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COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

Maine Ethics Commission

MAIL: 135 STATE HOUSE STATION, AUGUSTA, MAINE 04333 OFFICE: 45 MEMORIAL CIRCLE, AUGUSTA, MAINE Website: www.maine.gov/ethics

PHONE: 207-287-4179 FAX: 207-287-6775

STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

2016 Calendar Year: January 1, 2016 - December 31, 2016

Check here if this statement is an update or amendment of a previously filed statement.

Job Title Name Director Lauren V. Stewart Phone (work) Department (207) 626-3841 Public Safety E-mail Address (work) Mailing Address (work) lauren.v.stewart@maine.gov

GENERAL INSTRUCTIONS

45 Commerce Drive, Suite 1; 164 SHS

- Complete all sections. If a section is not applicable, check the box marked "None."
- A glossary is located in the back of this form.
- If completing this form by hand, please write legibly. NO RED INK!
- Report the sources of income for you, your spouse or domestic partner, and your dependent children.
- · Report only specific sources of income. Dollar amounts need not be listed.
- If April 15 falls on a weekend or holiday, this statement is due on the next business day.

Please keep a copy of this statement for your records!

REPORTING DEADLINES

Constitutional Officers and the State Auditor

Newly elected constitutional officers and the state auditor must file the financial disclosure statement within 30 days of election and by April 15 of each year they are in office, unless a report for that year has already been filed.

Appointed Executive Employees

Newly appointed executive employees who are appointed by the Governor and confirmed by the Legislature must file the financial disclosure statement prior to their confirmation and by April 15 of each year of their employment, unless a report for that year has already been filed.

Executive Employees in Major Policy-Influencing Positions

Executive employees in major policy-influencing positions must file the financial disclosure statement by April 15 of each year of their employment.

Leaving Office or Terminating Employment

Constitutional officers and the state auditor and all other executive employees must file a final financial disclosure statement within 45 days of leaving office or terminating employment that covers the calendar year of leaving office or terminating employment.

REQUIREMENT TO FILE AN UPDATED STATEMENT

Executive branch employees are required to update their financial disclosure statement within 30 days of a substantial change in income, reportable liabilities or positions of the executive branch employee or an immediate family member (except dependent children) that occurs in the current calendar year. (5 M.R.S.A. § 19(3)(C)) Substantial changes include but are not limited to:

- A new employer or other source of income that has paid the executive branch employee or immediate family member \$2,000 or more in the current year;
- A new reportable liability of \$3,000 or more obtained during the current calendar year;
- A new contract or other arrangement between the executive branch employee, immediate family member or associated organization and a State agency, board or commission for the lease or sale of goods or services with a value of more than \$10,000 during the current calendar year; and
- A new position in a political action committee, ballot question committee, party committee, or non-profit or for profit organization.

Part 1. Income from	Employment I	by Anoth	ner						
None. Check this	box if you did i	not have	income fro	n employm	ent by a	nother.			
Name of Employer		Address		Principal Type of Economic or Business Activity of Employer			Job Title		
Part 2. Income from	Self-Employm	ient							
None. Check this	box if you did r	not have	income fror	n self-empl	loyment.				
Name of Your Business/	Trade Name		Addı	ess		Pr		pe of Econor ness Activity	nic
									<i>y</i>
									:
Name of Client or Customer, if required (see instructions)		Address			Principal Type of Economic or Business Activity of Client				
							.=	1	
Part 3. Business Ent			andiota four	ilu did not	OVE OF O	ontrol mar	o than f	5% of any	nucinace
None. Check this	pox ir you and	your imii			OWITOLG				
Name of Busine)88		Addr	ess		Pri	incipal Ty or Busii	pe of Econor ness Activity	nic
									:
Part 4. Income from			ingomo froi	n the pract	ico of la	A.f			
None. Check this	pox ir you aia r	not nave	income iroi	n the pract	T	N.			:
Name of Practice or Firm	Address		Your Maj of Pra	or Areas actice		's Major Area of Practice	as ,	Position: Associate, Sole	
		:							

Part 5. Income from Any Other Source				
None. Check this box if you did not	have income from any other source.			
Name of Source	Address	Description of Income		
	www.dista.Family.Mambars			
Part 6-A. Compensation Income of Ir	rs of your immediate family received in	come of \$2.000 or more from		
employment or compensation.	o or your milliourus ranning roses.			
Name and Job Title (do not list name of dependent child)	Employer's Name and Address	Principal Type of Economic or Business Activity of Employer		
Errol Dearborn, Property Tax	State of Maine, Maine Revenue Services	Government/State		
Part 6-B. Other Sources of Income o	i f Immediate Family Members			
None. Check this box if no membe other source.	rs of your immediate family received in	come of \$2,000 or more from any		
Name of Spouse or Partner (do not list name of dependent child)	Source of Income Name and Address	Type of Income		
		<u>:</u>		
		,		
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Part 7. Loans							
☐ None. Check this box if you did	d not have reportab	le liabilities.					
Lender's Name		Lender's Address		Principal Type of Economic or Business Activity of Lender			
Nissan Motor Company		Dallas,Texas		Automobile			
Part 8. Gifts, Including Travel an	d Accommodatio	ns					
None. Check this box if you die	d not receive any g	fts.					
Source of Gift		Source of Gift					
1.		2.					
3.		4.					
Part 9. Honoraria							
None. Check this box if you did	not receive honora	ria.			· ·		
Source of Honora	ria		Source of	f Honoraria			
1.		2.			-		
3.		4.					
Part 10. Positions in Political Acti	on, Ballot Questic	on or Party Commit	tees				
None. Check this box if you and or fundraiser of a PAC, BQC, or	l your immediate fa Party Committee.	mily were not a treas	surer, or pri	ncipal officer,	decision-maker		
Name of Committee	Name of Official	or Family Member		Title			
1.							
2.					• : •		
3.					:		

Part 11. Conducting Business	with State Agencies	S				
None. Check this box if neith	er you nor your imme	ediate family did busin	ess with any State	agency.		
Name of Agency		vidual/Organization ods or Services	Description of Good or Services			
Part 12. Representing Others I	district of the control of the contr	The state of the s		o State organi		
None. Check this box if neith	er you nor your imme					
Name of Agen	су	Name of Ind	ividual Receiving 0	Compensation		
Part 13. Positions in For-Profit None. Check this box if you a non-profit organizations. Organization/Business and Address			t hold positions in a Relationship to Executive Employee	any for-profit or Compensated Yes/No		
Hallowell Community Band Gardiner Youth Hockey Association	Treasurer Treasurer	Errol Dearborn	□ Self ■ Spouse □ Dependent	No .		
Gardiner Youth Hockey Association Governor's Highway Safety Association	President Various committees	Lauren Stewart	Self Spouse Dependent	No :		
			□ Self □ Spouse □ Dependent			
		NATURE				
I CERTIFY THAT I HAVE EXAMIN CORRECT, AND COMPLETE.	IED THIS REPORT A	AND TO THE BEST O	F MY KNOWLEDO	GE IT IS TRUE,		
OOMALOT AND OOM LETE.			/			
Ta Xtom			sul, s	1/2017		
Signature			07// _D	ate		

THE INTENTIONAL FILING OF A FALSE STATEMENT IS A CLASS E CRIME (1 M.R.S.A. § 1016-G(3)(B))