**Department of Health & Human Services, Office of Adult Mental Health Services**
**Bates v. DHHS Consent Decree**
**October, November, December 2010: 2nd Quarter, SFY 2011**
**CONSENT DECREE REPORT**

**SUMMARY**

*The DHHS Office of Adult Mental Health Services is required to report to the Court quarterly regarding compliance and progress toward meeting specific standards as delineated in the Bates v. DHHS Consent Decree Settlement Agreement, the Consent Decree Plan of October 2006, and the Compliance Standards approved October 29, 2007. The following documents are submitted as the Quarterly Progress Report for the second quarter of state fiscal year 2011, covering the period from October through December 2010. Each document title is linked to the PDF version of the document on the OAMHS website. Links to the Word (or Excel) versions are also listed.*

| | DOCUMENT | DESCRIPTION |
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| 1 | **Cover Letter, Quarterly Report** *February 1, 2011* <br><br> Microsoft Word or Adobe PDF | Letter to Dan Wathen, Court Master, submitting the Quarterly Report pursuant to paragraph 280 of the Settlement Agreement for the quarter ending December 31, 2010. |
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| 2 | **Second Quarter Fiscal Year 2011 Report on Compliance Plan Standards: Community February 1, 2011** <br><br> Microsoft Word or Adobe PDF | Lists and updates the information pertaining to standards approved in October 2007 for evaluating and measuring DHHS compliance with the terms and principles of the Settlement Agreement. |
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| 3 | **Performance and Quality Improvement Standards** *January 2011* <br><br> Adobe PDF | Details the status of the Department's compliance with 34 specific performance and quality improvement standards (many are multi-part) required by the Consent Decree October 2006 Plan for this reporting quarter. Reporting includes the baseline, current level, performance standard, and compliance standard for each, including graphs. |
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| 4 | **Public Education – Standard 34** *October - December 2010* <br><br> Excel Version or Adobe PDF | Amplifies Standard 34 of the Performance and Quality Improvement Standards above, detailing the mental health workshops, forums, and presentations made, including levels of participation |
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| 5 | **Performance Quality and Improvement Standards, Appendix: Adult Mental Health Data Sources** *January 2011* <br><br> Microsoft Word or Adobe PDF | Lists and describes of all the data sources used for measuring and reporting the Department's compliance on the Performance and Quality Improvement Standards. |
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| 6 | **Cover: Unmet Needs January 2011** <br><br> Microsoft Word or Adobe PDF | Provides a brief introduction to the unmet needs report as well as some definitions of the data, initial findings and next steps. Also includes needs data from other sources such as the APS Healthcare Contact for Service Notification Process. |
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| 7 **Unmet Needs by CSN for FY11 Q1 (July, August, September 2010)**<br><br>Adobe PDF | Quarterly report drawn from the OAMHS Enterprise Information System (EIS) by CSN (based on client zip code), from resource need data entered by community support case managers (CI, ACT, CRS and ICM) concerning consumers (class members and non-class members) who indicate a need for a resource that is not immediately available. Providers are required to enter the information electronically upon enrollment of a client in Community Support Services and update the information from their clients' Individual Service Plans (ISPs) every 90 days via an RDS (Resource Data Summary) entered as a component of prior authorization and continuing stay requests made to APS Healthcare via their online system, CareConnections. |
| 8 **BRAP Waitlist Monitoring Report, Quarter 2 FY2011**<br><br>Microsoft Word or Adobe PDF | Describes status of the DHHS Bridging Rental Assistance Program's (BRAP) waitlist, focusing on the numbers served over time by priority status. |
| 9 **Class Member Treatment Planning Review for the 2$^{nd}$ Quarter of Fiscal Year 2011**<br><br>Adobe PDF | Aggregate report of document reviews completed on a random sample of class member ISPs by Consent Decree Coordinators following a standardized protocol. |
| 10 **Community Hospital Utilization Review for the 1$^{st}$ Quarter of Fiscal Year 2011: Class Members**<br><br>Adobe PDF | Aggregate report of Utilization Review (UR) of all persons admitted into emergency involuntary, community hospital based beds funded by the Department of Health and Human Services and MaineCare. UR data is reported one quarter behind to allow sufficient time for reviews and data entry to be completed. |
| 11 **Community Hospital Utilization Review Performance Standard 18-1, 2, 3 by Hospital: Class Members for the 2$^{nd}$ Quarter Fiscal Year 2011**<br><br>Adobe PDF | Report drawn from UR data that details, by hospital, the percentage of ISPs obtained, ISPs consistent with the hospital treatment and discharge plan and case manager involvement in hospital treatment and discharge planning. UR data is reported one quarter behind to allow sufficient time for reviews and data entry to be completed. |
| 12 **DHHS Quarterly Crisis Report: 1$^{st}$ Quarter, Fiscal Year 2011**<br><br>Adobe PDF | Aggregate quarterly report of crisis data submitted by crisis providers to the Office of Quality Improvement on a monthly basis. |
| 13 **Riverview Psychiatric Center Performance Improvement Report** *October - December 2010*<br><br>Microsoft Word or Adobe PDF | Reports on Riverview's compliance with specific indicators re: performance and quality, recording findings, problem, status, and actions for the specified quarter. |
| 14 ***Bates v. DHHS* Consent Decree Paragraph 27 Bi-annual Report:** | Semi-annual report of all complaints and grievances appealed to the Superintendents of Riverview Psychiatric Center and Dorothea Dix |

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| **Grievance Filings January 1, 2010 – June 30, 2010**<br><br>Microsoft Word or Adobe PDF | Psychiatric Center, the Director of OAMHS and the Commissioner. The report summarizes the issues raised, findings made and remedial actions taken. |
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| 15    **Location Effort Report: Quarters 1 and 2 FY11**<br><br>Microsoft Word or Adobe PDF | Report detailing DHHS/OAMHS efforts to maintain current, accurate addresses for *Bates v. DHHS* class members. |