

Agenda

Item #10



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

MEMORANDUM

TO: Members of the Commission

DATE: October 18, 2010

SUBJECT: Audit Report Transmittal

Attached is the audit summary of our examination of campaign contributions and expenditures reported by Daniel L. Smiley. Mr. Smiley participated in the June 8, 2010 primary election for Senate District 14.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Vincent W. Dinan'.

Vincent W. Dinan
Commission Auditor

Attachment



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

September 30, 2010

Audit Report No. 2010-SEN001

**Candidate: Daniel L. Smiley
Senate District 14**

Background

Daniel L. Smiley was a candidate for the Maine State Senate, District 14, in the 2010 Democratic primary election. Mr. Smiley was certified for Maine Clean Election Act (MCEA) funding on April 20, 2010. Under the terms of the Act, MCEA candidates are required to submit reports of contributions received, campaign expenditures, equipment purchases and dispositions, and outstanding campaign debt for specified periods during the election cycle.

Audit Scope

The Commission auditor examined documentation supporting Seed Money contributions made to the campaign, and reviewed records of selected expenditures made during the following reporting periods:

- Seed Money (11/5/2008 through 4/20/2010)
- Eleven Day Pre-Primary (4/21/2010 through 5/25/2010)
- 42 Day Post-Primary (5/26/2010 through 7/13/2010).

The transactions examined were recorded in the campaign's accounting records and were reported to the Commission. The purpose of the audit was to determine that

- Seed Money contributions were made from the personal resources of the contributors, and were made in amounts within the limits imposed by the Act.
- Campaign expenditures (1) were properly approved by the candidate or his authorized representative; (2) were adequately documented as evidenced by original vendor invoices and cancelled checks or other acceptable disbursement documentation; and (3) complied in all material respects with the requirements of the Maine Clean Election Act and the Commission's rules.

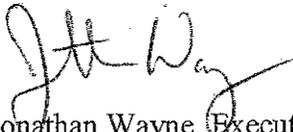
Audit Findings and Recommendations

No exceptions were noted.

Respectfully submitted to the Commission for information and file.



Vincent W. Dinan, Auditor



Jonathan Wayne, Executive Director