

Receivemmission on Governmental Ethics and Election Practices

MAR 28 2017

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Maine Ethics Commission

STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

2016 Calendar Year: January 1, 2016 - December 31, 2016

Check here if this statement is an update or amendment of a previously filed statement.

Name	Job Title	
Walter E. Whitcomb	Commissioner	
Department Agriculture, Conservation and Forestry	Phone (work) 287-3419	
Mailing Address (work) 22 State House Station, Augusta, ME 04333-0022	E-mail Address (work) walt.whitcomb@maine.gov	

GENERAL INSTRUCTIONS

- Complete all sections. If a section is not applicable, check the box marked "None."
- A glossary is located in the back of this form.
- If completing this form by hand, please write legibly. NO RED INK!
- Report the sources of income for you, your spouse or domestic partner, and your dependent children.
- Report only specific sources of income. Dollar amounts need not be listed.
- If April 15 falls on a weekend or holiday, this statement is due on the next business day.

Please keep a copy of this statement for your records!

REPORTING DEADLINES

Constitutional Officers and the State Auditor

Newly elected constitutional officers and the state auditor must file the financial disclosure statement within 30 days of election and by April 15 of each year they are in office, unless a report for that year has already been filed.

Appointed Executive Employees

Newly appointed executive employees who are appointed by the Governor and confirmed by the Legislature must file the financial disclosure statement prior to their confirmation and by <u>April 15</u> of each year of their employment, unless a report for that year has already been filed.

Executive Employees in Major Policy-Influencing Positions

Executive employees in major policy-influencing positions must file the financial disclosure statement by <u>April 15</u> of each year of their employment.

Leaving Office or Terminating Employment

Constitutional officers and the state auditor and all other executive employees must file a final financial disclosure statement within 45 days of leaving office or terminating employment that covers the calendar year of leaving office or terminating employment.

REQUIREMENT TO FILE AN UPDATED STATEMENT

Executive branch employees are required to update their financial disclosure statement within 30 days of a substantial change in income, reportable liabilities or positions of the executive branch employee or an immediate family member (except dependent children) that occurs in the current calendar year. (5 M.R.S.A. § 19(3)(C)) Substantial changes include but are not limited to:

- A new employer or other source of income that has paid the executive branch employee or immediate family member \$2,000 or more in the current year;
- A new reportable liability of \$3,000 or more obtained during the current calendar year;
- A new contract or other arrangement between the executive branch employee, immediate family member or associated
 organization and a State agency, board or commission for the lease or sale of goods or services with a value of more
 than \$10,000 during the current calendar year; and
- A new position in a political action committee, ballot question committee, party committee, or non-profit or for profit organization.

Part 1. Income from Emp	oloyment	by Another					
□ None. Check this box	if you did	not have incom	ne from employme	ent by another.			
Name of Employer	Address , 22 State House Station Augusta, ME 04333-0022		Principal Ty Business Ad	pe of Economic or ctivity of Employer	Job Title Commissioner		
Maine Department of Agriculture, Conservation and Forestry			State Governme	ent			
			5 1 p 58				
Part 2. Income from Self None. Check this box			e from self-emplo	nyment			
Name of Your Business/Trade	-	The second second	Address		Principal Type of Economic or Business Activity		
Springdale Jersey's	Springdale Jersey's 205 Birche		hes Road, Waldo, ME		Dairy Farm		
Name of Client or Customer, if (see instructions)	required	Address			Principal Type of Economic or Business Activity of Client		
Oakhurst Dairy		Forest Ave., Portland, ME			Dairy Processor		
	•						
Part 3. Business Entities None. Check this box		vour immediat	e family did not o	wn or control mo	ore than 5% of any business.		
Name of Business		Address			Principal Type of Economic or Business Activity		
Springdale Jersey's		205 Birches Road, Waldo, ME		Dairy Farm	Dairy Farm		
Part 4. Income from the							
None. Check this box	if you did	not have incom	ne from the praction	ce of law.			
Name of Practice or Firm	Address	Yo	our Major Areas of Practice	Firm's Major Ard of Practice	eas Position: Partner, Associate, Sole Practitioner		
`							

Part 5. Income from Any Other Sour	ce		
None. Check this box if you did no	t have income from any other source.		
Name of Source	Address	Description of Income	
Part 6-A. Compensation Income of I	mmediate Family Members		
	rs of your immediate family received in	come of \$2,000 or more from	
Name and Job Title (do not list name of dependent child)	Employer's Name and Address	Principal Type of Economic or Business Activity of Employer	
Nancy Whitcomb, Title 1 Reading Teacher	SAD 3, Unity, ME	School	
Part 6-B. Other Sources of Income of	 of Immediate Family Members		
None. Check this box if no member other source.	rs of your immediate family received in	come of \$2,000 or more from any	
Name of Spouse or Partner (do not list name of dependent child)	Source of Income Name and Address	Type of Income	

Part 7. Loans				
■ None. Check this box if you did not have reportable liabilities.				
Lender's Name	Lender's Address		Principal Type of Economic or Business Activity of Lender	
Part 8. Gifts, Including Travel ar	nd Accommodations			
■ None. Check this box if you di	id not receive any gift	S.		
Source of Gift			Source of Gift	
1.		2.		
3.		4.		
Part 9. Honoraria				
■ None. Check this box if you did	I not receive honorari	a.		
Source of Honora	aria	27 (1)	Source of Honoraria	
1.		2.		
3.		4.		
Part 10. Positions in Political Act	ion, Ballot Question	or Party Committe	es	
■ None. Check this box if you and or fundraiser of a PAC, BQC, or	d your immediate fami Party Committee.	ily were not a treası	ırer, or principal officer, decision-maker	
Name of Committee	Name of Official or	Family Member	Title	
1.				
2.				
3.				

Part 11. Conducting Business	with State Agenc	ies			
■ None. Check this box if neith	ner you nor your im	mediate family did busir	ness with any Stat	e agency.	
Name of Agency	Name of Inc Selling C	dividual/Organization Goods or Services	Description of Good or Services		
Part 12. Representing Others I None. Check this box if neith		and the second s	ited another before	e a State agency.	
Name of Agen		The second secon	lividual Receiving	that the extra control of the control of the	
Part 13. Positions in For-Profit None. Check this box if you a non-profit organizations. Organization/Business and Address			t hold positions in Relationship to Executive Employee	any for-profit or Compensated Yes/No	
Food Export USA - NE, One Penn Center 1617 JFK Boulevard, Suite 420 Philadelphia, PA 19103	Member	Walter Whitcomb	■ Self □ Spouse □ Dependent	No	
Sprindale Jerseys Inc 205 Birches Road Waldo, ME 04915	President	Walter Whitcomb	■ Self □ Spouse □ Dependent	No	
National Association of State Depts of AG I 156 15th St., NW, Suite 1020 Washington, DC 20005	Member	Walter Whitcomb	SelfSpouseDependent	No	
	SIC	GNATURE	10 7 7 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	Company of the Compan	
CERTIFY THAT I HAVE EXAMIN CORRECT, AND COMPLETE. Signature		AND TO THE BEST O	F MY KNOWLEDG	3E IT IS TRUE,	
THE INTENTIONAL FI	LING OF A FALSE STATE	EMENT IS A CLASS E CRIME (1	1 M.R.S.A. § 1016-G(3)(E	3))	