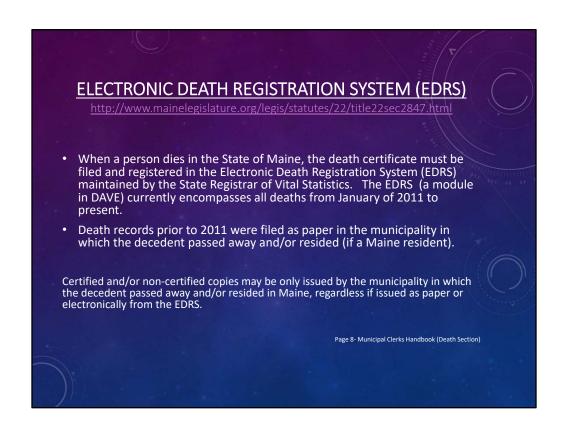


Good afternoon. My name is Theresa Roberts; a lot of you may know me as Terri. I am the Deputy State Registrar for the office of Data, Research and Vital Statistics and have worked in this office for the past 20 years. There has certainly been a lot of changes in the past 20 years. The office of DRVS is the custodian of the statewide system of vital statistics and is responsible for the proper administration, preservation and security of its official records and files from 1892 to present. My team and I are excited to host these virtual trainings, known as brown bag lunches, over the next several months. We hope to touch base on all vital records events and processes this year and hope to make recordings available for clerks to utilize in the near future.

Today, I have Melissa Boynton and Daniel O'Leary with me as panelist. They both specialize in death registration and electronic systems. Dan and Melissa will be paying close attention to the questions submitted through chat in the Q & A box while I am presenting. Feel free to submit your questions through the chat box anytime. Since we have a lot of information to cover, I will be muting all participants during the presentation but will allow some time for interaction and will open it up for Q & A's at the end.



In this webinar we will be touching base about death registration; who is responsible for the completion and filing of the death certificate, most current forms, required content, basic information about the disposition permit, disposition types, corrections/amendments when and if needed, and how to issue copies of the death certificate from DAVE. There will be polling questions at the end.



Before we start off with the EDRS. I would like to discuss DAVE. DAVE is known as the Database Application for Vital Events. There are 3 module types in DAVE: the EDRS, EBRS and EMRS. DAVE contains death records from January of 2011 to present, Birth records from Sept of 1995 to present and marriage records from January of 2017 to present. In 2017, the law was amended requiring HCP's to use the EDRS. Vital records during these time frames must be issued from the system to ensure you have the most current version of the record. Corrections and amendments are done electronically. All clerks should have their own username and password to access the system. Please do not share your username with anyone else. The enrollment form may be found on DRVS website or by contacting our office directly.

# **DEATH CERTIFICATE FORM**

http://www.mainelegislature.org/legis/statutes/22/title22sec2701-a.htm

- If the event the EDRS is not available or circumstances prevent electronic filing, (or in cases when an authorized person is in charge of the disposition of the dead human body), the Maine death certificate must be filed on the legal-size death certificate form (8.5x14) created to replace the former original standard and medical examiner forms. The death certificate (VS-3) form is printed on white legal-size (8.5x14) regular photocopy (20 LB) paper. Health care providers, funeral directors and municipal clerks may obtain the death certificate form and instructions for completion under "Forms, Print Forms" in the Database Application for Vital Events (DAVE) system or by contacting the Department directly at (207) 287-3771.
- In January of 2012, the Maine death certificate underwent a total redesign and the
  new certificate was released to be in compliance with the national standard
  certificate provided by the National Center for Health Statistics (NCHS). Instead of
  the previous multi-copy form, the death certificate (VS-3) is now a single legal-size
  page. The new certificate was designed with contemplation of the EDRS, to make
  better use of modern technology, streamline procedures and increase efficiency.

Page 8- Municipal Clerk's Handbook (death section)

After- Authorized persons are persons dedicated by the family to handle transportation and final disposition of the deceased family member. They must be designated in writing. We will be covering "Authorized Person" in Part 2- of the brown bag lunch series. Page 37 in the death portion of the clerk's handbook covers Authorized Persons and may be found on DRVS website at https://www.maine.gov/dhhs/mecdc/public-health-systems/data-research/vital-records/edrs/medical-certifiers.html.



All restrict forms may be found in the DAVE system under print forms. The most common ones used for clerks start with MC- then the form name or All.

DROP TO PAPER DC								
http://www.mainelegislature.org/legis/statutes/22/title22sec2847.html								
There may be occasions when the health care provider (HCP) or medical examiner (ME) start the medical information in the EDRS, electronically affirm (sign) the death case and "drop to paper medical" when the certificate is for an authorized person, not a funeral director.    State   S								
	Maine Department of Health and Human Services							
	Month   September   Month   Month							

There are occasion when a neighboring State funeral director completes this form since they do not have access to the EDRS. Next slide shows drop to paper dc.

	DROP TO PAPER DEATH CERTIFICATE	
example	Name Known to Physician (a.k.s)   Department of Health and Risman Services   115-   Services   115-	
	The first of the continues of the cont	

Notice all the medical information has been completed. Authorized person must complete "decedent and disposition" information and present to DRVS to be entered into the EDRS. This may be used to issue a permit for burial or temp storage if signed and certified. All other methods of disposition require the dc to be registered.





After- Clerks may use the death certificate to issue a permit for final disposition depending on the method of disposition. The paper dc may be fax to DRVS for enter in the EDRS and the original may be mailed. Once entered and registered (assigned a SFN) clerks may issue a non-certified or certified copy from the system.

## **ERRORS ON PAPER-BASED DEATH CERTIFICATES**

## PERSONAL INFORMATION

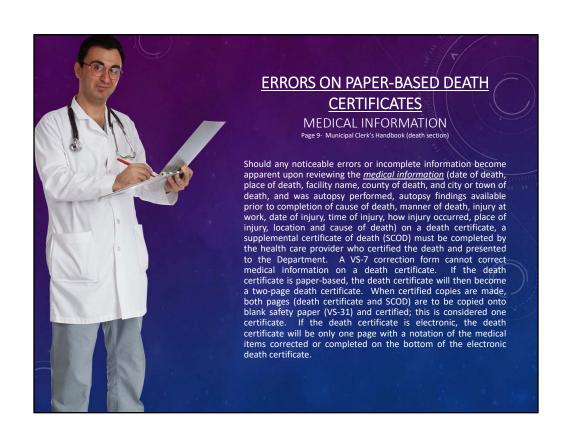
Page 9- Municipal Clerk's Handbook (death section)

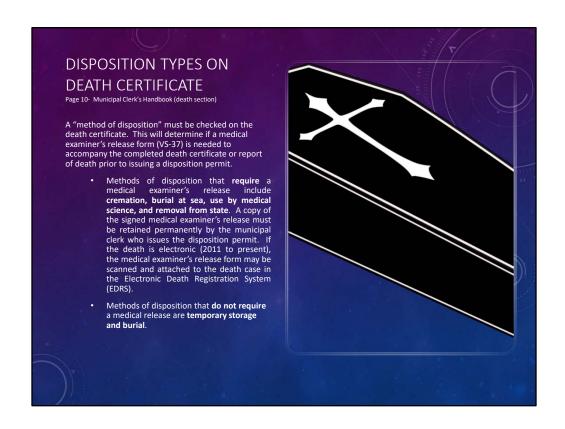


Should any noticeable errors or incomplete information become apparent upon reviewing the <u>personal information</u> on the death certificate, the municipal clerk at the place of death may return the death certificate to the funeral director or authorized person for correction or completion.

In the event the correction or completion is done by the municipal clerk of the place of death, the funeral director or authorized person must be present and in agreement that the information is wrong before any changes are done. The municipal clerk must make a notation on the back of the death certificate of the items corrected and the date the correction or completion was done before the death record is accepted for filing.

After a death certificate has been accepted for filing (registered), it may not be changed in any way at the municipal level. Corrections or completions must be made using the VS-7 correction form presented directly to the Department with the appropriate documentation and required fee, if applicable.





ME releases must be retained for 15 years.



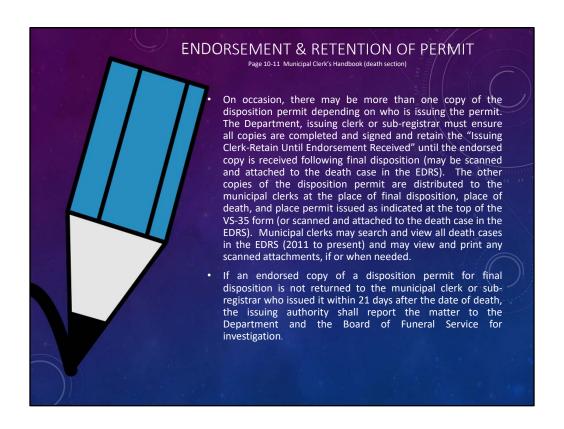
Sub-registrars will be discussed in Part II .

Department o Permit for Dis Distribution of Copies:  Place of Final D Place of Death	ISSUING THE DISPOSITION		
I. FULL NAME OF DECEASED (First, Middle, Last, Jr., etc.) Who Needs Coffee	2. DATE May 1:	etain Until Endorsement Received OF DEATH (Mo., Day, Yr.) 2, 2017	PERMIT
Male 59 Years EVER IN U.S. ARMED FORCES? No U	RPLACE OF DEATH (City or Town)  Westbrook, Maine	(State)	The Department, municipal
7a. NAME AND ADDRESS OF FACILITY OR AUTHORIZED PERS Plummer Funeral Home (Augusta) 16 Pleasant St Augusta, Maine 04330 8. PERMISSION REQUESTED FOR: (Check All That Apply)	ESTABI LICENS	AL LISHMENT HO9116 SE NUMBER Cremation Entembranet	clerk, appointed sub-registrar or the funeral director
9. Completed Death FOR PERMIT Certificate Certificate Certificate Death Death Directors Only)	Burial at Sea Use by Medical Science  Medical Examiner's Release for Cremation, Removal from State, Burial Al Sea, Use by Medical Science	Facility/Physician letter for disposition of fetal remains less than 20 weeks gestation or product of induced abortion of any gestation	applying for the disposition permit must ensure items #1 through #9 on the permit are completed and match the death certificate (paper or
PERMISSION IS HEREBY GRANTED TO REMOVE  10. SIGNATURE OF CLERK OR (see #11)	E AND DISPOSE OF THE HUMAN REM. 10b. CITY OR TOWN	AINS IDENTIFIED ABOVE 10c. DATE SIGNED (Mo., Day, Yr.)	electronic) or the report of death exactly.
11. SIGNATURE OF SUBREGISTRAR	11b. SUBREGISTRAR OF (List Municipality appointed by):	11c. DATE SIGNED (Mo., Day, Yr.)	The disposition permit is not valid until the Department,
<del>)</del>	municipal clerk or appointed		
			sub-registrar has signed the permit (item #10 or #11 on the permit).

In this example, only the city of Westbrook (place of death), city of Augusta (place where funeral establishment is located) and the Department may issue this permit or their appointed sub-registrars. We will be discussing sub-registrars ore in depth on Thursday's webinar – Part II.

# DISPOSITION PERMIT CHEAT SHEET Page 11- Municipal Clerk's Handbook (death section) The municipality listed in 10b or 11b on the Disposition Permit collects the \$20.00 issuing fee. The issuing clerk or sub-registrar cannot sign as person in charge of final disposition on the disposition permit unless the remains were placed in temporary storage and the funeral director owns the storage facility specified. The place of death municipality, the place the permit issued municipality and/or the Department, and place of final disposition municipality is where the endorsed disposition permit is filed (unless scanned and attached in the EDRS). Deaths occurring at the United States Department of Veteran's Affairs (also known as Togus) are filed directly with the Department and the disposition permit must be issued by the Department or an appointed sub-registrar of the Department. The Department collects the \$25.00 issuing fee.

Who gets the fee? No more handling fee. Conflict of interest (#16-31). Clerks have access to view all deaths and attached permits. Permits are public records. Clerks who have appointed sub-registrars should communicate how the fee and endorsed permit is handled.



Look at the top of the permit.



## TIME REQUIREMENTS

HTTPS://WWW.MAINE.GOV/SOS/CEC/RULES/10/CHAPS10.HTM#146

Page 11- Municipal Clerk's Handbook (death section

- Department regulations (10-146 CMR Chapter 5 rules) specify the registration time requirements for completing the death certificate depending on who initiates the certificate.
- The funeral director or authorized person must ensure that a completed death certificate is registered within <u>five days</u> after the day on which death occurred, unless there are unusual extenuating circumstances.
- The death certificate is considered "registered" once both the funeral director and health care provider have completed and signed/certified and the record has been assigned a State File Number.
- Certified copies of the death certificate may then be issued by the Department, the municipality where the death occurred and/or the municipality where the decedent resided in Maine.

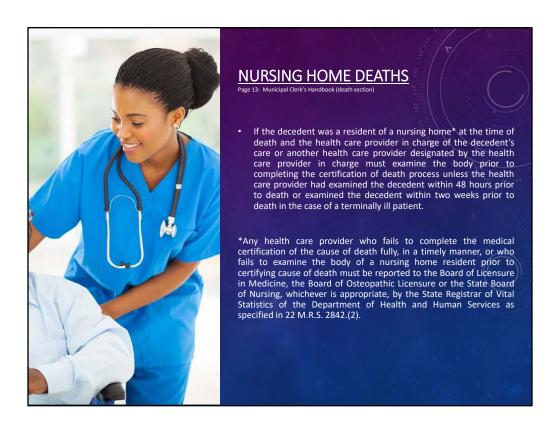




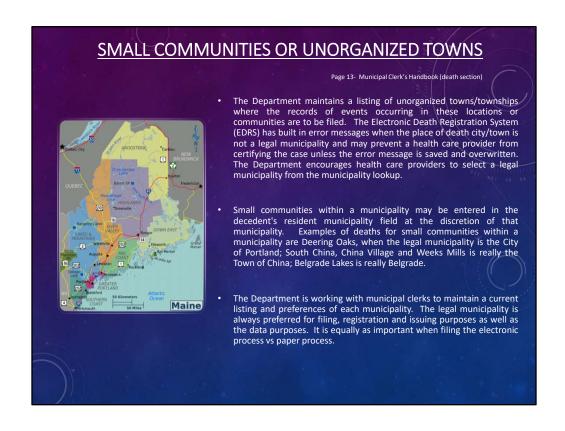
Report of death will be discussed in Part II.



Death certificates with pending cause of death may be issued; it is recommended to inform the entitled applicant that the cause of death is pending before purchasing more than one copy.



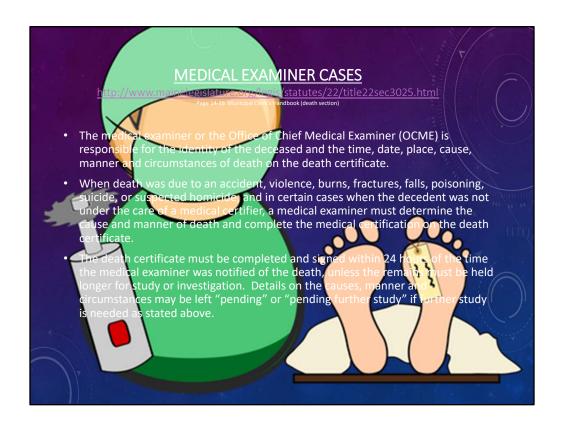
Body viewed after death question on death certificate must be answered "yes" for nursing home deaths unless hcp examined the patient within 48 hours of death. This is a good thing for clerks to know if or when a paper dc is presented.



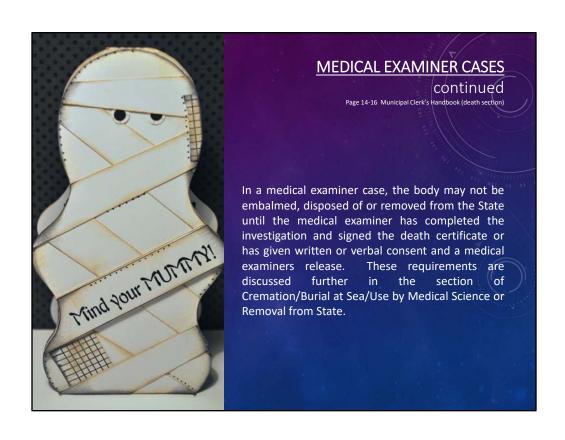
The place of death should always be a legal town (not a unorganized town or small community within a city/town). Example the Town of China has several small communities; Weeks Mills, South China, China Village and South China but the legal municipality must state "China" for issuing purposes and is the municipalities preference. Please communicate with your funeral directors if/when needed. Funeral directors should be making the selection from the drop down and not typing in the information to avoid issues. The EDRS does have built in checks/edits to help, but on occasion some do get missed since the system is set to auto registration. Auto registration means once the funeral director and health care provider have sign/affirmed and corrected any errors, the system will automatically register the death record and assign a state file number.



View Report of Death form. Report of death is typically used by funeral directors when a death certificate is incomplete (or pending) and the family would like to proceed with the burial. A completed Report of Death may be presented to the place of death municipality by a funeral director for the issuance of a disposition permit to proceed with the burial. The report of death must name the attending provider and state the death was due to natural causes. The OCME is reluctant to issue Reports of Death when final disposition is "removal from State" until the certificate is complete with a cause of death. The Report of Death provides the funeral director with an additional 21 days to complete a regular death certificate. Municipal clerks should follow up with funeral directors after 21 days to ensure the completed death certificate is filed.

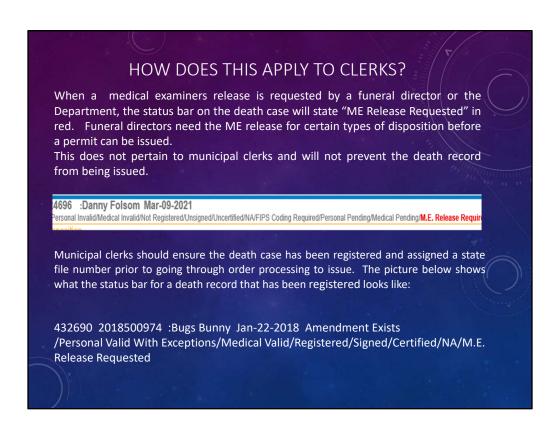


Death certificates with pending cause of death must be completed by the completion of a SCOD (electronic amendment). Discuss paper verses electronic SCOD's.

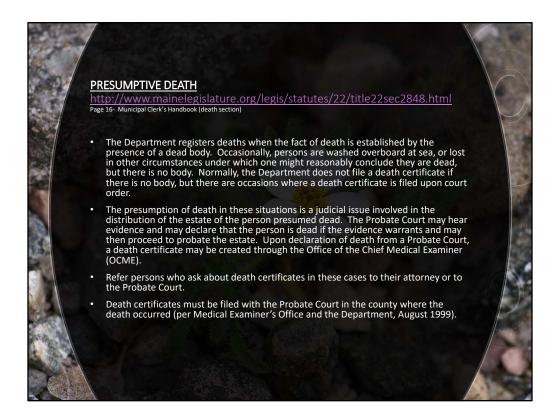




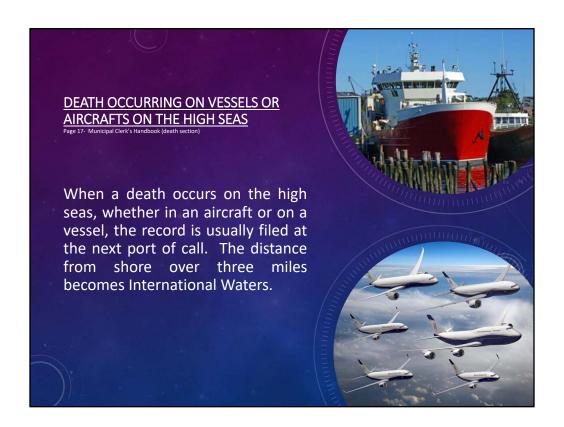
The OCME has access to all deaths in the EDRS and may take control of death cases if/when investigation is needed. The EDRS has built in flags when the cause of death due to an accident, violence, burns, falls, overdose, poisoning, etc. If a health care provider (who is not a ME) is completing the cause of death in the system and the cause of death is flagged, the death case must be referred to the OCME for review/completion. The status bar on the death case in the EDRS will state "referred to ME".



Not to be confused with the previous slide, when a funeral director needs to obtain a Medical Examiner Release to proceed with methods of final disposition such as cremation, use by medical science, removal from State or burial at sea they may now request the ME release electronically. I know several clerks have called because of this because of the bold red appearance and the fact it is new. Please disregard, it more for the funeral director and ME. As long as the record has a SFN as shown above, the record may be issued.



Presumptive deaths are deaths registered without the presence of a dead body and must be done by obtaining a court order. Persons may not file with the court until 5 years have passed and they must file with the probate court in the county where the death most likely occurred. Once the probate court has issued a declaration of death, the death certificate may be registered by the OCME or the department in the EDRS based on the information on the court order. The death certificate created must be marked as presumed on any copy issued. This is displayed in the amendment section of the death certificate when issued from the EDRS and on top of paper based records. Both electronic and paper records may also display this in the cause of death section.



After 3 miles, the death must be reported to the nearest embassy or consulate who prepares "a Consular Report of the **Death** of an American **Abroad"**. Copies of that report are provided to the next-of-kin or legal representative and may be used in U.S. courts to settle estate matters. Information to obtain copies may be found by visiting the following website.:

https://biotech.law.lsu.edu/cases/vs/gov/wtow4.htm

## COPIES OF DEATH CERTIFICATE

Page 17- Municipal Clerk's Handbook (death section)

Department regulations (10-146 CMR Chapter 11 rules) specifies the requirements for preparation of certificates and the minimum content that must appear on certified copies of vital records.

Before the issuance of any death certificate, the municipal clerk must ensure the decedent was either a
resident of their municipality or the death occurred in their municipality and the death certificate is
registered. Municipal clerks must also ensure the applicant who is requesting the death record is entitled
to it by law. <a href="http://www.mainelegislature.org/legis/statutes/22/title22sec2706.html">http://www.mainelegislature.org/legis/statutes/22/title22sec2706.html</a>

Depending on the date of event on the record, certified or non-certified copies of death records must be issued as specified below:

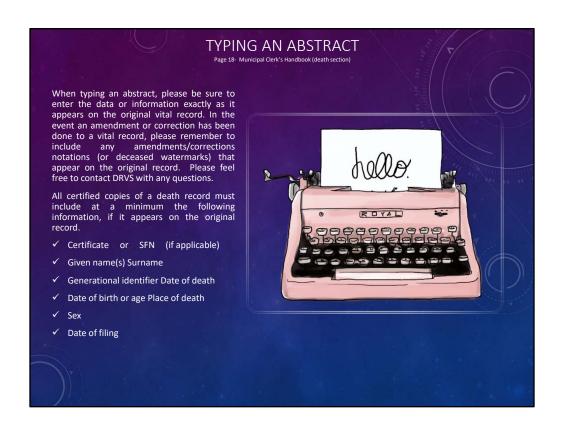
- Deaths records prior to January 2011 must be issued by photocopying the original death record in your files to the blank VS-31 safety paper. Municipal clerks may need to reduce the size on the photocopier to make the death record correctly fit to the safety paper.
- Records of death in municipal ledger books that cannot be removed to photocopied must be made by typing a death abstract, form VS-30. The fillable form may be found under "Forms, Print Forms" in the Database Application for Vital Events (DAVE) system or by contacting the Department directly at (207) 287-2771
- ✓ Death records prior to 1892 must NOT be issued on safety paper, the law requires municipalities to issue the death on municipal letterhead or as a non-certified copy. The non-certified copy must contain the statement "NOT TO BE USED AS A LEGAL DOCUMENT".
- Deaths records from January 2011 to present must be issued from the Database Application for Vital Events, known as DAVE. Municipal clerks must make sure that the safety paper numbers have been added to DAVE prior to issuing any vital record from the system. Instructions on how to assign safety paper numbers may be found under the Forms, Print Forms tab in DAVE or in the General Section of this handbook

# REDACTING DATA OR INFORMATION ON DEATH CERTIFICATES

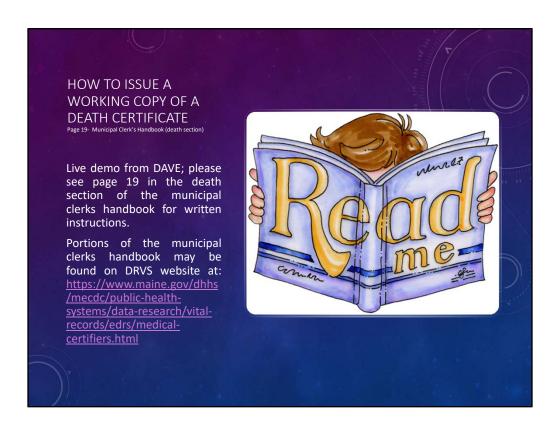
Page 18- Municipal Clerk's Handbook (death section)

On occasion, applicants applying for a certified copy of a death certificate have requested the Department or a municipality to "leave off or mask" the cause of death section or the social security number on a death record. These types of requests from entitled individuals are reviewed on a case by case basis and are done only by typing an abstract (VS-10, VS-20 or VS-30). Municipal clerks may provide this service to entitled individuals requesting the redaction of certain data or information provided the following regulations specified in 10-146 C.M.R. Chapter 11, Section 5 (E) are met:

- The process, paper, and approval of all forms, formats and procedures used to issue certified copies
  or abstracts must be provided and approved by the state registrar. The approved forms are located
  in DAVE under "print forms".
- The minimum content by record type must appear on all certified abstracts; if it appears on the
  original vital record. (Please see required content by record type in next slide.)
- The data or information requested to be left off, masked or redacted on an abstract must contain chevrons <<<<<>>>>>> to be consistent with the electronic registration systems, if or when data on a vital record is missing. The abstract must then be copied onto safety paper (VS-31).
- Each certified copy issued shall be certified as a true copy or abstract by the official in whose
  custody or possession the record is entrusted and shall include the date the copy is issued, the name
  (typewritten or printed) of the issuing official, the issuing official's signature or an authorized
  facsimile thereof, and the seal of the issuing office.



Show VS-30



Live demo; instruction in handbook and DRVS website.



Live Demo; instructions in handbook and provided on website.



