



COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

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APR 12 2012
Maine Ethics Commission

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STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

2011 Calendar Year: January 1, 2011 - December 31, 2011

Please file this statement with the Maine Ethics Commission by 5:00 p.m. on April 13, 2012. Please contact Commission staff at 287-4179 or come to the Commission office at 45 Memorial Circle, Augusta, if you have any questions about this form, your reporting requirements, or how to report specific situations.

Reporting Deadlines

- This personal financial disclosure statement must be filed annually by the Governor, constitutional officers, State Auditor, all state employees in major policy-influencing positions (other than assistant attorneys general), and any other executive branch employee who is appointed by the Governor and confirmed by the Legislature.
The statement must be filed by the close of the second week of April and covers the preceding calendar year (the reporting year).
No statement is required in April if the executive employee has already filed a statement covering the preceding year as an initial report. (Employees appointed by the Governor must file an initial report before confirmation by the Legislature, and the Governor, constitutional officers, and State Auditor must file an initial report within 30 days of his or her election.)
If there is a substantial change in the sources of your income or positions during the current calendar year, file an "update statement" for the current year within 30 days of the substantial change.

General Instructions

- Complete all sections. If a section is not applicable, check the box marked "None."
A glossary is located in the back of this form.
If completing this form by hand, please write legibly.

REPORT TYPE

Form with three checkboxes: Annual (checked), Initial, and Update.

EXECUTIVE EMPLOYEE INFORMATION

Form with fields for Name, Job Title, Department, Phone (Work), Mailing Address, and Email Address, all filled with handwritten text.

Part 1. Income from Employment by Another None. Check this box if you do not have income from employment by another.

Name of Employer	Address	Principal Type of Economic or Business Activity of Employer	Job Title

Part 2. Income from Self-Employment None. Check this box if you do not have income from self-employment.

Name of Your Business/Trade Name	Address	Principal Type of Economic or Business Activity
Name of Client or Customer, if required (see instructions)	Address	Principal Type of Economic or Business Activity of Client

Part 3. Income from the Practice of Law None. Check this box if you do not have income from the practice of law.

Name of Practice or Firm	Address	Your Major Areas of Practice	Firm's Major Areas of Practice	Position: Partner, Associate, Sole Practitioner

Part 4. Income from Any Other Source		
<input checked="" type="checkbox"/> None. Check this box if you do not have income from any other source.		
Name of Source	Address	Type of Income

Part 5-A. Compensation Income of Immediate Family Members		
<input type="checkbox"/> None. Check this box if no members of your immediate family derived income of \$1,000 or more from employment or compensation.		
Name and Job Title (do not list name of dependent child)	Employer's Name and Address	Principal Type of Economic or Business Activity of Employer
Roger Hellyar-Brook, Instructor	Landing School of Boatbuilding 286 River Road Arundel, Maine 04046	School for Boatbuilding & Design
Roger Hellyar-Brook, Writer	Cruz Bay Publishing Inc 300 N. Continental Blvd., Suite 650 El Segundo, CA 90245	Magazine Publication
Dependent, Laborer	Brunswick Boat Works 25 Sparwell Ln. Brunswick 04011	Boatyard
Dependent, Steerman	Terrence Ashton 122 Lowell Town Rd. Wiscasset ME 04568	Lobsterman

Part 5-B. Other Sources of Income of Immediate Family Members		
<input type="checkbox"/> None. Check this box if no members of your immediate family derived income of \$1,000 or more from any other source.		
Name of Spouse or Partner (do not list name of dependent child)	Source's Name and Address	Type of Income
Roger Hellyar-Brook	Prudential Financial, Inc c/o Computershare PO Box 43033 Providence, RI 02940	Proceeds from sale of shares of stock

Part 6. Loans None. Check this box if you do not have reportable liabilities.

Lender's Name	Lender's Address	Principal Type of Economic or Business Activity of Lender

Part 7. Gifts, Including Travel and Accommodations None. Check this box if you have not received any gifts.

Source of Gift	Source of Gift
1.	4.
2.	5.
3.	6.

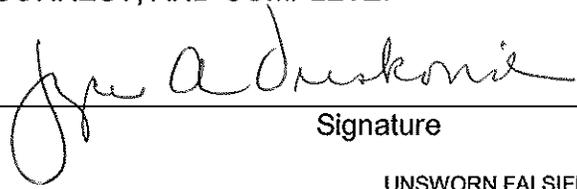
Part 8. Honoraria None. Check this box if you have not received honoraria.

Source of Honoraria	Source of Honoraria
1.	4.
2.	5.
3.	6.

Part 9-A. Conducting Business with State Agencies	
<input checked="" type="checkbox"/> None. Check this box if neither you nor your immediate family have done business with State agencies.	
Name of Agency	Name of Individual Selling Goods or Services

Part 9-B. Representing Others Before State Agencies	
<input checked="" type="checkbox"/> None. Check this box if neither you nor your immediate family have represented another before a State agency.	
Name of Agency	Name of Individual Receiving Compensation

Part 10. Positions in For-Profit and Non-Profit Organizations				
<input checked="" type="checkbox"/> None. Check this box if you and members your immediate family do not hold positions in any for-profit or non-profit organizations.				
Organization/Business and Address	Title	Name of Position Holder	Relationship to Executive Employee	Compensated Yes/No
			<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependent	
			<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependent	
			<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependent	

SIGNATURE	
I CERTIFY THAT I HAVE EXAMINED THIS REPORT AND TO THE BEST OF MY KNOWELDGE IT IS TRUE, CORRECT, AND COMPLETE.	
 _____ Signature	3.31.2012 _____ Date
UNSWORN FALSIFICATION IS A CLASS D CRIME (17-A M.R.S.A. §453)	