94-411

Maine Public Employees Retirement System

2014 Regulatory Agenda

Filing Deadline: August 11, 2014

AGENCY UMBRELLA-UNIT NUMBER: **94-411**

AGENCY NAME: **Maine Public Employees Retirement System**

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**EMERGENCY RULES ADOPTED SINCE THE LAST REGULATORY AGENDA:** None

**EXPECTED 2014-2015 RULE-MAKING ACTIVITY:**

**CHAPTER 101**: Earnable Compensation and Calculation of Average Final Compensation

STATUTORY BASIS: 5 M.R.S. §§ 17103(4); 17001(13)

PURPOSE: Amend if necessary; repeal and replace if necessary

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participants in the programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not contemplated

**CHAPTER 102**: Qualification as a Full-time Student

STATUTORY BASIS: 5 M.R.S. §17103(4)

PURPOSE: Amend if necessary; repeal and replace if necessary

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Beneficiaries of participants in the programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not contemplated

**CHAPTER 103:** Qualified Domestic Relations Orders

STATUTORY BASIS: 5 M.R.S. §17103(4)

PURPOSE: Amend if necessary; repeal and replace if necessary

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Divorcing members/retirees and their spouses and dependent children who are participants in the retirement programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not contemplated

**CHAPTER 104:** Limitations on Earnable Compensation for Purposes of Calculating Average Final Compensation of State Employee and Teacher Members

STATUTORY BASIS: 5 M.R.S. §17001(4), §17001(13), §17103(4)

PURPOSE: Amend if necessary

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: State employee and teacher members of the Maine Public Employees Retirement System retirement program for state employees and teachers

CONSENSUS-BASED RULE DEVELOPMENT: Not contemplated

**CHAPTER 201**: Reporting by Participating Local Districts and Public Schools

STATUTORY BASIS: 5 M.R.S. §17103(4)

PURPOSE: Amend if necessary; repeal and replace if necessary

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: School administrative units and participating local districts in the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not contemplated

**CHAPTER 202**: Medical Board

STATUTORY BASIS: 5 M.R.S. §17103(4)

PURPOSE: Amend if necessary; repeal and replace if necessary

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Disability applicants/benefit recipients in the retirement programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not contemplated

**CHAPTER 301:** Interest Calculations

STATUTORY BASIS: 5 M.R.S. §17103(4)

PURPOSE: Amend if necessary

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participants in the retirement programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**CHAPTER 302**: Retirement Incentives

STATUTORY BASIS: 5 M.R.S. §17103(4)

PURPOSE: Amend if necessary

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participants and employers in the retirement programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**CHAPTER 303**: Actuarial Factors Tables

STATUTORY BASIS: 3 M.R.S. §701(2), 4 M.R.S. §1201(2), 5 M.R.S. §§ 17001(2), 17103(4)

PURPOSE: Amend if necessary

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participants in the retirement programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**CHAPTER 401:** Membership and Creditable Service - State Employees and Participating District Employees

STATUTORY BASIS: 5 M.R.S. §17103(4)

PURPOSE: Amend if necessary; repeal and replace if necessary

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: State employees and participating local district participants in the retirement programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**CHAPTER 404**: Membership and Creditable Service - Public School Teachers

STATUTORY BASIS: 5 M.R.S. §§ 17001(42) and 17103(4)

PURPOSE: Amend if necessary; repeal and replace if necessary; amend title to explicitly include other public school employees eligible for membership in the teacher plan

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Teacher members of the Maine Public Employees Retirement System retirement program for state employees and teachers

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**CHAPTER 406**: Payment or Repayment of Contributions, Back Time, Refunds or Purchase of Service Credits

STATUTORY BASIS: 5 M.R.S. §§ 17103(4), 17701(4), 17704, 17704-A, 17763, 18301(4), 18362

PURPOSE: Amend if necessary; repeal and replace if necessary

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participants in the retirement programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**CHAPTER 410:** Retirees Returning to Employment after Retirement with the Same Employer

STATUTORY BASIS: 5 M.R.S. §§ 17103(4) and 17859

PURPOSE: Amend if necessary; repeal and replace if necessary

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participants and employers in the retirement programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**CHAPTER 411:** Eligible Rollover Distributions

STATUTORY BASIS: 5 M.R.S. §17103(4)

PURPOSE: Amend if necessary; repeal and replace if necessary

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participants in the retirement programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**CHAPTER 412:** Limitations on Compensation

STATUTORY BASIS: 5 M.R.S. §17103(4)

PURPOSE: Amend if necessary; repeal and replace if necessary

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participants in the retirement programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**CHAPTER 413:** Limitations on Contributions and Benefits

STATUTORY BASIS: 5 M.R.S. §17103(4)

PURPOSE: Amend if necessary; repeal and replace if necessary

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participants in the retirement programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**CHAPTER 414:** Required Minimum Distributions

STATUTORY BASIS: 5 M.R.S. §17103(4)

PURPOSE: Amend if necessary; repeal and replace if necessary

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participants in the retirement programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**CHAPTER 415:** Contributions, Benefits and Service Credit with Respect to Qualified Military Service

STATUTORY BASIS: 5 M.R.S. §17103(4)

PURPOSE: Amend if necessary; repeal and replace if necessary

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participants in the retirement programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**CHAPTER 501**: Eligibility of MSRS Members to Apply for Disability Retirement Benefits

STATUTORY BASIS: 5 M.R.S. §17103(4)

PURPOSE: Amend if necessary; repeal and replace if necessary

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participants in the retirement programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**CHAPTER 505**: Request for Suspension of Retirement Benefits

STATUTORY BASIS: 5 M.R.S. §17103(4)

PURPOSE: Amend if necessary; repeal and replace if necessary

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participants in the retirement programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**CHAPTER 507**: Determination of Inability to Engage in Substantially Gainful Activity

STATUTORY BASIS: 5 M.R.S. §17103(4)

PURPOSE: Amend if necessary; repeal and replace if necessary

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participants in the retirement programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**CHAPTER 508**: Disability Retirement under 5 M.R.S. §1122 as in Effect Prior to July 1, 1997

STATUTORY BASIS: 5 M.R.S. §17103(4)

PURPOSE: Amend if necessary; repeal and replace if necessary

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participants, employers and disability recipients of the retirement programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**CHAPTER 509**: Determination of Impossibility to Perform the Duties of the Employment Position

STATUTORY BASIS: 5 M.R.S. §§ 17103(4), 17901, 17921, 18501, 18521

PURPOSE: Amend if necessary; repeal and replace if necessary

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participants in the retirement programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**CHAPTER 510**: Reduction of Disability Retirement Benefits because of Lump-Sum Settlements of Benefits Payable under the Workers’ Compensation or Similar Law or the *United States Social Security Act*

STATUTORY BASIS: 3 M.R.S. § 853; 4 M.R.S. §1353(6); 5 M.R.S. §§ 17906(2); 17930(4); 18506(2); 18530(4); 1122(5-A) and 1122(6)

PURPOSE: Amend if necessary; repeal and replace if necessary

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participants receiving disability benefits from the retirement programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**CHAPTER 511:** Standards for Actively Seeking Work

STATUTORY BASIS: 5 M.R.S. §17103(4)

PURPOSE: Amend if necessary; repeal and replace if necessary

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participants in the retirement programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**CHAPTER 601**: Group Life Insurance

STATUTORY BASIS: 5 M.R.S. §§ 17103(4), 18503, 18653

PURPOSE: Amend if necessary; repeal and replace if necessary

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participants in the Group Life Insurance Program of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**CHAPTER 602**: Procedures for Contract Awards

STATUTORY BASIS: 5 M.R.S. §17103

PURPOSE: Amend if necessary

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Persons seeking to contract with the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**CHAPTER 702**: Appeals of Decisions of the Executive Director

STATUTORY BASIS: 5 M.R.S. §17103(4)

PURPOSE: Amend if necessary; repeal and replace if necessary

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Persons appealing decisions of the Executive Director of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**CHAPTER 802**: Participating Local Districts: Membership of Part-time, Seasonal or Temporary Employees

STATUTORY BASIS: 5 M.R.S. §17103(4)

PURPOSE: Amend if necessary; repeal and replace if necessary

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participating Local Districts and Participating Local District employees who participate in the Participating Local District Retirement Program of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**CHAPTER 803**: Participating Local District Consolidated Retirement Plan

STATUTORY BASIS: 5 M.R.S. §17103(4)

PURPOSE: Amend, if necessary

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participating Local Districts and Participating Local District employees who participate in the Participating Local District Retirement Program of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**CHAPTER 804:** Rebuttable Presumption for Death Benefits

STATUTORY BASIS: 5 M.R.S. §17103(4)

PURPOSE: Amend, if necessary

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participating Local Districts and Participating Local District employees who participate in the Participating Local District Retirement Program of the Maine Public Employees Retirement System and their surviving spouses and children.

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**CHAPTER 901**: Adjustment to Retirement Benefits for Confidential State Employees

STATUTORY BASIS: 5 M.R.S. §17103(4)

PURPOSE: Amend if necessary; repeal or replace if necessary

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participants in the retirement programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**NEW RULE**: Deferred Compensation/Defined Contribution Plans

STATUTORY BASIS: 5 M.R.S. §17103(4)

PURPOSE: New rule(s) if necessary; to establish requirements and procedures for implementing defined contribution plans

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participants in the retirement programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**NEW RULE**: Reduction of Accidental Death Benefits because of Lump-sum Settlements of benefits payable under the *Workers’ Compensation Act* or Similar Law

STATUTORY BASIS: 3 M.R.S. §856, 4 M.R.S. §1356, 5 M.R.S. §§ 18005(2) and 18506(2)

PURPOSE: New rule(s); to provide basis for proration of lump-sum Workers’ Compensation and similar payments

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participants in the retirement programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**NEW RULE**: Earnings Limitation under Article 3 and Article 3-A Disability Programs

STATUTORY BASIS: 3 M.R.S. §853, 4 M.R.S. §1353(5), 5 M.R.S. §§ 17103(4), 17906(1), 17930, 18506, 18530; PL 2001, Chapter 443

PURPOSE: New rule(s); to establish interpretation/application of statutes; standards; procedures

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participants in the retirement programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**NEW RULE**: Compliance with Payroll and Reporting Requirements

STATUTORY BASIS: 5 M.R.S. §§ 17103(4), 17105(5)(B)

PURPOSE: New rules; to establish a schedule of penalties and interest to ensure compliance with payroll reporting/payment, recordkeeping responsibilities, and related compliance procedures

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participants and employers in the retirement programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**NEW RULE**: Payroll Reporting

STATUTORY BASIS: 5 M.R.S. §§ 17103(4), 17601, 17701, 18301 and 18303

PURPOSE: New rules to establish requirements of the System’s recordkeeping processes, some of which may change as processes are automated.

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participants and employers of participants in the retirement programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**NEW RULE**: Implementation of Guidelines for Medical Consultation on Disability Cases

STATUTORY BASIS: 5 M.R.S. §§ 17103(4), 17106, 17902, 17925, 18502, 18525

PURPOSE: New rule to establish procedural and other requirements

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participants in the retirement programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**NEW RULE**: Death Benefits

STATUTORY BASIS: 5 M.R.S. §§ 17103((4), 17951-17953, 18001-18006, 18551-18557, 18601-18606

PURPOSE: New rules; to establish procedural and other requirements

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Designated beneficiaries, surviving spouses, children, parents and estates of participants in the retirement programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**NEW RULE**: Refunds of Accumulated Contributions

STATUTORY BASIS: 5 M.R.S. §§ 17103(4), 17705-A, 17706-A, 18306-A, 18307-A

PURPOSE: New rule; to establish procedural and other requirements

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participants and designated beneficiaries, surviving spouses, children, parents and estates of participants in the retirement programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**NEW RULE**: Participating Local Districts: Surpluses

STATUTORY BASIS: 5 M.R.S. §17103(4)

PURPOSE: New rule; to define the terms that identify the existence of a surplus and the relationship between a PLD and its surplus

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participating Local Districts in the Participating Local District Retirement Program of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**NEW RULE:** Determination of Average Final Compensation for Disability Retirement Benefits and Accidental Death Benefits in the Case of Part-Time, Seasonal, and Temporary Employees

STATUTORY BASIS: 5 M.R.S. §17103(4)

PURPOSE: New rule; to provide guidance on the calculation of disability retirement and accidental death benefits for part-time, seasonal and temporary employees, including the definition of terms used in the calculation

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participants in the retirement programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**NEW RULE:** Digital Signatures

STATUTORY BASIS: 5 M.R.S. §17103(4)

PURPOSE: New rule; to establish criteria for the use of digital signatures

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participants and employers in the programs of the Maine Public Employees Retirement System and vendors engaging in transactions with the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**NEW RULE:** Standards for Granting a Waiver to a Member or Retiree where the Member or Retiree is Required to Make a Payment or Payments Because of an Error or Omission on the Part of the Employer

STATUTORY BASIS: 5 M.R.S. §17103(4)

PURPOSE: New rule; to promulgate criteria the Board of Trustees will consider in deciding whether to grant a waiver under 5 M.R.S. §17103(6) to a member or retiree who is required to make a payment or payments because of an error or omission on the part of the employer of the member or former employer of the retiree

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participants in the programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated

**OTHER RULEMAKING ACTIONS**: Automated Processing

STATUTORY BASIS: 5 M.R.S. §17103(4)

PURPOSE: Amend current rules and adopt new rules as necessary to convert to increased use of automated processing to enhance timeliness and accuracy of the functions performed in the programs of the Maine Public Employees Retirement System.

SCHEDULE FOR ADOPTION: 2014-2015

AFFECTED PARTIES: Participants in the retirement programs of the Maine Public Employees Retirement System

CONSENSUS-BASED RULE DEVELOPMENT: Not Contemplated