

STATE OF MAINE
DEPARTMENT OF PROFESSIONAL AND FINANCIAL REGULATION
BUREAU OF INSURANCE

In re: Kathrine Buckley-Stoffel

**National Producer No. 18509526
Maine License No. PRN 311444
Docket No. INS-19-214**

**LICENSE REVOCATION
NOTICE, ORDER,
and
OPPORTUNITY FOR
HEARING**

NOTICE TO KATHRINE BUCKLEY-STOFFEL:

Effective as of **July 22, 2019**, your Maine nonresident insurance producer license will be **REVOKED** without further formal proceedings unless you request a hearing as specified below.

The reasons for your license revocation are as follows:

1. On January 31, 2019, the Maine Bureau of Insurance received a notification from Amica Mutual Insurance Company stating that you had been terminated for cause. The termination was due to your violation of company policy by not properly documenting customer calls, answering underwriting questions without asking the customer the questions, documenting information that was different than that provided by the customer, and failing to gain permission to run consumer reports.
2. The Bureau wrote to you on January 31, 2019, at your home address of record requesting information related to your termination. A second request was sent on March 27, 2019. A third request was sent on May 2, 2019. The May 2, 2019, letter was returned to the Bureau as undeliverable. Your licensing record shows that you changed your address on May 11, 2019, so a copy of our third inquiry was sent to your new address on May 15, 2019. Each letter advised that, pursuant to 24-A M.R.S. § 220, a response was due within 30 days. You did not respond to the Bureau's inquiries. This represents grounds for the revocation of your Maine producer license for violating insurance laws pursuant to 24-A M.R.S. § 1420-K(1)(B).

Therefore, based upon the above grounds, your Maine insurance producer license is **revoked** as of **July 22, 2019**, pursuant to 24-A M.R.S. §§ 1417 and 1420-K, subject to your right to request a hearing.

Under the Maine Insurance Code, specifically 24-A M.R.S. § 1417 and § 1420 K, you have the right to a hearing before the Superintendent or his designee to appeal this action.


If you request a hearing, you will receive further communication regarding scheduling. During a hearing, you will have the right to present evidence and arguments in your defense. The matters to be determined through the hearing

process are whether you committed one or more of the violations listed above, and if so, the appropriate sanctions for those violations. The sanctions imposed after a hearing can include any available remedy under applicable laws, including the payment of civil penalties.

To request a hearing, you must notify the Bureau of Insurance in writing no later than July 22, 2019. If you do not file a written request for a hearing within 30 days from the time you knew or reasonably should have known of the revocation of your license through this Notice and Order, you will lose your right to request a hearing on this Notice and Order.

Any request for a hearing, as well as all other communications regarding this Notice, Order, and Opportunity for Hearing must be addressed to Bureau Licensing Attorney Lindsay J. Laxon, Bureau of Insurance, #34 State House Station, Augusta, Maine 04333-0034 (for US Postal Service deliveries) or 76 Northern Avenue, Gardiner, Maine 04345 (for private carrier deliveries, such as FedEx or UPS). You may also reach Ms. Laxon by e-mail at Lindsay.J.Laxon@maine.gov or by telephone at (207) 624-8429.

June 18, 2019


Eric A. Cioppa
Superintendent of Insurance