**16 DEPARTMENT OF PUBLIC SAFETY**

**633 GAMBLING CONTROL UNIT**

**Chapter 41: LICENSEE RECORDS, ANNUAL REPORTING AND AUDITS**

§1. A fantasy contest operator shall maintain records of fantasy contestant accounts, for a period of five (5) years, and make these records available for inspection at the request of the director or the director’s designee.

§2. A fantasy contest operator shall submit an annual report to the director pursuant to 8 M.R.S. §1104(5).

§3. The following additional information shall be made available to the director upon the director’s request, for a period of five (5) years:

* 1. The number of fantasy contestants in Maine who requested a deposit limit increase;
	2. The number of deposit limit increases granted to fantasy contestants in Maine;
	3. The number of accounts in which a fantasy contestant in Maine was identified as a minor and the action taken as a result;
	4. The number and amount of refunds given to fantasy contestants in Maine; and
	5. The number of fantasy contestants in Maine who requested additional limitations on their accounts and the action taken as a result.

§4. The director may conduct a financial audit of any fantasy contest operator licensed in the State of Maine to ensure compliance with M.R.S. Title 8, Chapter 33, at any time.

§5. The fantasy contest operator must provide to the director a copy of the audit report required by 8 M.R.S. §1104(4)(C) by June 30th of each year.

STATUTORY AUTHORITY:

 8 M.R.S. §§ 1102(1)(D), 1106

EFFECTIVE DATE:

 June 13, 2021 – filing 2021-105