Agenda Item #5

STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

To: Commissioners

From: Jonathan Wayne, Executive Director

Date: November 10, 2009

Re: Maine Leads' Request for Waiver of Late-Filing Penalties

At your October 1, 2009 meeting you directed Maine Leads to file campaign finance reports as a ballot question committee (BQC) to disclose its financial activities in support of three direct initiatives in 2007 and 2008 (TABOR II, the excise tax and health care alternatives initiatives). Maine Leads filed the reports on October 15, 2009.

In summary, the reports disclosed that:

(1) Maine Leads had funded its 2007 and 2008 ballot question activities through contributions from three national non-profit organizations: the National Taxpayers Union ($155,000), the National Tax Limitation Committee ($20,313.72), and the Sam Adams Alliance ($27,423.52).

(2) In 2007 and 2008, Maine Leads paid a total of $160,500 directly to Pioneer Group, Inc. for collection of signatures on petitions.

(3) Maine Leads made three contributions of $25,000 each to three PACs in support of the three direct initiatives.

(4) Maine Leads paid its staff $4,948.96 for activities in support of the three direct initiatives.

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The information in (3) was reported by the three recipient PACs in early 2008. Except for these contributions, Maine Leads' financial activity was *not known to the public, the press, policy-makers, and other interested persons in 2007, 2008, and early 2009.* Indeed, there is a very good chance that Maine voters would never have been aware of the extent of Maine Leads' efforts and financial activity conducted to influence their laws, had Deborah Hutton not requested that the Commission conduct the investigation.

Preliminary Penalty Amounts

The late filing of the report triggered the routine penalty process under 21-A M.R.S.A. § 1062-A. Under that provision, the Commission staff has calculated the preliminary penalties amount based on the formula in subsection 3. The preliminary penalties are shown on the attached sheet. Because of the large amount of activity that was not reported on time and the number of days late, the total amount of the preliminary penalties is very large ($2,268,816).

Maine Leads is allowed under the law to request a waiver of the penalty based on reasons set forth in subsection 2. Through a November 5, 2009 letter from attorney Daniel I. Billings, Maine Leads requests a waiver because the preliminary penalties are disproportionate to the harm suffered by the public from the late disclosure and because campaign finance reporting as a ballot question committee is not generally well-known.

Maximum Penalties

I have also attached the version of the penalty statute that applied through September 11, 2009. Under that version, subsection 1062-A(5-A) contained maximum penalties of $5,000 for quarterly reports that were filed late *by PACs*, but did not contain any maximum amounts that applied when BQCs failed to file reports required under section 1056-B. (In 2009, the subsection was amended to impose a $10,000 maximum penalty for any late campaign finance report filed by a PAC or BQC.) The attached chart shows the amount of the preliminary penalties *if* the PAC maximums *did* and *did not apply* to late BQC reports in 2008 and early 2009.

Dan Billings argues that there is no policy reason that maximum penalties against BQCs should be higher than penalties against PACs. The Commission staff agrees with that policy argument, so we suggest that the penalties assessed against Maine Leads not exceed $5,000 per report.

Seriousness of Violation and Mitigating Factors

Overall the Commission staff views Maine Leads' failure to register and file reports as a very serious violation. Maine Leads qualified these three proposed laws for the November 3, 2009 ballot. It provided the overwhelming majority of funding to Pioneer Group for the collection of petition signatures. Maine Leads' grassroots coordinator, Trevor Bragdon, oversaw the collection of petition signatures.

Harm to the Public

Under Maine's campaign finance law, the voters, the press, policy-makers, governmental officials, and others deserved to know *in 2008 and early 2009* the specific financial activities by Maine Leads to get these initiatives on the ballot. The reporting should have begun *in January 2008*. While it is fortunate that the information became available in the fall of 2009 through the Commission's investigation, that disclosure should have been made in financial reports that were available to interested persons on a quarterly basis during 2008 and early 2009. The Commission staff therefore disagrees with Dan Billings' contention that there was "no harm suffered by the public" by Maine Leads' failure to register in October 2007 and to file reports beginning in January 2008.

Lack of Familiarity with Reporting Requirements

Maine Leads' executive director, Roy Lenardson, testified at the September 8, 2009 meeting of the Commission. To his credit, Mr. Lenardson was open that he is knowledgeable about campaign finance requirements. This knowledge is derived from working on previous statewide direct initiative campaigns, advising a gubernatorial candidate and dozens of legislative candidates on campaign finance reporting, and staff experience on the legislative committee that oversees Maine's campaign finance laws. He is skilled political professional who has a high level of expertise in complying with reporting requirements.

Also, it should be noted that in the fall of 2007 and 2008, Mr. Lenardson was aware of the Commission's December 2006 determination that the Maine Heritage Policy Center was a ballot question committee. Mr. Lenardson filed complaints with the Commission with respect to organizations that opposed the 2006 TABOR initiative (AARP, Democracy Maine, and the Katahdin Institute), and was present when the Commission considered his complaints on March 9, 2007.

Thus, Maine Leads' staff had general knowledge of the ballot question committee reporting requirement in early 2007, and could easily have learned about the specific requirements in 2007 and 2008 when it began raising and spending money to qualify the three direct initiatives for the 2009 ballot. While some organizations could have argued that the ballot question committee reporting requirement was relatively new, we do not find that argument convincing in the case of Maine Leads.

Recommendation by Commission Staff

The Commission staff recommends granting a partial waiver of the preliminary penalties which total more than $2 million. The preliminary penalties are grossly disproportionate to the harm suffered by the public from the late disclosure. The staff recommends assessing four penalties of $4,500 each. We believe a total penalty of $18,000 reflects the serious harm to the public caused by the delayed disclosure of Maine Leads' financial activity, but acknowledges that factual circumstances could have been more present to make the violation even more egregious. The proposed penalty also recognizes the high level of knowledge and experience with campaign finance requirements reasonably attributable to Maine Leads' staff. A total penalty of $18,000 would be the largest assessed by the Commission against a PAC or BQC, to the best of my knowledge.

For context, I have attached a chart of some of the larger penalties assessed against PACs since 2002, when the Commission staff began keeping penalties in a database. (The chart does not show penalties assessed against political parties or candidates.) The three largest penalties against PACs have been assessed in the past 18 months. The Commission staff views Maine Leads' violation to be at least as serious as these.

Thank you for considering this staff recommendation.

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November 5, 2009

Jonathan Wayne, Executive Director
Maine Commission on Governmental Ethics & Election Practices
135 State House Station
Augusta, Maine 04333-0135

RE: Maine Leads request for waiver of preliminary penalties

Dear Mr. Wayne:

I am writing on behalf of Maine Leads in response to your letter of October 27, 2009 to request a waiver of the preliminary penalty determination that has been made due to Maine Leads' late filing of campaign finance reports as a ballot question committee in connection with its activities in support of three citizen initiatives in 2007 and 2008. On behalf of Maine Leads, I request that the penalties be waived pursuant to 21-A M.R.S.A. §1062-A.

In your letter you note that the penalty statute that applied through September 11, 2009 contained a maximum penalty of $5000 per late reports by political action committees ("PAC") but did not contain any maximum amounts for late reports by ballot question committees ("BQC"). You have suggested that the Commission would be interested in comments on whether they should voluntarily apply the PAC maximums to late reports filed by BQCs. I believe the Commission should.

If you review the former version of 21-A M.R.S.A. §1062-A, you will note that the statute makes no mention of BQCs or any reference to §1056-B. Though the statute, by its plain terms, gives the Commission the authority to impose penalties for any late filing of a report required under the subchapter, which would include reports required by §1056-B, it appears that the drafters never considered that the penalties imposed under the statute could apply to BQCs. When the Legislature considered the proper maximum penalties that could be imposed on BQCs earlier this year, it decided that it was appropriate to impose the same maximum penalty on both PACs and BQCs. If the issue had been raised earlier, it is safe to assume that the same conclusion would have been reached. There is no public policy reason to limit the penalties that may be imposed on PACs – whose primary purpose is influencing elections – while imposing no maximum on BQCs – whose primary purpose is not influencing elections. For these reasons, the Commission should voluntarily apply the PAC maximums to the late BQC reports.

You mentioned in your letter that the investigation of Maine Leads did not seek an explanation concerning why Maine Leads did not file BQC reports. In fact, you asked Roy Lenardson of Maine Leads related questions during his testimony on September 8, 2009. I have attached the relevant portions of the transcript of his testimony. As you can see, Mr. Lenardson testified that he saw signature gathering as being different from the campaign activities to be conducted by the PACs and that he did not believe it was necessary to contribute the funds for signature gathering to the PACs. If he had been asked, he would have also testified that he did not believe it was necessary for an organization which was not a PAC to report funds spent on signature gathering because there was not yet a ballot question.

Any suggestion that Maine Leads had any intent to conceal its activities is belied by the information that led to the complaint. Maine Leads mentioned its involvement and the involvement of its staff with the initiatives on its own website. Maine Leads made donations to the PACs organized to support the initiatives and the filings of the PACs made public the involvement of Maine Leads staff with the PACs. Maine Leads' involvement was also mentioned in press releases and media reports about the initiatives and the signature gathering. These are not actions that one would take if there were any intent to conceal Maine Leads' involvement with the initiatives.

Financial penalties against Maine Leads should be waived pursuant to 21-A M.R.S.A. §1062-A(2) because there was no harm suffered by the public due to the late disclosure. As noted above, Maine Leads' involvement with the initiatives was publicly known during the signature gathering process. The required BQC reports were filed before the election where the initiatives were considered. As a result, the public had the information about the amount spent on signature collection by Maine Leads and the information about the donors to Maine Leads before the election where the ballot questions resulting from the signature gathering process were considered[1]. In addition, it is apparent that public interest in the names of the donors was not significant. Though the investigation of Maine Leads garnered significant publicity, there was only one news story written about the actual contents of the reports filed by Maine Leads.

The Commission should also consider the fact that, to my knowledge, there has never been any penalty imposed due to a late filing under §1056-B. In 2007, the Commission considered a complaint against the Katahdin Institute for failing to file reports about its referendum related activities during 2006. Though the Institute's 2006 referendum activities were not reported until well after the election, no penalty was imposed.

It is also apparent that, unlike the required reporting by candidates and PACs, knowledge of the reporting requirements under §1056-B by many involved in the political process in Maine remains lacking. This is illustrated by the complaints filed by David

[1] The amount spent by Maine Leads and the name of one of the three major donors were publicly revealed during the course of the Commission's investigation and was therefore known well before the election. The only new information of significance which became publicly known for the first time when the BQC reports were filed on October 15, 2009 was the names of the other two donors.

Crocker of TABOR NOW that the Commission considered at the October 1st meeting. I question whether it is appropriate to impose large penalties for violations of a statute that is so misunderstood and, at least until recently, was rarely enforced.

Maine Leads wants to put this matter behind it. Despite its strong disagreement with the Commission's decision, it filed the reports as required and has no interest in appealing the Commission's determination. However, if the Commission imposes substantial financial penalties, Maine Leads will be forced to appeal. As explained in my September 22nd letter, I believe that the definition of "ballot question" recommended by Commission staff and ultimately endorsed by the Commission is unconstitutionally vague and would not withstand judicial review. If financial penalties of any significance are imposed, I have been directed by my client to appeal the Commission's determination. I hope that can be avoided.

I will be in attendance on behalf of Maine Leads on November 16th and would be glad to address any questions at that time. Thank you for your consideration of these comments.

Very truly yours,

Daniel I. Billings

last page or page three of that affidavit there's an itemization of the different dates and amounts--

A: [Interposing] Yeah.

Q: --of that $160,500 total. When did Maine Leads make the first of those payments?

A: That would be the day after the—November 15th.

Q: 2007?

A: Correct.

Q: Okay and what was the amount of that first payment?

A: About $65,000.

Q: So given your earlier testimony that PACs had been created or at least one PAC was created in August 2007 and the purpose of that PAC was, was to comply with the campaign finance laws in terms of reporting financial activity, why didn't Maine Leads contribute that $160,500 to the PACs, which were set up to report financial activity?

A: Well I can defer—we didn't think that it was a ballot question that we were doing the petition collections and that's the way the law was written at the time so we proceeded down that path.

Q: Right. I appreciate that's a legal contention that Maine Leads is making but you formed a PAC, that the PAC duly noted that—each PAC duly noted that it received

$25,000 in contributions from Maine Leads and yet Maine Leads made the decision to, to handle these other payments to Pioneer Group differently. Why did Maine Leads handle this money differently than the contributions it made directly to the PACs?

A: So you mean beyond the legal, legal argument?

Q: Yeah. I'm just asking is there a reason that Maine Leads did not contribute this $160,500 directly to the PACs as a factual matter? Is there a reason that you structured these activities this way?

A: Not as a factual matter, no. I wouldn't think we needed to.

Q: I'm, I'm just trying to understand what the rationale was here. The PACs, the three PACs, each reported receiving on November 15, 2007 three contributions of $25,000 each. Now your testimony in your affidavit is that in addition to those three payments that were made in November 2007, that were reported by the PACs, there was also $65,000 that was given not to the PACs but to Pioneer Group. Why treat the different sums differently? That's what I'm trying to understand.

A: Well in my mind initial PACs were to go and be PACs, raise money, get going, form, get organized, get ready and sort of gear up for what PACs do, which is run campaigns. I viewed the signature collection as a whole different process. Now I will say as it went on I became more reluctant to see that we were continuing to—I mean I was somewhat annoyed how much it was costing to collect these signatures, not really for the two but for the third one, but that wasn't—that was just me personally and so it got further and further so I made the decision whether, and this is just about collection, do we want to get on the ballot or not and so we were down this path of deeper and deeper and deeper sort of moving away from what I had—how I had seen those resources being used for capacity issues. So this was not the path that I had in mind but it was the path where we were if we were going to sort of drop the ball and have failure or was I going to try to step up to the plate and, you know, accept the reality if we don't do this, it won't happen.

Q: Who hired Pioneer Group?

A: I did.

Q: Did you see any of the initial submissions that Deborah Hutton made to our Commission that initiated this matter? Did you have any occasion to look at those?

A: Yeah, yeah, I did the first time.

Q: In those submissions there were suggestions that your organization was trying to hide the sources of the funding. I don't mean to be provocative by asking this.

A: Yeah.

Q: And to conceal financial activity to promote TABOR and the excise tax referendum. Because this $160,500 has never been reported publicly in a campaign finance report, is there some other motivation that you'd like to point to, to respond to any kind of suggestion that—or to the suggestion that has been made that Maine Leads was trying to conceal the, the scope of its fundraising and spending?

A: No. Only other than to say is when we started in my mind it was three $25,000 checks, you're on your own, you're going to have to raise money separately, this is not our initial purpose, we began down this path getting in deeper so we continued funding. So it wasn't my intent to spend this much money from the beginning. I mean it's not how I wanted the resources used and it has had an impact, you know, on the organization.

Q: In your submissions to the Commission for this investigation, did you include a percentage of staff time that was allocated—that Maine Leads allocated to its most significant activities?

A: I did. I believe it's in your documents, Exhibit, Exhibit 3.

Q: That's right and--

A: [Interposing] Second page.

Q: If I can draw your attention to the first page

STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
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October 27, 2009

By E-Mail and Regular Mail
Daniel I. Billings, Esq.
Marden, Dubord, Bernier & Stevens
P.O. Box 708
Waterville, ME 04903-0708

Dear Mr. Billings:

At its October 1, 2009 meeting, the Commission on Governmental Ethics and Election Practices directed Maine Leads to file campaign finance reports as a ballot question committee (BQC) in connection with its activities in support of three direct initiatives beginning in the fall of 2007 and 2008. I have attached the former Chapter 1, Section 11 of the Commission's Rules, which governed the filing schedule for BQCs during the time period when the reports were due.

Maine Leads filed the reports on October 15, 2009 significantly after the reports' deadlines in 2008 and early 2009. Pursuant to the late-filing penalty statute for BQCs and political action committees (PACs), the Commission staff has calculated preliminary penalty amounts for each late report, according to the formula in the statute (21-A M.R.S.A. § 1062-A(4-A)). Please see the attached chart.

I have also attached the version of the penalty statute that applied through September 11, 2009. Under that version, subsection 1062-A(5-A) contained maximum penalties of $5,000 for late quarterly reports required <u>for PACs</u>, but did not contain any maximum amounts that applied when BQCs failed to file reports required under section 1056-B. (In 2009, the subsection was amended to impose a $10,000 maximum penalty for any late campaign finance report filed by a PAC or BQC.) The attached chart shows the amount of the preliminary penalties *if* the PAC maximums *did* and *did not apply* to late BQC reports in 2008 and early 2009.

If Maine Leads would like to request a waiver of the preliminary penalties based on the considerations in 21-A M.R.S.A. § 1062-A(2), kindly submit a letter by Friday, November 6th, 2009. I am sure the Commissioners would also be interested in comment on whether they should voluntarily apply the PAC maximums to the late BQC reports. I will schedule the request for the Commission's meeting on November 19, 2009 at 9:00 a.m. in Room 208 of the Cross Office Building.

As you know, the focus of the Commission staff's investigation was whether Maine Leads had received contributions or made expenditures which triggered a requirement to register and file campaign finance reports under 21-A M.R.S.A. § 1056-B. We did not seek an explanation concerning why Maine Leads did not file the required reports once it began fundraising and paying Pioneer Group directly for petition expenses in fall of 2007. I believe an explanation would be helpful for the Commissioners in light of the allegation of concealment that was made at the time the investigation was requested.

Please call me at 287-4179 if you have any questions.

Sincerely,

Jonathan Wayne
Executive Director

cp

cc: **By E-Mail and Regular Mail**
Benjamin K. Grant, Counsel for Deborah Hutton

21A § 1020-A. Failure to file on time

1. Registration. A candidate that fails to register the name of a candidate, treasurer or political committee with the Commission within the time allowed by section 1013-A, subsection 1 may be assessed a forfeiture of $10. The Commission shall determine whether a registration satisfies the requirements for timely filing under section 1013-A, subsection 1.

2. Campaign finance reports. A campaign finance report is not timely filed unless a properly signed or electronically submitted copy of the report, substantially conforming to the disclosure requirements of this subchapter, is received by the Commission by 11:59 p.m. on the date it is due. Except as provided in subsection 7, the Commission shall determine whether a report satisfies the requirements for timely filing. The Commission may waive a penalty if the Commission determines that the penalty is disproportionate to the size of the candidate's campaign, the level of experience of the candidate, treasurer or campaign staff or the harm suffered by the public from the late disclosure. The Commission may waive the penalty in whole or in part if the Commission determines the failure to file a timely report was due to mitigating circumstances. For purposes of this section, "mitigating circumstances" means:

A. A valid emergency determined by the Commission, in the interest of the sound administration of justice, to warrant the waiver of the penalty in whole or in part;

B. An error by the Commission staff;

C. Failure to receive notice of the filing deadline; or

D. Other circumstances determined by the Commission that warrant mitigation of the penalty, based upon relevant evidence presented that a bona fide effort was made to file the report in accordance with the statutory requirements, including, but not limited to, unexplained delays in postal service.

3. Municipal campaign finance reports. Municipal campaign finance reports must be filed, subject to all the provisions of this subchapter, with the municipal clerk on forms prescribed by the Commission on Governmental Ethics and Election Practices. The municipal clerk shall send any notice of lateness required by subsection 6 and shall notify the Commission of any late reports subject to a penalty.

4. Basis for penalties. (REPEALED)

4-A. Basis for penalties. The penalty for late filing of a report required under this subchapter, except for accelerated campaign finance reports required pursuant to section 1017, subsection 3-B, is a percentage of the total contributions or expenditures for the filing period, whichever is greater, multiplied by the number of calendar days late, as follows:

A. For the first violation, 1%;

B. For the 2nd violation, 3%; and

C. For the 3rd and subsequent violations, 5%.

Any penalty of less than $10 is waived.

Violations accumulate on reports with filing deadlines in a 2-year period that begins on January 1st of each even-numbered year. Waiver of a penalty does not nullify the finding of a violation.

A report required to be filed under this subchapter that is sent by certified or registered United States mail and postmarked at least two (2) days before the deadline is not subject to penalty.

A registration or report may be provisionally filed by transmission of a facsimile copy of the duly executed report to the Commission, as long as the facsimile copy is filed by the applicable deadline and an original of the same report is received by the Commission within 5 calendar days thereafter.

The penalty for late filing of an accelerated campaign finance report as required in section 1017, subsection 3-B may be up to but no more than 3 times the amount by which the contributions received or expenditures obligated or made by the candidate, whichever is greater, exceed the applicable Maine Clean Election Fund disbursement amount, per day of violation. The Commission shall make a finding of fact establishing when the report was due prior to imposing a penalty under this subsection. A penalty for failure to file an accelerated campaign finance report must be made payable to the Maine Clean Election Fund. In assessing a penalty for failure to file an accelerated campaign finance report, the Commission shall consider the existence of mitigating circumstances. For the purposes of this subsection, "mitigating circumstances" has the same meaning as in subsection 2.

5. Maximum penalties. (REPEALED)

5-A. Maximum penalties. Penalties assessed under this subchapter may not exceed:

A. Five thousand dollars for reports required under section 1017, subsection 2, paragraph B, C, D, E or H; section 1017, subsection 3-A, paragraph B, C, D or F; section 1017, subsection 4; and section 1019-B, subsection 3;

B. Five thousand dollars for state party committee reports required under section 1017-A, subsection 4-A, paragraphs A, B, C and E;

C. One thousand dollars for reports required under section 1017, subsection 2, paragraphs A and F and section 1017, subsection 3-A, paragraphs A and E;

D. Five hundred dollars for municipal, district and county committees for reports required under section 1017-A, subsection 4-B; or

E. Three times the unreported amount for reports required under section 1017, subsection 3-B, if the unreported amount is less than $5,000 and the Commission finds that the candidate in violation has established, by a preponderance of the evidence, that a bona fide effort was made to file an accurate and timely report.

6. Request for a commission determination. Within three (3) days following the filing deadline, a notice must be sent to a candidate and treasurer whose registration or campaign finance report is not received by 11:59 p.m. on the deadline date, informing them of the basis for calculating penalties under subsection 4 and providing them with an opportunity to request a commission determination. The notice must be sent by certified United States mail.

Any request for a determination must be made within 10 calendar days of receipt of the Commission's notice. The ten-day period during which a determination may be requested begins on the day a recipient signs for the certified mail notice of the proposed penalty. If the certified letter is refused or left unclaimed at the post office, the ten-day period begins on the day the post office indicates it has given first notice of a certified letter. A candidate or treasurer requesting a determination may either appear in person or designate a representative to appear on the candidate's or treasurer's behalf or submit a notarized written explanation of the mitigating circumstances for consideration by the Commission.

7. Final notice of penalty. If a determination has been requested by the candidate and made by the commission, notice of the Commission's final determination and the penalty, if any, imposed pursuant to this subchapter must be sent to the candidate and the treasurer.

If no determination is requested, the Commission staff shall calculate the penalty as prescribed in subsection 4 and shall mail final notice of the penalty to the candidate and treasurer. A detailed summary of all notices must be provided to the Commission.

8. Failure to file report. The Commission shall notify a candidate who has failed to file a report required by this subchapter, in writing, informing the candidate of the requirement to file a report. The notice must be sent by certified mail. If a candidate fails to file a report after 2 notices have been sent by the commission, the commission shall send a final notice by certified mail informing the candidate of the requirement to file and that the matter may be referred to the Attorney General for criminal prosecution. A candidate who fails to file a report as required by this subchapter after the Commission has sent the notices required by this subsection is guilty of a Class E crime.

8-A. Penalties for failure to file report. The penalty for failure to file a report required under this subchapter may not exceed the maximum penalties as provided in subsection 5-A.

9. List of late-filing candidates. The Commission shall prepare a list of the names of candidates who are late in filing a report required under section 1017, subsection 2, paragraph C or D or section 1017, subsection 3-A, paragraph B or C within 30 days of the date of the election and shall make that list available for public inspection.

10. Enforcement. The Commission staff has the responsibility for collecting the full amount of any penalty and has all necessary powers to carry out this responsibility. Failure to pay the full amount of any penalty levied under this subchapter is a civil violation by the candidate, treasurer, political party or other person whose campaign finance activities are required by this subchapter to be reported. Thirty days after issuing the notice of penalty, the Commission shall report to the Attorney General the name of any person who has failed to pay the full amount of any penalty. The Attorney General shall enforce the violation in a civil action to collect the full outstanding amount of the penalty. This action must be brought in the Superior Court for Kennebec County or the District Court, 7th District, Division of Southern Kennebec.

not intended to influence the nomination, election or defeat of a candidate, the person may submit the rebuttal statement to the Commission in advance of disseminating the communication for an early determination. The request must include the complete communication and be specific as to when and to whom the communication will be disseminated.

SECTION 11. REPORTS OF BALLOT QUESTION CAMPAIGN ACTIVITY BY PERSONS AND ORGANIZATIONS OTHER THAN POLITICAL ACTION COMMITTEES

When a person or organization is required under 21-A M.R.S.A. §1056-B to file reports because of contributions or expenditures of more than $1,500 made in support of or in opposition to a ballot question, the reports must be filed according to the following schedule:

1. **Quarterly Reports.** Reports must be filed by 11:59 p.m. on the following deadlines until the date of the election on which the question is on the ballot:

   A. A report must be filed on January 15th and be complete as of January 5th;

   B. A report must be filed on April 10th and be complete as of March 31st;

   C. A report must be filed on July 15th and be complete as of July 5th; and

   D. A report must be filed on October 10th and be complete as of September 30th.

2. **Pre- and Post-Election Reports.** The person or organization must also file the following reports by 11:59 p.m. on the following deadlines:

   A. A report must be filed on the 11th day before the election is held and be complete as of the 14th day before the election.

   B. A report must be filed on the 42nd day after the election is held and be complete as of the 35th day after the election.

3. **24-Hour Reports.** Any contribution or expenditure in excess of $500 made after the 14th day before the election and more than 24 hours before the election must be reported within 24 hours of that contribution or expenditure or by noon of the first business day after the contribution or expenditure, whichever is later.

SECTION 12. CAMPAIGN CONTRIBUTIONS DURING LEGISLATIVE SESSION

1. **Seed Money Contributions.** Legislators and other individuals covered by Title 1 M.R.S.A. §1015(3)(B) may not intentionally solicit or accept a seed money contribution from a lobbyist or lobbyist associate during any period of time in which the Legislature is convened until final adjournment.

2. **Acceptance of Contributions through Political Action Committees.** During a legislative session, political action committees that are closely associated with a Legislator, such as committees organized to elect a candidate or Legislator to a leadership position or committees organized to elect the candidates of a legislative

Preliminary Penalties for Maine Leads' Late Filing of Campaign Finance Reports

Filing Deadline	Time Period Covered by Report	Percentage in Statute	Contributions or Expenditures in Report (Whichever is Greater)	Number of Days Late (using 10/1/09 as filed date)	Preliminary Penalty for Late Report (based on formula in § 1062-A(4-A))	Maximum Penality (if Commission applied maximums amounts for late PAC reports in § 1062-A(5-A) to Maine Leads' late BQC reports)
1/15/2008 (quarterly report)	10/1/07-1/05/08	1%	$167,000.00	626	$1,045,420.00	$5,000.00
4/10/2008 (quarterly report)	1/06/08-3/31/08	3%	$47,737.24	540	$773,343.29	$5,000.00
10/10/2008 (quarterly report)	4/1/08-9/30/08	5%	$23,855.86	357	$425,827.10	$5,000.00
1/15/2009 (quarterly report)	10/1/08-1/05/09	5%	$1,855.86	260	$24,126.18	$5,000.00
4/10/2009 (quarterly report)	1/6/09-3/30/09		$0.00	175	up to $100 (21-A M.R.S.A. § 1004-A(1))	$100.00
				Total	**$2,268,816.57**	**$20,100.00**

Highest Penalties against PACs (11/10/09)

Election Year	PAC Name	Preliminary Penalty	Final Penalty
2009	The Scarborough Village Partnership	$93,300.00	$12,250.00
2009	Maine Association of Realtors PAC	$10,000.00	$10,000.00
2008	Fed Up With Taxes	$67,376.52	$10,000.00
2002	NRA Political Victory Fund	$5,000.00	$5,000.00
2003	Volle	$9,825.15	$3,684.43
2005	The Campaign to Save Working Waterfront Jobs	$10,695.68	$2,673.92
2007	Maine Economic Growth Coalition	$2,441.25	$2,441.25
2006	House Democratic Campaign Committee	$1,435.07	$1,435.07
2008	Maine Association of Realtors PAC	$1,231.20	$1,231.20
2007	Keep Maine Moving Coalition	$1,183.00	$1,183.00
2002	Dirigo Alliance PAC	$1,110.00	$1,100.00
2003	Mainers for Health Care	$1,923.64	$961.82
2002	People for a Strong Maine Economy	$1,884.48	$942.24
2002	Libertarian Party of Maine, Inc.	$1,864.20	$932.10
2004	House Democratic Campaign Committee	$847.00	$847.00
2004	Citizens for Responsibility	$750.00	$750.00
2002	Maine Voices for Reproductive Choices	$647.50	$647.50
2002	Westbrook Citizens for Equal Rights	$2,352.19	$609.00
2004	House Democratic Campaign Committee	$560.84	$560.84
2003	Dirigo Alliance PAC	$521.25	$521.25
2005	No Slots for ME!	$514.52	$514.52
2004	SCICOM	$500.00	$500.00
2004	Majority 101	$459.00	$459.00
2002	Citizens for Responsibility	$360.00	$360.00
2004	Maine Truck PAC	$334.51	$334.51
2004	Citizens for Responsibility	$302.85	$302.85
2006	Time for Change	$291.72	$291.72
2002	Duplessie for Leadership	$278.50	$278.50
2003	Ironworkers Local 7 PAC	$269.40	$269.40
2006	Maine Economic Growth Coalition	$260.24	$260.24
2006	Maine Voices for Reproductive Choices	$250.00	$250.00
2006	Citizens for Responsibility	$250.00	$250.00
2006	House Democratic Campaign Committee	$250.00	$250.00
2006	Maine Forest Legacy PAC	$250.00	$250.00
2006	Local 1996 PAC Fund	$250.00	$250.00
2006	eWomen	$250.00	$250.00
2007	Maine Truck PAC	$231.98	$231.98
2004	Casinos No!	$229.54	$229.54
2007	League of Young Voters PAC	$227.20	$227.20
2005	Committee for Maine's Water Dividend Trust	$221.49	$221.49
2006	National Association of Social Workers	$217.32	$217.32
2003	Keep Maine Moving Coalition	$200.00	$200.00
2008	Maine College Democrats PAC	$200.00	$200.00
2006	Maine Prosperity PAC	$197.73	$197.73
2005	Citizens to Save Maine	$196.84	$196.84
2005	Ironworkers Local 7 PAC	$183.68	$183.68
2009	Maine Marriage PAC	$180.00	$180.00
2004	SCICOM	$165.03	$165.03

2008 Election

RECEIVED
OCT 2 2 2009
MAINE ETHICS COMMISSION
FAX REC'D

COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES
Mail: 135 State House Station, Augusta, Maine 04333
Office: 242 State Street, Augusta, Maine

Website: www.maine.gov/ethics
Phone: 207-287-4179
Fax: 207-287-6775

2008 CAMPAIGN FINANCE REPORT – BALLOT QUESTION COMMITTEES

FOR PERSONS AND ORGANIZATIONS INVOLVED IN BALLOT QUESTION ELECTIONS (OTHER THAN PACs) (21-A M.R.S.A. § 1056-B)

COMMITTEE OR FILER Check if address is different than previously reported. ☐

Name Maine Leads
(full name of individual, committee, firm, partnership, corporation, association, group, or organization)

Mailing address PO Box 142

City, zip code Augusta, ME 04332 Telephone 207-512-5378

TREASURER Check if treasurer or address is different than previously reported. ☐

Name of treasurer Roy Lenardson

Mailing address PO Box 142

City, zip code Augusta ME 04332 Telephone 207-329-0992

E-mail address roy@meleads.org

PURPOSE FOR RECEIVING CONTRIBUTIONS AND MAKING EXPENDITURES IS TO: x **SUPPORT** ☐ **OPPOSE**

Ballot Question Number (if known): 2, 4 Ballot Question Title/Issue: An Act to Provide An Act to Expand Affordable Health Insurance Choices in Maine

BALLOT MEASURE COMMITTEE FILING PERIODS (please indicate which report is being filed):

The first report must include all financial activity from the beginning of the campaign to the end of the report period.

	Report Type	Due Date	Reporting Period
☐	11-Day Pre-Primary	May 30, 2008	April 1, 2008 – May 27, 2008
☐	42-Day Post-Primary	July 22, 2008	May 28, 2008 – July 15, 2008
☐	October Quarterly	October 10, 2008	July 16, 2008 – September 30, 2008
☐	11-Day Pre-General	October 24, 2008	October 1, 2008 – October 21, 2008
☐	42-Day Post-General	December 16, 2008	October 22, 2008 – December 9, 2008
☐	January Quarterly	January 15, 2009	December 10, 2008 – January 5, 2009
☐	Amended Report: If this report is an amendment to a previously filed report, check the appropriate report above and this box.		
☐	No Activity Report: If the committee had no contributions and no expenditures during a reporting period, check the appropriate report and this box.		
☐	Termination Report: If this is the committee's last report, check the appropriate report above and this box.		
☒	January Quarterly	1/5/08	10/1/07 – 1/5/08

I CERTIFY THAT I HAVE EXAMINED THIS REPORT AND TO THE BEST OF MY KNOWLEDGE, IT IS TRUE, CORRECT AND COMPLETE.

Signature of Treasurer, Principal Officer or Authorized Individual

Date 10·15·09

Maine Leads
Name of Committee or Filer

SCHEDULE A
CASH CONTRIBUTIONS

List all contributions aggregating in excess of $100 for this election that were received during this reporting period and include the contributor's name and address. Do not include in-kind contributions or loans on this schedule. Contributions of $100 for this election or less may be aggregated and listed as a lump sum.

Date received	Contributor's name, address, and zip code	Amount
10/31/07	National Taxpayers Union 108 N. Alfred St Alexandria, VA 22314	155,000
1/5/08	Maine Leads PO Box 142 Augusta, ME 04332	12,000

1. Total contributions this page only ⇒	167,000
2. Total from attached Schedule A pages ⇒	
3. Aggregate contributions of $100 or less (not itemized) ⇒	0
4. Total contributions this reporting period (add lines 1 + 2 + 3) ⇒	167,000

Maine Leads
Name of Committee or Filer

SCHEDULE B
EXPENDITURES

List all expenditures made to a single payee or creditor aggregating in excess of $100 for this election and that were made during this reporting period. Expenditures of $100 or less for this election may be aggregated and listed as a lump sum.

Expenditure Types Requiring NO Remark		Expenditure Types REQUIRING Remark	
CON	contribution to candidate, party or committee	CNS	campaign consultants
EQP	equipment (office machines, furniture, cell phones)	OTH	other
FND	fundraising events	PRO	professional services
FOD	food for campaign events, volunteers		
LIT	printing and graphics (flyers, signs, palmcards, t-shirts, etc.)		
MHS	mail house (all services purchased)		
OFF	office rent, utilities, phone and internet services, supplies		
PHO	phone banks, automated telephone calls		
POL	polling and survey research		
POS	postage for U.S. Mail and mail box fees		
PRT	print media ads only (newspapers, magazines, etc.)		
RAD	radio ads, production costs		
SAL	campaign workers' salaries and personnel costs		
TRV	travel (fuel, mileage, lodging, etc.)		
TVN	TV or cable ads, production costs		
WEB	website design, registration, hosting, maintenance, etc.)		

For every expenditure, list the appropriate code.

If a remark is required, list additional information such as type of consulting (media, messaging, campaign, etc.) or professional service provided.

Date of payment	Payee Name / Payee's complete mailing address	Code	Remarks	Amount
11/5/07	Pioneer Group Inc PO Box 391 Augusta, ME 04332	OTH	Signature Collection	65,000
11/15/07	Citizen's for a Prosperous Maine PAC PO Box 464 Augusta ME 04332	CON		25,000
11/15/07	Affordable Health Care Choices for Maine PAC PO Box 512 Augusta ME 04332	CON		25,000
11/15/07	The Road to a Cleaner Maine PAC PO Box 403 Augusta, ME 04332	CON		25,000
12/17/07	Pioneer Group Inc PO Box 391 Augusta, ME 04332	OTH	Signature Collection	12,000
12/27/07	Pioneer Group Inc PO Box 391 Augusta, ME 04332	OTH	Signature Collection	5,000

1. Total expenditures this page only ⇒	157,000
2. Total from attached Schedule B pages ⇒	10,000
3. Aggregate expenditures of $100 or less (not itemized) ⇒	
4. Total expenditures this reporting period (lines 1 + 2) ⇒	167,000

Maine Leads
Name of Committee or Filer

SCHEDULE B
EXPENDITURES

List all expenditures made to a single payee or creditor aggregating in excess of $100 for this election and that were made during this reporting period. Expenditures of $100 or less for this election may be aggregated and listed as a lump sum.

Expenditure Types Requiring NO Remark		Expenditure Types REQUIRING Remark	
CON	contribution to candidate, party or committee	CNS	campaign consultants
EQP	equipment (office machines, furniture, cell phones)	OTH	other
FND	fundraising events	PRO	professional services
FOD	food for campaign events, volunteers		
LIT	printing and graphics (flyers, signs, palmcards, t-shirts, etc.)		
MHS	mail house (all services purchased)		
OFF	office rent, utilities, phone and internet services, supplies		
PHO	phone banks, automated telephone calls		
POL	polling and survey research		
POS	postage for U.S. Mail and mail box fees		
PRT	print media ads only (newspapers, magazines, etc.)		
RAD	radio ads, production costs		
SAL	campaign workers' salaries and personnel costs		
TRV	travel (fuel, mileage, lodging, etc.)		
TVN	TV or cable ads, production costs		
WEB	website design, registration, hosting, maintenance, etc.)		

For every expenditure, list the appropriate code.

If a remark is required, list additional information such as type of consulting (media, messaging, campaign, etc.) or professional service provided.

Date of payment	Payee Name / Payee's complete mailing address	Code	Remarks	Amount
12/31/07	Pioneer Group Inc. PO Box 391 Augusta, ME 04332	OTH	Signature Collection	10,000

1. Total expenditures this page only ⇒	10,000
2. Total from attached Schedule B pages ⇒	
3. Aggregate expenditures of $100 or less (not itemized) ⇒	0
4. Total expenditures this reporting period (lines 1 + 2) ⇒	~~10,000~~

Maine Leads
Name of Committee or Filer

SCHEDULE C
IN-KIND CONTRIBUTIONS

List all goods and services received as in-kind contributions that have a fair market value of more than $100. Enter the date on which the item or service was received, the name of the contributor, a description of the good or service, and the fair market value. Goods and services that have a fair market value of $100 or less may be aggregated and reported as a lump sum.

Date of contribution	Name of contributor	Description of goods, services, discounts or facilities received	Fair market value

1. Total in-kind contributions this page only ⇒	
2. Total from attached Schedule C pages ⇒	
3. Aggregate in-kind contributions of $100 or less (not itemized) ⇒	
4. Total in-kind contributions received and expended this reporting period (add lines 1 + 2 + 3) ⇒	0

Mame Leads
Name of Committee or Filer

SCHEDULE D

LOANS AND LOAN REPAYMENTS

List all new and continuing loans that were unpaid at any time during this reporting period. If a loan amount is forgiven, the amount forgiven must also be entered as a contribution on Schedule A.

	COLUMN 1	COLUMN 2	COLUMN 3	COLUMN 4	COLUMN 5
		ACTIVITY THIS PERIOD (report amount and date)			
LENDER	LOAN BALANCE AT BEGINNING OF PERIOD	AMOUNT LOANED THIS PERIOD	AMOUNT REPAID THIS PERIOD	AMOUNT FORGIVEN THIS PERIOD (Enter each on Schedule A also)	LOAN BALANCE AT END OF PERIOD (1+2) – 3 – 4
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
Totals for each column ⇒		Enter on Schedule E, line 2	Enter on Schedule E, line 6		

Maine Leads
Name of Committee or Filer

SCHEDULE E
SUMMARY SECTION

RECEIPTS	THIS PERIOD ONLY	TOTAL FOR CAMPAIGN
1. Contributions received (Schedule A, line 4)	167,000	167,000
2. Other receipts (interest income, etc.)		
3. Loans received (Schedule D)		
4. TOTAL RECEIPTS THIS PERIOD (lines 1 + 2 + 3)	167,000	167,000

EXPENDITURES	THIS PERIOD ONLY	TOTAL FOR CAMPAIGN
5. Expenditures (Schedule B, line 3)	167,000	167,000
6. Loan repayments (Schedule D)		
7. TOTAL EXPENDITURES THIS PERIOD (lines 5 + 6)	167,000	167,000

IN-KIND CONTRIBUTIONS	THIS PERIOD ONLY	TOTAL FOR CAMPAIGN
TOTAL IN-KIND CONTRIBUTIONS (Schedule C, line 4)	0	0

2008 Election

COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES
Mail: 135 State House Station, Augusta, Maine 04333
Office: 242 State Street, Augusta, Maine

Website: www.maine.gov/ethics
Phone: 207-287-4179
Fax: 207-287-6775

2008 CAMPAIGN FINANCE REPORT – BALLOT QUESTION COMMITTEES

FOR PERSONS AND ORGANIZATIONS INVOLVED IN BALLOT QUESTION ELECTIONS (OTHER THAN PACs) (21-A M.R.S.A. § 1056-B)

COMMITTEE OR FILER Check if address is different than previously reported. ☐

Name Maine Leads
(full name of individual, committee, firm, partnership, corporation, association, group, or organization)

Mailing address PO Box 142

City, zip code Augusta, ME 04332 Telephone 207-512-5378

TREASURER Check if treasurer or address is different than previously reported. ☐

Name of treasurer Roy Lenardson

Mailing address PO Box 142

City, zip code Augusta ME 04332 Telephone 207-329-0992

E-mail address roy@meleads.org

PURPOSE FOR RECEIVING CONTRIBUTIONS AND MAKING EXPENDITURES IS TO: x SUPPORT ☐ OPPOSE

Ballot Question Number (if known): 2, 4 Ballot Question Title/Issue: An Act to Provide An Act to Expand Affordable Health Insurance Choices in Maine

BALLOT MEASURE COMMITTEE FILING PERIODS (please indicate which report is being filed):

The first report must include all financial activity from the beginning of the campaign to the end of the report period.

	Report Type	Due Date	Reporting Period
☐	11-Day Pre-Primary	May 30, 2008	April 1, 2008 – May 27, 2008
☐	42-Day Post-Primary	July 22, 2008	May 28, 2008 – July 15, 2008
☐	October Quarterly	October 10, 2008	July 16, 2008 – September 30, 2008
☐	11-Day Pre-General	October 24, 2008	October 1, 2008 – October 21, 2008
☐	42-Day Post-General	December 16, 2008	October 22, 2008 – December 9, 2008
☐	January Quarterly	January 15, 2009	December 10, 2008 – January 5, 2009

☐ Amended Report: If this report is an amendment to a previously filed report, check the appropriate report above and this box.

☐ No Activity Report: If the committee had no contributions and no expenditures during a reporting period, check the appropriate report and this box.

☐ Termination Report: If this is the committee's last report, check the appropriate report above and this box.

☒ March Quarterly 3/30/08 1/6/08 – 3/30/08

I CERTIFY THAT I HAVE EXAMINED THIS REPORT AND TO THE BEST OF MY KNOWLEDGE, IT IS TRUE, CORRECT AND COMPLETE.

Signature of Treasurer, Principal Officer or Authorized Individual

10.16.09
Date

Maine Leads
Name of Committee or Filer

SCHEDULE A
CASH CONTRIBUTIONS

List all contributions aggregating in excess of $100 for this election that were received during this reporting period and include the contributor's name and address. Do not include in-kind contributions or loans on this schedule. Contributions of $100 for this election or less may be aggregated and listed as a lump sum.

Date received	Contributor's name, address, and zip code	Amount
1/15/08	National Tax Limitation Committee 151 North Sunrise Ave Suite 901 Roseville, CA 95661	20,313.72
3/13/08	Sam Adams Alliance 20 N. Wacker Dr Suite 3330 Chicago, IL 60606	27,423.52

1. Total contributions this page only ⇒	47,737.24
2. Total from attached Schedule A pages ⇒	
3. Aggregate contributions of $100 or less (not itemized) ⇒	0
4. Total contributions this reporting period (add lines 1 + 2 + 3) ⇒	47,737.24

Maine Leads
Name of Committee or Filer

SCHEDULE B
EXPENDITURES

List all expenditures made to a single payee or creditor aggregating in excess of $100 for this election and that were made during this reporting period. Expenditures of $100 or less for this election may be aggregated and listed as a lump sum.

Expenditure Types Requiring NO Remark		Expenditure Types REQUIRING Remark	
CON	contribution to candidate, party or committee	CNS	campaign consultants
EQP	equipment (office machines, furniture, cell phones)	OTH	other
FND	fundraising events	PRO	professional services
FOD	food for campaign events, volunteers		
LIT	printing and graphics (flyers, signs, palmcards, t-shirts, etc.)		
MHS	mail house (all services purchased)		
OFF	office rent, utilities, phone and internet services, supplies		
PHO	phone banks, automated telephone calls		
POL	polling and survey research		
POS	postage for U.S. Mail and mail box fees		
PRT	print media ads only (newspapers, magazines, etc.)		
RAD	radio ads, production costs		
SAL	campaign workers' salaries and personnel costs		
TRV	travel (fuel, mileage, lodging, etc.)		
TVN	TV or cable ads, production costs		
WEB	website design, registration, hosting, maintenance, etc.)		

For every expenditure, list the appropriate code.

If a remark is required, list additional information such as type of consulting (media, messaging, campaign, etc.) or professional service provided.

Date of payment	Payee Name / Payee's complete mailing address	Code	Remarks	Amount
1/10/08	Pioneer Group Inc PO Box 391 Augusta ME 04332	OTH	Signature Collection	7,500
1/11/08	Pioneer Group Inc PO Box 391 Augusta, ME 04332	OTH	Signature Collection	3,000
1/17/08	Pioneer Group Inc PO Box 391 Augusta, ME 04332	OTH	Signature Collection	3,500
1/17/08	Pioneer Group Inc PO Box 391 Augusta ME 04332	OTH	Signature Collection	20,000
3/5/08	Pioneer Group Inc. PO Box 391 Augusta, ME 04332	OTH	Signature Collection	6,000
3/26/08	Pioneer Group Inc PO Box 391 Augusta, ME 04332	OTH	Signature Collection	6,500

1. Total expenditures this page only ⇒	46,500.00
2. Total from attached Schedule B pages ⇒	1237.24
3. Aggregate expenditures of $100 or less (not itemized) ⇒	0
4. Total expenditures this reporting period (lines 1 + 2) ⇒	47,737.24

Maine Leads
Name of Committee or Filer

SCHEDULE B
EXPENDITURES

List all expenditures made to a single payee or creditor aggregating in excess of $100 for this election and that were made during this reporting period. Expenditures of $100 or less for this election may be aggregated and listed as a lump sum.

Expenditure Types Requiring NO Remark		Expenditure Types REQUIRING Remark	
CON	contribution to candidate, party or committee	CNS	campaign consultants
EQP	equipment (office machines, furniture, cell phones)	OTH	other
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FOD	food for campaign events, volunteers		
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MHS	mail house (all services purchased)		
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POL	polling and survey research		
POS	postage for U.S. Mail and mail box fees		
PRT	print media ads only (newspapers, magazines, etc.)		
RAD	radio ads, production costs		
SAL	campaign workers' salaries and personnel costs		
TRV	travel (fuel, mileage, lodging, etc.)		
TVN	TV or cable ads, production costs		
WEB	website design, registration, hosting, maintenance, etc.)		

For every expenditure, list the appropriate code.

If a remark is required, list additional information such as type of consulting (media, messaging, campaign, etc.) or professional service provided.

Date of payment	Payee Name / Payee's complete mailing address	Expenditure Type and Remarks: Code	Remarks	Amount
3/30/08	Maine Leads PO Box 142 Augusta ME 04332	SAL		1237.24

1. Total expenditures this page only ⇒	1237.24
2. Total from attached Schedule B pages ⇒	
3. Aggregate expenditures of $100 or less (not itemized) ⇒	
4. Total expenditures this reporting period (lines 1 + 2) ⇒	

Maine Leads
Name of Committee or Filer

SCHEDULE C
IN-KIND CONTRIBUTIONS

List all goods and services received as in-kind contributions that have a fair market value of more than $100. Enter the date on which the item or service was received, the name of the contributor, a description of the good or service, and the fair market value. Goods and services that have a fair market value of $100 or less may be aggregated and reported as a lump sum.

Date of contribution	Name of contributor	Description of goods, services, discounts or facilities received	Fair market value

1. Total in-kind contributions this page only ⇒	
2. Total from attached Schedule C pages ⇒	
3. Aggregate in-kind contributions of $100 or less (not itemized) ⇒	
4. Total in-kind contributions received and expended this reporting period (add lines 1 + 2 + 3) ⇒	0

Marc Leeds
Name of Committee or Filer

SCHEDULE D

LOANS AND LOAN REPAYMENTS

List all new and continuing loans that were unpaid at any time during this reporting period. If a loan amount is forgiven, the amount forgiven must also be entered as a contribution on Schedule A.

	COLUMN 1	COLUMN 2	COLUMN 3	COLUMN 4	COLUMN 5
LENDER	LOAN BALANCE AT BEGINNING OF PERIOD	ACTIVITY THIS PERIOD (report amount and date)			LOAN BALANCE AT END OF PERIOD (1+2) – 3 – 4
		AMOUNT LOANED THIS PERIOD	AMOUNT REPAID THIS PERIOD	AMOUNT FORGIVEN THIS PERIOD (Enter each on Schedule A also)	
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
Totals for each column ⇒		Enter on Schedule E, line 2	Enter on Schedule E, line 6		

Maine Leads
Name of Committee or Filer

SCHEDULE E
SUMMARY SECTION

RECEIPTS	THIS PERIOD ONLY	TOTAL FOR CAMPAIGN
1. Contributions received (Schedule A, line 4)	47,737.24	149,737.24
2. Other receipts (interest income, etc.)		
3. Loans received (Schedule D)		
4. TOTAL RECEIPTS THIS PERIOD (lines 1 + 2 + 3)	47,737.24	149,737.24

EXPENDITURES	THIS PERIOD ONLY	TOTAL FOR CAMPAIGN
5. Expenditures (Schedule B, line 3)	47,737.24	149,737.24
6. Loan repayments (Schedule D)		
7. TOTAL EXPENDITURES THIS PERIOD (lines 5 + 6)	47,737.24	149,737.24

IN-KIND CONTRIBUTIONS	THIS PERIOD ONLY	TOTAL FOR CAMPAIGN
TOTAL IN-KIND CONTRIBUTIONS (Schedule C, line 4)	0	0

2008 Election

COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES
Mail: 135 State House Station, Augusta, Maine 04333
Office: 242 State Street, Augusta, Maine

Website: www.maine.gov/ethics
Phone: 207-287-4179
Fax: 207-287-6775

2008 CAMPAIGN FINANCE REPORT – BALLOT QUESTION COMMITTEES

FOR PERSONS AND ORGANIZATIONS INVOLVED IN BALLOT QUESTION ELECTIONS (OTHER THAN PACs) (21-A M.R.S.A. § 1056-B)

COMMITTEE OR FILER Check if address is different than previously reported. ☐

Name: Maine Leads
(full name of individual, committee, firm, partnership, corporation, association, group, or organization)

Mailing address: PO Box 142

City, zip code: Augusta, ME 04332 Telephone: 207-512-5378

TREASURER Check if treasurer or address is different than previously reported. ☐

Name of treasurer: Roy Lenardson

Mailing address: PO Box 142

City, zip code: Augusta ME 04332 Telephone: 207-329-0992

E-mail address: roy@meleads.org

PURPOSE FOR RECEIVING CONTRIBUTIONS AND MAKING EXPENDITURES IS TO: x SUPPORT ☐ OPPOSE

Ballot Question Number (if known): 2, 4 Ballot Question Title/Issue: An Act to Provide An Act to Expand Affordable Health Insurance Choices in Maine

BALLOT MEASURE COMMITTEE FILING PERIODS (please indicate which report is being filed):

The first report must include all financial activity from the beginning of the campaign to the end of the report period.

	Report Type	Due Date	Reporting Period
☒	11-Day Pre-Primary	May 30, 2008	April 1, 2008 – May 27, 2008
☐	42-Day Post-Primary	July 22, 2008	May 28, 2008 – July 15, 2008
☐	October Quarterly	October 10, 2008	July 16, 2008 – September 30, 2008
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☐	42-Day Post-General	December 16, 2008	October 22, 2008 – December 9, 2008
☐	January Quarterly	January 15, 2009	December 10, 2008 – January 5, 2009

☐ Amended Report: If this report is an amendment to a previously filed report, check the appropriate report above and this box.

☐ No Activity Report: If the committee had no contributions and no expenditures during a reporting period, check the appropriate report and this box.

☐ Termination Report: If this is the committee's last report, check the appropriate report above and this box.

I CERTIFY THAT I HAVE EXAMINED THIS REPORT AND TO THE BEST OF MY KNOWLEDGE, IT IS TRUE, CORRECT AND COMPLETE.

Signature of Treasurer, Principal Officer or Authorized Individual Date

Maine Leads
Name of Committee or Filer

SCHEDULE A
CASH CONTRIBUTIONS

List all contributions aggregating in excess of $100 for this election that were received during this reporting period and include the contributor's name and address. Do not include in-kind contributions or loans on this schedule. Contributions of $100 for this election or less may be aggregated and listed as a lump sum.

Date received	Contributor's name, address, and zip code	Amount
5/27/08	Maine Leads PO Box 1412 Augusta, ME 04332	5118.62

1. Total contributions this page only ⇒	5118.62
2. Total from attached Schedule A pages ⇒	
3. Aggregate contributions of $100 or less (not itemized) ⇒	0
4. Total contributions this reporting period (add lines 1 + 2 + 3) ⇒	5118.62

Maine Leads
Name of Committee or Filer

SCHEDULE B
EXPENDITURES

List all expenditures made to a single payee or creditor aggregating in excess of $100 for this election and that were made during this reporting period. Expenditures of $100 or less for this election may be aggregated and listed as a lump sum.

Expenditure Types Requiring NO Remark		Expenditure Types REQUIRING Remark	
CON	contribution to candidate, party or committee	CNS	campaign consultants
EQP	equipment (office machines, furniture, cell phones)	OTH	other
FND	fundraising events	PRO	professional services
FOD	food for campaign events, volunteers		
LIT	printing and graphics (flyers, signs, palmcards, t-shirts, etc.)		
MHS	mail house (all services purchased)		
OFF	office rent, utilities, phone and internet services, supplies		
PHO	phone banks, automated telephone calls		
POL	polling and survey research		
POS	postage for U.S. Mail and mail box fees		
PRT	print media ads only (newspapers, magazines, etc.)		
RAD	radio ads, production costs		
SAL	campaign workers' salaries and personnel costs		
TRV	travel (fuel, mileage, lodging, etc.)		
TVN	TV or cable ads, production costs		
WEB	website design, registration, hosting, maintenance, etc.)		

For every expenditure, list the appropriate code.

If a remark is required, list additional information such as type of consulting (media, messaging, campaign, etc.) or professional service provided.

Date of payment	Payee Name / Payee's complete mailing address	Code	Remarks	Amount
4/11/08	Pioneer Group Inc PO Box 391 Augusta, ME 04332	OTH	Signature Collection	4500
5/27/08	Maine Leads PO Box 1412 Augusta, ME 04332	SAL		618.62

1. Total expenditures this page only ⇒	5118.62
2. Total from attached Schedule B pages ⇒	
3. Aggregate expenditures of $100 or less (not itemized) ⇒	0
4. Total expenditures this reporting period (lines 1 + 2) ⇒	5118.62

Maine Leads
Name of Committee or Filer

SCHEDULE C
IN-KIND CONTRIBUTIONS

List all goods and services received as in-kind contributions that have a fair market value of more than $100. Enter the date on which the item or service was received, the name of the contributor, a description of the good or service, and the fair market value. Goods and services that have a fair market value of $100 or less may be aggregated and reported as a lump sum.

Date of contribution	Name of contributor	Description of goods, services, discounts or facilities received	Fair market value

1. Total in-kind contributions this page only ⇒	
2. Total from attached Schedule C pages ⇒	
3. Aggregate in-kind contributions of $100 or less (not itemized) ⇒	
4. Total in-kind contributions received and expended this reporting period (add lines 1 + 2 + 3) ⇒	0

Maine Leads
Name of Committee or Filer

SCHEDULE D

LOANS AND LOAN REPAYMENTS

List all new and continuing loans that were unpaid at any time during this reporting period. If a loan amount is forgiven, the amount forgiven must also be entered as a contribution on Schedule A.

	COLUMN 1	COLUMN 2	COLUMN 3	COLUMN 4	COLUMN 5
LENDER	LOAN BALANCE AT BEGINNING OF PERIOD	ACTIVITY THIS PERIOD (report amount and date)			LOAN BALANCE AT END OF PERIOD (1+2) – 3 – 4
		AMOUNT LOANED THIS PERIOD	AMOUNT REPAID THIS PERIOD	AMOUNT FORGIVEN THIS PERIOD (Enter each on Schedule A also)	
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
Totals for each column ⇒		Enter on Schedule E, line 2	Enter on Schedule E, line 6		

Maine ~~[illegible]~~ Leads
Name of Committee or Filer

SCHEDULE E
SUMMARY SECTION

RECEIPTS	THIS PERIOD ONLY	TOTAL FOR CAMPAIGN
1. Contributions received (Schedule A, line 4)	5118.62	219,855.86
2. Other receipts (interest income, etc.)		
3. Loans received (Schedule D)		
4. TOTAL RECEIPTS THIS PERIOD (lines 1 + 2 + 3)	5118.62	219,855.86

EXPENDITURES	THIS PERIOD ONLY	TOTAL FOR CAMPAIGN
5. Expenditures (Schedule B, line 3)	5118.62	219,855.86
6. Loan repayments (Schedule D)		
7. TOTAL EXPENDITURES THIS PERIOD (lines 5 + 6)	5118.62	219,855.86

IN-KIND CONTRIBUTIONS	THIS PERIOD ONLY	TOTAL FOR CAMPAIGN
TOTAL IN-KIND CONTRIBUTIONS (Schedule C, line 4)	0	0

2008 Election

COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES
Mail: 135 State House Station, Augusta, Maine 04333
Office: 242 State Street, Augusta, Maine

Website: www.maine.gov/ethics
Phone: 207-287-4179
Fax: 207-287-6775

2008 CAMPAIGN FINANCE REPORT – BALLOT QUESTION COMMITTEES

FOR PERSONS AND ORGANIZATIONS INVOLVED IN BALLOT QUESTION ELECTIONS (OTHER THAN PACs) (21-A M.R.S.A. § 1056-B)

COMMITTEE OR FILER Check if address is different than previously reported. ☐

Name: Maine Leads
(full name of individual, committee, firm, partnership, corporation, association, group, or organization)

Mailing address: PO Box 142

City, zip code: Augusta, ME 04332 Telephone: 207-512-5378

TREASURER Check if treasurer or address is different than previously reported. ☐

Name of treasurer: Roy Lenardson

Mailing address: PO Box 142

City, zip code: Augusta ME 04332 Telephone: 207-329-0992

E-mail address: roy@meleads.org

PURPOSE FOR RECEIVING CONTRIBUTIONS AND MAKING EXPENDITURES IS TO: x SUPPORT ☐ OPPOSE

Ballot Question Number (if known): 2, 4 Ballot Question Title/Issue: An Act to Provide An Act to Expand Affordable Health Insurance Choices in Maine

BALLOT MEASURE COMMITTEE FILING PERIODS (please indicate which report is being filed):

The first report must include all financial activity from the beginning of the campaign to the end of the report period.

	Report Type	Due Date	Reporting Period
☐	11-Day Pre-Primary	May 30, 2008	April 1, 2008 – May 27, 2008
☒	42-Day Post-Primary	July 22, 2008	May 28, 2008 – July 15, 2008
☐	October Quarterly	October 10, 2008	July 16, 2008 – September 30, 2008
☐	11-Day Pre-General	October 24, 2008	October 1, 2008 – October 21, 2008
☐	42-Day Post-General	December 16, 2008	October 22, 2008 – December 9, 2008
☐	January Quarterly	January 15, 2009	December 10, 2008 – January 5, 2009

☐ Amended Report: If this report is an amendment to a previously filed report, check the appropriate report above and this box.

☐ No Activity Report: If the committee had no contributions and no expenditures during a reporting period, check the appropriate report and this box.

☐ Termination Report: If this is the committee's last report, check the appropriate report above and this box.

I CERTIFY THAT I HAVE EXAMINED THIS REPORT AND TO THE BEST OF MY KNOWLEDGE, IT IS TRUE, CORRECT AND COMPLETE.

Signature of Treasurer, Principal Officer or Authorized Individual

Date

Maine Leads
Name of Committee or Filer

SCHEDULE A
CASH CONTRIBUTIONS

List all contributions aggregating in excess of $100 for this election that were received during this reporting period and include the contributor's name and address. Do not include in-kind contributions or loans on this schedule. Contributions of $100 for this election or less may be aggregated and listed as a lump sum.

Date received	Contributor's name, address, and zip code	Amount
7/15/08	Maine Leads PO Box 142 Augusta, ME 04332	9,237.24

1. Total contributions this page only ⇒	9237.24
2. Total from attached Schedule A pages ⇒	
3. Aggregate contributions of $100 or less (not itemized) ⇒	0
4. Total contributions this reporting period (add lines 1 + 2 + 3) ⇒	9237.24

Maine Leads
Name of Committee or Filer

SCHEDULE B
EXPENDITURES

List all expenditures made to a single payee or creditor aggregating in excess of $100 for this election and that were made during this reporting period. Expenditures of $100 or less for this election may be aggregated and listed as a lump sum.

Expenditure Types Requiring NO Remark		Expenditure Types REQUIRING Remark	
CON	contribution to candidate, party or committee	CNS	campaign consultants
EQP	equipment (office machines, furniture, cell phones)	OTH	other
FND	fundraising events	PRO	professional services
FOD	food for campaign events, volunteers		
LIT	printing and graphics (flyers, signs, palmcards, t-shirts, etc.)		
MHS	mail house (all services purchased)		
OFF	office rent, utilities, phone and internet services, supplies		
PHO	phone banks, automated telephone calls		
POL	polling and survey research		
POS	postage for U.S. Mail and mail box fees		
PRT	print media ads only (newspapers, magazines, etc.)		
RAD	radio ads, production costs		
SAL	campaign workers' salaries and personnel costs		
TRV	travel (fuel, mileage, lodging, etc.)		
TVN	TV or cable ads, production costs		
WEB	website design, registration, hosting, maintenance, etc.)		

For every expenditure, list the appropriate code.

If a remark is required, list additional information such as type of consulting (media, messaging, campaign, etc.) or professional service provided.

Date of payment	Payee Name / Payee's complete mailing address	Code	Remarks	Amount
5/29/08	Pioneer Group Inc PO Box 391 Augusta, ME 04332	OTH	Signature Collection	5,000
6/23/08	Pioneer Group Inc PO Box 391 Augusta, ME 04332	OTH	Signature Collection	3,000
7/15/08	Maine Leads PO Box 1412 Augusta ME 04332	SAL		1237.24

1. Total expenditures this page only ⇒	9237.24
2. Total from attached Schedule B pages ⇒	
3. Aggregate expenditures of $100 or less (not itemized) ⇒	0
4. Total expenditures this reporting period (lines 1 + 2) ⇒	9,237.24

Maine Leads
Name of Committee or Filer

SCHEDULE C
IN-KIND CONTRIBUTIONS

List all goods and services received as in-kind contributions that have a fair market value of more than $100. Enter the date on which the item or service was received, the name of the contributor, a description of the good or service, and the fair market value. Goods and services that have a fair market value of $100 or less may be aggregated and reported as a lump sum.

Date of contribution	Name of contributor	Description of goods, services, discounts or facilities received	Fair market value

1. Total in-kind contributions this page only ⇒	
2. Total from attached Schedule C pages ⇒	
3. Aggregate in-kind contributions of $100 or less (not itemized) ⇒	
4. Total in-kind contributions received and expended this reporting period (add lines 1 + 2 + 3) ⇒	0

Maine Leads
Name of Committee or Filer

SCHEDULE D

LOANS AND LOAN REPAYMENTS

List all new and continuing loans that were unpaid at any time during this reporting period. If a loan amount is forgiven, the amount forgiven must also be entered as a contribution on Schedule A.

	COLUMN 1	COLUMN 2	COLUMN 3	COLUMN 4	COLUMN 5
LENDER	LOAN BALANCE AT BEGINNING OF PERIOD	ACTIVITY THIS PERIOD (report amount and date)			LOAN BALANCE AT END OF PERIOD (1+2) – 3 – 4
		AMOUNT LOANED THIS PERIOD	AMOUNT REPAID THIS PERIOD	AMOUNT FORGIVEN THIS PERIOD (Enter each on Schedule A also)	
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
Totals for each column ⇒		Enter on Schedule E, line 2	Enter on Schedule E, line 6		

Maine Leads

Name of Committee or Filer

SCHEDULE E
SUMMARY SECTION

RECEIPTS	THIS PERIOD ONLY	TOTAL FOR CAMPAIGN
1. Contributions received (Schedule A, line 4)	9237.24	229,093.10
2. Other receipts (interest income, etc.)		
3. Loans received (Schedule D)		
4. TOTAL RECEIPTS THIS PERIOD (lines 1 + 2 + 3)	9237.24	229,093.10

EXPENDITURES	THIS PERIOD ONLY	TOTAL FOR CAMPAIGN
5. Expenditures (Schedule B, line 3)	9237.24	229,093.10
6. Loan repayments (Schedule D)		
7. TOTAL EXPENDITURES THIS PERIOD (lines 5 + 6)	9237.24	229,093.10

IN-KIND CONTRIBUTIONS	THIS PERIOD ONLY	TOTAL FOR CAMPAIGN
TOTAL IN-KIND CONTRIBUTIONS (Schedule C, line 4)	0	0

2008 Election

COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES
Mail: 135 State House Station, Augusta, Maine 04333
Office: 242 State Street, Augusta, Maine

Website: www.maine.gov/ethics
Phone: 207-287-4179
Fax: 207-287-6775

2008 CAMPAIGN FINANCE REPORT – BALLOT QUESTION COMMITTEES

FOR PERSONS AND ORGANIZATIONS INVOLVED IN BALLOT QUESTION ELECTIONS (OTHER THAN PACS) (21-A M.R.S.A. § 1056-B)

COMMITTEE OR FILER Check if address is different than previously reported. ☐

Name Maine Leads
(full name of individual, committee, firm, partnership, corporation, association, group, or organization)

Mailing address PO Box 142

City, zip code Augusta, ME 04332 Telephone 207-512-5378

TREASURER Check if treasurer or address is different than previously reported. ☐

Name of treasurer Roy Lenardson

Mailing address PO Box 142

City, zip code Augusta ME 04332 Telephone 207-329-0992

E-mail address roy@meleads.org

PURPOSE FOR RECEIVING CONTRIBUTIONS AND MAKING EXPENDITURES IS TO: x **SUPPORT** ☐ **OPPOSE**

Ballot Question Number (if known): 2, 4 Ballot Question Title/Issue: An Act to Provide An Act to Expand Affordable Health Insurance Choices in Maine

BALLOT MEASURE COMMITTEE FILING PERIODS (please indicate which report is being filed):

The first report must include all financial activity from the beginning of the campaign to the end of the report period.

	Report Type	Due Date	Reporting Period
☐	11-Day Pre-Primary	May 30, 2008	April 1, 2008 – May 27, 2008
☐	42-Day Post-Primary	July 22, 2008	May 28, 2008 – July 15, 2008
☒	October Quarterly	October 10, 2008	July 16, 2008 – September 30, 2008
☐	11-Day Pre-General	October 24, 2008	October 1, 2008 – October 21, 2008
☐	42-Day Post-General	December 16, 2008	October 22, 2008 – December 9, 2008
☐	January Quarterly	January 15, 2009	December 10, 2008 – January 5, 2009

☐ Amended Report: If this report is an amendment to a previously filed report, check the appropriate report above and this box.

☐ No Activity Report: If the committee had no contributions and no expenditures during a reporting period, check the appropriate report and this box.

☐ Termination Report: If this is the committee's last report, check the appropriate report above and this box.

I CERTIFY THAT I HAVE EXAMINED THIS REPORT AND TO THE BEST OF MY KNOWLEDGE, IT IS TRUE, CORRECT AND COMPLETE.

Signature of Treasurer, Principal Officer or Authorized Individual Date

Maine Leads
Name of Committee or Filer

SCHEDULE A
CASH CONTRIBUTIONS

List all contributions aggregating in excess of $100 for this election that were received during this reporting period and include the contributor's name and address. Do not include in-kind contributions or loans on this schedule. Contributions of $100 for this election or less may be aggregated and listed as a lump sum.

Date received	Contributor's name, address, and zip code	Amount
9/30/08	Maine Leads PO Box 142 Augusta ME 04332	9,500

1. Total contributions this page only ⇒	9,500
2. Total from attached Schedule A pages ⇒	
3. Aggregate contributions of $100 or less (not itemized) ⇒	0
4. Total contributions this reporting period (add lines 1 + 2 + 3) ⇒	9,500

Maine Leads
Name of Committee or Filer

SCHEDULE B
EXPENDITURES

List all expenditures made to a single payee or creditor aggregating in excess of $100 for this election and that were made during this reporting period. Expenditures of $100 or less for this election may be aggregated and listed as a lump sum.

Expenditure Types Requiring NO Remark		Expenditure Types REQUIRING Remark	
CON	contribution to candidate, party or committee	CNS	campaign consultants
EQP	equipment (office machines, furniture, cell phones)	OTH	other
FND	fundraising events	PRO	professional services
FOD	food for campaign events, volunteers		
LIT	printing and graphics (flyers, signs, palmcards, t-shirts, etc.)		
MHS	mail house (all services purchased)		
OFF	office rent, utilities, phone and internet services, supplies		
PHO	phone banks, automated telephone calls		
POL	polling and survey research		
POS	postage for U.S. Mail and mail box fees		
PRT	print media ads only (newspapers, magazines, etc.)		
RAD	radio ads, production costs		
SAL	campaign workers' salaries and personnel costs		
TRV	travel (fuel, mileage, lodging, etc.)		
TVN	TV or cable ads, production costs		
WEB	website design, registration, hosting, maintenance, etc.)		

For every expenditure, list the appropriate code.

If a remark is required, list additional information such as type of consulting (media, messaging, campaign, etc.) or professional service provided.

Date of payment	Payee Name / Payee's complete mailing address	Code	Remarks	Amount
7/16/08	Pioneer Group Inc PO Box 391 Augusta, ME 04332	OTH	Signature Collection	2000
8/5/08	Pioneer Group Inc PO Box 391 Augusta, ME 04332	OTH	Signature Collection	3600
8/29/08	Pioneer Group Inc PO Box 391 Augusta, ME 04332	OTH	Signature collection	3900

1. Total expenditures this page only ⇒	9 500
2. Total from attached Schedule B pages ⇒	
3. Aggregate expenditures of $100 or less (not itemized) ⇒	0
4. Total expenditures this reporting period (lines 1 + 2) ⇒	9500

Maine Leads
Name of Committee or Filer

SCHEDULE C
IN-KIND CONTRIBUTIONS

List all goods and services received as in-kind contributions that have a fair market value of more than $100. Enter the date on which the item or service was received, the name of the contributor, a description of the good or service, and the fair market value. Goods and services that have a fair market value of $100 or less may be aggregated and reported as a lump sum.

Date of contribution	Name of contributor	Description of goods, services, discounts or facilities received	Fair market value

1. Total in-kind contributions this page only ⇒	
2. Total from attached Schedule C pages ⇒	
3. Aggregate in-kind contributions of $100 or less (not itemized) ⇒	
4. Total in-kind contributions received and expended this reporting period (add lines 1 + 2 + 3) ⇒	0

Maine Leads
Name of Committee or Filer

SCHEDULE D
LOANS AND LOAN REPAYMENTS

List all new and continuing loans that were unpaid at any time during this reporting period. If a loan amount is forgiven, the amount forgiven must also be entered as a contribution on Schedule A.

	COLUMN 1	COLUMN 2	COLUMN 3	COLUMN 4	COLUMN 5
		ACTIVITY THIS PERIOD (report amount and date)			
LENDER	LOAN BALANCE AT BEGINNING OF PERIOD	AMOUNT LOANED THIS PERIOD	AMOUNT REPAID THIS PERIOD	AMOUNT FORGIVEN THIS PERIOD (Enter each on Schedule A also)	LOAN BALANCE AT END OF PERIOD (1+2) – 3 – 4
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
Totals for each column ⇒		Enter on Schedule E, line 2	Enter on Schedule E, line 6		

Maine Leads
Name of Committee or Filer

SCHEDULE E
SUMMARY SECTION

RECEIPTS	THIS PERIOD ONLY	TOTAL FOR CAMPAIGN
1. Contributions received (Schedule A, line 4)	9,500	238,593.10
2. Other receipts (interest income, etc.)		
3. Loans received (Schedule D)		
4. TOTAL RECEIPTS THIS PERIOD (lines 1 + 2 + 3)	9,500	238,593.10

EXPENDITURES	THIS PERIOD ONLY	TOTAL FOR CAMPAIGN
5. Expenditures (Schedule B, line 3)	9,500	238,593.10
6. Loan repayments (Schedule D)		
7. TOTAL EXPENDITURES THIS PERIOD (lines 5 + 6)	9,500	238,593.10

IN-KIND CONTRIBUTIONS	THIS PERIOD ONLY	TOTAL FOR CAMPAIGN
TOTAL IN-KIND CONTRIBUTIONS (Schedule C, line 4)	0	0

2008 Election

COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES
Mail: 135 State House Station, Augusta, Maine 04333
Office: 242 State Street, Augusta, Maine
Website: www.maine.gov/ethics
Phone: 207-287-4179
Fax: 207-287-6775

2008 CAMPAIGN FINANCE REPORT – BALLOT QUESTION COMMITTEES

FOR PERSONS AND ORGANIZATIONS INVOLVED IN BALLOT QUESTION ELECTIONS (OTHER THAN PACs) (21-A M.R.S.A. § 1056-B)

COMMITTEE OR FILER Check if address is different than previously reported. ☐

Name Maine Leads
(full name of individual, committee, firm, partnership, corporation, association, group, or organization)

Mailing address PO Box 142

City, zip code Augusta, ME 04332 Telephone 207-512-5378

TREASURER Check if treasurer or address is different than previously reported. ☐

Name of treasurer Roy Lenardson

Mailing address PO Box 142

City, zip code Augusta ME 04332 Telephone 207-329-0992

E-mail address roy@meleads.org

PURPOSE FOR RECEIVING CONTRIBUTIONS AND MAKING EXPENDITURES IS TO: x SUPPORT ☐ OPPOSE

Ballot Question Number (if known): 2, 4 Ballot Question Title/Issue: An Act to Provide An Act to Expand Affordable Health Insurance Choices in Maine

BALLOT MEASURE COMMITTEE FILING PERIODS (please indicate which report is being filed):

The first report must include all financial activity from the beginning of the campaign to the end of the report period.

	Report Type	Due Date	Reporting Period
☐	11-Day Pre-Primary	May 30, 2008	April 1, 2008 – May 27, 2008
☐	42-Day Post-Primary	July 22, 2008	May 28, 2008 – July 15, 2008
☐	October Quarterly	October 10, 2008	July 16, 2008 – September 30, 2008
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☐	42-Day Post-General	December 16, 2008	October 22, 2008 – December 9, 2008
☐	January Quarterly	January 15, 2009	December 10, 2008 – January 5, 2009

☐ Amended Report: If this report is an amendment to a previously filed report, check the appropriate report above and this box.

☐ No Activity Report: If the committee had no contributions and no expenditures during a reporting period, check the appropriate report and this box.

☐ Termination Report: If this is the committee's last report, check the appropriate report above and this box.

I CERTIFY THAT I HAVE EXAMINED THIS REPORT AND TO THE BEST OF MY KNOWLEDGE, IT IS TRUE, CORRECT AND COMPLETE.

Signature of Treasurer, Principal Officer or Authorized Individual

Date

Maine Leads
Name of Committee or Filer

SCHEDULE A
CASH CONTRIBUTIONS

List all contributions aggregating in excess of $100 for this election that were received during this reporting period and include the contributor's name and address. Do not include in-kind contributions or loans on this schedule. Contributions of $100 for this election or less may be aggregated and listed as a lump sum.

Date received	Contributor's name, address, and zip code	Amount
10/21/08	Maine Leads PO Box 142 Augusta, ME 04332	1855.86

1. Total contributions this page only ⇒	1855.86
2. Total from attached Schedule A pages ⇒	
3. Aggregate contributions of $100 or less (not itemized) ⇒	0
4. Total contributions this reporting period (add lines 1 + 2 + 3) ⇒	1855.86

Maine Leads
Name of Committee or Filer

SCHEDULE B
EXPENDITURES

List all expenditures made to a single payee or creditor aggregating in excess of $100 for this election and that were made during this reporting period. Expenditures of $100 or less for this election may be aggregated and listed as a lump sum.

Expenditure Types Requiring NO Remark		Expenditure Types REQUIRING Remark	
CON	contribution to candidate, party or committee	CNS	campaign consultants
EQP	equipment (office machines, furniture, cell phones)	OTH	other
FND	fundraising events	PRO	professional services
FOD	food for campaign events, volunteers		
LIT	printing and graphics (flyers, signs, palmcards, t-shirts, etc.)		
MHS	mail house (all services purchased)		
OFF	office rent, utilities, phone and internet services, supplies		
PHO	phone banks, automated telephone calls		
POL	polling and survey research		
POS	postage for U.S. Mail and mail box fees		
PRT	print media ads only (newspapers, magazines, etc.)		
RAD	radio ads, production costs		
SAL	campaign workers' salaries and personnel costs		
TRV	travel (fuel, mileage, lodging, etc.)		
TVN	TV or cable ads, production costs		
WEB	website design, registration, hosting, maintenance, etc.)		

For every expenditure, list the appropriate code.

If a remark is required, list additional information such as type of consulting (media, messaging, campaign, etc.) or professional service provided.

Date of payment	Payee Name / Payee's complete mailing address	Expenditure Type and Remarks: Code	Remarks	Amount
10/21/09	Maine Leads PO Box 142 Augusta, ME 04332	SAL		1855.86

1. Total expenditures this page only ⇒	1855.86
2. Total from attached Schedule B pages ⇒	
3. Aggregate expenditures of $100 or less (not itemized) ⇒	0
4. Total expenditures this reporting period (lines 1 + 2) ⇒	1855.86

Maine Leads
Name of Committee or Filer

SCHEDULE C
IN-KIND CONTRIBUTIONS

List all goods and services received as in-kind contributions that have a fair market value of more than $100. Enter the date on which the item or service was received, the name of the contributor, a description of the good or service, and the fair market value. Goods and services that have a fair market value of $100 or less may be aggregated and reported as a lump sum.

Date of contribution	Name of contributor	Description of goods, services, discounts or facilities received	Fair market value

1. Total in-kind contributions this page only ⇒	
2. Total from attached Schedule C pages ⇒	
3. Aggregate in-kind contributions of $100 or less (not itemized) ⇒	
4. Total in-kind contributions received and expended this reporting period (add lines 1 + 2 + 3) ⇒	0

Mame Leeds
Name of Committee or Filer

SCHEDULE D
LOANS AND LOAN REPAYMENTS

List all new and continuing loans that were unpaid at any time during this reporting period. If a loan amount is forgiven, the amount forgiven must also be entered as a contribution on Schedule A.

	COLUMN 1	COLUMN 2	COLUMN 3	COLUMN 4	COLUMN 5
		ACTIVITY THIS PERIOD (report amount and date)			
LENDER	LOAN BALANCE AT BEGINNING OF PERIOD	AMOUNT LOANED THIS PERIOD	AMOUNT REPAID THIS PERIOD	AMOUNT FORGIVEN THIS PERIOD (Enter each on Schedule A also)	LOAN BALANCE AT END OF PERIOD (1+2) – 3 – 4
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
Totals for each column ⇒		Enter on Schedule E, line 2	Enter on Schedule E, line 6		

Maine Leads
Name of Committee or Filer

SCHEDULE E
SUMMARY SECTION

RECEIPTS	THIS PERIOD ONLY	TOTAL FOR CAMPAIGN
1. Contributions received (Schedule A, line 4)	1855.86	240,448.96
2. Other receipts (interest income, etc.)		
3. Loans received (Schedule D)		
4. TOTAL RECEIPTS THIS PERIOD (lines 1 + 2 + 3)	1855.86	240,448.96

EXPENDITURES	THIS PERIOD ONLY	TOTAL FOR CAMPAIGN
5. Expenditures (Schedule B, line 3)	1855.86	240,448.96
6. Loan repayments (Schedule D)		
7. TOTAL EXPENDITURES THIS PERIOD (lines 5 + 6)	1855.86	240,448.96

IN-KIND CONTRIBUTIONS	THIS PERIOD ONLY	TOTAL FOR CAMPAIGN
TOTAL IN-KIND CONTRIBUTIONS (Schedule C, line 4)	0	0

2008 Election

COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES
Mail: 135 State House Station, Augusta, Maine 04333
Office: 242 State Street, Augusta, Maine

Website: www.maine.gov/ethics
Phone: 207-287-4179
Fax: 207-287-6775

2008 CAMPAIGN FINANCE REPORT – BALLOT QUESTION COMMITTEES

FOR PERSONS AND ORGANIZATIONS INVOLVED IN BALLOT QUESTION ELECTIONS (OTHER THAN PACs) (21-A M.R.S.A. § 1056-B)

COMMITTEE OR FILER Check if address is different than previously reported. ☐

Name Maine Leads
(full name of individual, committee, firm, partnership, corporation, association, group, or organization)

Mailing address PO Box 142

City, zip code Augusta, ME 04332 Telephone 207-512-5378

TREASURER Check if treasurer or address is different than previously reported. ☐

Name of treasurer Roy Lenardson

Mailing address PO Box 142

City, zip code Augusta ME 04332 Telephone 207-329-0992

E-mail address roy@meleads.org

PURPOSE FOR RECEIVING CONTRIBUTIONS AND MAKING EXPENDITURES IS TO: x SUPPORT ☐ OPPOSE

Ballot Question Number (if known): 2, 4 Ballot Question Title/Issue: An Act to Provide An Act to Expand Affordable Health Insurance Choices in Maine

BALLOT MEASURE COMMITTEE FILING PERIODS (please indicate which report is being filed):

The first report must include all financial activity from the beginning of the campaign to the end of the report period.

	Report Type	Due Date	Reporting Period
☐	11-Day Pre-Primary	May 30, 2008	April 1, 2008 – May 27, 2008
☐	42-Day Post-Primary	July 22, 2008	May 28, 2008 – July 15, 2008
☐	October Quarterly	October 10, 2008	July 16, 2008 – September 30, 2008
☐	11-Day Pre-General	October 24, 2008	October 1, 2008 – October 21, 2008
☒	42-Day Post-General	December 16, 2008	October 22, 2008 – December 9, 2008
☐	January Quarterly	January 15, 2009	December 10, 2008 – January 5, 2009

☐ Amended Report: If this report is an amendment to a previously filed report, check the appropriate report above and this box.

☒ No Activity Report: If the committee had no contributions and no expenditures during a reporting period, check the appropriate report and this box.

☐ Termination Report: If this is the committee's last report, check the appropriate report above and this box.

I CERTIFY THAT I HAVE EXAMINED THIS REPORT AND TO THE BEST OF MY KNOWLEDGE, IT IS TRUE, CORRECT AND COMPLETE.

Signature of Treasurer, Principal Officer or Authorized Individual

Date

2008 Election

COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES
Mail: 135 State House Station, Augusta, Maine 04333
Office: 242 State Street, Augusta, Maine

Website: www.maine.gov/ethics
Phone: 207-287-4179
Fax: 207-287-6775

2008 CAMPAIGN FINANCE REPORT – BALLOT QUESTION COMMITTEES

FOR PERSONS AND ORGANIZATIONS INVOLVED IN BALLOT QUESTION ELECTIONS (OTHER THAN PACs) (21-A M.R.S.A. § 1056-B)

COMMITTEE OR FILER Check if address is different than previously reported. ☐

Name Maine Leads
(full name of individual, committee, firm, partnership, corporation, association, group, or organization)

Mailing address PO Box 142

City, zip code Augusta, ME 04332 Telephone 207-512-5378

TREASURER Check if treasurer or address is different than previously reported. ☐

Name of treasurer Roy Lenardson

Mailing address PO Box 142

City, zip code Augusta ME 04332 Telephone 207-329-0992

E-mail address roy@meleads.org

PURPOSE FOR RECEIVING CONTRIBUTIONS AND MAKING EXPENDITURES IS TO: x SUPPORT ☐ OPPOSE

Ballot Question Number (if known): 2, 4 Ballot Question Title/Issue: An Act to Provide An Act to Expand Affordable Health Insurance Choices in Maine

BALLOT MEASURE COMMITTEE FILING PERIODS (please indicate which report is being filed):

The first report must include all financial activity from the beginning of the campaign to the end of the report period.

	Report Type	Due Date	Reporting Period
☐	11-Day Pre-Primary	May 30, 2008	April 1, 2008 – May 27, 2008
☐	42-Day Post-Primary	July 22, 2008	May 28, 2008 – July 15, 2008
☐	October Quarterly	October 10, 2008	July 16, 2008 – September 30, 2008
☐	11-Day Pre-General	October 24, 2008	October 1, 2008 – October 21, 2008
☐	42-Day Post-General	December 16, 2008	October 22, 2008 – December 9, 2008
☒	January Quarterly	January 15, 2009	December 10, 2008 – January 5, 2009

☐ Amended Report: If this report is an amendment to a previously filed report, check the appropriate report above and this box.

☒ No Activity Report: If the committee had no contributions and no expenditures during a reporting period, check the appropriate report and this box.

☐ Termination Report: If this is the committee's last report, check the appropriate report above and this box.

I CERTIFY THAT I HAVE EXAMINED THIS REPORT AND TO THE BEST OF MY KNOWLEDGE, IT IS TRUE, CORRECT AND COMPLETE.

Signature of Treasurer, Principal Officer or Authorized Individual

Date

COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES
Mail: 135 State House Station, Augusta, Maine 04333
Office: 45 Memorial Circle, Augusta, Maine

Website: www.maine.gov/ethics
Phone: 207-287-4179
Fax: 207-287-6775

2009 CAMPAIGN FINANCE REPORT – BALLOT QUESTION COMMITTEES

FOR PERSONS AND ORGANIZATIONS INVOLVED IN BALLOT QUESTION ELECTIONS (OTHER THAN PACS) (21-A M.R.S.A. § 1056-B)

COMMITTEE OR FILER Check if address is different than previously reported. ☐

Name Maine Leads
(full name of committee)

Mailing address PO Box 142
(official headquarters of committee)

City, zip code Augusta ME 04332 Telephone (207) 512-5378

TREASURER Check if treasurer or address is different than previously reported. ☐

Name of treasurer Roy Lenardson

Mailing address PO Box 142

City, zip code Augusta ME 04332 Telephone 207-512-5378 207-329-0992

E-mail address roy@meleads.org

PURPOSE FOR RECEIVING CONTRIBUTIONS AND MAKING EXPENDITURES IS TO: ☐ SUPPORT ☐ OPPOSE

Ballot Question Number (if known): 24 Ballot Question Title/Issue: An Act to Expand Affordable Health Insurance Choices in Maine

BALLOT QUESTION COMMITTEE FILING PERIODS (Check applicable period below):

	Report Type	Due Date	Reporting Period
☐	Initial Report (New BQC)	Date of Registration	Start of Campaign – Date of Registration
☒	April Quarterly	April 10, 2009	January 6, 2009 – March 31, 2009
☐	July Quarterly	July 15, 2009	April 1, 2009 – July 5, 2009
☐	October Quarterly	October 13, 2009	July 6, 2009 – September 30, 2009
☐	January Quarterly	January 19, 2010	October 1, 2009 – December 31, 2009

<u>All BQCs participating in the November 3, 2009 election must file the following reports.</u>

	Report Type	Due Date	Reporting Period
☐	11-Day Pre-Election	October 23, 2009	October 1, 2009 – October 20, 2009
☐	42-Day Post-Election	December 15, 2009	October 21, 2009 – December 8, 2009
☐	January Quarterly	January 19, 2010	December 9, 2009 – December 31, 2009

☐ Check this box if this report is an amendment to a previously filed report.

☒ <u>No Activity Report</u>: If the committee received <u>no</u> contributions and made <u>no</u> expenditures this period.
Activity on Lobbyist Report

I CERTIFY THAT I HAVE EXAMINED THIS REPORT AND TO THE BEST OF MY KNOWLEDGE, IT IS TRUE, CORRECT AND COMPLETE.

Treasurer's Signature ____________________ Date ____________

(Revised 09/09) (Duplicate as needed)