

**AFFIDAVIT DISADVANTAGED BUSINESS ENTERPRISE  
ANNUAL DETERMINATION OF ELIGIBILITY FOR MAINE BASED COMPANIES  
MAINE DEPARTMENT OF TRANSPORTATION**

I, \_\_\_\_\_, as the duly authorized majority owner/stockholder of \_\_\_\_\_, hereby certify that I am responsible for all operations and corporate activities including day-to-day business and financial matters for this company.

I, \_\_\_\_\_, certify that I remain eligible for the program because I am socially and economically disadvantaged in accordance with 49 CFR Part 26.

As majority owner/stockholder, I enjoy the customary incidents of ownership and share in those risks and benefits of ownership commensurate with my ownership interest. Accordingly, there have been no changes to ownership, control and/or management and no restrictions to my decision making have occurred since the last renewal by the Maine Department of Transportation (MaineDOT).

On behalf of all other owners/stockholders of this company, whose sworn signatures are affixed below, I hereby confirm that each owner/stockholder remains eligible for this program because each is socially and economically disadvantaged in accordance with 49 CFR Part 26.

I affirm that as a majority owner of the firm that my personal net worth does not exceed \$1.32 million as defined by 49 C.F.R. Parts 23 and 26. If the personal net worth of any majority owner exceeds the \$1.32 million cap at any time after my firm is certified, I acknowledge that the firm is no longer eligible for certification.

I affirm that the firm meets the Small Business Administration's size standard and does not exceed \$26.29 million in gross annual receipts for DBE and \$56.42 million for ACDBEs.

I understand that it is my responsibility to notify my home state and/or the MaineDOT through its Civil Rights Office at least 30 days prior to the effective date of any change in the ownership and control of this company.

I understand that failure to notify the MaineDOT of the aforementioned change in the stated time frame, or to return this document with a full and complete copy of the firm's most recent Federal Income Tax returns for each owner/stockholder will cause the removal of this firm's status with MaineDOT as a certified Disadvantaged Business Enterprise.

I understand that in the event MaineDOT, US Department of Transportation or the US Department of Justice requests documents, it is my obligation to furnish them. It is recommended that the duly authorized majority owner/stockholder retain a copy of all documents.

Signed: \_\_\_\_\_ Title: \_\_\_\_\_

Signed: \_\_\_\_\_ Title: \_\_\_\_\_

Signed: \_\_\_\_\_ Title: \_\_\_\_\_

**Then personally appeared the person(s) who signed the page above and acknowledged this instrument to be his/her free act and deed, and further said person(s) swore, ON OATH, that the statements made this page above entitled AFFIDAVIT DISADVANTAGED BUSINESS ENTERPRISE are true and complete.**

**Signed before me the \_\_\_\_\_ day of \_\_\_\_\_ in \_\_\_\_\_**

**Notary Public** \_\_\_\_\_

**My Commission Expires:** \_\_\_\_\_

Return to Maine Department of Transportation, Civil Rights Office, #16 State House Station, Augusta, Me 04333-0016. Duplicate this page as necessary. Enclose all documentation required.