



**BJA FY 09 Recovery Act Rural Law Enforcement Assistance:  
Combating Rural Crime** 2009-G1946-ME-SD



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<p>*Type of Application</p>	<p> <input type="button" value="New"/> <input type="button" value="Type of Revision"/>                  If Revision,select appropriate option                  If Other, specify _____             </p>
<p>*Is application subject to review by state executive order 12372 process?</p>	<p> <input type="radio"/> Yes This preapplication/application was made available to the state executive order 12372 process for review on _____  <input checked="" type="radio"/> No Program is not covered by E.O. 12372  <input type="radio"/> N/A Program has not been selected by state for review         </p>



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* Is the applicant delinquent on any federal debt	No
* Employer Identification Number (EIN)	01-6000020
* Type of Applicant	State
Type of Applicant (other):	
* Organizational Unit	Maine Drug Enforcement Agency
* Legal Name (Legal Jurisdiction Name)	Maine Department of Public Safety
* Vendor Address 1	104 State House Station
Vendor Address 2	
* Vendor City	Augusta
Vendor County/Parish	
* Vendor State	Maine
* Vendor ZIP	04333-0104
<b>Contact information for matters involving this application</b>	
Contact Prefix:	Director
Contact Prefix (Other):	
Contact First Name:	Roy
Contact Middle Initial:	
Contact Last Name:	McKinney
Contact Suffix:	
Contact Suffix (Other):	
Contact Title:	Director
Contact Address Line 1:	166 State House Station
Contact Address Line 2:	
Contact City:	Augusta
Contact State:	Maine
Contact Zip Code:	04333-0166

Contact Phone Number:	(207) 626-3852
Contact Fax Number:	(207) 284-3042
Contact E-mail Address:	roy.e.mckinney@maine.gov

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<b>*Descriptive Title of Applicant's Project</b>		
Jobs to Improve Maine's Rural Law Enforcement Drug Investigations		
<b>*Areas Affected by Project</b>		
Statewide		
Proposed Project		
	<b>* Start Date</b>	August <input type="text" value="01"/> 2009 <input type="text" value=""/>
	<b>* End Date</b>	July <input type="text" value="30"/> 2011 <input type="text" value=""/>
<b>*Congressional Districts of</b>		
	Project	Congressional District 01, ME Congressional District 02, ME Congressional District 00, ME
<b>*Estimated Funding</b>		
Federal	\$ <input type="text" value="473722"/>	.00
Applicant	\$ <input type="text" value="0"/>	.00
State	\$ <input type="text" value="0"/>	.00
Local	\$ <input type="text" value="0"/>	.00
Other	\$ <input type="text" value="0"/>	.00
Program Income	\$ <input type="text" value="0"/>	.00
<b>TOTAL</b>	\$ <input type="text" value="473722"/>	.00



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This form allows you to upload the Budget Detail Worksheet, Program Narrative and other Program attachments. Click the Attach button to continue.

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<a href="#">Program Narrative Attachment 2.doc</a>	Delete
<a href="#">Budget and Narrative Attachment 3 .doc</a>	Delete
<a href="#">Project Timeline Attachment 4.doc</a>	Delete
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## **ABSTRACT**

### **Jobs to Improve Maine's Rural Law Enforcement Drug Investigations**

The Maine Drug Enforcement Agency proposes to retain and create jobs and improve rural law enforcement investigations (Award Category II) in partnership with the University of Maine. The University will provide technical assistance to the MDEA and to Maine communities focused on two goals: (1) to retain three research jobs at the University and create five part-time jobs within local MDEA-affiliated agencies; and (2) to provide timely and accurate data about drug involvement in Part I crimes to better inform state and local law enforcement investigations. The strategy of this project is to provide to law enforcement enhanced data analyses to reveal the previously unmeasured extent of involvement of drugs and drug trafficking in Maine's Part I crimes, including the related involvement of gangs. These analyses will be integrated with data tracking of drug-related deaths, drug seizures, and with currently-collected UCR data regarding drug possession and trafficking. The MDEA will work with UMaine staff at the Margaret Chase Smith Policy Center, the MDEA Advisory Board, and Maine's rural communities to accomplish the following key deliverables: (1) develop surveys to analyze drug involvement in Part I crimes, 2) produce and disseminate to state and local agencies data reports and hot-spot maps designed for law enforcement use; and (3) measure use of the enhanced data to improve investigations. Capacity improvements from job retention/creation are likely to be sustained due to efficiencies achieved with more effective, data-driven investigations. This project builds on previously funded partnerships with UMaine and an active multijurisdictional drug task force, and hence can begin full operations within approximately eight to ten weeks of receipt of funding, which is the time needed for subaward requirements to be executed with the University and anticipated personnel actions to be accomplished.

## **PROGRAM NARRATIVE**

### **Statement of the Problem**

This proposal responds to the solicitation under Award Category II. Maine has experienced a dramatic rise in drug abuse and drug trafficking, which has resulted in increased threats to public safety. As a result, analytical information about drug-related crime needs to be improved in order to improve law enforcement investigations. Both the volume and the complexity of drug-related crime have increased. Rural areas have found themselves ill-prepared to combat criminal behavior that is (1) often connected to urban or international sources, and (2) which has expanded to include and emphasize pharmaceuticals. It is well-known, but not well-quantified, that prescription drug trafficking and abuse is in turn driving other crimes, including for example robbery, burglary, and assault. But data are lacking with which to inform law enforcement methods and resource allocation.

Maine is a rural state with 41 persons per square mile, according to the 2000 decennial census. Law enforcement across the state involves overlapping local, county, and state jurisdiction. These overlapping agencies struggle to share information and to aggregate and analyze crime data in meaningful ways. Data collected for UCR, which constitute the majority of state and local crime statistics, are limited in their ability to reflect the full impact of drugs on rural crime. Thus investigations are similarly limited by the lack of analytical information linking various types of crimes, locating hot-spots, and seeking collaborations with other jurisdictions. For example, knowledge about multiple related home invasions to steal drugs might be useful in enlarging drug trafficking investigations.

## **Program Design and Implementation**

**Project objectives.** The Maine Drug Enforcement Agency (MDEA) proposes to retain and create jobs (Recovery objective) and improve rural law enforcement investigations (Award Category II) in partnership with the University of Maine, enhancing the MDEA's mission critical services. The University will provide technical assistance to the MDEA and to Maine communities focused on two goals/objectives: (1) to retain three research jobs at the University and create eight part-time jobs within local MDEA-affiliated agencies; and (2) to better inform state and local law enforcement investigations by providing timely and accurate data about drug involvement in Part I crimes. The primary outcome of improving law enforcement drug investigations will be measured by counting the number of investigations in which the enhanced data are used. The strategy of this project is to provide to law enforcement enhanced data analyses to reveal the previously unmeasured extent of involvement of drugs and drug trafficking in Maine's Part I crimes, including the related involvement of gangs.

This project will measure, analyze and map the role of drugs and drug trafficking in Part I crimes against property and persons, as well as the related involvement of gangs by county, by MDEA task force, and statewide. The MDEA will work with UMaine staff at the Margaret Chase Smith Policy Center, the MDEA Advisory Board, and Maine's rural communities to (1) develop surveys to analyze drug involvement in Part 1 crimes, and to (2) produce and disseminate accessible reports and hot-spot maps designed for law enforcement use. The MDEA Advisory Board was established to develop, coordinate and carry out a statewide drug enforcement program and strategy with its membership representing a cross-section of stakeholders in the State's response to the threat posed by drug trafficking and abuse to public health and safety. The analyses will be integrated with data being collected already on drug-

related deaths, drug seizures, and with currently-collected UCR data regarding drug possession and trafficking. The UMaine Margaret Chase Smith Center already analyzes and maps data on drug deaths and seizures, and has access to UCR data collected by the Department of Public Safety. Expanded statistical and spatial data will permit data to inform decisions about resource allocation to combat rural drug-related activity and increase targeted investigations and arrests. The reports and maps will be accessible on the state and UMaine websites.

The objectives involving job retention and creation include: (1) extend the jobs of three full-time equivalent, soft-money positions at UMaine; (2) stimulate creation of at least eight part-time jobs in local law enforcement agencies to collect data and administer surveys. The University jobs are currently in jeopardy due to a lack of full FTE coverage. The other jobs would be created either locally, through the University, or at the Department of Public Safety in order to expand data collection capacity.

During the first quarter of the first project year, the UMaine project teams will consult the MDEA Advisory Board in order to design a survey, developed to query arresting officers in representative MDEA affiliate agencies about their recently completed cases (past 6 months). The survey would focus on whether there was drug involvement in cases resulting in arrests for Part I crimes, as well as selected Part II crimes such as fraud. Officers will each be given a list of their cases that resulted in an arrest and asked about the involvement of drugs as a motivation or target, along with the involvement of gang members in the crime. If drugs are involved, the survey would record the type of involvement and whether the drug involvement would be likely to play a role in the prosecution or in further investigations.

The data about drug involvement will be analyzed by the UMaine team (project director, GIS technician and information systems coordinator) to calculate demographics and location of

the arrestee, frequencies of drug involvement by type of crime, type of drug involvement, and association with gangs or gang members. Spatial data about drug-related arrests will be mapped in GIS. Additional GIS layers of drug-related property crimes will be constructed displaying MDEA and local arrests for drug offenses, as well as the spatially distributed datasets for drug deaths and drug seizures. Rates per 100,000 will be calculated in order to compare counties and drug task force areas. Spatial comparisons of drug-related arrests with arrests for drug offenses will be done by major drug categories and location. UCR arrests will comprise another GIS layer in order to be analyzed for frequencies, separating heroin and cocaine.

Results will be disseminated in a written report and GIS maps posted on the UMaine website and, if desired, the Department of Public Safety website. Results will also be presented to the MDEA Advisory Board, and a set of recommendations will be solicited about monitoring the data in the future, methods to accomplish such monitoring during the second project year, and additional approaches to understand the data.

Eight part-time researchers will be hired toward the end of the first year to implement the recommendations in eight major MDEA task force areas. Another round including a report and mapping will take place in year two. A second survey will measure the use of the report and map data in investigations. Each site will receive a site visit for training and support by the Principle Investigator and UMaine Project Directors to demonstrate utilization of enhanced data, assist with modifications of the local database or data collection vehicle as appropriate, and encourage investigative use of the enhanced data.

## **CAPABILITIES/COMPETENCIES**

The Maine Drug Enforcement Agency (MDEA) is the state's multijurisdictional task force and hence provides needed coordination between jurisdictions concerning drug offenses. It collects data about resulting arrests, which are then submitted for inclusion in the UCR statistics. And it has forged effective working relationships with selected police departments and sheriff offices, covering the state with eight task forces, and executing a large proportion of the drug-related arrests statewide. The MDEA and the UMaine Margaret Chase Smith Policy Center, specifically the Rural Drug and Alcohol Program, have worked together for seven years to track drug abuse statistics. The MDEA Director will serve as PI/PD and work with the UMaine project coordinator, who will direct the research, prepare the reports, and supervise the GIS technician and information systems coordinator. Ultimate employment status of the eight part-time research assistants/data coordinators will depend on the specific town/city involved.

The MDEA will contract with the University of Maine. The State of Maine and University of Maine are experienced in the requirements for management of federal grant funds, including prevention of commingling.

## **BUDGET AND BUDGET NARRATIVE (see Attachment 3)**

## **IMPACT/OUTCOMES, EVALUATION, SUSTAINMENT, AND PLAN FOR DATA COLLECTION REQUIRED FOR PERFORMANCE MEASURES**

### **Participation in NIJ Evaluation**

The MDEA is willing and able to participate in an evaluation managed by the National Institute of Justice. The MDEA is a mature and stable program. It has previously received federal funding and participates regularly in program evaluations. The MDEA currently has its own data management system tracking drug arrests upon which project additional data collection vehicles may be built. The intended subgrantee, the University of Maine's Margaret Chase Smith Center, regularly conducts program evaluations for state and federal grants.

### **Significance of the Program's Impact**

The anticipated impact of the program, demonstrating the involvement of drugs in crimes other than drug offences, is to demonstrate more fully the role of drugs in crime generally, and the extent of the societal problem, particularly in the wake of the growing tide of pharmaceutical abuse in rural areas.

### **Demonstrating Program Effectiveness**

Program effectiveness will be demonstrated by performance measures specified in the RFA as well as outcome measures specifically related to this project. Measureable outcome measures associated with the Recovery include expected positive outcomes for the (1) number of threatened jobs that were retained at the University of Maine's Margaret Chase Smith Center due to this project, (2) the number and type of additional jobs allocated to combating drug related crimes in local police departments and sheriff offices, as well as (3) increases in the number of

drug-related investigations and the number of such investigations that result in arrests for drug offenses. Unduplicated counts of the jobs retained, reinstated, or created during each quarter will be documented by the UMaine project director according to verifiable personnel actions as a simple count, with each job counted only once in the project period. The number of part-time jobs created to gather local data about drug related Part I investigations and arrests will be documented by the UMaine project director with input from the MDEA Director and affiliated agencies. Currently no essential services of the MDEA have been threatened, however the essential service of statewide substance abuse data analysis provided by the UMaine subgrantee to the criminal justice community is threatened, and would be maintained by the collaborative UMaine-MDEA partnership. The UMaine project director will document these essential services and partnership.

Program effectiveness in improving rural law enforcement investigations (Category II) will be demonstrated by outcome measures specifically related to this project (also outlined in Table 1). The availability of timely and accurate data on all drug offenses combined with data on Part I drug-related arrests is expected to contribute to (1) investigative use of analytical data and maps in making investigative decisions, such as the targeting of hot spots, increased collaboration between jurisdictions on particular drug offense cases, or increased use of case information from Part I crimes to investigate drug offenses. Table 1 addresses the performance and outcome measures associated with the Award Category II and the program design. Recovery related performance measures are addressed above.

**Table 1. Category II and Project Outcomes/Performance Measures**

<b>PERFORMANCE MEASURE/OUTCOME MEASURE</b>	<b>DATA TO BE COLLECTED</b>	<b>HOW DATA WILL BE COLLECTED</b>	<b>HOW DATA WILL BE ANALYZED</b>
Category II Objective: To improve or enhance administration and operations of the law enforcement function in rural areas			
Number of new investigations initiated  Percent of investigations that led to arrest or conviction	Total number of investigations initiated  Number investigations initiated that led to arrest or conviction	Tabulated monthly by MDEA and MDEA agency partners and provided to UMaine project manager	Simple count of baseline number of new investigations compared to number that led to arrest or conviction each quarter
Project Objective: Use of new project data in making investigative decisions			
Number of investigations using project data (see examples in narrative) in MDEA or MDEA partner agencies	Number of investigations  Number using enhanced project data	Survey of law enforcement officers who investigate drug offenses	Percent of investigations in which project data were used

**Sustainment**

Capacity improvements and the associated jobs will be sustained partially as a result of demonstrations of the value of data-driven strategies in policing, enhancements of local information management systems, and training of local and state personnel.

**Implementation Commencement Speed**

This project builds on previously funded partnerships with UMaine and an active multijurisdictional drug task force, and hence can begin full operations within approximately eight to ten weeks of receipt of funding, which is the time needed for subaward requirements to be executed with the University and anticipated personnel actions to be accomplished.

### Attachment 3: Budget Worksheet

Complete the budget worksheet, with computations.

(Note: Fields expand in size as data and text is entered.)

#### A. Personnel

Name	Computation	Cost
R. McKinney		\$00

#### B. Fringe Benefits

Name	Computation (Rate)	Cost
R. McKinney		\$00

#### C. Travel

\$ 7,784

Purpose	Location	Item	Computation	Cost
Grantee meeting FY10	Washington DC	Hotel, per diem, plane fare		\$1,500
Grantee meeting FY10	Northeast region	Hotel, per diem, plane fare		\$1,500
Grantee meeting FY11	Washington DC	Hotel, per diem, plane fare		\$1,600
Grantee meeting FY11	Northeast region	Hotel, per diem, plane fare		\$1,600
In-state travel to 8 task forces FY09 & FY10		Mileage 225*.44*8*2		\$1,584
TOTAL				\$7,784

#### D. Equipment

Item	Computation	Cost
NONE		

#### E. Supplies

\$ 250

Supply Item	Computation	Cost
Office supplies	\$50 per year	\$100
Phone	\$75 per year	\$150
TOTAL		\$250



## Budget Summary Page

<b>A. Personnel/Salary Costs</b>	<b><u>\$ 0</u></b>
<b>B. Fringe Benefits</b>	<b><u>\$ 0</u></b>
<b>C. Travel</b>	<b><u>\$ 7,784</u></b>
<b>D. Equipment</b>	<b><u>\$ 0</u></b>
<b>E. Supplies</b>	<b><u>\$ 250</u></b>
<b>F. Construction</b>	<b>Unallowable</b>
<b>G. Consultants/Contracts</b>	<b><u>\$ 465,688</u></b>
<b>H. Other</b>	<b><u>\$ 0</u></b>
<b>I. Indirect Costs</b>	<b><u>\$ 0</u></b>
<b>TOTAL PROJECT COSTS</b>	<b><u>\$ 473,722</u></b>
<b>Federal Request</b>	<b><u>\$ 473,722</u></b>
<b>Applicant Funds, if any, to be applied to this project</b>	<b><u>\$ 0</u></b>

### Budget Narrative:

#### **A. Personnel**

Roy E. McKinney will serve as project director and principle investigator. As the MDEA Director his salary is covered by the State of Maine.

#### **B. Fringe Benefits**

Roy E. McKinney will serve as project director and principle investigator. As the MDEA Director his fringe benefits are covered by the State of Maine.

#### **C. Travel**

##### *DOJ-SPONSERED GRANTEE MEETINGS*

Funding is requested for the project director to travel to up to two (2) Department of Justice-sponsored grant meetings in each of the project years. In each project year, one trip will be to Washington D.C. and the other to a location TBD in the Northeast region. Based upon our research, we estimate out-of-state travel expenses including air fare at \$3,000 to attend both

conferences in year 1 of the project and \$3,200 in year 2. Travel costs may be less depending upon the location selected in the northeast region.

**Total cost of DOJ-Sponsored Grantee Meetings is \$6,200**

#### *IN-STATE*

Funding for in-state travel costs is requested for the project director to travel to all eight task force areas in each of the project years. Travel is necessary to conduct training of the newly hired part-time data employees, and to work with the local agencies to assist how best to integrate the new data collection items into their data collection processes (if that is the recommendation), and also to learn more about how data analyses that is done is being used. Round trips to each of the eight MDEA affiliate agencies at the Maine mileage reimbursement rate of .44 per mile times an average of 225 miles per trip for a total of \$792 in each project year. Based upon our research, we estimate \$792 in each project year at the current allowable mileage rate.

**Total cost of In-State Travel is \$1,584**

#### **D. Equipment**

--None--

#### **E. Supplies**

##### *MINOR OFFICE SUPPLIES*

Funding is requested for the project director's minor office consumables, i.e., paper, printer ink, files etc. Based upon our research, we estimate \$50 for office supplies in each project year.

**Total cost of Minor Office Supplies is \$100**

##### *TELEPHONE*

Funding is requested for the project director's telephone toll costs associated with carrying out this project. Based upon our research, we estimate \$75 for project director's toll costs in each of the 2 years.

**Total cost of Telephone is \$150**

#### **F. Construction**

--None--

#### **G. Consultants/Contracts**

##### *UMAINE'S MARGARET CHASE SMITH POLICY CENTER*

The primary contract will be with the University of Maine's Margaret Chase Smith Policy Center for the Project Director, GIS Technician/Research Assistant and Information Systems Coordinator to accomplish this project as outlined in the abstract section. The UMaine project director is budgeted at 15% for both project years, from a base salary of \$58,111. The GIS technician and research assistant is budgeted at 50% of the base salary of \$42,035 due to the heavy volume of GIS data that will need to be processed. The information systems coordinator is budgeted at 30% of \$41,071 for both project years. The coordinator will process and perform statistical procedures on the survey data and work with the GIS technician to integrate the various data layers. A 3% salary increase is built into the second year.

Funding is included for the updated GIS software (\$450) that will be needed to process the survey data and \$300 for photocopy and map printing costs.

In addition, in-state travel costs are included for the project director to travel to all eight task force areas in each of the project years. Travel is necessary to conduct training of the newly hired part-time data employees, and to work with the local agencies to assist how best to

integrate the new data collection items into their data collection processes (if that is the recommendation), and also to learn more about how data analyses that is done is being used. Round trips to each of the eight MDEA affiliate agencies at the Maine mileage reimbursement rate of .44 per mile times an average of 225 miles per trip for a total of \$792 in each project year.

Funding is included for travel to attend two DOJ-sponsored grant meetings in each of the project years.

Based upon our research, we estimate \$218,238 to accomplish this task.

**Total cost of UMaine Margaret Chase Smith Policy Center contract is \$218,238**

#### *RESEARCH ASSISTANTS*

Eight part-time researchers will be hired through contract either through a local law enforcement agency, Department of Public Safety, or the University of Maine. These positions will be contracted within the first six months to work with state, local law enforcement agencies and each MDEA task force to collect data, administer surveys and implement the recommendations in the eight MDEA task force districts. Based upon our research, we estimate \$123,725 per year to accomplish this task.

**Total cost of Research Assistants contract is \$247,450**

#### **H. Other**

--None--

#### **I. Indirect Costs**

--None--

### Timeline and Position Descriptions

<b>GOAL 1: To retain three research jobs at the University and create eight part-time jobs within local MDEA-affiliated agencies</b>		
<b>RELATED OBJECTIVE</b>	<b>ACTIVITY</b>	<b>EXPECTED COMPLETION DATA &amp; RESPONSIBLE PERSON</b>
Retain three research jobs at the University	Use grant funds to extend project staff positions	End of first quarter of project year (UMaine Project Director is responsible)
Create eight part-time jobs within local MDEA-affiliated agencies	Use grant funds to support hiring and/or extending positions within local agencies	End of first half of first project year (UMaine Project Director is responsible)
Sustain these added jobs	Provide on-site training in additional data collection and use of collected data	End of third quarter of each project year
<b>GOAL 2: to better inform state and local law enforcement investigations by providing timely and accurate data about drug involvement in Part I crimes.</b>		
Demonstrate usefulness of enhanced data gathering model for monitoring drug-related crime	<p>Provide training and support with site visits</p> <p>Explore automation of data collection regarding drug and gang involvement in local information systems</p> <p>Encourage investigative use of data and maps in making decisions (target hot spots, interjurisdictional collaboration)</p>	Two training site visits during the third quarter of each project year (UMaine Project Director and PI are responsible)
Reveal extent of drug involvement in crime	Provide timely and accurate report and maps of data	End of each project year
Increase number of investigations using enhanced project data		(UMaine Project Director is responsible)

## **Position Descriptions**

Principle Investigator and Project Director: Roy McKinney, Director of the Maine Drug Enforcement Agency will oversee the project.

UMaine Project Director: Marcella H. Sorg, PhD, RN, D-ABFA of the Margaret Chase Smith Policy Center will oversee the contract to conduct the research at the University of Maine

- GIS Technician and Research Assistant: Will implement the Geographic Information Systems mapping and assist with database preparation and analysis.
- Information Systems Coordinator: Will coordinate all data storage and preparation and assist with statistical analysis

Research Assistants at MDEA affiliate agencies: TBD, and likely to be employed by local law enforcement agencies. Will coordinate data collection and liaison with project staff.



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To the best of my knowledge and belief, all data in this application/preapplication is true and correct, the document has been duly authorized by the governing body of the applicant and the applicant will comply with the attached assurances if the assistance is awarded.

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Your typed name, in lieu of your signature represents your legal binding acceptance of the terms of this application and your statement of the veracity of the representations made in this application. The document has been duly authorized by the governing body of the applicant and the applicant will comply with the following:

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2. [Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace requirements.](#)

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Prefix (Other):	Commissioner
*First Name:	Anne
Middle Initial:	H
*Last Name:	Jordan
Suffix	Suffix: <input type="checkbox"/>
Suffix (Other):	
*Title:	Commissioner
*Address Line 1:	104 State House Station
Address Line 2:	
*City:	Augusta
County:	
*State:	Maine <input type="checkbox"/>
*Zip Code:	04333 - 0104
*Phone:	207 - 626 - 3810 Ext : _____

Fax:	207 - 287 - 3042
*E-mail:	anne.h.jordan@maine.g
<p><input checked="" type="checkbox"/> I have examined the information provided here regarding the signing authority and certify it is accurate. I am the signing authority, or have been delegated or designated formally as the signing authority by the appropriate authority of official, to provide the information requested throughout this application system on behalf of this jurisdiction. Information regarding the signing authority, or the delegation of such authority, has been placed in a file and is available on-site for immediate review.</p>	

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OMB APPROVAL NUMBER  
1121-0140

EXPIRES 06/30/2009

### STANDARD ASSURANCES

The Applicant hereby assures and certifies compliance with all applicable Federal statutes, regulations, policies, guidelines, and requirements, including OMB Circulars A-21, A-87, A-102, A-110, A-122, A-133; Ex. Order 12372 (intergovernmental review of federal programs); and 28 C.F.R. pts. 66 or 70 (administrative requirements for grants and cooperative agreements). The applicant also specifically assures and certifies that:

1. It has the legal authority to apply for federal assistance and the institutional, managerial, and financial capability (including funds sufficient to pay any required non-federal share of project cost) to ensure proper planning, management, and completion of the project described in this application.
2. It will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
3. It will give the awarding agency or the General Accounting Office, through any authorized representative, access to and the right to examine all paper or electronic records related to the financial assistance.
4. It will comply with all lawful requirements imposed by the awarding agency, specifically including any applicable regulations, such as 28 C.F.R. pts. 18, 22, 23, 30, 35, 38, 42, 61, and 63, and the award term in 2 C.F.R. § 175.15(b).
5. It will assist the awarding agency (if necessary) in assuring compliance with section 106 of the National Historic Preservation Act of 1966 (16 U.S.C. § 470), Ex. Order 11593 (identification and protection of historic properties), the Archeological and Historical Preservation Act of 1974 (16 U.S.C. § 469 a-1 et seq.), and the National Environmental Policy Act of 1969 (42 U.S.C. § 4321).
6. It will comply (and will require any subgrantees or contractors to comply) with any applicable statutorily-imposed nondiscrimination requirements, which may include the Omnibus Crime Control and Safe Streets Act of 1968 (42 U.S.C. § 3789d); the Victims of Crime Act (42 U.S.C. § 10604(e)); The Juvenile Justice and Delinquency Prevention Act of 2002 (42 U.S.C. § 5672(b)); the Civil Rights Act of 1964 (42 U.S.C. § 2000d); the Rehabilitation Act of 1973 (29 U.S.C. § 7 94); the Americans with Disabilities Act of 1990 (42 U.S.C. § 12131-34); the Education Amendments of 1972 (20 U.S.C. §§1681, 1683, 1685-86); and the Age Discrimination Act of 1975 (42 U.S.C. §§ 6101-07); see Ex. Order 13279 (equal protection of the laws for faith-based and community organizations).
7. If a governmental entity:
  - a. it will comply with the requirements of the Uniform Relocation Assistance and Real Property Acquisitions Act of 1970 (42 U.S.C. § 4601 et seq.), which govern the treatment of persons displaced as a result of federal and federally-assisted programs; and
  - b. it will comply with requirements of 5 U.S.C. §§ 1501-08 and §§ 7324-28, which limit certain political activities of State or local government employees whose principal employment is in connection with an activity financed in whole or in part by federal assistance.

Accept

**NOTE: You must click on the "Accept" button at the bottom of the page before closing this window** h1>U.S.

DEPARTMENT OF JUSTICE  
OFFICE OF JUSTICE PROGRAMS  
OFFICE OF THE CHIEF FINANCIAL OFFICER

**CERTIFICATIONS REGARDING LOBBYING; DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS; AND DRUG-FREE WORKPLACE REQUIREMENTS**

Applicants should refer to the regulations cited below to determine the certification to which they are required to attest. Applicants should also review the instructions for certification included in the regulations before completing this form. Acceptance of this form provides for compliance with certification requirements under 28 CFR Part 69, "New Restrictions on Lobbying," 2 CFR Part 2867, "DOJ Implementation of OMB Guidance of Nonprocurement Debarment and Suspension," and 28 CFR Part 83, "Government-wide Debarment and Suspension," and Government-wide Requirements for Drug-Free Workplace (Grants)." The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Justice determines to award the covered transaction, grant, or cooperative agreement.

1. LOBBYING As required by Section 1352, Title 31 of the U.S. Code, and implemented at 28 CFR Part 69, for persons entering into a grant or cooperative agreement over \$100,000, as defined at 28 CFR Part 69, the applicant certifies that:

(a) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any Federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal grant or cooperative agreement;

(b) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form - LLL, "Disclosure of Lobbying Activities," in accordance with its instructions;

(c) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subgrants, contracts under grants and cooperative agreements, and subcontracts) and that all sub-recipients shall certify and disclose accordingly.

2. DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS (DIRECT RECIPIENT)

As required by Executive Order 12549, Debarment and Suspension, and implemented at 2 CFR Part 2867, for prospective participants in primary covered transactions, as defined at 2 CFR Section 2867.20(a):

A. The applicant certifies that it and its principals:

(a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of Federal benefits by a State or Federal court, or voluntarily excluded from covered transactions by any Federal department or agency;

(b) Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and

(d) Have not within a three-year period preceding this application had one or more public transactions (Federal, State, or local) terminated for cause or default.

B. Where the applicant is unable to certify to any of the statements in this certification, he or she shall attach an explanation to this application.

### 3. DRUG-FREE WORKPLACE (GRANTEES OTHER THAN INDIVIDUALS)

As required by the Drug-Free Workplace Act of 1988, and implemented at 28 CFR Part 83, Subpart F, for grantees, as defined at 28 CFR Sections 83.620 and 83.650:

A. The applicant certifies that it will or will continue to provide a drug-free workplace by:

(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

(b) Establishing an on-going drug-free awareness program to inform employees about

(1) The dangers of drug abuse in the workplace;

(2) The grantee's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

(c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);

(d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

(e) Notifying the agency, in writing, within 10 calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to: Department of Justice, Office of Justice Programs, ATTN: Control Desk, 810 7th Street, N.W., Washington, D.C. 20531. Notice shall include the identification number(s) of each affected grant;

(f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

(g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

As the duly authorized representative of the applicant, I hereby certify that the applicant will comply with the above certifications.

Accept

U.S. DEPARTMENT OF JUSTICE  
OFFICE OF JUSTICE PROGRAMS

Recovery Act – Assistance to Rural Law Enforcement to Combat Crime and Drugs  
Program

Certification as to Recovery Act Reporting Requirements

On behalf of the applicant entity named below, I certify the following to the Office of Justice Programs, U.S. Department of Justice:

I have personally read and reviewed the section entitled "Accountability and Transparency under the Recovery Act" in the program announcement for the Recovery Act grant program identified above. I have also read and reviewed section 1512(c) of the American Recovery and Reinvestment Act of 2009 (Public Law 111-5), concerning reporting requirements for grants. I agree that the applicant will comply with the reporting requirements set forth therein with respect to any grant the applicant may receive under the Recovery Act grant program identified above.

I acknowledge that a false statement in this certification may be subject to criminal prosecution, including under 18 U.S.C. § 1001. I also acknowledge that Office of Justice Program grants, including certifications provided in connection with such grants, are subject to review by the Office of Justice Programs, and/or by the Department of Justice's Office of the Inspector General.

I have authority to make this certification on behalf of the applicant entity (that is, the entity applying directly to the Office of Justice Programs).



Signature of Certifying Official

Anne H. Jordan

Printed Name of Certifying Official

Commissioner Maine Dept. of Public Safety

Title of Certifying Official

Maine Dept. of Public Safety

Full Name of Applicant Entity

4/20/09

Date

U.S. DEPARTMENT OF JUSTICE  
OFFICE OF JUSTICE PROGRAMS

Recovery Act – Assistance to Rural Law Enforcement to Combat Crime and Drugs  
Program

General Certification as to Requirements for Receipt of Funds  
for Infrastructure Investments

On behalf of the applicant state or unit of local government (including tribal government) named below, I certify the following to the Office of Justice Programs (“OJP”), U.S. Department of Justice:

I have personally read and reviewed the section entitled “Eligibility” in the program announcement for the Recovery Act grant program named above. I also have personally read and reviewed section 1511 of the American Recovery and Reinvestment Act of 2009 (the “Recovery Act”), which requires a specific certification prior to receipt of Recovery Act funds for infrastructure investments.

*Initial the statement that applies:*

X The applicant identified below **does not intend to use** any portion of any funds received under this Recovery Act grant program for any infrastructure investment. Should this intention change, the applicant will promptly notify OJP, and (except to the extent, if any, that OJP has given prior written approval to expend funds to conduct the review and vetting required by law) will not draw down, obligate, or expend any funds received under this Recovery Act program for any infrastructure investment project until section 1511 of the Recovery Act has been satisfied, and an adequate project-specific certification has been executed, posted, and submitted to OJP.

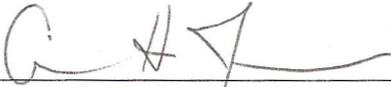
\_\_\_\_\_ The applicant identified below **does intend to use** some or all of any funds received under this Recovery Act grant program for one or more infrastructure investment projects. Except to the extent, if any, that OJP has given prior written approval to expend funds to conduct the review and vetting required by law, I agree that the applicant entity will execute, post, and submit to OJP, prior to obligating, expending, or drawing down funds for such project, a project-specific certification that satisfies all of the requirements of section 1511 (including execution by the Governor, mayor, or other chief executive, as appropriate) for each such infrastructure investment project.

U.S. DEPARTMENT OF JUSTICE  
OFFICE OF JUSTICE PROGRAMS

General Certification as to Requirements for Receipt of Funds  
for Infrastructure Investments

I acknowledge that a false statement in this certification may be subject to criminal prosecution, including under 18 U.S.C. § 1001. I also acknowledge that Office of Justice Program grants, including certifications provided in connection with such grants, are subject to review by the Office of Justice Programs and/or by the Department of Justice's Office of the Inspector General.

I have authority to make this certification on behalf of the applicant (that is, the governmental entity applying directly to the Office of Justice Programs).



Signature of Certifying Official

Anne H Jordan

Printed Name of Certifying Official

Commissioner of Public Safety

Title of Certifying Official

Maine Dept. of Public Safety

Full Name of Applicant Government Entity

4/26/09

Date



**BJA FY 09 Recovery Act Rural Law Enforcement Assistance:  
Combating Rural Crime** 2009-G1946-ME-SD

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<b>APPLICATION FOR FEDERAL ASSISTANCE</b>	<b>2. DATE SUBMITTED</b>	<b>Applicant Identifier</b>	
	<b>1. TYPE OF SUBMISSION</b>  Application Non-Construction	<b>3. DATE RECEIVED BY STATE</b>	<b>State Application Identifier</b>
		<b>4. DATE RECEIVED BY FEDERAL AGENCY</b>	<b>Federal Identifier</b>
<b>5. APPLICANT INFORMATION</b>			
<b>Legal Name</b>  Maine Department of Public Safety		<b>Organizational Unit</b>  Maine Drug Enforcement Agency	
<b>Address</b>  104 State House Station Augusta, Maine 04333-0104		<b>Name and telephone number of the person to be contacted on matters involving this application</b>  McKinney, Roy (207) 626-3852	
<b>6. EMPLOYER IDENTIFICATION NUMBER (EIN)</b>  01-6000020		<b>7. TYPE OF APPLICANT</b>  State	
<b>8. TYPE OF APPLICATION</b>  New		<b>9. NAME OF FEDERAL AGENCY</b>  Bureau of Justice Assistance	
<b>10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE</b>  NUMBER: 16.810 CFDA TITLE: 16.810 - Rural Law Enforcement		<b>11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT</b>  Jobs to Improve Maine's Rural Law Enforcement Drug Investigations	
<b>12. AREAS AFFECTED BY PROJECT</b>  Statewide			
<b>13. PROPOSED PROJECT</b> Start Date: August 01, 2009 End Date: July 30, 2011		<b>14. CONGRESSIONAL DISTRICTS OF</b>  a. Applicant b. Project ME01 ME02	
<b>15. ESTIMATED FUNDING</b>		<b>16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?</b>  Program is not covered by E.O. 12372	
Federal	\$473,722		
Applicant	\$0		
State	\$0		
Local	\$0		
Other	\$0		
Program Income	\$0	<b>17. IS THE APPLICANT</b>	

TOTAL	\$473,722	DELINQUENT ON ANY FEDERAL DEBT? N
<b>18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS REQUIRED.</b>		



**BJA FY 09 Recovery Act Rural Law Enforcement Assistance:  
Combating Rural Crime** 2009-G1946-ME-SD



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**Submit Application**

Your application for the BJA FY 09 Recovery Act Rural Law Enforcement Assistance: Combating Rural Crime has been successfully submitted. You will no longer be able to edit any information submitted. However, you can log in any time to view the application information.

You will be contacted by the Program Office when your application is processed or any other action is required by you.