

# Received con APR 0 3 2017 Naine Ethics Commission

## COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

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# STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

2016 Calendar Year: January 1, 2016 - December 31, 2016

Check here if this statement is an update or amendment of a previously filed statement.

| Name   | Job Title                                     |
|--|---|
| Sheryl Peavey  | Chief Operating Officer                       |
| Department DHHS, Maine Center for Disease Control and Prevention                   | Phone (work)<br>(207) 287-3270                |
| Mailing Address (work) 286 Water Street, 11 State House Station, Augusta, ME 04333 | E-mail Address (work) sheryl.peavey@maine.gov |

### **GENERAL INSTRUCTIONS**

- Complete all sections. If a section is not applicable, check the box marked "None."
- A glossary is located in the back of this form.
- If completing this form by hand, please write legibly. NO RED INK!
- Report the sources of income for you, your spouse or domestic partner, and your dependent children.
- Report only specific sources of income. Dollar amounts need not be listed.

Please keep a copy of this statement for your records!

### REPORTING DEADLINES

### **Constitutional Officers and the State Auditor**

Newly elected constitutional officers and the state auditor must file the financial disclosure statement within 30 days of election and by April 15 of each year they are in office, unless a report for that year has already been filed.

# **Appointed Executive Employees**

Newly appointed executive employees who are appointed by the Governor and confirmed by the Legislature must file the financial disclosure statement prior to their confirmation and by <u>April 15</u> of each year of their employment, unless a report for that year has already been filed.

# **Executive Employees in Major Policy-Influencing Positions**

Executive employees in major policy-influencing positions must file the financial disclosure statement by <u>April 15</u> of each year of their employment.

### **Leaving Office or Terminating Employment**

Constitutional officers and the state auditor and all other executive employees must file a final financial disclosure statement within 45 days of leaving office or terminating employment that covers the calendar year of leaving office or terminating employment.

### REQUIREMENT TO FILE AN UPDATED STATEMENT

Executive branch employees are required to update their financial disclosure statement within 30 days of a substantial change in income, reportable liabilities or positions of the executive branch employee or an immediate family member (except dependent children) that occurs in the current calendar year. (5 M.R.S.A. § 19(3)(C)) Substantial changes include but are not limited to:

- A new employer or other source of income that has paid the executive branch employee or immediate family member \$2,000 or more in the current year;
- A new reportable liability of \$3,000 or more obtained during the current calendar year;
- A new contract or other arrangement between the executive branch employee, immediate family member or associated organization and a State agency, board or commission for the lease or sale of goods or services with a value of more than \$10,000 during the current calendar year; and
- A new position in a political action committee, ballot question committee, party committee, or non-profit or for profit organization.

| None Check this has   |   | by Another     |                     |   |   |  |
|---|---|----------------|---------------------|---|---|--|
| None. Check this box  | x if you did  | not have incon | ne from employm     | ent by another.                           |   |  |
| Name of Employer  | Address  Augusta, Maine 04333                                     |                |                     | rpe of Economic or<br>ctivity of Employer | Job Title   |  |
| ate of Maine  |   |                | State Government    |   | Chief Operating Officer, Maine CDC                        |  |
| Part 2. Income from Se                                      | lf-Employi  | ment           |                     |   |   |  |
| None. Check this box  | x if you did  | not have incom | ne from self-empl   | oyment.                                   |   |  |
| Name of Your Business/Trac                                  | me of Your Business/Trade Name                                    |                | Address             |   | Principal Type of Economic or Business Activity           |  |
| Name of Client or Customer, if required (see instructions)  |   |                | Address             |   | Principal Type of Economic or Business Activity of Client |  |
| Part 3. Business Entitie                                    |   | 1 vour immedia | te family did not o | own or control me                         | ore than 5% of any husiness                               |  |
| None. Check this box if you and your imme  Name of Business |   | your mimoula   | Address             |   | Principal Type of Economic or Business Activity           |  |
| Emmons Design   |   |                |                     | site design, hosting                      |   |  |
| Part 4. Income from the                                     |   |                | ne from the pract   | ice of law                                |   |  |
|   | None. Check this box if you did  Name of Practice or Firm Address |                |                     |   |   |  |

| Part 5. Income from Any Other Sourc  None. Check this box if you did not                            |  |  |  |
|---|--|--|--|
| Name of Source  | Address  | Description of Income                                      |  |
|   |  |  |  |
| Part 6-A. Compensation Income of Im  None. Check this box if no members employment or compensation. | nmediate Family Members s of your immediate family received inco   | ome of \$2,000 or more from                                |  |
| Name and Job Title<br>(do not list name of dependent child)   | Employer's Name and Address  | Principal Type of Economic of Business Activity of Employe |  |
| Matthew Emmons,<br>Website Designer   | Emmons Design, 75 Winding<br>Hill Rd, S. China ME                  | Website Design/nostin                                      |  |
| Part 6-B. Other Sources of Income of  None. Check this box if no member other source.               | f Immediate Family Members s of your immediate family received inc | ome of \$2,000 or more from any                            |  |
| Name of Spouse or Partner (do not list name of dependent child)                                     | Source of Income<br>Name and Address                               | Type of Income   |  |
|   |  |  |  |

| Part 7. Loans  |                     |                        |  |  |  |
|--|---------------------|------------------------|--|--|--|
| None. Check this box if you                                | did not have repo   | rtable liabilities.    |  |  |  |
| Lender's Name  |                     | Lender's Address       | Principal Type of Economic or<br>Business Activity of Lender |  |  |
|  |                     |                        | · · · · · · · · · · · · · · · · · · ·                        |  |  |
| Part 8. Gifts, Including Travel                            | and Accommoda       | ations                 |  |  |  |
| None. Check this box if you                                | did not receive ar  | ny gifts.              |  |  |  |
| Source of Gift   |                     |                        | Source of Gift   |  |  |
| 1.   |                     | 2.                     |  |  |  |
| 3.   |                     | 4.                     |  |  |  |
| Part 9. Honoraria  |                     |                        |  |  |  |
| None. Check this box if you                                | did not receive hor | noraria.               |  |  |  |
| Source of Hon  | oraria              |                        | Source of Honoraria  |  |  |
| 1.   |                     | 2.                     |  |  |  |
| 3.   |                     | 4.                     |  |  |  |
| Part 10. Positions in Political A                          | Action, Ballot Que  | estion or Party Commit | tees   |  |  |
| None. Check this box if you a or fundraiser of a PAC, BQC, |                     |                        | surer, or principal officer, decision-make                   |  |  |
| Name of Committee  | Name of Office      | cial or Family Member  | Title  |  |  |
| 1.   |                     |                        |  |  |  |
| 2.   |                     |                        |  |  |  |
| 3.   |                     |                        |  |  |  |

|  | n State Agencies  |   |   |                       |
|--|-------------------|---|---|-----------------------|
| None. Check this box if neither y  | ou nor your immed | liate family did busine                   | ess with any State  | agency.               |
|  |                   | lual/Organization<br>ds or Services       | Description of Good or Services   |                       |
| Part 12. Representing Others Before None. Check this box if neither y  | <del>-</del>      |   | ed another before   | a State agency.       |
| Name of Agency   |                   | Name of Individual Receiving Compensation |   |                       |
| <ul> <li>None. Check this box if you and non-profit organizations.</li> <li>Organization/Business and Address</li> </ul>   | members your imn  | Name of Position Holder                   | Relationship<br>to Executive<br>Employee  | Compensated<br>Yes/No |
| Town of China<br>Lakeview Onve, China ME   | Elected member    | Shend                                     | r Self  |                       |
| Lauevico erive, minaria  | Budget Commit     | e Peavey                                  | <ul><li>□ Spouse</li><li>□ Dependent</li></ul>  | Nb                    |
| Lakerico erive, Chinariie  | 15Volget Commit   | Reavey                                    |   | Λb                    |
| in in the contract of the cont | 15Volget Commit   | Reavey                                    | <ul><li>Dependent</li><li>Self</li><li>Spouse</li></ul>   | Λ6                    |
| I CERTIFY THAT I HAVE EXAMINED CORRECT, AND COMPLETE.  | SIGN              | ATURE                                     | <ul> <li>Dependent</li> <li>Self</li> <li>Spouse</li> <li>Dependent</li> <li>Self</li> <li>Spouse</li> <li>Dependent</li> </ul> |                       |
| I CERTIFY THAT I HAVE EXAMINED   | SIGN              | ATURE                                     | <ul> <li>Dependent</li> <li>Self</li> <li>Spouse</li> <li>Dependent</li> <li>Self</li> <li>Spouse</li> <li>Dependent</li> </ul> |                       |

THE INTENTIONAL FILING OF A FALSE STATEMENT IS A CLASS E CRIME (1 M.R.S.A.  $\S$  1016-G(3)(B))