ICD-10 Update for Dental Advisory Committee
Presented by: Gary Bell, MaineCare Services

June 17, 2015
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ICD-10 Background
What is ICD-10?

The International Classification of Diseases (ICD) is a set of codes used to classify medical diagnoses and inpatient procedures. ICD codes are used for patient health records, provider reimbursement, and public health reporting. ICD-10 replaces the ICD-9 codes sets that are currently used in the United States, and includes updated medical terminology and classification of diseases. The World Health Organization (WHO) is responsible for managing and publishing revisions to the ICD Code set.

ICD-10 consists of two types of codes:

- ICD-10-CM for diagnosis coding in all health care settings
- ICD-10-PCS for inpatient procedure coding in hospital settings

ICD-10 Around the World:

- More than 25 countries, including Canada, the United Kingdom, and China currently use ICD-10.
- The U.S. is the only industrialized nation not using ICD-10.
- The Federal Government mandated that the healthcare industry implement ICD-10 by **October 1, 2015**.
ICD-10 Coding
On October 1, 2015, the United States will move from the ICD-9 system to the ICD-10 system. This change will have a significant impact on provider and MaineCare processes.

**ICD-9-CM** (Diagnosis)
- 5 Digit Numeric
- 14,000 Codes

**ICD-10-CM** (Diagnosis)
- 7 Alphanumeric Characters
- 68,000 Codes

**ICD-9-PCS** (Inpatient Procedures)
- 4 Digit Numeric
- 4,000 Codes

**ICD-10-PCS** (Inpatient Procedures)
- 7 Alphanumeric Characters
- 72,000 Codes
ICD-10 Code Differences and Changes

Key Differences and Changes

- ICD-10 is a much more complex scheme of classifying diseases reflecting recent advances in disease detection and treatment.
- ICD-10 involves fundamental coding changes, from 3-5 digit numeric codes in ICD-9 to 3-7 digit alphanumeric codes in ICD-10.

ICD-9 codes are used by many providers and payers in business processes and technology systems. Changes may be required to support ICD-10.
  - Providers need to make changes to their processes and technology in order to continue uninterrupted transactions with payers, including MaineCare.
  - MaineCare is currently making changes to its processes and systems to be ready for ICD-10.
ICD-10 Brings Structural Change and Expansion

ICD-10 addresses structural and space limitations of ICD-9 and provides detail to support emerging needs like Electronic Health Records, Pay for Performance, and biosurveillance.

Developed: 1977

ICD-9-CM (Diagnoses)
~14,000 codes
5-digit numeric

ICD-9-CM (Inpatient Procedure)
~4,000 codes
4-digit numeric

Developed: 1990

ICD-10-CM (Diagnoses)
~68,000 codes
7 alphanumeric characters

ICD-10-PCS (Inpatient Procedures)
~72,000 codes
7 alphanumeric characters

More granular
More accurate
More detailed
Room to expand

~8X Expansion
There are primarily two codes that will be used by dental providers.

**D4341**

Diagnosis code required on dental claims for procedure code D4341 for all patients whose diagnosis is ICD-9 code 101 (Acute Necrotizing Ulcerative Gingivitis) or ICD-10 code A69.0 (necrotizing ulcerative stomatitis) or A69.1 (other Vincent’s infections). For patients with no ICD-9 code 101 or ICD-10 codes A69.0 or A69.1 diagnosis, claims for this procedure code require prior authorization.

**T1013 Interpreter Services**

- Dental providers must use the CMS1500 which requires a valid diagnosis code of: ICD-9 code V72.2 for claims submitted prior to 10/1/2014, or ICD-10 code Z01.21 or Z01.20 for claims submitted on or after 10/01/2014.

- Codes
  - T1013 Sign language or oral interpreter services per fifteen minutes
  - T1013-GT Interpreter Services provided via documented use of Pacific Interpreters, Language Line, or equivalent telephone interpreting service, must be by report with copies of the invoice attached

For more information regarding dental codes, see the American Dental Association’s ICD Codes in State Medicaid Dental Claims Submission presentation.
For more information regarding dental codes, see the American Dental Association’s [ICD Codes in State Medicaid Dental Claims Submission](#) presentation.
MaineCare’s ICD-10 Project Update
There are currently 105 days until ICD-10 implementation!
The MaineCare ICD-10 project has progressed to the Implementation Stage.

**Key Accomplishments**

- Closed the April 2015 Provider Readiness Survey. Analyzed the results and began outreach.
- Developed training materials for MaineCare employees. Training scheduled for July and August of 2015.
- Completed Cycle 1 of Pilot Testing. Cycle 2 has been initiated.
- Completed 85% of System Integration Testing (SIT) and 88% of User Acceptance Testing (UAT) test cases.
- Completed analysis of further updates required for polices and to the system.
The following critical milestone activities are planned for the final phase of ICD-10 preparation.

<table>
<thead>
<tr>
<th>10/1/2015 ICD-10 Compliance Date</th>
<th>Change Request (CR) Development &amp; Testing</th>
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<tbody>
<tr>
<td>Complete by July 17, 2015</td>
<td>▪ End System Integration Testing (SIT) by June 10</td>
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<tr>
<td></td>
<td>▪ End User Acceptance Testing (UAT) by June 24</td>
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<td>▪ Development of 4 DSS Reports by July 17</td>
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<tr>
<th>Provider Outreach/Presentations</th>
<th>Ongoing listserv messages, webinars, and monthly presentations</th>
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<tr>
<td></td>
<td>▪ PAG/TAG</td>
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<tr>
<td></td>
<td>▪ Provider Associations</td>
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<td></td>
<td>▪ Clearinghouses, Billing Agents, 837 Submitters</td>
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<tr>
<th>Internal End-to-End Testing</th>
<th>June 1, 2015 – September 7, 2015</th>
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<tr>
<td></td>
<td>▪ Develop test scenarios</td>
</tr>
<tr>
<td></td>
<td>▪ Create test data</td>
</tr>
<tr>
<td></td>
<td>▪ Complete comprehensive integrated testing using ICD-10 data</td>
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<tr>
<td></td>
<td>▪ Expedite fix for any defects identified</td>
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<table>
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<tr>
<th>Provider Pilot Testing</th>
<th>May 11, 2015 – August 28, 2015</th>
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<tr>
<td></td>
<td>▪ Completed Pilot Testing environment</td>
</tr>
<tr>
<td></td>
<td>▪ Conducted end-to-end claims processing</td>
</tr>
<tr>
<td></td>
<td>▪ Working with providers to submit test claims</td>
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<tr>
<td></td>
<td>▪ Analyzing test results</td>
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<tr>
<th>Operational Readiness Review</th>
<th>Targeted for September 2015</th>
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<tr>
<td></td>
<td>▪ Complete MaineCare employee training</td>
</tr>
<tr>
<td></td>
<td>▪ Complete all system updates in response to testing results</td>
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<td></td>
<td>▪ Complete MaineCare’s Contingency Plan</td>
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Impact On MaineCare Providers

Operational updates required:

- Business processes and systems, and paper and electronic forms may need to be modified to accommodate the new longer codes.
- System updates, including replacement of all ICD-9 codes
  - In most cases, there is an approximate one-to-one match but not always. One ICD-9 code may correspond to many ICD-10 codes.
  - CMS has provided General Equivalence Mappings (GEMs) as a code tool that can assist providers in determining which ICD-10 codes to use.
- New paper claim forms
- New Prior Authorization (PA) forms

Changes to claim submissions:

- All services, PAs, and discharges conducted after October 1, 2015 must use ICD-10 codes. If ICD-9 codes are submitted for dates of service on or after October 1st, claims will be denied

Training for your employees:

- Coding
- Documentation
Provider Outreach
MaineCare is sharing information to providers and responding to questions from providers about ICD-10 through multiple channels.

**ICD-10 listserv messages.** MaineCare will distribute a monthly listserv about ICD-10 that includes an implementation countdown.

**Provider Readiness Survey.** The Provider Readiness Survey was open from April 20 to May 5, 2015. OMS received 447 responses to the survey. A summary of the results will be available on the MaineCare ICD-10 webpage.

**Group Presentations and Webinars.** MaineCare is developing presentations about ICD-10 for various audiences. Please see the MaineCare ICD-10 webpage for updates.

**Individual Outreach.** MaineCare will be contacting providers who requested one-on-one communication in the Provider Readiness Survey. Contact us through the ICD-10 Email Box.
April 2015 Provider Survey Results

Summary of results of the April 2015 Provider Readiness Survey:

**Survey Response**

OMS received 447 responses to the April 2015 survey and 310 completed the survey in its entirety. MaineCare Services has approximately 8,000 pay-to providers enrolled and close to 6% responded to the survey. It is important to note that the number of respondents for each question varies due to attrition.

**Provider Planning**

More than half of the respondents to this question (70%) indicated that they either have started or completed the ICD-10 transition, while 30% have not yet initiated planning efforts. The majority of respondents (50%) indicated that they will not be ready for ICD-10 until October 1, 2015.

**Pilot Testing**

Over half of the respondents who completed this question (144 out of 333) expressed interest in pilot testing. We spoke with those who indicated they were interested in testing and if ready, we registered them for one of the testing cycles.

**Individual Outreach**

120 respondents had questions and requested individual follow up. All those requesting outreach have been contacted.

**Contingency Planning**

Less than one fourth of respondents have a contingency plan if their organization will not be ready by October 1, 2015.
Survey responses indicated that additional information and assistance would be helpful regarding “policies, procedures and forms related to ICD-10 claims billing” and “reimbursement changes.”

Note: Respondents were able to select more than one response
Pilot Testing
MaineCare Services is interested in working with providers who process a variety of claim types in order to successfully test our changes and prepare for ICD-10.

- Currently, 114 providers are registered for pilot testing.
- Pilot Cycle 1 is underway and the claims submission window closed on May 15, 2015. Claims adjudication began on May 18, 2015.
- Conducted kick-off meetings for Cycle 1 and Cycle 2 Pilot Testers.
- Developed user guide, questions and answers document, and orientation documents for pilot testers.
- Registered 42 providers for Cycle 2 and 35 providers for Cycle 3
- Cycle 4 is an open cycle and is an opportunity for providers to test claim submission

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<th>Pilot Iterations</th>
<th>Cycle 1 Summary</th>
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<tr>
<td><strong>Iteration</strong></td>
<td><strong>Begin</strong></td>
</tr>
<tr>
<td>2</td>
<td>5/26/2015</td>
</tr>
<tr>
<td>3</td>
<td>6/22/2015</td>
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<td>4</td>
<td>7/13/2015</td>
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- 563 claims were accepted
- Out of the 37 providers signed up for Cycle 1, 21 have submitted claims
- 95% of rejected claims were due to date submission errors and not due to system errors
For more information, please refer to the following resources:

- MaineCare’s ICD-10 website:  
  http://www.maine.gov/dhhs/oms/icd-10/
  - MaineCare’s Frequently Asked Questions webpage:  

- CMS Resources:
  - ICD-10 Provider Resource webpage:  
    http://cms.gov/Medicare/Coding/ICD10/ProviderResources.html
  - CMS General Equivalence Mappings (GEMs):  

- Contact us:
  - ICD-10 Phone Line: 1-866-690-5585 ICD-10
  - Email Box: ICD-10 Email Box
Questions and Answers

for being part of this important initiative!