



*Department of Health  
and Human Services*

*Maine People Living  
Safe, Healthy and Productive Lives*

# **HIPAA Version 5010 Update for PAG/TAG**

**November 17, 2011**



# Agenda



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# Status of HIPAA Version 5010 Transition

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- MaineCare is targeted to begin the HIPAA Version 5010 upgrade on 12/08 (12:01 a.m.) and be back online Monday 12/12.
- Member eligibility will be available during the cutover period; however, it will be static and based on the most current file available prior to the upgrade.
- If an emergency or urgent prior authorization (PA) is needed during the cutover period, the request can be faxed to 1-866-598-3963.

## Status of HIPAA Version 5010 Transition *(continued)*

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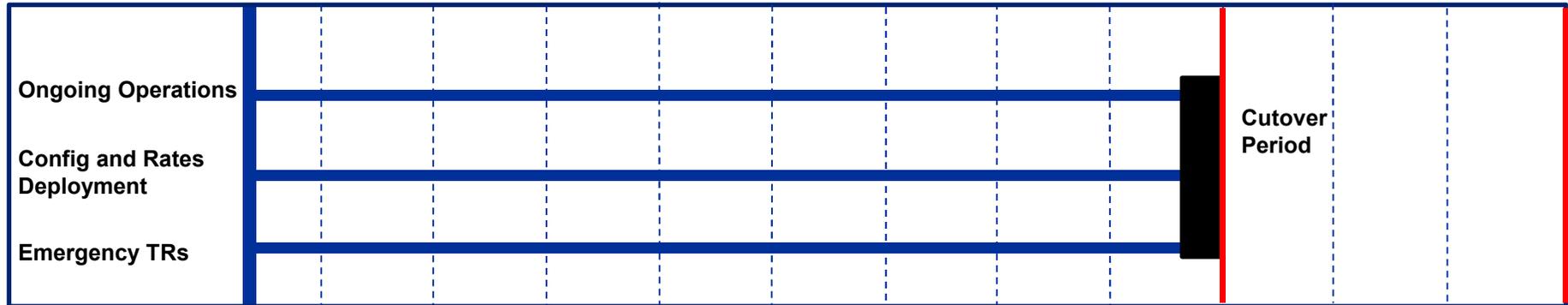


- The portal will display a custom maintenance message that all portal functionality is unavailable.
- EFT transfer will not be affected; however electronic remits will not be available until after the upgrade and there is no anticipated effect on paper remits or checks.
- Regular listserv updates will be sent between now and the upgrade to HIPAA Version 5010.

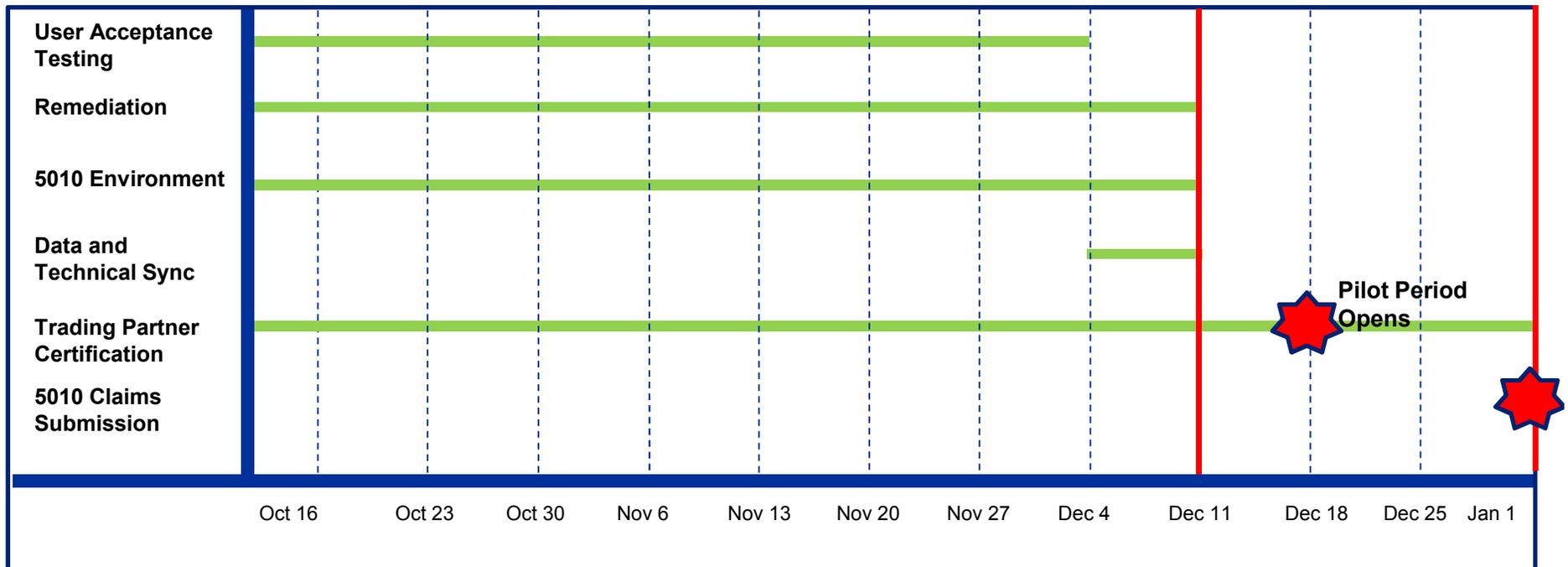
# Timeline and Key Activities



## Current environment



## New environment



# Key Points



*The table below details how 4010 and 5010 transactions will be handled during the dual processing period*

837 Submission	Confirmation Response (Automated)	835 Response (Self-Select)
4010 Submission	997 (4010 Acknowledgment)	4010 formatted RA
5010 Submission	999 (5010 Acknowledgement)	<b>Did not check box:</b> 4010 formatted RA
		<b>Checked box:</b> 5010 formatted RA

- When trading partners submit 4010 or 5010 transactions, the confirmation response will align with the type of transaction submitted, i.e., a 997 acknowledgement for 4010 transactions and a 999 acknowledgement for 5010 transactions.

## Key Points *(continued)*

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- MIHMS will not accept HIPAA Version 5010 transactions before January 1, 2012. If a trading partner attempts to submit a 5010 transaction before January 1, 2012, an error message will be returned.
- If a trading partner submits a HIPAA Version 5010 transaction during the “dual processing” period, a 4010 835 will automatically be generated. In order to receive a 5010 835, trading partners need to update their account preferences and self-select to receive the 5010 835.
- After the dual processing period ends (March 31, 2012), all trading partners will be switched over to 5010 835's.

# 5010 Communication Activities



- Day of Testing – *November 18th*
  - Promote awareness of HIPAA Version 5010 testing requirements
  - Provide online forum for live question and answer
    - Sessions: 10:00 a.m. to 11:00 a.m. and 1:00 p.m. to 2:00 p.m.
    - Go to <http://stateofmaine.adobeconnect.com/testing5010/>
    - Click “*Enter as Guest*” and enter your first and last name to sign in
- Launching Stakeholder Communications Team
  - Review messages to trading partners related to HIPAA Version 5010 and cutover activities (e.g., system availability)
  - Transition into supporting ICD-10 communications
- New FAQ document to be posted on the [HIPAA Version 5010 & ICD-10](#) website

**Frequently Asked Questions About:**

**HIPAA Version 5010 Electronic Data Interchange (EDI) Transactions – *Testing***

# Dual Processing Summary

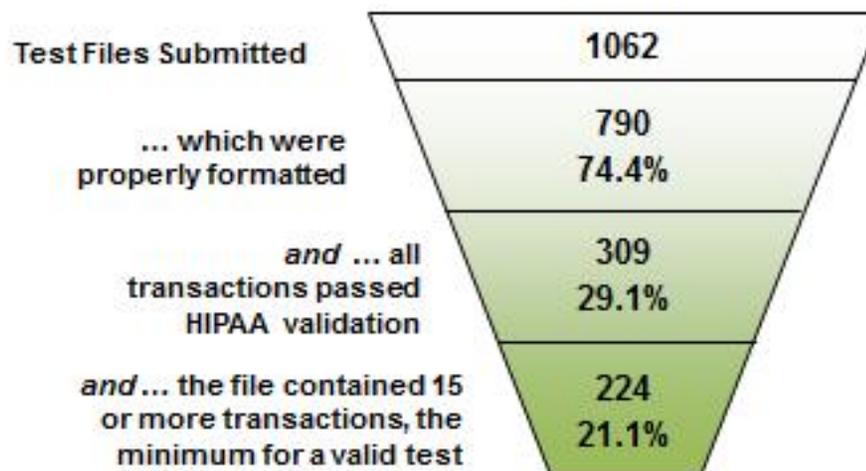
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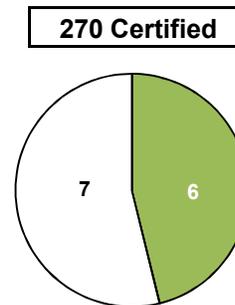
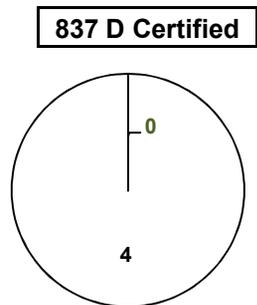
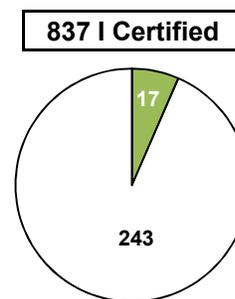
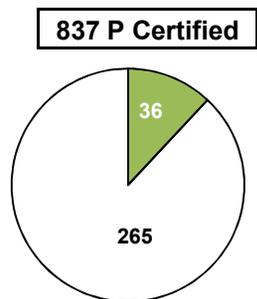
- On January 1, 2012, MaineCare will be ready to accept HIPAA Version 5010 electronic transactions.
- MaineCare has made the decision to continue to accept HIPAA Version 4010 transactions for a limited period, until March 31, 2012.
- After March 31, 2012, any transaction submitted in the 4010 format will be rejected.
- If you are a trading partner that is unable to submit 5010 transactions after March 31, 2012, your claims submission will need to be via Direct Data Entry (DDE) or through paper claims.

# HIPAA Version 5010 Certification Progress

- Only 23% of 4010 certified trading partners have started testing.
- 36% of all the test files submitted contain less than 15 transactions, which is the minimum requirement for a valid test.



## Number of Certifications by Transaction Type



# Details for HIPAA Version 5010 Pilot Testing

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- Pilot testing will start no earlier than December 19, which is one week following the cutover to QNXT 4.8 for HIPAA Version 5010.
- Pilot testing is limited to those trading partners who submit electronically. This includes the submission of 837 institutional, professional and dental claims, as well as 270 eligibility inquiry transactions.
- 33 trading partners expressed interest in participating in the pilot, of which ten have become HIPAA Version 5010 certified.
- The objective for pilot testing is to have trading partners validate that transactions submitted in HIPAA Version 5010 process and adjudicate the same as a HIPAA Version 4010 transaction.

# Pilot Testing Methodology

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- Pilot testing consists of three phases:
  - The first phase is the data collection phase where trading partners submit electronic 5010 transactions to test UAT environment which is accessible via a public website.
  - The second phase is the adjudication period where the Molina EDI team will process the files submitted by the trading partners.
  - The third phase is the review period where trading partners will review the 835 or Remittance Advice (RA) they received as a result of submitting test files.
- Pilot testers for HIPAA Version 5010 will be asked to select a previous claim file that was submitted, adjudicated, and paid successfully in MIHMS and convert it to the HIPAA Version 5010 format.

# Testing Tools

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*There are several resources available to prepare and assist trading partners during the testing process*

- **HIPAA Version 5010 Companion Guides:** The companion guides provide instructions and guidance on transacting with MaineCare under the new HIPAA Version 5010 standards.
- **EDI X12 Batch File Testing Instructions:** The step-by-step instructions show trading partners how to navigate the MIHMS online portal and upload test files to the system.
- **MaineCare 5010/ICD-10 Website:** The website includes background information on HIPAA Version 5010, frequently asked questions, and an inquiry form to submit questions and comments.
- **Molina EDI Help Desk:** Questions about 5010 standards and testing can be directed to the EDI help desk at [mainecaresupport@molinahealthcare.com](mailto:mainecaresupport@molinahealthcare.com) or by calling 1-866-690-5585 and selecting option 3.

# Summary

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- Increased provider outreach and communication is necessary to build awareness and encourage trading partners to become HIPAA Version 5010 certified before January 1, 2012.
- Have suggestions, ideas, or recommendations for the OMS team? If so, please send them to Matt Galletta at [Matt.W.Galletta@maine.gov](mailto:Matt.W.Galletta@maine.gov).
- Time is running out! If you haven't started testing, now is the time!