

Applicant Name: _____

FEIN: _____

State of Maine Managing General Agents

Application Check List

This application checklist is intended to help guide you with assembling your complete Maine Managing General Agent Application. Please be sure to complete the checklist by appropriately marking the boxes on the left side of the page prior to submitting your application for review. The completed checklist should be attached to the top of the application.

Regulator Use Only

- | | | |
|--------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------|
| <input type="checkbox"/> | 1. Application Form:
<i>Completed, Signed and Executed</i> | <input type="checkbox"/> |
| <input type="checkbox"/> | 2. Errors & Omissions Policy:
<i>Minimum of \$1,000,000 (1 million)</i> | <input type="checkbox"/> |
| <input type="checkbox"/> | 3. Surety Bond:
<i>Maine State specific bond form in the amount of \$100,000 (100 thousand)</i> | <input type="checkbox"/> |
| <input type="checkbox"/> | 4. Managing General Agency Contract | <input type="checkbox"/> |
| <input type="checkbox"/> | 5. Contract Compliance Verification Form: | <input type="checkbox"/> |
| <input type="checkbox"/> | 6. Verification of Registration with the Maine Secretary of State | <input type="checkbox"/> |

Please be advised that an incomplete application will not be placed in pending status for review until all of the required items have been received.

An applicant who does not meet the minimum requirements will be asked to withdraw.