

MAINE HISTORIC PRESERVATION COMMISSION

Archaeology, Acquisition, Development and Pre-Development Projects

FY 2016 HPF Grant Application

Submission Deadline: June 23, 2016

IMPORTANT APPLICATION INSTRUCTIONS

Complete Application must be postmarked by June 23, 2016.

Because applications must be complete before they can be considered for funding, applicants are urged to submit them at least two weeks before the deadline to allow time for providing any required additional information.

A complete application contains:

- A. Application Form**

- B. 1. Archaeological Survey Projects**
 - a). Standard Form 424B (Rev. 7-97), Assurances – Non-Construction Programs
This form must be signed, dated, and returned along with the Application Form for archaeological survey projects only.

 - 2. Development, Pre-development, and Archaeology Projects**
 - a). Standard Form 424D (Rev. 7-97) Assurances – Construction Programs
This form must be signed, dated, and returned along with the Application
 - b). Environmental Screening Worksheet (NEPA) *Complete sections A, B, D, and E as fully as possible: contact C. Mitchell at (207) 287-1453 with questions.*

- C. Supplementary documentation as necessary:**
 - 1. Estimates from contractors
 - 2. Resume's for volunteer labor
 - 3. Resume's for contracted labor (if known)
 - 4. Maps to accompany NEPA documentation

- D. Signed and Completed Application Checklist**

APPLICATION FORM

Archaeology, Acquisition, Development and Pre-Development Projects HISTORIC PRESERVATION FUND GRANTS

Applications must be postmarked no later than June 23, 2016

Project Name: _____

Location: _____

Applicant Organization: _____

Contact Person: _____

Mailing Address: _____

Telephone Number: _____

E-mail Address: _____

Refer to the Historic Preservation Grants Manual when completing this application.

Project Type: Archaeological Survey Pre-development

Acquisition Development Archaeology

Grant Amount Requested: _____

Match Amount: _____

Match Source: _____

Total Project Cost: _____

APPLICATION FORM

HISTORIC PRESERVATION FUND GRANTS

1) Is this a continuing project that the Commission has previously funded? Yes No

2) Focus and Products:

Describe the project focus on attached pages: this is the “for whom,” the “what” and the “where” of the project. Focus is the type of resources and /or target audience. Products refer to the specific results of the projects, for instance “slate roof repair” or “phase 1 survey”. In this narrative please reference how the focus and products reflect the funding priorities in Chapter 2 of the Grant Manual.

Additional Information (required)

For Survey projects only: 1. Estimate number of acres to be surveyed _____

For more information, refer to Chapter 6 of the Grants Manual.

3) Methodology:

Describe the project methodology on attached pages: this is the “why,” “by whom,” and “how” of the project. This narrative should be specifically related to the focus and products outlined in number two above. It should discuss the goals of the project in terms of additional information that will be gained, and how the resources will be surveyed or recorded, etc. The methodology should be specific in term of the sequence or progress of activities to be undertaken, such as “deed and newspaper research, combined with interior and exterior inspection of each building.” The methodology needs to conform to and reflect the Secretary of the Interiors Standards.

4) Project Personnel:

Attach resumes and a description of professional expertise relative to the methodology of the project for all professionals known at the time of the application who would be conducting the project. Professionals must meet the minimum qualifications as set forth in Appendix B. Also attach resumés for all persons to be valued for match purposes at more than the federal minimum wage.

5) Public Benefit:

Provide a summary statement for publicity purposes that describes the public benefit this HPF grant would make possible.

6) Estimated Project Schedule:

Provide an estimated project schedule based on an **September 30, 2017**, completion date.

Note: this is a hard date. All project work must be completed, including billing and reporting, by this dates. No extensions will be given.

APPLICATION FORM

HISTORIC PRESERVATION FUND GRANTS

7) Estimated Project Budget:

Provide an estimated project budget based on the grant amount requested on the cover page of the application. The budget should include each major work element. Work elements may include items such as: personnel, supplies, equipment, travel, printing, administration, support services, indirect cost (if applicable), etc. If the budget includes "contractual services," indicate what the contract is for in parenthesis: e.g. Contractual (professional archaeological consultant). The proposed budget for both grant funds and match must be detailed. Each individual involved must be listed by name and function, with hourly rate and total salary. Other items need also to be specified, such as equipment, materials, telephone, postage, photocopying, film.

_____	\$ _____	_____	\$ _____
_____	\$ _____	_____	\$ _____
_____	\$ _____	_____	\$ _____
_____	\$ _____	_____	\$ _____
_____	\$ _____	_____	\$ _____
_____	\$ _____	_____	\$ _____
_____	\$ _____	_____	\$ _____

Grant Request: _____ Match Amount: _____ Total Project Cost: _____

8) Non-Federal Matching Share:

Match must be on a 1:1 basis to the grant request: if the project is estimated at \$10,000 then the grant request would be \$5000 and the match request would be at least \$5000.. List donor, source, kind, and amount of non-federal matching funds to be applied to this activity. Attach resumes for all persons to be valued for match purposes at more than the federal minimum wage.

- Donor: list names of donor or donor organizations. This is an elaboration of whatever is included in the match column under budget. For example: Kennebec University, Faculty Salary Fund, Professor Smith's donated (in-kind) services, \$2,300.00.
- Source: indicate where the funds are coming from (.e.g., "private donation"). The Commission will review source to ensure that it is eligible for non-federal match.
- Kind: indicate the type of match (i.e., "cash," "in-kind services," "in-kind equipment," "indirect costs," "volunteer services"). Please note that each kind of match requires a separate breakout.

Donor: _____
Source: _____
Kind: _____
Amount: _____

APPLICATION FORM

HISTORIC PRESERVATION FUND GRANTS

In making this application for Federal assistance under the provisions of the National Historic Preservation Act of 1966, I hereby acknowledge my familiarity with the requirements listed in the Maine Historic Preservation Commission *Grants Manual*. I agree that should I receive the Federal assistance herein requested, I will carry out the project according to Federal and State requirements. I certify that I am authorized to submit this application.

Signature

Date

Name & Title (Typed or Printed)
Applicant Organization

In Witness Whereof

Notary Public

CERTIFICATION (if principal personnel are not yet selected [i.e., consultants]):

This is to certify that the applicant understands that, if funded, this project will be directed by personnel meeting the minimum professional credentials for the relevant disciplines stipulated in 36 CFR Part 61, Appendix A.

Signature

Date

APPLICATION CHECKLIST

Applicant must initial each bullet point to acknowledge that they have read these instructions or reminders. Return this page with the application.

1. Project expenses incurred before the execution of a contract between the Applicant and the State are not reimbursable and cannot be used for match. _____ (initials)
2. Consultants or contractors cannot be hired before the grant has been awarded. Once the grant has been awarded by our office, the procurement of services form must be filled out for any consultant or contractor. This form requires that three consultants or contractors are invited to submit proposals for a project. If only one party responds, the Applicant must show that they contacted at least two other parties. _____ (initials)
3. All project expenses must be thoroughly accounted for in the application. For example, you must break down the cost estimate into pay per hour for each party involved and outline exactly what tasks they will be doing. If estimates are available from contractors, include copies with the application. Please include administrative time in the grant application. _____ (initials)
4. Check the math. The 1:1 federal/local match must be correct on the applications. For a \$10,000 project, a request for \$5,000 federal requires a minimum of \$5,000 in local match. _____ (initials)
5. Regarding local match, CDBG funds are the only federal funds which may be used in combination with HPF funds(. _____ (initials)
6. Has the applicant signed all signature lines on the grant applications? _____ (initials)
7. The applicant must designate one person to be the point of contact with our office.. We require that grant products be sent directly to us from the local point of contact, not from the consultants who prepared them. _____ (initials)
8. If applicable, has the town's historic preservation commission been consulted regarding this grant application? _____ (initials)
9. Publicity. There is a special paragraph which MUST be used in all authorized publications and other forms of publicity connected with projects that receive federal grants. The paragraph begins: "This publication has been financed in part with Federal funds from the National Park Service...." See Chapter 16, K in the grants manual. _____ (initials)
10. Grant Orientation Meeting. For certain grant projects, our office may require a meeting to confirm the budget and the timetable for completion, and discuss procurement requirements and reimbursement procedures. _____ (initials)

ASSURANCES – CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET, SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capabilities (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title, or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal interest in the title of real property in accordance with awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progress reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§ 1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794) which, prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

Standard Form 424D (Rev. 7-97)

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a and 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuming compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1966 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
APPLICANT ORGANIZATION	DATE SUBMITTED

ASSURANCES – NON-CONSTRUCTION PROGRAMS

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2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
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9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
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13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-554, as amended, 7 U.S.C. §§2132 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) Which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1966 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
APPLICANT ORGANIZATION	DATE SUBMITTED

The National Park Service's
State, Tribal, and Local Plans & Grants
Environmental Screening Worksheet

This worksheet should be completed as fully as possible and returned to the Maine Historic Preservation Commission. It will then be signed by the SHPO/THPO office funding the project work and forwarded to the National Park Service for final review. The Categorical Exclusion Form (if applicable) will be reviewed and signed by the National Park Service.

A. PROJECT INFORMATION

Project Name: [Click here to enter text.](#)

Grant Number: [Click here to enter text.](#)

Program Area:

- Development Covenant Tax Incentive National Register
- Planning Survey Review & Compliance
- Administrative Certified Local Government
- Other(specify): [Click here to enter text.](#)

Project Address: [Click here to enter text.](#)

Project Originator/Coordinator: [Click here to enter text.](#)

Subgrantee/Owner: [Click here to enter text.](#)

National Register Status: [Click here to enter text.](#)

B. PROJECT DESCRIPTION/LOCATION [To begin the statutory compliance file, attach to this form, maps, site visit notes, agency consultation, data, reports, categorical exclusion form (if applicable), or other relevant materials.]

[Click here to enter text.](#)

Background Information is attached (if applicable) Yes No

C. SECTION 106 REVIEW

Are historic resources affected (if yes must complete a Section 106 Review): Yes No

Define your Area of Potential Effect (APE): [Click here to enter text.](#)

Have all required tribal/public notification consultation steps been completed: Yes No

Section 106 maps & photographs are attached: Yes No

Section 106 Effects Determination: No Effect No Adverse Effect Adverse Effect

If an Adverse Effect determination has been reached you must contact the National Park Service AND no CE can be taken. Additionally, review Section E, Mandatory Criteria, if your project falls into any of these listed issues based on the above project information your project cannot claim an exclusion you must notify the National Park Service immediately to determine your next steps. For all other projects proceed with the Environmental Screening Form to determine IF a categorical exclusion can be taken.

D. RESOURCE EFFECTS TO CONSIDER

Consider the context, duration and intensity of effects on resources.

Are any measurable impacts possible on the following physical, natural or cultural resources?

Yes? No? Data Needed to Determine?

-
- | | | | |
|--|------------------------------|-----------------------------|--------------------------------------|
| 1. Geological resources – soils, bedrock, streambeds, etc. | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 2. Air quality | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 3. Soundscapes | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 4. Water quality or quantity | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 5. Streamflow characteristics | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 6. Marine or estuarine resources | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 7. Floodplains or wetlands | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 8. Land use, including occupancy, income, type of use | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 9. Rare or unusual vegetation, old growth timber, riparian | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 10. Species of special concern (plant/animal/state or Federal listed or proposed for listing) or habitat | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 11. Unique ecosystems, biosphere reserves, World Heritage | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 12. Unique or important wildlife or wildlife habitat | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 13. Unique or important fish or fish habitat | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 14. Introduction/promotion of non-native species | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 15. Recreation resources, including supply, demand, visitation, activities, etc. | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 16. Socioeconomics, including employment, occupation, income changes, tax base, infrastructure | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 17. Minority and low-income populations, ethnography, size, migration patterns, etc. | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 18. Energy resources | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 19. Other agency, or tribal, land use plans or policies | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 20. Resource, including energy, conservation potential | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
-

E. MANDATORY CRITERIA: If implemented, would the proposal?

1. Have material adverse effects on public health or safety? Yes No Data Needed
2. Have adverse effects on such unique characteristics as historic or cultural resources; park, recreation, or refuge lands; wilderness areas; wild or scenic rivers; national natural landmarks; sole or principal drinking water aquifers; prime farmlands; wetlands; floodplains; or ecologically significant or critical areas, including those listed on the National Register or Natural Landmarks? Yes No Data Needed
3. Have highly controversial environmental effects? Yes No Data Needed
4. Have highly uncertain and potentially negative environmental effects or involve unique or unknown environmental risks? Yes No Data Needed
5. Establish a precedent for future action or represent a decision in principle about future actions with potentially significant environmental effects? Yes No Data Needed
6. Be directly related to other actions with individually insignificant, but cumulatively significant, environmental effects? Yes No Data Needed
7. Have adverse effects on properties listed or eligible for listing on the National Register of Historic Places? Yes No Data Needed
8. Have adverse effects on species listed or proposed to be listed on the List of Endangered or Threatened Species, or have adverse effects on designated Critical Habitat for these species? Yes No Data Needed
9. Violate a federal law, or a state, local, or tribal law or requirement imposed for the protection of the environment? Yes No Data Needed
10. Have a disproportionate, significant adverse effect on low income or minority populations (EO 12898)? Yes No Data Needed
11. Limit access to and ceremonial use of Indian sacred sites by Indian religious practitioners or adversely affect the physical integrity of such sacred sites (EO 130007)? Yes No Data Needed
12. Contribute to the introduction, continued existence, or spread of federally listed noxious weeds (Federal Noxious Weed Control Act). Contribute to the introduction, continued existence, or spread of non- native invasive species or actions that may promote the introduction, growth or expansion of the range of nonnative invasive species (EO 13112)? Yes No Data Needed

*****If you check “YES” to any of the above listed criteria you cannot claim a CE and must complete either an EA or EIS for your project to proceed. Please notify the National Park Service immediately to determine your next steps.**

Please answer the following questions:

1. Are the personnel preparing this form familiar with the site, and/or has a site visit been conducted? (Attach additional pages noting when site visit took place, staff attending, etc.)
Click here to enter text.

2. Has consultation with all affected agencies or tribes been completed? (Attach additional pages detailing the consultation, including the name, date, and summary of comments from other agency or tribal contacts.)
Click here to enter text.

SHPO/THPO SIGNATORY

Based on the environmental impact information contained in the statutory compliance file and in this environmental screening form, environmental documentation for the subject project is complete.

Recommended Action: Choose an item.

Approved:

SHPO/THPO _____

Date Click here to enter a date.

Type Name: Click here to enter text.

Categorical Exclusion Form

State or Tribal Historic Preservation Office: [Click here to enter text.](#)

NPS Grant Number: [Click here to enter text.](#)

Project Name, Address, and Grant Number: [Click here to enter text.](#)

Provide the category used to exclude action from further NEPA analysis: Choose an item.

Describe any public or agency involvement effort conducted (reference the attached ESF): [Click here to enter text.](#)

On the basis of the environmental impact information in the statutory compliance file, with which I am familiar, I am categorically excluding the described project from further NEPA analysis. No exceptional circumstances (i.e., all boxes in the ESF are marked “no”) or conditions in section 3-6 apply, and the action is fully described in section 3-4 of DO-12.

Hampton Tucker
Chief, State, Tribal, and Local
Plans & Grants Division

Date

Required Attachment:
Signed Environmental Screening Worksheet