

# Received APR 2 0 2017

Maine Ethics Commission

### COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

Mail: 135 State House Station, Augusta, Maine 04333 Office: 45 Memorial Circle, Augusta, Maine Website: www.maine.gov/ethics

PHONE: 207-287-4179 FAX: 207-287-6775

# STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

2016 Calendar Year: January 1, 2016 - December 31, 2016

Check here if this statement is an update or amendment of a previously filed statement.

Name	Job Title
Kevin Wells	General Counsel
Department Health and Human Services	Phone (work) (207) 287-4252
Mailing Address (work) 221 State Street, SHS 11, Augusta ME 04333-0011	E-mail Address (work) kevin.wells@maine.gov

#### **GENERAL INSTRUCTIONS**

- Complete all sections. If a section is not applicable, check the box marked "None."
- A glossary is located in the back of this form.
- If completing this form by hand, please write legibly. NO RED INK!
- Report the sources of income for you, your spouse or domestic partner, and your dependent children.
- Report only specific sources of income. Dollar amounts need not be listed.

Please keep a copy of this statement for your records!

#### REPORTING DEADLINES

#### **Constitutional Officers and the State Auditor**

Newly elected constitutional officers and the state auditor must file the financial disclosure statement within 30 days of election and by April 15 of each year they are in office, unless a report for that year has already been filed.

#### **Appointed Executive Employees**

Newly appointed executive employees who are appointed by the Governor and confirmed by the Legislature must file the financial disclosure statement prior to their confirmation and by <u>April 15</u> of each year of their employment, unless a report for that year has already been filed.

## **Executive Employees in Major Policy-Influencing Positions**

Executive employees in major policy-influencing positions must file the financial disclosure statement by <u>April 15</u> of each year of their employment.

#### **Leaving Office or Terminating Employment**

Constitutional officers and the state auditor and all other executive employees must file a final financial disclosure statement within 45 days of leaving office or terminating employment that covers the calendar year of leaving office or terminating employment.

#### REQUIREMENT TO FILE AN UPDATED STATEMENT

Executive branch employees are required to update their financial disclosure statement within 30 days of a substantial change in income, reportable liabilities or positions of the executive branch employee or an immediate family member (except dependent children) that occurs in the current calendar year. (5 M.R.S.A. § 19(3)(C)) Substantial changes include but are not limited to:

- A new employer or other source of income that has paid the executive branch employee or immediate family member \$2,000 or more in the current year;
- A new reportable liability of \$3,000 or more obtained during the current calendar year;
- A new contract or other arrangement between the executive branch employee, immediate family member or associated organization and a State agency, board or commission for the lease or sale of goods or services with a value of more than \$10,000 during the current calendar year; and
- A new position in a political action committee, ballot question committee, party committee, or non-profit or for profit organization.

Part 1. Income from Employment	by Another			
None. Check this box if you did	not have income fro	om employment by a	another.	
Name of Employer	Address	Principal Type of Ec Business Activity of		Job Title
Part 2. Income from Self-Employn	nent			
None. Check this box if you did	not have income fro	om self-employment	•	
Name of Your Business/Trade Name	Add	Iress		I Type of Economic usiness Activity
Name of Client or Customer, if required	Ado	dress	Principa	Il Type of Economic
(see instructions)				ess Activity of Client
Part 3. Business Entities				
☐ None. Check this box if you and	d your immediate fai	mily did not own or o	control more tha	an 5% of any business.
Name of Business	Add	dress		ll Type of Economic Jusiness Activity
C'est La Vie LLC	369 Main Street, Rocklan	nd ME 04841	Retail consignment	store
Part 4. Income from the Practice  None. Check this box if you did		om the practice of la	aw.	
Name of Practice or Firm Addres		ajor Areas Firr ractice	m's Major Areas of Practice	Position: Partner, Associate, Sole Practitioner
			New York Control of the Control of t	

Part 5. Income from Any Other So	urce		
None. Check this box if you did	not h	ave income from any other source.	
Name of Source		Address	Description of Income
Part 6-A. Compensation Income of	0.0010_0.000_0.000_0		
None. Check this box if no mem employment or compensation.	bers	of your immediate family received	income of \$2,000 or more from
Name and Job Title (do not list name of dependent child	l)	Employer's Name and Address	Principal Type of Economic or Business Activity of Employer
Part 6-B. Other Sources of Incom			
None. Check this box if no mem other source.	bers	of your immediate family received	income of \$2,000 or more from any
Name of Spouse or Partner (do not list name of dependent child	)	Source of Income Name and Address	Type of Income

Part 7. Loans			
■ None. Check this box if you die	d not have report	able liabilities.	
Lender's Name		Lender's Address	Principal Type of Economic or Business Activity of Lender
Part 8. Gifts, Including Travel ar	nd Accommodat	ions	
■ None. Check this box if you di	d not receive any	gifts.	
Source of Gift			Source of Gift
1.		2.	
3.		4.	
Part 9. Honoraria  None. Check this box if you did	I not receive hone	oraria.	
Source of Honora	aria		Source of Honoraria
1.		2.	
3.		4.	
Part 10. Positions in Political Act	ion, Ballot Ques	tion or Party Commit	tees
None. Check this box if you and or fundraiser of a PAC, BQC, or			surer, or principal officer, decision-maker
Name of Committee	Name of Offici	al or Family Member	Title
1.			
2.			
3.			

None. Check this box if neith	er you nor your imm	nediate family did busine		agency.
Name of Agency		ividual/Organization oods or Services	Description of 0	Good or Service
art 12. Representing Others I				
None. Check this box if neith	er you nor your imm	nediate family represent	ed another before	a State agency
Name of Agen	ıcy	Name of Indi	vidual Receiving C	Compensation
			t hold positions in a	any for-profit or
None. Check this box if you a non-profit organizations.  Organization/Business		mmediate family did not  Name of Position	Relationship to Executive	Compensate
non-profit organizations.	and members your i	mmediate family did not	Relationship to Executive Employee	
None. Check this box if you a non-profit organizations.  Organization/Business	and members your i	mmediate family did not  Name of Position	Relationship to Executive	Compensate
None. Check this box if you a non-profit organizations.  Organization/Business and Address  est La Vie LLC Main Street	and members your i	mmediate family did not  Name of Position  Holder	Relationship to Executive Employee  Self Spouse Dependent Self Spouse	Compensate Yes/No
None. Check this box if you a non-profit organizations.  Organization/Business and Address  est La Vie LLC Main Street	and members your i	mmediate family did not  Name of Position  Holder	Relationship to Executive Employee  Self Spouse Dependent Self Spouse Dependent Dependent	Compensate Yes/No
None. Check this box if you a non-profit organizations.  Organization/Business and Address  est La Vie LLC Main Street	and members your i	mmediate family did not  Name of Position  Holder	Relationship to Executive Employee  Self Spouse Dependent Self Spouse	Compensate Yes/No

THE INTENTIONAL FILING OF A FALSE STATEMENT IS A CLASS E CRIME (1 M.R.S.A. § 1016-G(3)(B))

Signature

Date