



Paul R. LePage, Governor

Mary C. Mayhew, Commissioner

## Class Member Treatment Planning Review For the 2nd Quarter of Fiscal Year 2013

(October, November, December 2012)

| Total Plans Reviewed        |   | 2012 Q3<br>51   | 2012 Q4<br>50   | 2013 Q1<br>50   | 2013 Q2<br>55   |
|-----------------------------|---|-----------------|-----------------|-----------------|-----------------|
| <b>I Releases</b>           |   |                 |                 |                 |                 |
| 1A                          | Does the record document that the agency has planned with and educated the consumer regarding releases of information at intake/initial treatment planning process?   | 100.0% 21 of 21 | 100.0% 17 of 17 | 100.0% 13 of 13 | 100.0% 18 of 18 |
| 1B                          | Does the record document that the agency has planned with and educated the consumer regarding releases of information during each treatment plan review?  | 80.4% 41 of 51  | 77.8% 35 of 45  | 77.6% 38 of 49  | 96.2% 51 of 53  |
| 1C                          | Does the record document that the consumer has a primary care physician (PCP)?  | 94.0% 47 of 50  | 94.0% 47 of 50  | 95.8% 46 of 48  | 88.7% 47 of 53  |
| 1D                          | If 1C. is yes, has there been an attempt to obtain releases signed by the consumer for the sharing of information with the PCP?   | 80.9% 38 of 47  | 85.1% 40 of 47  | 82.6% 38 of 46  | 85.1% 40 of 47  |
| <b>II Treatment Plan</b>    |   |                 |                 |                 |                 |
| 2A                          | Does the record document that the domains of housing, financial, social, recreational, transportation, vocational, educational, general health, dental, emotional/psychological, and psychiatric were assessed with the consumer in treatment planning? | 88.2% 45 of 51  | 90.0% 45 of 50  | 95.8% 46 of 48  | 98.2% 54 of 55  |
| 2B                          | Does the record document that the treatment plan goals reflect the strengths of the consumer receiving services?  | 98.0% 50 of 51  | 96.0% 48 of 50  | 96.0% 48 of 50  | 96.4% 53 of 55  |
| 2C                          | Does the record document that the treatment plan goals reflect the barriers of the consumer receiving services?   | 98.0% 50 of 51  | 95.7% 45 of 47  | 95.9% 47 of 49  | 98.2% 54 of 55  |
| 2D                          | Does the record document that the individual's potential need for crisis intervention and resolution services was considered with the consumer during treatment planning?   | 94.1% 48 of 51  | 96.0% 48 of 50  | 98.0% 48 of 49  | 100.0% 55 of 55 |
| 2E                          | Does the record document that the consumer has a crisis plan?   | 43.1% 22 of 51  | 51.1% 23 of 45  | 72.9% 35 of 48  | 92.3% 48 of 52  |
| 2F                          | If 2E. is no, is the reason documented?   | 100.0% 29 of 29 | 100.0% 22 of 22 | 100.0% 13 of 13 | 100.0% 4 of 4   |
| 2G                          | If 2E. is yes, has the crisis plan been reviewed as required every three months?  | 50.0% 11 of 22  | 69.6% 16 of 23  | 85.7% 30 of 35  | 89.6% 43 of 48  |
| 2H                          | If 2E. is yes, has the crisis plan been reviewed as required subsequent to a psychiatric crisis?  | 133.3% 4 of 3   | N/A 4 of 0      | 54.5% 6 of 11   | 100.0% 4 of 4   |
| 2I                          | Does the record document that the consumer has a mental health advance directive?   | 2.0% 1 of 51    | 7.7% 3 of 39    | 12.2% 6 of 49   | 12.7% 7 of 55   |
| 2J                          | If 2I. is yes, has the advance directive been reviewed at least annually by the CSW and consumer?   | 0.0% 0 of 1     | 33.3% 1 of 3    | 50.0% 3 of 6    | 0.0% 0 of 7     |
| 2K                          | If 2I. is no, is the reason why documented?   | 100.0% 50 of 50 | 100.0% 36 of 36 | 100.0% 43 of 43 | 100.0% 48 of 48 |
| <b>III Needed Resources</b> |   |                 |                 |                 |                 |
| 3A                          | Does the record document that natural supports (family/friends) are being accessed as a resource?   | 94.1% 48 of 51  | 92.0% 46 of 50  | 100.0% 11 of 11 | N/A 0 of 0      |
| 3B                          | If 3A. is no, has the worker discussed with the consumer the consideration of natural supports as a resource?   | 100.0% 3 of 3   | 100.0% 4 of 4   | N/A 0 of 0      | N/A 0 of 0      |
| 3C                          | Does the record document that generic resources (those resources that anyone can access) are being accessed?  | 96.1% 49 of 51  | 100.0% 50 of 50 | 91.7% 11 of 12  | 100.0% 2 of 2   |

|                              |  |                 |                 |                 |                 |
|------------------------------|--|-----------------|-----------------|-----------------|-----------------|
| 3D                           | If 3C. is no, has the worker discussed with the consumer the consideration of generic resources as a resource?                 | 0.0% 0 of 2     | N/A 0 of 0      | 0.0% 0 of 1     | N/A 0 of 0      |
| 3E                           | Does the record document a resource need that has not been provided according to/within the expected response time?            | 13.7% 7 of 51   | 15.4% 6 of 39   | 26.7% 4 of 15   | 80.0% 8 of 10   |
| 3F                           | Does the treatment plan reflect interim planning?  | 57.1% 4 of 7    | 100.0% 6 of 6   | 100.0% 4 of 4   | 100.0% 8 of 8   |
| 3G                           | Does the record document that the treatment team reconvened after the unmet need was identified?                               | 57.1% 4 of 7    | 100.0% 6 of 6   | 25.0% 1 of 4    | 0.0% 0 of 8     |
| <b>IV Service Agreements</b> |  |                 |                 |                 |                 |
| 4A                           | Does the record document that service agreements are required for this plan? (see paragraph 69 protocol for definitions)       | 49.0% 25 of 51  | 34.9% 15 of 43  | 65.3% 32 of 49  | 34.5% 19 of 55  |
| 4B                           | If 4A. is yes, have service agreements been acquired?  | 80.0% 20 of 25  | 40.0% 6 of 15   | 65.6% 21 of 32  | 73.7% 14 of 19  |
| 4C                           | If 4A. is yes, are the service agreements current?   | 76.0% 19 of 25  | 33.3% 5 of 15   | 59.4% 19 of 32  | 73.7% 14 of 19  |
| <b>V Vocational Services</b> |  |                 |                 |                 |                 |
| 5A                           | Does the record document that the vocational domain is addressed with the consumer on their initial/annual assessments?        | 87.5% 42 of 48  | 90.0% 45 of 50  | 100.0% 50 of 50 | 98.2% 54 of 55  |
| 5B                           | Does the record document that the vocational domain is being addressed with the consumer at each 90 day treatment plan review? | 92.0% 46 of 50  | 85.4% 41 of 48  | 94.0% 47 of 50  | 98.2% 54 of 55  |
| <b>VI Comments</b>           |  |                 |                 |                 |                 |
| 6A                           | Plan of correction requested?  | 43.1% 22 of 51  | 42.0% 21 of 50  | 32.0% 16 of 50  | 27.3% 15 of 55  |
| 6A.1.                        | Plan of correction for section 2A. (required when not all domains assessed) included?  | 100.0% 6 of 6   | 100.0% 5 of 5   | 0.0% 0 of 2     | 0.0% 0 of 1     |
| 6C                           | Plan of correction received?   | 100.0% 22 of 22 | 95.2% 20 of 21  | 68.8% 11 of 16  | 66.7% 10 of 15  |
| 6D                           | Were corrections made to the satisfaction of the CDC?  | 100.0% 22 of 22 | 100.0% 20 of 20 | 100.0% 11 of 11 | 100.0% 10 of 10 |

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