

Agenda

Item #17



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

To: Commission Members

From: Vincent W. Dinan, Staff Auditor 

Date: March 2, 2007

Subject: March, 2007 Candidate Audit Report Submittals

Materials submitted with the March, 2007 Commission packet include the four candidate audit reports listed below.

Candidate Name	District	Disposition
Rep. Deborah Simpson	HD 69	No Exceptions Noted
Rep. Gary A. Connor	HD 140	No Exceptions Noted
Rep. John Brautigam	HD 113	No Exceptions Noted
Rep. Carol A. Grose	HD 65	No Exceptions Noted

Audit Findings of "No Exceptions Noted" are submitted for information and file, and no additional action is required by the Commission.



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February 15, 2007

Audit Report No. 2006-HR023

**Candidate: Representative Deborah Simpson
House District 69**

Background

Representative Deborah Simpson was a candidate for re-election to the Maine House of Representatives, District 69, and successfully retained her seat in the 2006 general election. Rep. Simpson was certified by the Commission as an MCEA candidate on April 21, 2006. MCEA candidates are required under the Act to submit reports of their receipts, expenditures, outstanding campaign debt, and equipment purchases and dispositions for specified periods during the election cycle.

Audit Scope

Examination of selected candidate contribution and expenditure transactions occurring during the following campaign reporting periods:

- Seed Money
- Six Day Pre-Primary
- 42 Day Post-Primary
- Six Day Pre-General
- 42 Day Post-General

Transactions subject to review were those recorded in the candidate's accounting records and reported to the Commission. The audit's purpose was to determine if the identified receipts and payments (1) were properly approved by the candidate or her authorized representative; (2) were adequately documented as evidenced by original vendor invoices and cancelled checks or other acceptable disbursement documentation; and (3) complied in all material respects with the requirements of the Maine Clean Election Act and the Commission's rules.

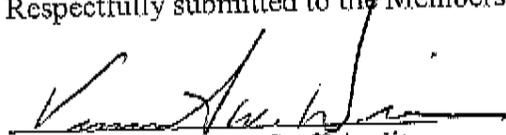
The auditors examined documentation supporting Rep. Simpson's campaign finance transactions in the following percentages:

- Seed Money: no material receipts or expenditures.
- Six Day Pre-Primary: expenditures -- no expenditures reported.
- 42 Day Post-Primary: expenditures -- no expenditures reported.
- Six Day Pre-General: expenditures -- 88 percent.
- 42 Day Post-General: expenditures -- 99 percent.

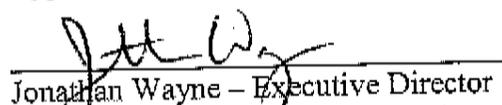
Audit Findings and Recommendations

No exceptions were noted.

Respectfully submitted to the Members of the Commission for information and file.


Vincent W. Dinan - Staff Auditor

Approved:


Jonathan Wayne - Executive Director



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Audit Report No. 2006-HR022

**Candidate: Representative Gary A. Connor
House District 140**

Background

Representative Gary A. Connor was a candidate for the Maine House of Representatives, District 140, in the 2006 general election, and won the seat. Rep. Connor was certified by the Commission as an MCEA candidate on April 21, 2006. MCEA candidates are required under the Act to submit reports of their receipts, expenditures, outstanding campaign debt, and equipment purchases and dispositions for specified periods during the election cycle.

Audit Scope

Examination of selected candidate contribution and expenditure transactions occurring during the following campaign reporting periods:

- Seed Money
- Six Day Pre-Primary
- 42 Day Post-Primary
- Six Day Pre-General
- 42 Day Post-General

Transactions subject to review were those recorded in the candidate's accounting records and reported to the Commission. The audit's purpose was to determine if the identified receipts and payments (1) were properly approved by the candidate or his authorized representative; (2) were adequately documented as evidenced by original vendor invoices and cancelled checks or other acceptable disbursement documentation; and (3) complied in all material respects with the requirements of the Maine Clean Election Act and the Commission's rules.

The auditors examined documentation supporting Rep. Connor's campaign finance transactions in the following percentages:

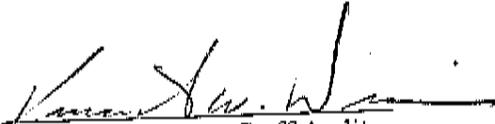
- Seed Money: no material receipts or expenditures.
- Six Day Pre-Primary: expenditures – 95 percent.
- 42 Day Post-Primary: expenditures – 87 percent
- Six Day Pre-General: expenditures – 91 percent
- 42 Day Post-General: expenditures – 67 percent

Candidate Audit
Candidate: Rep. Gary A. Connor
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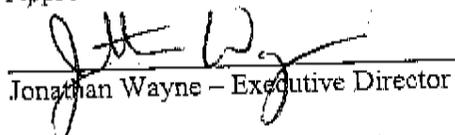
Audit Findings and Recommendations

No exceptions were noted.

Respectfully submitted to the Members of the Commission for information and file.


Vincent W. Dinan - Staff Auditor

Approved:


Jonathan Wayne - Executive Director



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February 15, 2007

Audit Report No. 2006-HR021

**Candidate: Representative John Brautigam
House District 113**

Background

Representative John Brautigam was a candidate for re-election to the Maine House of Representatives, District 113, and successfully retained his seat in the 2006 general election. Rep. Brautigam was certified by the Commission as an MCEA candidate on April 21, 2006. MCEA candidates are required under the Act to submit reports of their receipts, expenditures, outstanding campaign debt, and equipment purchases and dispositions for specified periods during the election cycle.

Audit Scope

Examination of selected candidate contribution and expenditure transactions occurring during the following campaign reporting periods:

- Seed Money
- Six Day Pre-Primary
- 42 Day Post-Primary
- Six Day Pre-General
- 42 Day Post-General

Transactions subject to review were those recorded in the candidate's accounting records and reported to the Commission. The audit's purpose was to determine if the identified receipts and payments (1) were properly approved by the candidate or his authorized representative; (2) were adequately documented as evidenced by original vendor invoices and cancelled checks or other acceptable disbursement documentation; and (3) complied in all material respects with the requirements of the Maine Clean Election Act and the Commission's rules.

The auditors examined documentation supporting Rep. Brautigam's campaign finance transactions in the following percentages:

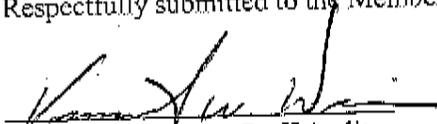
- Seed Money: expenditures – 90 percent
- Six Day Pre-Primary: expenditures – no reported expenditures during this period.
- 42 Day Post-Primary: expenditures – 100 percent
- Six Day Pre-General: expenditures – 82 percent
- 42 Day Post-General: expenditures – 36 percent

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WEBSITE: WWW.MAINE.GOV/ETHICS

Audit Findings and Recommendations

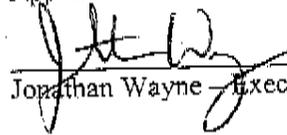
No Exceptions were noted.

Respectfully submitted to the Members of the Commission for information and file.



Vincent W. Dinan - Staff Auditor

Approved:



Jonathan Wayne - Executive Director



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February 21, 2007

Audit Report No. 2006-HR026

**Candidate: Representative Carol A. Grose
House District 65**

Background

Representative Carol A. Grose was a candidate for re-election to the Maine House of Representatives, District 65, and successfully retained her seat in the 2006 general election. Rep. Grose was certified by the Commission as an MCEA candidate on April 21, 2006. MCEA candidates are required under the Act to submit reports of their receipts, expenditures, outstanding campaign debt, and equipment purchases and dispositions for specified periods during the election cycle.

Audit Scope

Examination of selected candidate contribution and expenditure transactions occurring during the following campaign reporting periods:

- Seed Money
- Six Day Pre-Primary
- 42 Day Post-Primary
- Six Day Pre-General
- 42 Day Post-General

Transactions subject to review were those recorded in the candidate's accounting records and reported to the Commission. The audit's purpose was to determine if the identified receipts and payments (1) were properly approved by the candidate or her authorized representative; (2) were adequately documented as evidenced by original vendor invoices and cancelled checks or other acceptable disbursement documentation; and (3) complied in all material respects with the requirements of the Maine Clean Election Act and the Commission's rules.

The auditors examined documentation supporting campaign finance transactions in the following percentages:

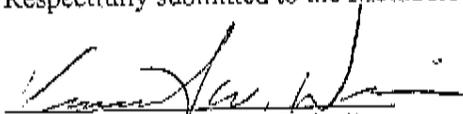
- Seed Money: no material contributions. Expenditures – 91 percent.
- Six Day Pre-Primary: No expenditures reported.
- 42 Day Post-Primary: No expenditures reported.
- Six Day Pre-General: expenditures – 75 percent.
- 42 Day Post-General: expenditures – 83 percent.

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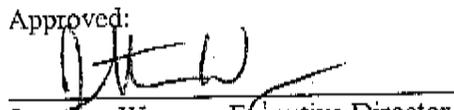
Audit Findings and Recommendations

No exceptions were noted.

Respectfully submitted to the Members of the Commission for information and file.



Vincent W. Dinan - Staff Auditor

Approved:


Jonathan Wayne - Executive Director