

Self Assessment of Internal Control

Risk Assessment

Agency _____

Fiscal Year Ending _____

<u>YES</u>	<u>N/A</u>	<u>NO</u>		
				A. Integrity and Ethical Values
			1.	Are there written policies and internal operating procedures to identify and react to changes that can have an adverse effect on the organization?
			2.	Is there a formal or informal mission or value statement established and communicated throughout the agency?
			3.	Are objectives periodically measured against actual results?
			4.	Has management identified and analyzed entity risks relating to change, such as high turnover, new technology, new regulations, restructuring, or rapid growth?
			5.	Has management analyzed the potential monetary impact associated with financial and operational risks?
			6.	Are external resources consulted as needed to assess and address risk?