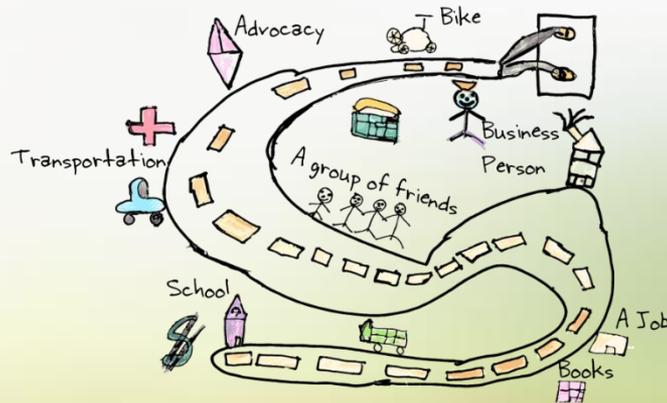




Human Services  
Research Institute

# Supporting Individual Success

For People with Intellectual  
Disabilities & Autism



Human Services  
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# Supporting Individual Success

## Resource Allocation Model Overview

- Resource allocation process
- Developing Levels and Budgets
- Maine's Support Levels
- How it all fits together
- Milestones

# Overall the process involves

**An assessment** is used to assist with establishing individualized budgets. This also provides a way for policy makers to make fiscal choices that are **fair & predictable**, but also makes the **best use** of available money that's consistent with driving system **principles**.

**Allocating resources** to people based on their assessed level of need. Each person receives **what they need**.

**Establishing** a **best fit** solution for most but taking care to accommodate individuals with extraordinary needs.

# Developing Levels and Budgets

Sample

Assess &  
Levels

Residence

Services &  
Rates

Service  
Packages

Validate

1. Design a random stratified **sample** for each targeted subpopulation.
2. **Assess** needs using the Supports Intensity Scale (SIS) & assign individuals to **Levels** fit to Maine's service system.
3. Consider **where** people live.
4. Settle on the **service array** & reimbursement **rates**.

# Developing Levels and Budgets

5. Build service packages by Level **based on** common supports needs and living situation.

**Base** budgets include in home, residential, employment, or day services.

**Some** services are managed outside the base, including professional or non-recurring supports.

**Utilization** of services by level is anticipated.

**Service packages** are priced by level to establish individualized budgets.

**Individuals** are not tied to anticipated service packages. Resource allocation does not limit person-centered choices, but imposes a budgetary limit.

6. Systematically **validate** the service packages & make revisions as needed.

# Level Based Individualized Budgets

**Budgets** vary according to levels that group people from least to highest support needs.

**Each** level represents a certain amount of money for services.

**In some** cases other services can be added on to get a higher personal budget allocation.

**Processes** are implemented to address exceptional needs.



# Maine's Support Levels

Level	Description
1	<p><b>Low</b> support need, including <b>little to no</b> support need for medical &amp; behavioral challenges. Supports are typically intermittent rather than 24 hours a day, 7 days a week (24/7).</p>
2	<p><b>Moderate</b> support needs &amp; <b>little to no</b> support need for medical &amp; behavioral challenges. Although more support than those in Level 1, needs are minimal in a number of life areas. They may also receive intermittent daily support than 24/7.</p>
3	<p><b>Low to moderate</b> support needs as in Levels 1&amp;2; also above average, non-extensive support need due to <b>behavioral challenges</b>; or <b>Above average</b> support needs &amp; <b>up to above average</b>, non-extensive support need due to behavioral challenges. 24/7 supports may be needed due to the nature of their support needs.</p>
4	<p><b>High to max</b> support needs; or <b>significant</b> support needs due to <b>medical condition</b>. Additional 24/7 supports may be needed due to the nature of their support needs.</p>
5	<p><b>Significant behavioral challenges</b>, regardless of their support need to complete daily activities or for medical conditions. May need enhanced 24/7 supports due to their behavioral challenges.</p>

# People and Support Levels

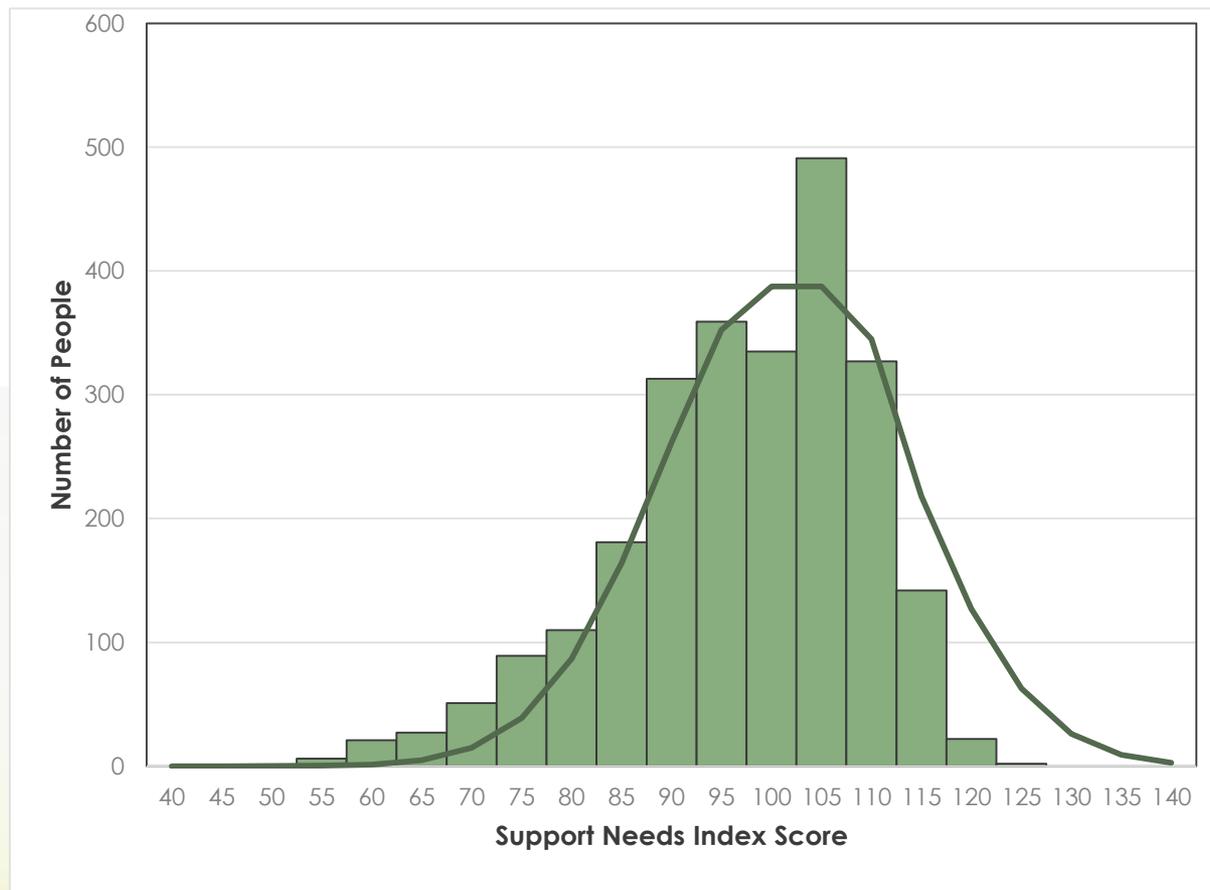
	Number of Individuals	Percent
<b>Level 1</b>	540	22.0%
<b>Level 2</b>	757	30.9%
<b>Level 3</b>	681	27.8%
<b>Level 4</b>	232	9.5%
<b>Level 5</b>	240	9.8%
<b>Total</b>	<b>2,450</b>	<b>100.0%</b>

# Summary of SIS Scores

SIS Scale Score	Number of Individuals	Lowest Score Achieved	Highest Score Achieved	Average Score	Standard Deviation
<b>Sum of Parts A, B &amp; E</b>	2,477	8	43	28.43	6.31
<b>Medical (3A Score Total)</b>	2,477	0	18	2.03	2.49
<b>Behavioral (3B Score Total)</b>	2,477	0	20	4.65	3.79
<b>Supports Needs Index</b>	2,477	54	128	98.92	12.13

Includes 27 still in level determination process. Results are current as of July 22, 2014.

# Support Needs Index Normal Distribution

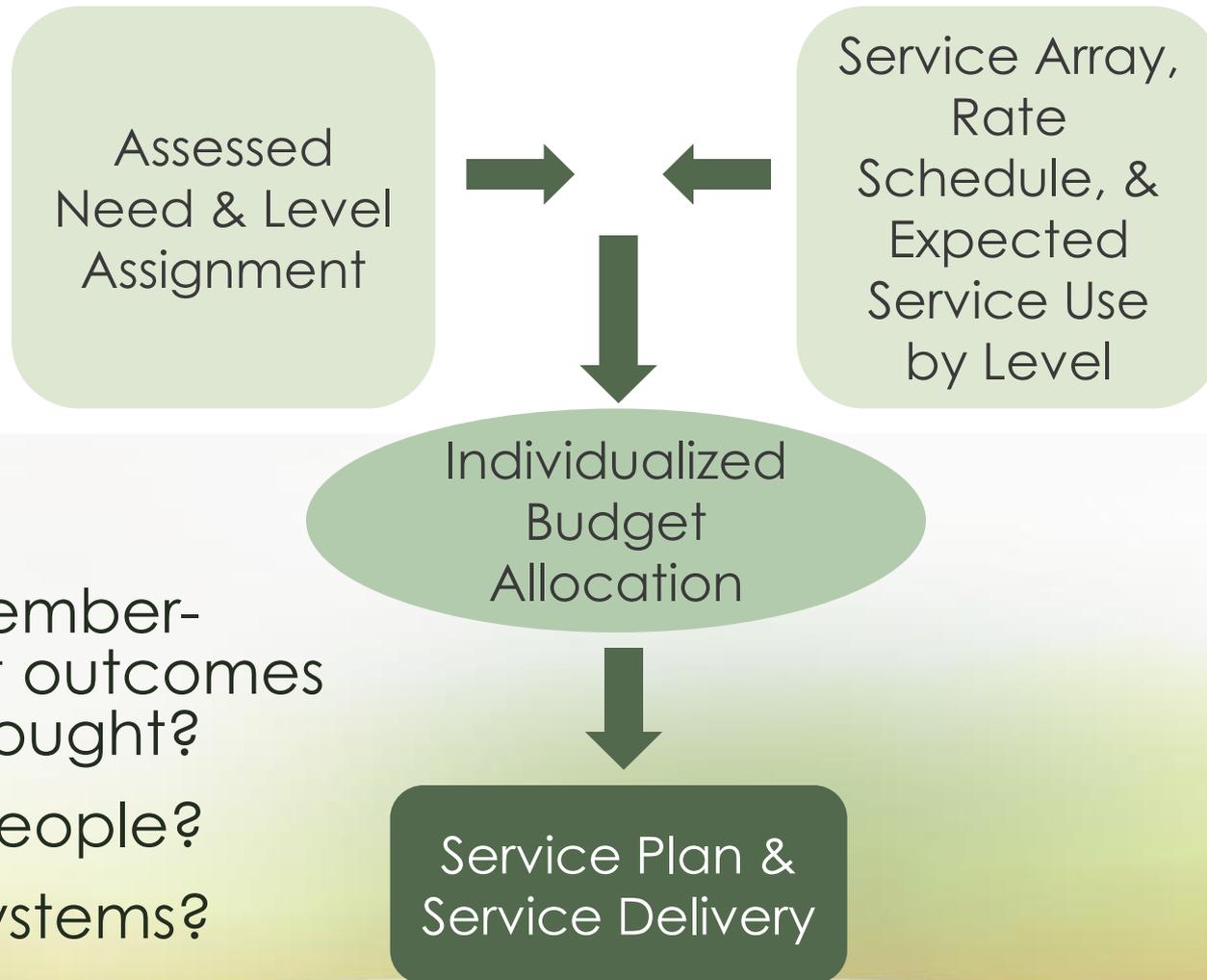


Number of People: 2,447  
 Average Score: 98.92  
 Standard Deviation: 12.13

# SIS State Comparisons

	Number of Individuals	SIS Supports Need Index	Sum of Part A, B, and E	Total Medical Need Score	Total Behavioral Need Score
SIS Norm	1,306	100.00	30.00	3.23	4.99
Maine *	2,477	98.92	28.43	2.03	4.65
Oregon *	5,119	106.33	31.62	1.99	5.06
New Mexico	3,218	106.31	31.75	3.13	4.82
Utah	4,114	102.15	30.09	2.22	4.09
Rhode Island	3,007	101.75	29.72	2.02	4.19
Kentucky *	3,476	99.76	28.93	1.92	4.28
Louisiana *	4,091	98.23	29.95	3.33	3.10
Colorado	6,849	97.49	27.91	2.99	5.55

# Putting it all together



Remember-  
 What outcomes  
 are sought?  
 For people?  
 For systems?

# Rates Study *(More on this later!)*

**In home** supports & related infrastructure

**Residential** supports, including 24/7 residences & supported living

**Day time** supports, including 'employment first' supports, day centers, community-based non-work

**Specialized** supports, including those to address complex or unique needs

**Other** needed services

## RATES

Fair

Adequate

Consistent with policy goals

Fiscally responsible



# Service Planning

**SIS** results may be used to **guide** service planning but not necessarily to drive planning.

**The** SIS interview may push participants to **discuss** topics they might not ordinarily talk about.

**Valuable** personal or habilitation goals may lay **outside** the bounds of the SIS interview.

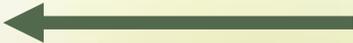
**Use** conversational & other means to develop **person-centered** plans.

# Supporting Policies

- Person centered planning
- SIS administration and reassessments, reassessment for a major life change
- Supplemental questions & verification process
- Extraordinary support needs review
- Grievance & appeal processes

# Looking Things Over

Access	Getting a Budget	Service Planning	Service Delivery	Quality Monitoring
Understanding the Process  Eligibility	SIS Interview  Sharing Results  Service Packages  Informing People	Creating a Service Plan	Arranging for Service Delivery  Service Delivery  Paying for Services	Voicing Complaints  Monitoring  Making Changes


**Supporting Policies, Rules & Regulations and Communication**


# Supporting Individual Success Milestones

Individuals will be notified of their:  
level assignment  
& service  
package

PCP  
Planning  
Meeting

Service  
Begins



From Individual's Perspective

Preplanning  
Discussions

PCP is given  
to OADS  
Resource  
Coordinators

# Supporting Individual Success Milestones

1. Initial Informal Comment Period
2. State Public Notice & Comment period
3. Final Regulations Promulgated
4. Waiver Comment Period
5. Waiver Submission to CMS
6. CMS Waiver Approval
7. Begin Implementation & One Year Phase-In