

STATE OF MAINE
BUREAU OF FINANCIAL INSTITUTIONS
36 STATE HOUSE STATION
AUGUSTA, MAINE 04333-0036
Industry Services : Bureau of Financial Institutions
QUARTERLY ASSESSMENT
All Financial Institutions and Credit Unions
(9-B M.R.S.A., Sections 214(2) and 815)

PLEASE NOTE NEW PAYMENT INSTRUCTIONS NEXT PAGE

Please furnish the information requested below. **DUE DATE: August 13, 2012**

AMOUNTS IN THOUSANDS (000's)		
COLUMN A	COLUMN B	COLUMN C (COL. A + COL.B)
AS OF CLOSE OF BUSINESS ON: 1. April 30, 2012 2. May 31, 2012 3. June 30, 2012	Total Assets Allowance for Loan and Lease Losses	Total Assets Subject to Assessment
4. Total assets subject to assessment (sum of Column C, lines 1 through 3)		
5. Average assets subject to assessment (line 4 divided by 3 {months})		
6. Quarterly Assessment (line 5 multiplied by .0150)		\$

MINIMUM DUE \$25/QUARTER. ROUND TO THE NEAREST DOLLAR.
 (Equivalent to 6 cents per \$1,000 of average assets on annual rate basis.)

CERTIFICATION

Please place an "X" in the box above to certify this filing.

Name and Title of Officer Authorized to Certify Report:

Date:

Legal Title of Financial Institution:

City:

State:

Zip Code:

Telephone Number:

E-mail Address:

INSTRUCTIONS FOR QUARTERLY ASSESSMENT

COLUMN A: Total Assets:

For trust companies, savings banks, and savings and loan associations reporting on FFIEC Forms 031 or 041, see Report of Condition, Schedule RC - Balance Sheet, Item 12 (Total assets). This line contains those items that are reportable in Column A of this form for each month.

For credit unions reporting on NCUA Form 5300, Statement of Financial Condition, see Page 2, Item 33 (Total assets). This line contains those items that are reportable in Column A of this form for each month.

COLUMN B: Allowance for Loan and Lease Losses:

For trust companies, savings banks, and savings and loan associations reporting on FFIEC Forms 031 or 041, see Report of Condition, Schedule RC - Balance Sheet, Item 4c (Allowance for loan and lease losses). This line contains those items that are reportable in Column B of this form for each month.

For credit unions reporting on NCUA Form 5300, Statement of Financial Condition, see Page 2, Item 26 (Allowance for Loan and Lease Losses). This line contains those items that are reportable in Column B of this form for each month.

Column C: Total Assets Subject to Assessment:

Column A plus Column B.

A COPY OF THE QUARTERLY ASSESSMENT FORM SHOULD BE RETAINED IN YOUR FILES FOR VERIFICATION AT SUCCEEDING BUREAU OF FINANCIAL INSTITUTIONS EXAMINATIONS.

PAYMENT IS DUE BY August 13, 2012.

The completed form may be submitted electronically on the Bureau's Web site at <http://www.maine.gov/pfr/financialinstitutions/efile/OnlineReporting.htm> or mailed to the address shown below.

NEW PAYMENT INSTRUCTIONS:

Effective July 1, 2012, the State of Maine has contracted with US Bank to provide banking services. Electronic payments to the Maine Bureau of Financial Institutions should be directed to the Bureau's account at US Bank. The new routing and account numbers for the BFI account were distributed to the CEO and to the Assessment Contact via email on June 14, 2012. You may also obtain this information from Bureau staff at (207) 624-8570.

Payment by wire transfer or ACH Credit Electronic Funds Transfer is preferred. Should you not have access to electronic payment systems, payment may be submitted by check made payable to State Treasurer and mailed to:

Bureau of Financial Institutions
36 State House Station
Augusta, ME 04333-0036