



*Department of Health
and Human Services*

*Maine People Living
Safe, Healthy and Productive Lives*

DHHS 5010 Update for PAG/TAG

July 21, 2010

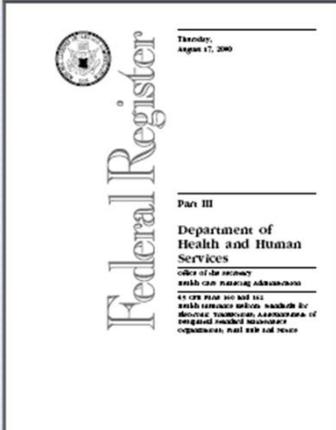


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Transaction Changes in Brief

The federal government is driving the health care industry to upgrade HIPAA transactions, including standards for institutional, professional, dental, and retail pharmacy transactions.

What	Change	When	Driver
<p>5010 (ASC X12 Version 5010)</p> <p>X12 5010 is a uniform standard for exchanging health care transactions via electronic data interchange (EDI)</p>	<p>Updates the X12 4010 electronic transaction standards adopted in 2000 and supports ICD-10 code sets</p>	<p>January 1, 2012</p>	<p>Federal Mandate</p> 
<p>NCPDP D.0 / 1.2 (NCPDP Telecommunications Standard D.0 / Batch Standard 1.2)</p> <p>The NCPDP standard is the uniform standard adopted for retail pharmacy transactions</p>	<p>Revises electronic standards for retail pharmacies from NCPDP 5.1 / 1.1 and implements a new Medicaid subrogation transaction</p>	<p>D.0 / 1.2 – January 1, 2012</p> <p>Medicaid Pharmacy Subrogation – January 1, 2012*</p>	

Drivers for the 5010 Transition

A number of factors are driving the transition from version 4010 to version 5010. The changes fall into four general categories and impact all HIPAA transactions.

4010 Weaknesses

ICD-10 not Accommodated

ICD-10 diagnosis and procedure codes are not supported by the 4010 data structure.

Data Limitations

Limited ability to transmit detailed information like Coordination of Benefits (COB), eligibility, and conditions such as "Present on Admission" (POA).

Data Redundancy

Data repeated within multiple loops of transactions creates confusion and requires additional effort to complete and review transactions.

Ambiguity in Guidance

Technical report layouts differ by transaction, and there are ambiguous instructions about required elements.

5010 Enhancements

ICD-10 Support

- *Increases data element lengths to accommodate ICD-10 data.*
- *Indicates when ICD-10 data is used in the transaction.*

Increased Data Accuracy

- *Provides additional data when returning member eligibility response, as well as POA and COB information to improve claim accuracy.*

Data Redundancy Removed

- *Streamlines the data collected and transmitted on transactions.*
- *Removes illogical and excessive loop and segment repeat counts.*

Guide Clarifications

- *Adds necessary information to transactions.*
- *Improves consistency across transactions.*

MaineCare's 5010 Implementation Approach

- MaineCare and its fiscal agent Molina are taking an “as-is” approach to conversion, which means no new data elements are being added to the claims processing set.
- The following transactions are being updated from version 4010 to 5010:

Transaction	Description
270/271 – Eligibility Inquiry and Response	• Health Care Eligibility Benefit Inquiry and Response
276/277 – Claim Status Inquiry	• Health Care Claim Status Request and Response
278 – Referrals/Pre-Certification	• Health Care Services Request for Review and Response; Health Care Services Notification and Acknowledgment
835 – Remittance	• Health Care Claim Payment/Advice
837 – Claim Submission (I,P,D)	• Health Care Claim (Professional, Institutional, and Dental), including coordination of benefits (COB)

- This transaction update requires a MIHMS upgrade (QNXT v. 4.6 to v. 4.8).
- The upgrade supports the acceptance of 4010 and 5010 transactions during a limited transition period and 5010 on an ongoing basis.

MaineCare's 5010 Implementation Approach *(continued)*



The table below outlines how 4010 and 5010 transactions will be handled during the transition period to the new standards.

837 Submission	Confirmation Response	835 Response
4010 Submission	997 (4010 Response)	4010 formatted RA
5010 Submission	999 (5010 Response)	5010 formatted RA
4010 Submission 5010 Submission	997 Response 999 Response	5010 formatted RA

- Providers/Submitter can submit separate 4010 and 5010 files. The response will be appropriate to the submission format (997 for 4010 and 999 for 5010).
- Provider/Submitter will only receive a 4010 or a 5010 return. They will not receive both.

MaineCare's 5010 Testing Approach

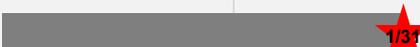
MaineCare's 5010 testing approach includes several types of testing with provider communication throughout the process.

- Support online portal for 5010 certification testing.
- Conduct User Acceptance Testing (UAT) of QNXT upgrade and application remediation.
- Conduct provider pilot testing for 5010 transactions.
- Conduct trading partner testing.
- Achieve 5010 transaction acceptance.



Provider Communications

Pilot Provider and Clearinghouse Testing Timeline

Activity	Dates	7/1/11—8/31/11	9/1/11—10/31/11	11/1/11—12/31/11	1/1/12—2/28/12
Develop communication for testing					
Review communication and pilot providers / trading partners list					
Provide communications to pilot providers / trading partners					
Support online portal for 5010 certification					
Conduct User Acceptance Testing					
Conduct pilot provider testing with 5010 transactions					
Review and approve results					
Conduct trading partner testing					
Achieve 5010 readiness					

 Not Started

 Underway

 Behind

 Completed

 Milestone

Portal Testing

- Providers can log into the portal using the user name and password created when obtaining a Trading Partner Agreement.
- Submission of test transaction set to self-service portal will certify 5010 HIPAA-compliant transaction set.
- Molina will provide a testing guide to assist providers for testing syntax and file format.
- Portal scheduled to be available at the end of July.



Submission File Name	Submission Date	ICN	Usage Indicator	TA1	997	999	024	BRR	Action
5010_PROV_837P.tbt-387793	7/13/2011 9:22:47 AM	122881599	T	11953565	11953566	11953567			Download All...
5010_PROV_837P.tbt-387791	7/13/2011 9:21:31 AM	122881599	T	11953563					Download All...
4010_PROV_837P_PROD.tbt-387371	7/13/2011 9:11:33 AM	122889799	P	11953560	11953561				Download All...
4010_PROV_837P_PROD.tbt-387369	7/13/2011 9:10:38 AM	122889699	P	11953552					Download All...
4010_PROV_837P_PROD.tbt-387367	7/13/2011 9:10:38 AM	122889699	P	11953555	11953558				Download All...
4010_Prov_837P_2.tbt-385851	7/11/2011 11:21:05 AM	122889601	T	11953272	11953274				Download All...
4010_Prov_837P_1.tbt-385837	7/11/2011 11:19:10 AM	122889600	T	11953270	11953271				Download All...
4010_Prov_837P.tbt-385825	7/11/2011 11:15:29 AM	122889599	T	11953267	11953268				Download All...

Companion Guides

- Draft companion guides on schedule for review by end of July.
- Provider volunteers are needed to review and comment on the companion guides.
- State and provider reviews of companion guides will run in parallel.
- Providers who volunteer will have a set period of time to review and comment.

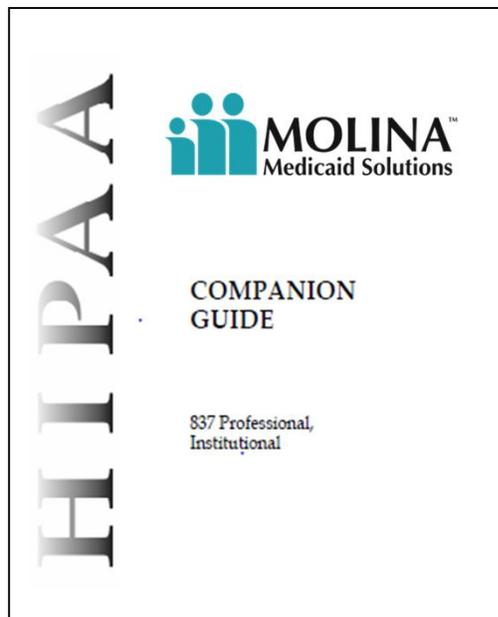


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Sample Content

Summary

- Activities for achieving 5010 compliance are well underway.
- Companion guides are in final review and will be ready for provider review and comment by the end of July.
- Portal testing for 5010 transaction sets will begin at the end of July.
- Provider pilot testing scheduled to begin on 10/31.
- 5010 trading partner testing scheduled to begin on 11/15.