

Department of Health & Human Services, Office of Adult Mental Health Services
 Bates v. DHHS Consent Decree
 July, August, September 2012 : 1st Quarter, SFY 2013
[CONSENT DECREE REPORT](#)

SUMMARY

The DHHS Office of Adult Mental Health Services is required to report to the Court quarterly regarding compliance and progress toward meeting specific standards as delineated in the Bates v. DHHS Consent Decree Settlement Agreement, the Consent Decree Plan of October 2006, and the Compliance Standards approved October 29, 2007. The following documents are submitted as the Quarterly Progress Report for the second quarter of state fiscal year 2012, covering the period from October through December 2011. Each document title is linked to the PDF version of the document on the [Adult Mental Health Services](#) website. Links to the Word (or Excel) versions are also listed.

| DOCUMENT | DESCRIPTION |
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| 1 Cover Letter, Quarterly Report Section 1 & 1A <i>November 1, 2012</i> Microsoft Word or Adobe PDF | Letter to Dan Wathen, Court Master, submitting the Quarterly Report pursuant to paragraph 280 of the Settlement Agreement for the quarter ending September 30, 2012. |
| 2 First Quarter Fiscal Year 2013 Report on Compliance Plan Standards: Community Section 2 <i>November 1, 2012</i> Microsoft Word or Adobe PDF | Lists and updates the information pertaining to standards approved in October 2007 for evaluating and measuring DHHS compliance with the terms and principles of the Settlement Agreement. |
| 3 Performance and Quality Improvement Standards Section 3 <i>November 2012</i> Adobe PDF | Details the status of the Department's compliance with 34 specific performance and quality improvement standards (many are multi-part) required by the Consent Decree October 2006 Plan for this reporting quarter. Reporting includes the baseline, current level, performance standard, and compliance standard for each, including graphs. |
| 4 Public Education – Standard 34.1 Section 4 <i>July- September 2012</i> Microsoft Excel or Adobe PDF | Amplifies Standard 34.1 of the Performance and Quality Improvement Standards above, detailing the mental health workshops, forums, and presentations made, including levels of participation |
| 5 Performance Quality and Improvement Standards, Appendix: Adult Mental Health Data Sources Section 5 <i>November 2012</i> Microsoft Word or Adobe PDF | Lists and describes all of the data sources used for measuring and reporting the Department's compliance on the Performance and Quality Improvement Standards. |
| 6 Cover: Unmet Needs November | Provides a brief introduction to the unmet needs report as well as |

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| | 2012 Section 6 Microsoft Word or Adobe PDF | some definitions of the data, initial findings and next steps. Also includes needs data from other sources such as the APS Healthcare Contact for Service Notification Process. |
| 7 | Unmet Needs by CSN for FY12 Q4 (April - June 2012) Section 7 - Adobe PDF Section 7A - Adobe PDF | Quarterly report drawn from the Enterprise Information System (EIS) by CSN (based on client zip code), from resource need data entered by community support case managers (CI, ACT, CRS and ICM) concerning consumers (class members and non-class members) who indicate a need for a resource that is not immediately available. Providers are required to enter the information electronically upon enrollment of a client in Community Support Services and update the information from their clients' Individual Service Plans (ISPs) every 90 days via an RDS (Resource Data Summary) entered as a component of prior authorization and continuing stay requests made to APS Healthcare via their online system, CareConnections. |
| 8 | BRAP Waitlist Monitoring Report, Quarter 1 FY2013 Section 8 Microsoft Word or Adobe PDF | Describes status of the DHHS Bridging Rental Assistance Program's (BRAP) waitlist, focusing on the numbers served over time by priority status. |
| 9 | Class Member Treatment Planning Review for the 1st Quarter of Fiscal Year 2013 Section 9 Adobe PDF | Aggregate report of document reviews completed on a random sample of class member ISPs by Consent Decree Coordinators following a standardized protocol. |
| 10 | Community Hospital Utilization Review for the 4th Quarter of Fiscal Year 2012: Class Members Section 10 Adobe PDF | Aggregate report of Utilization Review (UR) of all persons with MaineCare or without insurance coverage admitted into emergency involuntary, community hospital based beds. UR data is reported one quarter behind to allow sufficient time for reviews and data entry to be completed. |
| 11 | Community Hospital Utilization Review Performance Standard 18-1, 2, 3 by Hospital: Class Members for the 4th Quarter Fiscal Year 2012 Section 11 Adobe PDF | Report drawn from UR data that details, by hospital, the percentage of ISPs obtained, ISPs consistent with the hospital treatment and discharge plan, and case manager involvement in hospital treatment and discharge planning. UR data is reported one quarter behind to allow sufficient time for reviews and data entry to be completed. |
| 12 | DHHS Integrated Child/Adult Quarterly Crisis Report: 4th Quarter, Fiscal Year 2012 Section 12 Microsoft Excel or Adobe PDF | Aggregate quarterly report of crisis data submitted by crisis providers to the Office of Quality Improvement on a monthly basis. |

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| <p>13 Riverview Psychiatric Center Performance Improvement Report <i>July- September 2012</i> Section 13</p> <p>Microsoft Word or Adobe PDF</p> | <p>Reports on Riverview's compliance with specific indicators re: performance and quality; recording findings, problem, status, and actions for the specified quarter.</p> |
| <p>14 APS Healthcare Reports</p> <p>A. Members on MaineCare Waitlist for Community Integration- April thru June 2012 (Adobe PDF)</p> <p>B. Members on General Funds Waitlist for Community Integration April-June 2012 (Adobe PDF)</p> | <p>A & B: For members on the Community Integration waitlist who were authorized for this service, how long they waited. These reports count the number of days from the date the CFSN was opened to the date the service was authorized. The reports are run 2 quarters ago so nearly everyone who was entered on the waitlist will have started the service.</p> |
| <p>15 Consent Decree Compliance Standards IV.23 and IV.43</p> <p>Adobe PDF</p> | <p>Yearly report that documents the percentage differences of unmet needs for residential services and unmet needs for mental health treatment between Class and Non Class Members.</p> |