STATE OF MAINE
BOARD OF SPEECH, AUDIOLOGY AND HEARING
APPLICATION FOR LICENSURE

- Speech-Language Pathologist

Department of Professional and Financial Regulation
Office of Professional and Occupational Regulation
35 State House Station
Augusta, ME 04333-0035

Office Telephone: (207) 624-8626
Office Facsimile: (207) 624-8637
TTY USERS CALL MAINE RELAY 711
Internet: www.maine.gov/professionallicensing

Office located at: 76 Northern Avenue, Gardiner, Maine

Revised 1/2015
The application material you have requested from the Board of Speech, Audiology and Hearing is enclosed. It contains all the relevant materials you need to complete your application for licensure as a Speech-Language Pathologist in the State of Maine. Please read all the information carefully. If you have any questions after reading this packet, please call or e-mail our office.

**FURNISHED TO APPLICANT:**

- Applicant Information Guide
- Individual License Application
- Verification of Licensure Form

**ADDITIONAL RESOURCES:**

- Licensing Law for Speech-Language Pathologists and Audiologists
  
  Please read carefully and review periodically for changes. You are responsible for knowing and complying with all Maine Laws throughout your licensure.
  
  Available: [http://www.mainelegislature.org/legis/statutes/32/title32ch137sec0.html](http://www.mainelegislature.org/legis/statutes/32/title32ch137sec0.html) or call (207) 624-8626

- Licensing Rules Specific to Speech-Language Pathologists and Audiologists
  
  Please read carefully and review periodically for changes. You are responsible for knowing and complying with all Board Rules throughout your licensure.
  
  Available: [http://www.maine.gov/sos/cec/rules/02/chaps02.htm#643](http://www.maine.gov/sos/cec/rules/02/chaps02.htm#643) or call (207) 624-8626

- Licensing Rules for the Department of Professional and Financial Regulation
  
  Available: [http://www.maine.gov/sos/cec/rules/02/chaps02.htm#041](http://www.maine.gov/sos/cec/rules/02/chaps02.htm#041)
  
  Please read these carefully and review periodically for changes. You are responsible for knowing and complying with Office of Professional and Occupational Regulation Rules, Chapters 10, 11 and 13, throughout your licensure.

- Statutory Authority, Titles 5 & 10
  
  Available: [http://www.mainelegislature.org/legis/statutes/10/title10ch901sec0.html](http://www.mainelegislature.org/legis/statutes/10/title10ch901sec0.html)
  [http://www.mainelegislature.org/legis/statutes/5/title5ch341sec0.html](http://www.mainelegislature.org/legis/statutes/5/title5ch341sec0.html)
APPLICATION PROCEDURE:

- Please submit your application materials to the Board by mail or hand delivery to our offices. Faxed submissions will not be accepted. Applications are reviewed and processed in the order received. Typical review and processing time of applications is up to two (2) to three (3) weeks. Incomplete applications, underlying circumstances surrounding applications and periods of high volume could result in further delays.

- If there are deficiencies with your application, you will be notified by mail. You may also check the Board’s website. It is the responsibility of the applicant to see that all documentation is completed and returned to the department for consideration.

- Please do not call our office regarding the status of your application. Information regarding the status of applications may be found at the Office of Professional and Occupational Regulation’s website: http://pfr.informe.org/almsonline/almquery/welcome.aspx. We appreciate your thoughtful attention to this request.

IMPORTANT INFORMATION FOR LICENSEEES:

- Renewal

This is an annual license, renewable by the end of February each year. You may renew online at your convenience 24 hours a day, 7 days a week up to 60 days in advance of your license expiration date. Renewal reminders are currently sent to the e-mail address on file. Late renewals (up to 90 days after license expiration) incur a late renewal fee of $50.00. Those wishing to obtain licensure after the late renewal period must meet the requirements for licensure current at the time of the application. Applicants whose license expired within the 91 day to 2 year window should contact the Office to request a reinstatement application.

- 10 Day Reporting

Please be advised, pursuant to 10 MRS §8003-G, licensees and applicants are to report to the Office, in writing, any change in a name or address on file with the Office, any criminal conviction, any revocation, suspension or other disciplinary action taken in this or any other jurisdiction against any occupational or professional license held, or any material change set forth in this application within ten (10) days: http://www.mainelegislature.org/legis/statutes/10/title10ch901sec0.html

- GovDelivery

The Board of Speech, Audiology and Hearing has teamed up with GovDelivery to manage our digital communications. As its name implies, GovDelivery works exclusively with federal, state and municipal government agencies to communicate with licensees and the public.

As a subscriber, you will automatically receive notices from the Board based on the subscriber preferences that you select. Available topics include Board Meetings (agendas and minutes), Board Laws and Rules Notices (rulemaking and law changes) and Board Notices (news and information).

To become a subscriber, go to the Board’s website and insert your e-mail address in the space provided on the left side of the page. You may unsubscribe at any time.
Licensure as a Speech-Language Pathologist

There are four (4) pathways to licensure as a speech-language pathologist. Applicants who hold licensure as a *speech-language pathologist* in another state must use Pathway 4.

**Pathway 1 (Change of status)**
Applicants who wish to change their status from temporary to permanent licensure must submit the documentation and fee as outlined in the checklist below.

- Completed and signed application for permanent licensure sent by mail or courier;
- A written request for Change of Status sent by mail or courier;
- Payment of a Licensure fee of $110.00;
- Documentation of successful completion of the Clinical Fellowship Year (copy of your completed and signed ASHA rating and reporting form sent by mail or courier accepted); and
- Official evidence of a passing score on the examination administered by Praxis issued directly to this Office.

**Pathway 2 (Standard)**
Standard applicants must submit the documentation and fees as outlined in the checklist below.

- A completed and signed Application sent by mail or courier;
- Payment of an Application fee of $50.00;
- Payment of a Licensure fee of $110.00;
- Payment of a Criminal History Check fee of $21.00;
- Official Transcript sent by mail or courier directly to this Office from the issuing University or College indicating an earned/conferred Master’s degree or equivalent coursework;
- Evidence of having completed four hundred (400) clock hours of lawfully obtained supervised clinical observation and clinical practicum (copy of your completed and signed log(s) sent by mail or courier or other evidence acceptable to the Board);
  
  **Note:** Please refer to Board Rules Chapter 3, Section 1(1)(A)(3) or Chapter 3, Section 2(1)(A)(2).

- Evidence of successful completion of the Clinical Fellowship Year (copy of your completed and signed ASHA rating and reporting form sent by mail or courier accepted) or equivalent (lawfully obtained) supervised experience as determined by the Board;
- Official evidence of a passing score on the examination administered by Praxis issued directly to this Office; and
  
  **Note:** The exam must have been taken and passed within the preceding five (5) years of the date of receipt of any application.

- Official Verification(s) of Licensure sent by mail or courier directly to this Office from the issuing agency (if applicable).
Pathway 3 (Certificate of Clinical Competency)
Applicants seeking licensure via CCC must submit the documentation and fees as outlined in the checklist below.

- A completed and signed Application for Licensure sent by mail or courier;
- Payment of an Application fee of $50.00;
- Payment of a Licensure fee of $110.00;
- Payment of a Criminal History Check fee of $21.00;

  Note: All fees can be in one payment.

- Official Transcript sent by mail or courier directly to this Office from the issuing University or College indicating an earned/conferred Master's degree or equivalent coursework;
- Official documentation demonstrating a valid Certificate of Clinical Competency that is current at the time of application sent by mail or courier directly to this Office from ASHA; and
  
  Note: Contact ASHA directly: www.asha.org ~ (800)498-2071

- Official Verification(s) of Licensure sent by mail or courier directly to this Office from the issuing agency (if applicable).

Pathway 4 (Other Jurisdiction)
Applicants who hold speech-language pathology licensure in another state must submit the documentation and fees as outlined in the checklist below.

- A completed and signed Application for Licensure sent by mail or courier;
- Payment of an Application fee of $50.00;
- Payment of a Licensure fee of $110.00;
- Payment of a Criminal History Check fee of $21.00;

  Note: All fees can be in one payment.

- Official Transcript sent by mail or courier directly to this Office from the issuing University or College indicating an earned/conferred Master's degree or equivalent coursework;
- Official Verification(s) of Licensure sent by mail or courier directly to this Office from the issuing agency; and
- Documentation of one (1) of the following options:
  
  1. Official documentation demonstrating a valid Certificate of Clinical Competency current at the time of the application sent by mail or courier directly to this Office from ASHA;
  
  Note: Contact ASHA directly: www.asha.org ~ (800)498-2071

  2. Copy of Statutes and Rules of sending state indicating professional standards substantially equivalent to or greater than those set forth by Maine Rule sent by mail or courier to this Office;

  3. Evidence of:
    - Completion of four hundred (400) clock hours of lawfully obtained supervised clinical observation and clinical practicum (copy of your completed and signed log(s) sent by mail or courier or other evidence acceptable to the Board accepted);
    - Successful completion of the Clinical Fellowship Year (copy of your completed and signed ASHA rating and reporting form sent by mail or courier accepted) or equivalent (lawfully obtained) supervised experience as determined by the Board; and
    - Official passing score on the examination administered by Praxis issued directly to this Office.

  Note: The exam must have been taken and passed within the preceding five (5) years of the date of receipt of any application.
Frequently Asked Questions:

- **Where do I send my application?** Our mailing address is 35 State House Station, Augusta, Maine 04333-0035.
- **Where are you located?** 76 Northern Avenue, Gardiner, Maine.
- **What hours are you open?** 8:00 a.m. to 5:00 p.m. weekdays.
- **Can I come to Gardiner to drop off my application?** Yes. You will not leave with a license, though.
- **Can I come to Gardiner to pick up my license?** No. Your license will be mailed to you.
- **How can I check the status of my application?** You can check our website: [http://pfr.informe.org/almsonline/almsquery/welcome.aspx](http://pfr.informe.org/almsonline/almsquery/welcome.aspx).
- **How far back do I go answering the criminal conviction question?** Any conviction, ever.
- **Can I fax my application?** No.

**NOTICES**

**BACKGROUND CHECK:** Pursuant to 5 M.R.S.A. §5301 - 5303, the State of Maine is granted the authority to take into consideration an applicant's criminal history record. The Office of Professional and Occupational Regulation requires a criminal history records check as part of the application process for all applicants.

**PUBLIC RECORD:** This application is a public record for purposes of the Maine Freedom of Access Law (1 MRSA §401 et seq). Public records must be made available to any person upon request. This application for licensure is a public record and information supplied as part of the application (other than social security number and credit card information) is public information. Other licensing records to which this information may later be transferred will also be considered public records. Names, license numbers and mailing addresses listed on or submitted as part of this application will be available to the public and may be posted on our website.

**SOCIAL SECURITY NUMBER:** The following statement is made pursuant to the Privacy Act of 1974 (§7(B)). Disclosure of your Social Security Number is mandatory. Solicitation of your Social Security Number is solely for tax administration purposes, pursuant to 35 MRSA §175 as authorized by the Tax Reform Act of 1975 (42 USC §405(C)(2)(C)(1)). Your Social Security Number will be disclosed to the State Tax Assessor or an authorized agent for use in determining filing obligations and tax liability pursuant to Title 36 of the Maine Revised Statutes. No further use will be made of your Social Security Number and it shall be treated as confidential tax information pursuant to 36 MRSA §191.

Before you seal the envelope, did you:

- Complete every item on the application including the criminal background disclosure question.
- Sign and date your application.
- Include the required fee(s). Make checks payable to “Maine State Treasurer” or complete the credit card section on the application. **DO NOT SEND CASH.**
- Make a copy of your application to keep for your records.
# Individual License Application

**APPLICANT INFORMATION (please print)**

<table>
<thead>
<tr>
<th>FULL LEGAL NAME</th>
<th>FIRST</th>
<th>MIDDLE INITIAL</th>
<th>LAST</th>
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<th>ANY OTHER NAMES EVER USED</th>
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<th>DATE OF BIRTH</th>
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<tr>
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<th>ZIP CODE</th>
<th>COUNTY</th>
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**CRIMINAL BACKGROUND DISCLOSURE**

NOTE: Failure to disclose criminal convictions may result in denial, fines, suspension and/or revocation of a license.

1. Have you ever been convicted by any court of any crime? (circle one) NO YES
   If yes, enclose a detailed description of what happened (including dates) and a copy of the court judgment.

2. Has any jurisdiction taken disciplinary action against any professional license you hold or have held, or denied your application for licensure? (circle one) NO YES
   If yes, enclose a detailed explanation and copies of all documents.

By my signature, I hereby certify that the information provided on this application is true and accurate to the best of my knowledge and belief. By submitting this application, I affirm that the Office of Professional and Occupational Regulation will rely upon this information for issuance of my license and that this information is truthful and factual. I also understand that sanctions may be imposed including denial, fines, suspension or revocation of my license if this information is found to be false.

**SIGNATURE**

**DATE**

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**Board of Speech, Audiology and Hearing**

Please Select License Type:

- [ ] Speech-Language Pathologist (SP1421)
- [ ] Audiologist (AP1421)
- [ ] Speech-Language Pathologist/Audiologist (PA1421)

Required Fee: $181
(includes Criminal History Records Check Fee)

**PAYMENT OPTIONS:**

Make checks payable to “Maine State Treasurer” – if you wish to pay by Mastercard or Visa, fill out the following:

NAME OF CARDHOLDER (please print) | FIRST | MIDDLE INITIAL | LAST |
-----------------------------------|-------|----------------|------|

I authorize the Department of Professional and Financial Regulation, Office of Professional & Occupational Regulation to charge my [ ] VISA [ ] MASTERCARD the following amount: $ _________________

[ ] I understand that fees are non-refundable

Card number: _________________ Expiration Date: mm/yyyy

**SIGNATURE**

**DATE**
**Applicant’s Name: __________________________**

### Post Secondary Education

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<th>Name of School</th>
<th>Date of Graduation</th>
<th>Major</th>
<th>Degree Awarded</th>
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### Employment

Please provide information regarding your current employment and where you are seeking employment.

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<th>Current Employer:</th>
<th>Work Phone (include area code):</th>
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<th>Prospective Employer:</th>
<th>Work Phone (include area code):</th>
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<td>City:</td>
<td>State:</td>
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### Credentialing History

Do you hold or have you ever held a professional license/certification/registration in this or any other state/country?  
[ ] YES  [ ] NO

If yes:

<table>
<thead>
<tr>
<th>Profession</th>
<th>License #</th>
<th>State/Country</th>
<th>Date Issued</th>
<th>Expiration Date</th>
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Have you ever taken the Praxis Examination?  
[ ] YES  [ ] NO

If yes:

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<tr>
<th>Year Taken:</th>
<th>Select One:</th>
<th>Year Taken:</th>
<th>Select One:</th>
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<td>[ ] Pass</td>
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<td></td>
<td>[ ] Fail</td>
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<td>[ ] Fail</td>
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</table>
## Disciplinary History

1. Do you have pending against you any complaints from a regulatory board or professional organization? If yes, please enclose a detailed explanation.  
   - [ ] YES  
   - [ ] NO

2. Have you ever been or are you currently a defendant in a civil proceeding related to your professional activities? If yes, please enclose a detailed explanation.  
   - [ ] YES  
   - [ ] NO

## Affirmation

By my signature, I hereby certify that the information provided on this application is true and accurate to the best of my knowledge and belief. By submitting this application, I affirm that the Office of Professional and Occupational Regulation will rely upon this information for issuance of my license and that this information is truthful and factual. I also understand that sanctions may be imposed including denial, fines, suspension or revocation of my license if this information is found to be false.

I also understand, pursuant to 10 MRS §8003-G, I am to report to the Office, in writing, any change in my name or address on file with the Office, any criminal conviction, any revocation, suspension or other disciplinary action taken in this or any other jurisdiction against any occupational or professional license I hold, or any material change set forth in this application within ten (10) days.

SIGNATURE: ___________________________  DATE: ___________________________
**VERIFICATION OF LICENSURE FORM**

The applicant listed below is applying for licensure in the State of Maine. The Maine Board of Speech, Audiology and Hearing requests written verification from each jurisdiction that the applicant holds or has held any certification, licensure, or credential. This is your authority to release any information in your files, favorable or otherwise.

The section below is to be completed by the applicant and forwarded with page 2 to the licensing or certifying authority. Any associated fees are the responsibility of the applicant. If verification of licensure is needed for more than one (1) state, please copy form as needed.

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<td>Mailing Address:</td>
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<td>City:</td>
<td>State:</td>
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<tr>
<td>License Number:</td>
<td>State:</td>
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<tr>
<td>Signature of Applicant:</td>
<td>Date:</td>
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**Directions to State Board:**
Complete the remaining portion of page 1 and page 2 and return both pages by mail or courier:

**U.S.P.S. Mailing Address:** Board of Speech, Audiology and Hearing, 35 State House Station, Augusta, Maine 04333

**Courier/Delivery Address:** Board of Speech, Audiology and Hearing, 76 Northern Avenue, Gardiner, Maine 04345

<table>
<thead>
<tr>
<th>Name of Licensee:</th>
<th>Type of License:</th>
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<tr>
<td>License Number:</td>
<td>Status of License:</td>
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<td>Date Issued:</td>
<td>Expiration Date:</td>
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**VERIFICATION OF LICENSURE (PAGE 2)**

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<th>Exam taken:                                                                坐在</th>
<th>Date exam passed:</th>
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If no examination was taken, how was licensure obtained?

- [ ] Grandfathered
- [ ] Endorsement/Comity from which state: ___________________  
- [ ] Other

What were the requirements for education at the time the license was issued?

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

Are there any pending complaints against this licensee? [ ] Yes [ ] No

If yes, please explain:

Have there been any other actions taken against this licensee? [ ] Yes [ ] No

If yes, please explain:

Is the licensee considered to be in good standing in your state? [ ] Yes [ ] No

If no, please explain:

| Signature: _____________________________________ |
| Printed Name: __________________________________ |
| Title: _______________________________________  |
| State: _________    Phone Number ________________ |

State Board Seal

Date: ___________________________