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COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

APR 18 2017 **c**Maine Ethics Commission

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STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

2016 Calendar Year: January 1, 2016 - December 31, 2016

Check here if this statement is an update or amendment of a previously filed statement.

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GENERAL INSTRUCTIONS

- Complete all sections. If a section is not applicable, check the box marked "None."
- A glossary is located in the back of this form.
- If completing this form by hand, please write legibly. NO RED INK!
- Report the sources of income for you, your spouse or domestic partner, and your dependent children.
- Report only specific sources of income. Dollar amounts need not be listed.
- If April 15 falls on a weekend or holiday, this statement is due on the next business day.

Please keep a copy of this statement for your records!

REPORTING DEADLINES

Constitutional Officers and the State Auditor

Newly elected constitutional officers and the state auditor must file the financial disclosure statement within 30 days of election and by April 15 of each year they are in office, unless a report for that year has already been filed.

Appointed Executive Employees

Newly appointed executive employees who are appointed by the Governor and confirmed by the Legislature must file the financial disclosure statement prior to their confirmation and by <u>April 15</u> of each year of their employment, unless a report for that year has already been filed.

Executive Employees in Major Policy-Influencing Positions

Executive employees in major policy-influencing positions must file the financial disclosure statement by <u>April 15</u> of each year of their employment.

Leaving Office or Terminating Employment

Constitutional officers and the state auditor and all other executive employees must file a final financial disclosure statement within 45 days of leaving office or terminating employment that covers the calendar year of leaving office or terminating employment.

REQUIREMENT TO FILE AN UPDATED STATEMENT

Executive branch employees are required to update their financial disclosure statement within 30 days of a substantial change in income, reportable liabilities or positions of the executive branch employee or an immediate family member (except dependent children) that occurs in the current calendar year. (5 M.R.S.A. § 19(3)(C)) Substantial changes include but are not limited to:

- A new employer or other source of income that has paid the executive branch employee or immediate family member \$2,000 or more in the current year;
- A new reportable liability of \$3,000 or more obtained during the current calendar year;
- A new contract or other arrangement between the executive branch employee, immediate family member or associated
 organization and a State agency, board or commission for the lease or sale of goods or services with a value of more
 than \$10,000 during the current calendar year; and
- A new position in a political action committee, ballot question committee, party committee, or non-profit or for profit organization.

Part 1. Income from En	nployment by A	nother				
None. Check this bo	x if you did not h	ave income from emp	oyment by another	r.		
Name of Employer		Address Principal Type of Education Business Activity of		conomic or Job Title Employer		
Part 2. Income from Se						
None. Check this bo	x if you did not h	ave income from self-	employment.			
Name of Your Business/Tra	our Business/Trade Name Address			Principal Type of Economic or Business Activity		
Name of Client or Customer, if required (see instructions)		Address		Principal Type of Economic or Business Activity of Client		
None. Check this bo	ox if you and you	r immediate family did	not own or control	more tha	n 5% of any business.	
Name of Business		Address		Principal Type of Economic or Business Activity		
~						
Part 4. Income from th	e Practice of La	aw .				
		nave income from the	 practice of law.			
Name of Practice or Firm Address		Your Major Areas Fi of Practice		Firm's Major Areas of Practice Position: Pa		
ē						

Part 5. Income from Any Other Source	e e		
None. Check this box if you did not	have income from any other source.		
Name of Source	Address	Description of Income	
Part 6-A. Compensation Income of In	nmediate Family Members		
None. Check this box if no member employment or compensation.	s of your immediate family received in	come of \$2,000 or more from	
Name and Job Title (do not list name of dependent child)	Employer's Name and Address	Principal Type of Economic or Business Activity of Employer	
Part 6-B. Other Sources of Income of	 Immediate Family Members		
None. Check this box if no member other source.	s of your immediate family received in	come of \$2,000 or more from any	
Name of Spouse or Partner (do not list name of dependent child)	Source of Income Name and Address	Type of Income	

None. Check this box if you	did not have rep	oortable liabilities.			
Lender's Name		Lender's Address	Principal Type of Economic Business Activity of Lende		
*	- ,				
Part 8. Gifts, Including Travel	and Accommo	dations			
None. Check this box if you	ı did not receive	any gifts.			
Source of G	Bift		Source of Gift		
1.		2	2.		
3.		4.			
None. Check this box if you Source of Hon			Source of Honoraria		
1.		2.			
		2.			
	*	4.			
3.	Action, Ballot Q	4.	ees		
3. Part 10. Positions in Political	and your immed	4. uestion or Party Committee iate family were not a treasu			
Part 10. Positions in Political A	and your immed or Party Comm	4. uestion or Party Committee iate family were not a treasu	ees urer, or principal officer, decision-ma Title		
Part 10. Positions in Political A None. Check this box if you or fundraiser of a PAC, BQC, Name of Committee	and your immed or Party Comm	4. uestion or Party Committee iate family were not a treasu	ırer, or principal officer, decision-ma		
Part 10. Positions in Political A None. Check this box if you or fundraiser of a PAC, BQC, Name of Committee 1.	and your immed or Party Comm	4. uestion or Party Committee iate family were not a treasu	ırer, or principal officer, decision-ma		
Part 10. Positions in Political A None. Check this box if you or fundraiser of a PAC, BQC,	and your immed or Party Comm	4. uestion or Party Committee iate family were not a treasu	ırer, or principal officer, decision-ma		

Part 11. Conducting Business with	State Agencie	S			
None. Check this box if neither you	ou nor your imm	ediate family did busin	ess with any State	agency.	
Name of Agency	Name of Individual/Organization Selling Goods or Services		Description of Good or Services		
Part 12. Representing Others Befo	ore State Agenc	ies			
None. Check this box if neither ye			ted another before	a State agency.	
Name of Agency		Name of Ind	ividual Receiving C	ompensation	
				9	
Part 13. Positions in For-Profit and	d Non-Profit Or	ganizations			
None. Check this box if you and	members your ir	nmediate family did no	t hold positions in a	ny for-profit or	
non-profit organizations.			Relationship		
Organization/Business and Address	Title	Name of Position Holder	to Executive Employee	Compensated Yes/No	
			□ Self		
			□ Spouse □ Dependent		
			□ Self		
			□ Spouse □ Dependent		
			□ Self		
			□ Spouse □ Dependent		
	910	 BNATURE	Dependent		
I CERTIFY THAT I HAVE EXAMINED			F MY KNOWLEDG	E IT IS TRUE,	
CORRECT, AND COMPLETE.					
7 1/2			418	217	
6. 20			-		
Signature	G OF A FAI SF STATE	EMENT IS A CLASS E CRIME (ate	