

INSTRUCTIONS FOR REPORTING SECURITIES/STOCK

- **All DTC eligible shares MUST be deposited through DTC or DWAC (if not DTC participant) as follows:**

Nominee Name:
Katahdin & Co.
DTC Participant # 0954
Agent Bank # 26017
Account # AUZF0233702
FEIN# 16-1674447

- **Register Book Entry Shares/ DRP/Direct Registration Shares (DRS) as follows:**

Nominee Name:
Katahdin & Co.
FEIN# 16-1674447
c/o Xerox Business Services
100 Hancock St, 10th Floor
Quincy, MA 02171

**Please note: Please pay all dividends earned on DRP accounts as cash – Do not reinvest.*

- **Register and deliver Physical Certificates ONLY for non-DTC eligible shares as follows:**

Nominee Name:
Katahdin & Co
FEIN# 16-1674447
The Depository Trust Company
570 Washington Blvd - 5th Floor
Jersey City, NJ 07310
Attn: BNY Mellon/Branch Deposit Department

- **Closed End Mutual Fund**

Accounts held for the state of Maine:
Katahdin & Co
c/o Xerox Business Services,
100 Hancock St, 10th Floor,
Quincy MA 02171

When funds are registered to MAC & Co, please make sure that interested party statements are sent to Xerox Business Services, 100 Hancock St, 10th Floor, Quincy MA 02171. Attn Mutual Fund Operations

**Please note: Please pay all dividends earned on DRP accounts as cash – Do not reinvest.*

- **Open Ended Mutual Fund**

Accounts held for the state of Maine:
MAC & Co.
State of Maine-Account AUZF0233702
Mutual Fund Operation
PO Box 3198
Pittsburgh, PA 15230-3198
Account # AUZF0233702
FEIN 23-6019000

Xerox Business Services will provide account numbers for all mutual funds that will be transferred to the state's account. Please contact Michael Giurleo at 617-722-9674 to obtain account numbers 48 hours prior to attempting delivery.

If the fund chooses to establish new account numbers and register mutual funds as indicated below, please provide a detailed list 48 hours in advance to upch.custody@acs-inc.com. This list should contain cusip number, name of the fund, share amount and the account number at the fund.

**Please note: Please pay all dividends earned on DRP accounts as cash – Do not reinvest.*

A detailed list, including CUSIP numbers, number of shares, issue names, DTC participant numbers and date of deposit/transfer MUST be faxed to Xerox Business Services at 617-722-9660, Attn: Custody Department at least 2 days prior to deposit/transfer. Remember to include a copy of the detailed list with the holder report filed with the State Treasurer. For more information, please call Xerox Business Services State Client Liaison at 617-722-9654.