

FRATERNAL SOCIETIES

COMPANY NAME: _____ NAIC Company Code: _____

Contact: _____ Telephone: _____

REQUIRED FILINGS IN THE STATE OF: Maine Filings Made During the Year 2017

(1) Checklist	(2) Line #	(3) REQUIRED FILINGS FOR THE ABOVE STATE	(4) NUMBER OF COPIES*			(5) DUE DATE	(6) FORM SOURCE**	(7) APPLICABLE NOTES
			Domestic		Foreign			
			State	NAIC	State			
I. NAIC FINANCIAL STATEMENTS								
	1	Annual Statement (8 1/2"x14")	xxx	EO	xxx	3/1	NAIC	I
	1.1	Printed Investment Schedule detail (Pages E01-E27)	xxx	EO	xxx	3/1	NAIC	I
	2	Quarterly Financial Statement (8 1/2" x 14")	xxx	EO	xxx	5/15, 8/15, 11/15	NAIC	I
	3	Separate Accounts Annual Statement (8 1/2"x 14")	xxx	EO	xxx	3/1	NAIC	I
II. NAIC SUPPLEMENTS								
	11	Accident & Health Policy Experience Exhibit	xxx	EO	xxx	4/1	NAIC	
	12	Analysis of Annuity Operations by Lines of Business	xxx	EO	xxx	4/1	NAIC	
	13	Analysis of Increase in Annuity Reserves During Year	xxx	EO	xxx	4/1	NAIC	
	14	Interest Sensitive Life Insurance Products Report	xxx	EO	xxx	4/1	NAIC	
	15	Long-Term Care Experience Reporting Forms	xxx	EO	xxx	4/1	NAIC	
	16	Management Discussion & Analysis	xxx	EO	xxx	4/1	Company	
	17	Medicare Part D Coverage Supplement	xxx	EO	xxx	3/1 ,5/15, 8/15, 11/15	NAIC	
	18	Medicare Supplement Insurance Experience Exhibit	xxx	EO	xxx	3/1	NAIC	
	19	Risk-Based Capital Report	xxx	EO	xxx	3/1	NAIC	
	20	Supplemental Compensation Exhibit	xxx	N/A	N/A	3/1	NAIC	
	21	Supplemental Health Care Exhibit (Parts 1, 2 and 3)	xxx	EO	xxx	4/1	NAIC	
	22	Supplemental Health Care Exhibit's Allocation Report	xxx	EO	xxx	4/1	NAIC	
	23	Supplemental Investment Risk Interrogatories	xxx	EO	xxx	4/1	NAIC	
	24	Supplemental XXX/AXXX Reinsurance Exhibit	xxx	EO	xxx	4/1	NAIC	
	25	Trusteed Surplus Statement	xxx	EO	xxx	3/1, 5/15, 8/15, 11/15	NAIC	
Actuarial Related Items								
	26	Actuarial Certification regarding use 2001 Preferred Class Table	xxx	EO	xxx	3/1	Company	
	27	Actuarial Certification Related Annuity Nonforfeiture Ongoing Compliance for Equity Indexed Annuities	xxx	EO	xxx	3/1	Company	
	28	Actuarial Certification Related to Hedging required by Actuarial Guideline XLIII	xxx	EO	xxx	3/1	Company	
	29	Actuarial Certification Related to Reserves required by Actuarial Guideline XLIII	xxx	EO	xxx	3/1	Company	
	30	Actuarial Memorandum Related to Universal Life with Secondary Guarantee Policies required by Actuarial Guideline XXXVIII 8D	1	N/A	xxx	4/30	Company	
	31	Actuarial Opinion	xxx	EO	xxx	3/1	Company	
	32	Actuarial Opinion on Separate Accounts Funding Guaranteed Minimum Benefit	xxx	EO	xxx	3/1	Company	
	33	Actuarial Opinion on Synthetic Guaranteed Investment Contracts	xxx	EO	xxx	3/1	Company	
	34	Actuarial Opinion on X-Factors	xxx	EO	xxx	3/1	Company	
	35	Actuarial Opinion required by Modified Guaranteed Annuity Model Regulation	xxx	EO	xxx	3/1	Company	
	36	Financial Officer Certification Related to Clearly Defined Hedging Strategy required by Actuarial Guideline XLIII	xxx	EO	xxx	3/1	Company	
	37	Management Certification that the Valuation Reflects Management's Intent required by Actuarial Guideline XLIII	xxx	EO	xxx	3/1	Company	
	38	RAAIS required by Actuarial Opinion and Memorandum Regulation (Model 822), Section 7A(5)	1	N/A	xxx	3/15	Company	O
	39	Reasonableness & Consistency of Assumptions Certification required by Actuarial Guideline XXXV	xxx	EO	xxx	3/1, 5/15, 8/15, 11/15	Company	
	40	Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV	xxx	EO	xxx	3/1, 5/15, 8/15, 11/15	Company	

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	41	Reasonableness & Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value)	xxx	EO	xxx	3/1, 5/15, 8/15, 11/15	Company	
	42	Reasonableness & Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value)	xxx	EO	xxx	3/1, 5/15, 8/15, 11/15	Company	
	43	Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI	xxx	EO	xxx	3/1, 5/15, 8/15, 11/15	Company	
	44	RBC Certification required under C-3 Phase I	xxx	EO	xxx	3/1	Company	
	45	RBC Certification required under C-3 Phase II	xxx	EO	xxx	3/1	Company	
	46	Statement on non-guaranteed elements – Exhibit 5 Int. #3	xxx	EO	xxx	3/1	Company	
	47	Statement on participating/non-participating policies – Exhibit 5, Inter. #1&2	xxx	EO	xxx	3/1	Company	
		III. ELECTRONIC FILING REQUIREMENTS						
	61	Annual Statement Electronic Filing	xxx	EO	xxx	3/1	NAIC	
	62	March .PDF Filing	xxx	EO	xxx	3/1	NAIC	
	63	Risk-Based Capital Electronic Filing	xxx	EO	N/A	3/1	NAIC	
	64	Risk-Based Capital .PDF Filing	xxx	EO	N/A	3/1	NAIC	
	65	Separate Accounts Electronic Filing	xxx	EO	xxx	3/1	NAIC	
	66	Separate Accounts .PDF Filing	xxx	EO	xxx	3/1	NAIC	
	67	Supplemental Electronic Filing	xxx	EO	xxx	4/1	NAIC	
	68	Supplemental .PDF Filing	xxx	EO	xxx	4/1	NAIC	
	69	Quarterly Statement Electronic Filing	xxx	EO	xxx	5/15, 8/15 & 11/15	NAIC	
	70	Quarterly .PDF Filing	xxx	EO	xxx	5/15, 8/15 & 11/15	NAIC	
	71	June .PDF Filing	xxx	EO	xxx	6/1	NAIC	
		IV. AUDIT/INTERNAL CONTROL RELATED REPORTS						
	81	Accountants Letter of Qualifications	xxx	EO	N/A	6/1	Company	
	82	Audited Financial Reports	xxx	EO		6/1	Company	
	83	Audited Financial Reports Exemption Affidavit	xxx	N/A	N/A		Company	
	84	Communication of Internal Control Related Matters Noted in Audit	xxx	EO	N/A	8/1	Company	
	85	Independent CPA (change)	xxx	N/A	N/A		Company	
	86	Management's Report of Internal Control Over Financial Reporting	xxx	N/A	N/A	8/1	Company	
	87	Notification of Adverse Financial Condition	xxx	N/A	N/A		Company	
	88	Relief from the five-year rotation requirement for lead audit partner	xxx	EO	N/A	3/1	Company	
	89	Relief from the one-year cooling off period for independent CPA	xxx	EO	N/A	3/1	Company	
	90	Relief from the Requirements for Audit Committees	xxx	EO	N/A	3/1	Company	
	91	Request for Exemption to File Management's Report of Internal Control Over Financial Reporting	xxx	N/A	N/A		Company	
		V. STATE REQUIRED FILINGS						
	101	Advertising Certificate Rule Chapter 140§11(B)	xxx	0	xxx	3/1	State	P
	102	Affidavit of Filing	xxx	0	xxx	3/1	State	
	103	Annual Report Supplement	xxx	0	1	3/1	State	P
	104	Carrier Reporting Form	xxx	0	1	2/1	State	P
	105	Certificate of Compliance	xxx	0	xxx	3/1	State	
	106	Certificate of Deposit	xxx	0	xxx	3/1	State	P
	107	Certificate of Valuation	xxx	0	xxx	3/1	State	
	108	Filings Checklist (with Column 1 completed)	xxx	0	xxx	3/1	State	
	109	Maine Fraud and Abuse Annual Report	xxx	0	1	3/1	State	P
	110	Mandated Benefit Expense Report (Bulletin 292)	xxx	0	1	4/30	State	P
	111	Premium Tax	xxx	0	1	3/15	State	E
	112	Signed Jurat	xxx	0	xxx	3/1,5/15,8/15,11/15	NAIC	
	113	State Filing Fees	xxx	0	1	8/10	State	C, P
	114	Supplemental Health Insurance Report (Bulletin 286-A)	xxx	0	1	4/1	State	P
	115	Tick Borne Disease Report	xxx	0	1	2/1	State	P
	116	Form B Holding Company Registration Statement	xxx	0	xxx	5/1	Company	
	117	Form F-Enterprise Risk Report***	xxx	0	xxx	5/1	Company	

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			State	NAIC	State			
	118	ORSA****	xxx	0	xxx		Company	

*If XXX appears in this column, this state does not require this filing, if hard copy is filed with the state of domicile and if the data is filed electronically with the NAIC. If N/A appears in this column, the filing is required with the domiciliary state. EO (electronic only filing).

**If Form Source is NAIC, the form should be obtained from the appropriate vendor.

***For those states that have adopted the NAIC updated Holding Company Model Act, a Form F filing is required annually by holding company groups. Consistent with the Form B filing requirements, the Form F is a state filing only and should not be submitted by the company to the NAIC. Note however that this filing is intended to be submitted to the lead state. For more information on lead states, see the following NAIC URL: http://www.naic.org/public_lead_state_report.htm

****For those states that have adopted the NAIC updated Risk Management and Own Risk and Solvency Assessment Model Act, a summary report is required annually by insurers and insurance groups above a specified premium threshold. Consistent with the Form B filing requirements, the ORSA Summary Report is a state filing only and should not be submitted by the company to the NAIC. Note however that this filing is intended to be submitted to the lead state. For more information on lead states, see the following NAIC URL: http://www.naic.org/public_lead_state_report.htm

