

Agenda

Item #8



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

MEMORANDUM

TO: Members of the Commission

DATE: March 17, 2011

SUBJECT: Audit Report Transmittal

Attached is the audit summary of our examination of 2010 campaign contributions and expenditures reported by the following candidate:

- Senator Richard Rosen, Maine State Senate, District 31.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Vincent W. Dinan".

Vincent W. Dinan
Commission Auditor

Attachment



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

February 28, 2011

The Honorable Richard Rosen
PO Box 877
Bucksport, ME 04416

Subject: Final Audit Report – Audit of 2010 Campaign Contributions and Expenditures

Dear Senator Rosen:

Enclosed is our final report of the audit by the Commission on Governmental Ethics and Election Practices (Commission) of your 2010 campaign contributions and expenditures. You will note that the report identifies no exceptions or discrepancies in your reporting practices.

Thank you for your cooperation during the audit process, and in helping us achieve the objectives of the Commission's audit program.

Sincerely,

A handwritten signature in black ink, appearing to read "Vincent W. Dinan".

Vincent W. Dinan
Commission Auditor

Enclosure

cc: Melissa Osborne, Campaign Treasurer



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
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February 28, 2011

Audit Report No. 2010-SEN002

**Candidate: Richard Rosen
Senate District 31**

Background

Senator Richard Rosen was a candidate for re-election to the Maine State Senate, District 31, in the 2010 general election. Sen. Rosen was certified for Maine Clean Election Act (MCEA) funding on April 27, 2010. Under the terms of the Act, MCEA candidates are required to submit reports of contributions received, campaign expenditures, equipment purchases and dispositions, and outstanding campaign debt for specified periods during the election cycle.

Audit Scope

The auditor examined selected contributions made to the campaign, and certain expenditures made during the following reporting periods:

- Seed Money (11/5/2008 through 4/27/2010)
- Eleven Day Pre-Primary (4/28/2010 through 5/25/2010)
- 42 Day Post-Primary (5/26/2010 through 7/13/2010)
- 42 Day Pre-General (7/14/2010 through 9/14/2010)
- 11 Day Pre-General (9/15/2010 through 10/19/2010)
- 42 Day Post-General (10/21/2010 through 12/7/2010)

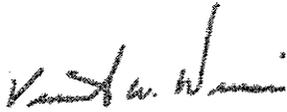
The transactions examined were recorded in the campaign's accounting records and were reported to the Commission. The purpose of the audit was to determine that

- Seed Money contributions were made from the personal resources of the contributors, and were made in amounts within the limits imposed by the Act.
- Campaign expenditures (1) were properly approved by the candidate or his authorized representative; (2) were adequately documented as evidenced by original vendor invoices and cancelled checks or other acceptable disbursement documentation; and (3) complied in all material respects with the requirements of the Maine Clean Election Act and the Commission's rules.

Audit Findings and Recommendations

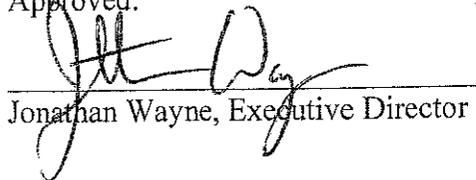
No exceptions were noted.

Respectfully submitted to the Commission for information and file.



Vincent W. Dinan, Auditor

Approved:



Jonathan Wayne, Executive Director