORPORATE SERVICES	3	1	33%	3	3	100%
CA220	MAINE AUTOMOBILE DEALERS	129	107	83%	60	53	88%
CA234	MAINE HEALTH CARE ASSOCIATION	194	154	79%	45	40	89%
CA230	MMTA WORKERS' COMPENSATION TRUST	182	170	93%	54	50	93%
CA225	MAINE MUNICIPAL ASSOCIATION	856	791	92%	264	246	93%
CA250	MAINE SCHOOL MANAGEMENT ASSOCIATION	295	259	88%	91	86	95%
CA255	MEADOWBROOK	9	4	44%	6	5	83%
CA285	ROMAN CATHOLIC DIOCESE	23	21	91%	6	5	83%
CA307	STATE OF MAINE WORKERS' COMPENSATION DIV.	699	650	93%	163	162	99%
CA320	SYNERNET	559	523	94%	131	125	95%
	TOTAL	**3739**	**3420**	**91%**	**954**	**903**	**95%**

	SELF INSURED TPA ADMINISTERED	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
CA040	BROADSPIRE SERVICES, INC.	14	12	86%	4	4	100%
CA060	CAMBRIDGE INTEGRATED SERVICES	9	2	22%	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	600	547	91%	152	125	82%
CA110	CONSTITUTION STATE SERVICE CO.	4	2	50%	4	2	50%
CA160	ESIS, INC.	10	6	60%	4	2	50%
CA165	F.A. RICHARD	1	0	0%	No filings	No filings	No filings
CA316	FUTURECOMP	251	211	84%	77	66	86%
CA180	GAB ROBINS	8	6	75%	5	4	80%
CA190	GALLAGHER BASSETT SERVICES, INC.	19	12	63%	12	9	75%
CA204	HELMSMAN MANAGEMENT SERVICES	4	3	75%	No filings	No filings	No filings
CA001	HRH CLAIMS MANAGEMENT	393	367	93%	105	90	86%
CA280	RISK ENTERPRISE MANAGEMENT	57	22	39%	7	5	71%
CA266	NEWPAGE CORPORATION	3	2	67%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES, INC.	48	37	77%	27	25	93%
CA315	SPECIALTY RISK SERVICES	16	14	88%	11	9	82%
CA382	WILLIS OF NORTHERN NEW ENGLAND, INC.	469	439	94%	77	68	88%
	TOTAL	**1906**	**1682**	**88%**	**487**	**411**	**84%**

Group Type Analysis
Initial MOP and Initial Indemnity NOC Filings
2009
1/1/2009 - 12/31/2009

	INSURER ADJUSTED	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
CA010	ACADIA INSURANCE	94	84	89%	48	48	100%
CA021	AMERICAN GUARANTY & LIABILITY INS. CO.	2	1	50%	No filings	No filings	No filings
CA018	AMERICAN INTERSTATE	1	1	100%	No filings	No filings	No filings
CA022	AMERICAN ZURICH INSURANCE CO.	5	4	80%	2	2	100%
CA019	AMGUARD INSURANCE COMPANY	21	15	71%	2	1	50%
CA020	ARGONAUT INSURANCE COMPANY	6	0	0%	1	0	0%
CA032	ARROW MUTUAL INSURANCE CO.	1	1	100%	No filings	No filings	No filings
CA031	ARROWOOD INDEMNITY COMPANY	1	0	0%	1	1	100%
CA290	ARROWPOINT CAPITAL CORP.	1	0	0%	No filings	No filings	No filings
CA072	CHARTER OAK FIRE INS. CO.	63	54	86%	38	37	97%
CA015	CHARTIS INSURANCE	44	34	77%	21	20	95%
CA082	CHUBB SERVICES CORPORATION	2	0	0%	No filings	No filings	No filings
CA084	CHURCH MUTUAL INSURANCE COMPANY	2	2	100%	No filings	No filings	No filings
CA048	CITIZENS INSURANCE CO. OF AMERICA	6	6	100%	2	2	100%
CA050	CONTINENTAL CASUALTY COMPANY	27	25	93%	5	5	100%
CA140	EASTGUARD INSURANCE COMPANY	8	6	75%	4	3	75%
CA380	EMPLOYERS INSURANCE OF WAUSAU	27	20	74%	18	15	83%
CA162	EXCELSIOR INSURANCE CO.	12	9	75%	2	1	50%
CA164	FARMINGTON CASUALTY COMPANY	1	1	100%	No filings	No filings	No filings
CA090	FEDERAL INSURANCE CO.	4	2	50%	2	2	100%
CA170	FIREMANS FUND AMERICAN INSURANCE CO.	1	1	100%	No filings	No filings	No filings
CA196	GREAT WEST CASUALTY CO.	1	0	0%	No filings	No filings	No filings
CA202	HANOVER INSURANCE COMPANY	11	9	82%	7	5	71%
CA198	HARLEYSVILLE MUTUAL INSURANCE COMPANY	1	0	0%	No filings	No filings	No filings
CA203	HARTFORD FIRE INS. CO.	63	58	92%	36	34	94%
CA210	LIBERTY MUTUAL INSURANCE COMPANY	156	131	84%	97	88	91%
CA228	MASSACHUSETTS BAY INS. CO.	10	9	90%	5	5	100%
CA261	MOUNTAIN VALLEY INDEMNITY CORP.	1	1	100%	No filings	No filings	No filings
CA309	NETHERLANDS INSURANCE COMPANY,	41	32	78%	6	4	67%
CA258	NEW HAMPSHIRE INSURANCE COMPANY	No filings	No filings	No filings	1	1	100%
CA265	NGM INSURANCE	1	1	100%	No filings	No filings	No filings
CA272	NORGUARD INSURANCE COMPANY	12	9	75%	3	2	67%
CA281	ONEBEACON AMERICA INSURANCE CO.	1	0	0%	No filings	No filings	No filings
CA270	ONEBEACON INSURANCE COMPANY	6	1	17%	1	1	100%
CA274	PATRIOT INSURANCE COMPANY	11	9	82%	1	0	0%
CA275	PEERLESS INSURANCE CO.	87	48	55%	13	7	54%
CA283	PEERLESS INDEMNITY CO.	2	0	0%	6	6	100%
CA284	PHOENIX INSURANCE	2	1	50%	2	1	50%
CA277	PROTECTIVE INSURANCE COMPANY	2	1	50%	No filings	No filings	No filings
CA299	SELECTIVE INSURANCE COMPANY OF AMERICA	2	2	100%	No filings	No filings	No filings
CA305	SENTRY INSURANCE	18	7	39%	1	0	0%
CA303	ST PAUL FIRE & MARINE INSURANCE COMPANY	No filings	No filings	No filings	1	0	0%
CA302	ST PAUL GUARDIAN INSURANCE COMPANY	No filings	No filings	No filings	1	1	100%
CA306	STANDARD FIRE INS. CO.	7	4	57%	5	5	100%
CA317	T.H.E. INSURANCE CO.	1	0	0%	No filings	No filings	No filings
CA311	TOKIO MARINE	3	0	0%	No filings	No filings	No filings
CA356	TOWER INSURANCE COMPANY OF NY	1	1	100%	No filings	No filings	No filings
CA347	TRAVELERS CASUALTY & SURETY COMPANY	3	3	100%	3	2	67%
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	4	3	75%	4	4	100%
CA350	TRAVELERS INDEMNITY COMPANY	No filings	No filings	No filings	1	1	100%
CA344	TRAVELERS INDEMNITY OF RHODE ISLAND	1	1	100%	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	9	9	100%	3	3	100%
CA375	UNITED STATES FIRE INSURANCE COMPANY	1	0	0%	1	0	0%
CA324	UTICA MUTUAL INSURANCE CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA379	VANLINER INSURANCE CO.	3	2	67%	3	2	67%
CA115	VIRGINIA SURETY	No filings	No filings	No filings	No filings	No filings	No filings
CA400	ZURICH AMERICAN INSURANCE CO.	12	9	75%	10	9	90%
	TOTAL	**802**	**617**	**77%**	**357**	**318**	**89%**
CA260	MAINE EMPLOYERS' MUTUAL INSURANCE CO.	1290	1176	91%	701	661	94%

Group Type Analysis
Initial MOP and Initial Indemnity NOC Filings
2009
1/1/2009 - 12/31/2009

NCCI	TPA ADJUSTED INSURER	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
CA038	BERKLEY ADMIN OF CONNECTICUT, INC.	13	10	77%	1	0	0%
CA040	BROADSPIRE SERVICES, INC.	19	18	95%	19	19	100%
CA060	CAMBRIDGE INTEGRATED SERVICES	4	3	75%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	5	4	80%	4	4	100%
CA080	CHESTERFIELD SERVICES	3	2	67%	No filings	No filings	No filings
CA199	CLAIMETRICS	2	1	50%	No filings	No filings	No filings
CA100	CLAIMS MANAGEMENT, INC. (WAL-MART)	69	67	97%	29	29	100%
CA110	CONSTITUTION STATE SERVICES CO.	18	17	94%	7	6	86%
CA160	ESIS, INC.	131	114	87%	45	42	93%
CA165	F.A. RICHARD	2	1	50%	2	1	50%
CA180	GAB ROBINS	6	5	83%	4	3	75%
CA190	GALLAGHER BASSETT SERVICES, INC.	69	49	71%	42	40	95%
CA204	HELMSMAN MANAGEMENT SERVICES	13	12	92%	6	5	83%
CA212	MAC RISK MANAGEMENT	3	2	67%	1	0	0%
CA255	MEADOWBROOK	6	5	83%	1	0	0%
CA280	RISK ENTERPRISE MANAGEMENT	6	4	67%	No filings	No filings	No filings
CA295	RYDER	2	0	0%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	122	106	87%	60	49	82%
CA315	SPECIALTY RISK SERVICES	61	47	77%	25	25	100%
CA168	THE FRANK GATES SERVICE COMPANY	2	0	0%	No filings	No filings	No filings
CA240	TRIDENT INSURANCE SERVICES (Formerly Massamont)	6	6	100%	4	4	100%
	TOTAL	**562**	**473**	**84%**	**250**	**227**	**91%**

NCCI	SELF INSURED SELF ADMINISTERED	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
CA033	BANGOR, CITY OF	18	18	100%	5	5	100%
CA036	BATH IRON WORKS	41	40	98%	30	29	97%
CA085	CIANBRO CORPORATION	1	0	0%	7	7	100%
CA201	HANNAFORD BROTHERS	71	67	94%	53	48	91%
CA213	MACY'S CORPORATE SERVICES	3	3	100%	No filings	No filings	No filings
CA220	MAINE AUTOMOBILE DEALERS	60	58	97%	16	15	94%
CA234	MAINE HEALTH CARE ASSOCIATION	45	40	89%	27	25	93%
CA230	MMTA WORKERS' COMPENSATION TRUST	54	49	91%	42	41	98%
CA225	MAINE MUNICIPAL ASSOCIATION	264	249	94%	170	162	95%
CA250	MAINE SCHOOL MANAGEMENT ASSOCIATION	91	88	97%	38	36	95%
CA285	ROMAN CATHOLIC DIOCESE	6	4	67%	3	2	67%
CA307	STATE OF MAINE WORKERS' COMPENSATION DIV.	163	155	95%	85	82	96%
CA320	SYNERNET	131	122	93%	141	137	97%
	TOTAL	**948**	**893**	**94%**	**617**	**589**	**95%**

NCCI	SELF INSURED TPA ADMINISTERED	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
CA040	BROADSPIRE SERVICES, INC.	4	4	100%	4	3	75%
CA060	CAMBRIDGE INTEGRATED SERVICES	1	1	100%	2	1	50%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	152	128	84%	147	139	95%
CA110	CONSTITUTION STATE SERVICE CO.	4	3	75%	No filings	No filings	No filings
CA160	ESIS, INC.	4	3	75%	No filings	No filings	No filings
CA316	FUTURE COMP	77	67	87%	32	29	91%
CA180	GAB ROBINS	5	2	40%	3	2	67%
CA190	GALLAGHER BASSETT SERVICES, INC.	12	10	83%	3	3	100%
CA204	HELMSMAN MANAGEMENT SERVICES	No filings	No filings	No filings	3	3	100%
CA001	HRH CLAIMS MANAGEMENT	105	91	87%	101	101	100%
CA266	NEWPAGE CORPORATION (SEDGWICK)	1	1	100%	No filings	No filings	No filings
CA280	RISK ENTERPRISE MANAGEMENT	7	3	43%	6	1	17%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES, INC.	27	23	85%	6	6	100%
CA310	SPECIALTY RISK SERVICES	11	10	91%	4	4	100%
CA382	WILLIS OF NORTHERN NEW ENGLAND, INC.	77	70	91%	129	129	100%
	TOTAL	**487**	**416**	**85%**	**440**	**421**	**96%**

Section 205(3) Penalties Issued
2009
1/1/2009 - 12/31/2009

Claim Administrator	Quarter	#	Dollars	Total Violations	Total Dollars
Acadia employer	2009-4	1	$1,500	**1**	**$1,500**
AIG	2009-3	2	$2,000	**2**	**$2,000**
Cambridge	2009-1	1	$300	**1**	**$300**
Cannon Cochran	2009-3	2	$3,000	**2**	**$3,000**
ESIS	2009-1	2	$1,550		
	2009-2	1	$1,500		
	2009-4	1	$150	**4**	**$3,200**
Gallagher Bassett	2009-1	1	$150		
	2009-2	1	$50		
	2009-3	1	$150	**3**	**$350**
Hannaford	2009-3	1	$1,250	**1**	**$1,250**
HRH	2009-1	2	$1,150	**2**	**$1,150**
Liberty Mutual	2009-1	1	$1,500		
	2009-2	1	$1,500		
	2009-3	1	$200	**3**	**$3,200**
MAC Risk	2009-1	1	$1,050	**1**	**$1,050**
Maine Employers' Mutual	2009-1	4	$1,800		
	2009-2	1	$1,500		
	2009-3	1	$950	**6**	**$4,250**
MEMIC employers	2009-1	1	$1,500		
	2009-4	2	$1,650	**3**	**$3,150**
Maine Municipal	2009-3	1	$1,500		
	2009-4	1	$100	**2**	**$1,600**
Peerless	2009-1	5	$6,750		
	2009-2	1	$1,500		
	2009-3	5	$6,100		
	2009-4	3	$4,500	**14**	**$18,850**
SRS	2009-1	1	$1,400		
	2009-3	1	$100	**2**	**$1,500**
TOTAL				**47**	**$46,350**

Late Filed Coverage Analysis
2009
1/1/2009 - 12/31/2009

Insurance Group	# Complaints	# Fines Paid	Penalties Collected
Acadia	19	14	$1,400
Accident Fund	1	1	$100
Ace	13	12	$1,200
Advantage	1	1	$100
AIG	16	6	$600
Arch	3	0	$0
Argonaut	4	2	$200
Chubb	25	21	$2,100
Church Mutual	1	1	$100
CNA	6	4	$400
Continental Indemnity	1	1	$100
Crum & Forster	4	3	$300
Employers Reinsurance	1	0	$0
Federated Mutual	1	1	$100
Fireman's Fund	2	1	$100
Great American	4	2	$200
Great Divide	1	1	$100
Greenwich	1	0	$0
Guard	4	0	$0
Hanover	19	18	$1,800
Hartford	113	72	$7,200
Liberty	14	3	$300
MEMIC	86	1	$100
NGM	7	7	$700
Old Republic	3	2	$200
OneBeacon	7	7	$700
Peerless	18	8	$800
PMA Group	3	3	$300
Preferred Professional	1	1	$100
Protective	5	5	$500
Regent	1	1	$100
Safety National	2	0	$0
Seabright	1	0	$0
Sentry	4	1	$100
Sparta	1	1	$100
Star	5	4	$400
Technology	4	3	$300
Tower	4	0	$0
Travelers	64	63	$6,300
Utica	2	0	$0
Wausau	12	3	$300
Westport	1	0	$0
XL Specialty	2	2	$200
Zurich	33	32	$3,200
Total	**520**	**308**	**$30,800**